

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

INDEPENDENT ACTION INC

ADDRESS (number and street) ▼

16 19 13th Street NW

☐ Check if different than previously reported. (ACC)

WASHINGTON

DC

20009

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00139741

3. IS THIS
REPORT☐NEW
(N)

OR

☒AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☒ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Ralph James Santora Jr.

Signature of Treasurer

Mr. Ralph James Santora Jr.

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

INDEPENDENT ACTION INC

Report Covering the Period:

From:

 M M / D D / Y Y Y Y Y
 11 / 27 / 2012

To:

 M M / D D / Y Y Y Y Y
 12 / 31 / 2012

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2012		6050.15
(b) Cash on Hand at Beginning of Reporting Period.....	480.71	
(c) Total Receipts (from Line 19)	1705.00	43064.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	2185.71	49114.15
7. Total Disbursements (from Line 31)	296.52	47224.96
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	1889.19	1889.19
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

INDEPENDENT ACTION INC

Report Covering the Period:

From:

M M M	/	D D D	/	Y Y Y Y Y
11		27		2012

To:

M M M	/	D D D	/	Y Y Y Y Y
12		31		2012

I. Receipts
COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

850.00

22075.00

(ii) Unitemized

855.00

15989.00

(iii) TOTAL (add

Lines 11(a)(i) and (ii).....▶

1705.00

38064.00

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

5000.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5)

1705.00

43064.00

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3)

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c)).....▶

1705.00

43064.00

20. Total Federal Receipts

(subtract Line 18(c) from Line 19)

1705.00

43064.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	148.25	16126.89
(ii) Non-Federal Share.....	148.27	16526.78
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	296.52	32653.67
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	14571.29
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	296.52	47224.96
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	148.25	30698.18

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	1705.00	43064.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	1705.00	43064.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	148.25	16126.89
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	148.25	16126.89

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 9

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

INDEPENDENT ACTION INC

Full Name (Last, First, Middle Initial)

A. David D Ralph MD

Mailing Address 2126 E Shelby

City
Seattle

State
WA

Zip Code
98112-2028

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 03 / 2012

Transaction ID : SA11AI.7191

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Ms. Rose Shure

Mailing Address 3750 Lake Shore Drive
Apt. 16-E

City
Chicago

State
IL

Zip Code
60613

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Information Requested

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 03 / 2012

Transaction ID : SA11AI.7186

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

C. Mr. Gerould H Smith

Mailing Address 1823 Edgewood Dr

City
Palo Alto

State
CA

Zip Code
94303-3016

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Tandem Comorters Inc.

Programmer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 03 / 2012

Transaction ID : SA11AI.7188

Amount of Each Receipt this Period

250.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

850.00

850.00

SCHEDULE H1 (FEC Form 3X)**METHOD OF ALLOCATION FOR:**

- **ALLOCATED FEDERAL AND NONFEDERAL ADMINISTRATIVE, GENERIC VOTER DRIVE AND EXEMPT ACTIVITY COSTS**
- **ALLOCATED FEDERAL AND LEVIN FUNDS FEDERAL ELECTION ACTIVITY EXPENSES** (State, District and Local Party Committees Only)
- **ALLOCATED PUBLIC COMMUNICATIONS THAT REFER TO ANY POLITICAL PARTY (BUT NOT A CANDIDATE)** (Separate Segregated Funds And Nonconnected Committees Only)

NAME OF COMMITTEE (In Full)
INDEPENDENT ACTION INC

Transaction ID : H1.7202

USE ONLY ONE SECTION, A or B**A. State and Local Party Committees****Fixed Percentage (select one)**

- _____ Presidential-Only Election Year (28% Federal)
- _____ Presidential and Senate Election Year (36% Federal)
- _____ Senate-Only Election Year (21% Federal)
- _____ Non-Presidential and Non-Senate Election Year (15% Federal)

B. Separate Segregated Funds and Nonconnected Committees**Flat Minimum Federal Percentage**

If the committee will allocate using the flat minimum percentage of 50% federal funds, check ☒ **or**

If the committee is spending more than 50% federal funds, indicate ratio below

Federal..... %

Nonfederal %

This ratio applies to (check all that apply):

Administrative ☒ Generic Voter Drive ☐ Public Communications Referencing Party Only ☐

SCHEDULE H4 (FEC Form 3X)**DISBURSEMENTS FOR ALLOCATED
FEDERAL/NONFEDERAL ACTIVITY**

PAGE 8 OF 9

FOR LINE 21a OF FORM 3X

NAME OF COMMITTEE (In Full)

INDEPENDENT ACTION INC

A. Full Name (Last, First, Middle Initial) Bank of America		Transaction ID : H4.7192	Allocated Activity or Event: ☒ Administrative ☐ Fundraising ☐ Exempt ☐ Voter Drive ☐ Direct Candidate Support ☐ Public Comm (ref to party only) by PAC	
Mailing Address 1090 Vermont Avenue, N.W.				
City Washington	State DC	Zip Code 20005		
Purpose of Disbursement: bank fees			Allocated Activity or Event Year-To-Date 32373.15	
Activity or Event Identifier: Administrative		Category/ Type	Date 11 / 30 / 2012	
FEDERAL SHARE		+	NONFEDERAL SHARE	= TOTAL AMOUNT
8.00			8.00	16.00

B. Full Name (Last, First, Middle Initial) FNB/Humb Merchant Services		Transaction ID : H4.7193	Allocated Activity or Event: ☒ Administrative ☐ Fundraising ☐ Exempt ☐ Voter Drive ☐ Direct Candidate Support ☐ Public Comm (ref to party only) by PAC	
Mailing Address PO Box 1479				
City Eureka	State CA	Zip Code 95502		
Purpose of Disbursement: credit card processing fees			Allocated Activity or Event Year-To-Date 32425.12	
Activity or Event Identifier: Administrative		Category/ Type	Date 12 / 03 / 2012	
FEDERAL SHARE		+	NONFEDERAL SHARE	= TOTAL AMOUNT
25.98			25.99	51.97

C. Full Name (Last, First, Middle Initial) Vonage		Transaction ID : H4.7194	Allocated Activity or Event: ☒ Administrative ☐ Fundraising ☐ Exempt ☐ Voter Drive ☐ Direct Candidate Support ☐ Public Comm (ref to party only) by PAC	
Mailing Address 23 Main Street				
City Holmdel	State NJ	Zip Code 07733		
Purpose of Disbursement: IP phone charges			Allocated Activity or Event Year-To-Date 32487.68	
Activity or Event Identifier: Administrative		Category/ Type	Date 12 / 06 / 2012	
FEDERAL SHARE		+	NONFEDERAL SHARE	= TOTAL AMOUNT
31.28			31.28	62.56

SUBTOTAL of Allocated Federal and NonFederal Activity This Page

FEDERAL SHARE	+	NONFEDERAL SHARE	=	TOTAL AMOUNT
65.26		65.27		130.53

TOTAL This Period (last page for each line only)(Federal share to 21(a)(i) and NonFederal share to 21(a)(ii))

FEDERAL SHARE		NONFEDERAL SHARE		TOTAL AMOUNT

SCHEDULE H4 (FEC Form 3X)**DISBURSEMENTS FOR ALLOCATED
FEDERAL/NONFEDERAL ACTIVITY**

PAGE 9 OF 9

FOR LINE 21a OF FORM 3X

NAME OF COMMITTEE (In Full)

INDEPENDENT ACTION INC

A. Full Name (Last, First, Middle Initial) Bank of America		Transaction ID : H4.7195	Allocated Activity or Event: ☒ Administrative ☐ Fundraising ☐ Exempt ☐ Voter Drive ☐ Direct Candidate Support ☐ Public Comm (ref to party only) by PAC	
Mailing Address 1090 Vermont Avenue, N.W.				
City Washington	State DC	Zip Code 20005		
Purpose of Disbursement: bank fees			Allocated Activity or Event Year-To-Date 32557.68	
Activity or Event Identifier: Administrative		Category/ Type	Date 12 / 07 / 2012	
FEDERAL SHARE		+	NONFEDERAL SHARE	= TOTAL AMOUNT
35.00			35.00	70.00

B. Full Name (Last, First, Middle Initial) Karelia Software		Transaction ID : H4.7197	Allocated Activity or Event: ☒ Administrative ☐ Fundraising ☐ Exempt ☐ Voter Drive ☐ Direct Candidate Support ☐ Public Comm (ref to party only) by PAC	
Mailing Address 2450 N Lake Ave Ste 336				
City Altadena	State CA	Zip Code 91001		
Purpose of Disbursement: Software			Allocated Activity or Event Year-To-Date 32637.67	
Activity or Event Identifier: Administrative		001 Category/ Type	Date 12 / 17 / 2012	
FEDERAL SHARE		+	NONFEDERAL SHARE	= TOTAL AMOUNT
39.99			40.00	79.99

C. Full Name (Last, First, Middle Initial) Bank of America		Transaction ID : H4.7196	Allocated Activity or Event: ☒ Administrative ☐ Fundraising ☐ Exempt ☐ Voter Drive ☐ Direct Candidate Support ☐ Public Comm (ref to party only) by PAC	
Mailing Address 1090 Vermont Avenue, N.W.				
City Washington	State DC	Zip Code 20005		
Purpose of Disbursement: bank fees			Allocated Activity or Event Year-To-Date 32653.67	
Activity or Event Identifier: Administrative		Category/ Type	Date 12 / 31 / 2012	
FEDERAL SHARE		+	NONFEDERAL SHARE	= TOTAL AMOUNT
8.00			8.00	16.00

SUBTOTAL of Allocated Federal and NonFederal Activity This Page

FEDERAL SHARE	+	NONFEDERAL SHARE	=	TOTAL AMOUNT
82.99		83.00		165.99

TOTAL This Period (last page for each line only)(Federal share to 21(a)(i) and NonFederal share to 21(a)(ii))

FEDERAL SHARE	NONFEDERAL SHARE	TOTAL AMOUNT
148.25	148.27	296.52