REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

JUL 23 1 33 PM '99

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
National Association of Small Business Investment Companies Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
666 11th Street, NW #750

CITY, STATE and ZIP CODE
Washington, DC 20001

2. FEC IDENTIFICATION NUMBER
C00109991

3. [X] This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

[X] July 31 Mid Year Report (Non-election Year Only)

☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ 12-Day Pre-Election Report for the _____ (Type of Election) election on _____ in the State of _____

☐ 30-Day Post-Election Report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES [X] NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 01/01/99 through 06/30/99		
6. (a) Cash on Hand January 1, 1999		$ 5,001.33
(b) Cash on Hand at Beginning of Reporting Period	$ 5,001.33	
(c) Total Receipts (from Line 19)	$ 32,355.00	$ 32,355.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 37,356.33	$ 37,356.33
7. Total Disbursements (from Line 30)	$ 21,000.00	$ 21,000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 16,356.33	$ 16,356.33
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-694-1100

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Lee W. Mercer

Signature of Treasurer
Lee W Mercer

Date
21 July 1999

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

FEWM121

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: Nat. Assn. of Small Business Investment Companies Political Action Committee

REPORT COVERING PERIOD FROM 01/01/99 TO 06/30/99

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year	
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	$27,850.00	$27,850.00	11(a)(i)
ii. Unitemized	2,505.00	2,505.00	11(a)(ii)
iii. Total (add i and ii) ➤	30,355.00	30,355.00	11(a)(iii)
b. Political Party Committees			11(b)
c. Other Political Committees (such as PACs)	2,000.00	2,000.00	11(c)
d. Total Contributions (add a iii, b and c) ➤	32,355.00	32,355.00	11(d)
12. Transfers From Affiliated/Other Party Committees			12
13. All Loans Received			13
14. Loan Repayments Received			14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			16
17. Other Federal Receipts (Dividends, Interest, etc.)			17
18. Transfers from Nonfederal Account for Joint Activity			18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	$32,355.00	$32,355.00	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	$32,355.00	$32,355.00	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share			21(a)(i)
ii. Non-Federal Share			21(a)(ii)
b. Other Federal Operating Expenditures			21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤			21(c)
22. Transfers to Affiliated/Other Party Committees			22
23. Contributions to Federal Candidates/Committees and Other Political Committees	$21,000.00	$21,000.00	23
24. Independent Expenditures (use Schedule E)			24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)			25
26. Loan Repayments Made			26
27. Loans Made			27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees			28(a)
b. Political Party Committees			28(b)
c. Other Political Committees (such as PACs)			28(c)
d. Total Contribution Refunds (add a, b and c) ➤			28(d)
29. Other Disbursements			29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	$21,000.00	$21,000.00	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	$21,000.00	$21,000.00	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	$32,355.00	$32,355.00	32
33. Total Contribution Refunds (from line 28d)			33
34. Net Contributions (other than loans)(subtract line 33 from 32)	$32,355.00	$32,355.00	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤			35
36. Offsets to Operating Expenditures (from line 15)			36
37. Net Operating Expenditures (subtract line 36 from 35) ➤			37

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11(d)

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
A. C. Walter Dick 60 State Street Boston, MA 02109	Acsent Venture Partners	4/12/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 1,000.00		
B. Knute Albrecht 950 West Valley Road Wayne, PA 19087	Argosy Management LLC	3/15/99	$2,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 2,000.00		
C. Michael Ridley 5 Park Plaza #100 Irvine, CA 92614	Arter & Hadden	2/9/99	$250.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Attorney Aggregate Year-to-Date: $ 250.00		
D. John Freidenrich 10600 N. DeAnza Blvd. Cupertino, CA 95014	Bay Partners	6/18/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 1000.00		
E. Neal Dempsey 10600 N. DeAnza Blvd. Cupertino, CA 95014	Bay Partners	6/18/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 1,000.00		
F. Robert Williams 10600 N. DeAnza Blvd. Cupertino, CA 95014	Bay Partners	6/18/99	$250.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 250.00		
G. James Wickett 10600 N. DeAnza Blvd. Cupertino, CA 95014	Bay Partners	6/18/99	$250.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 250.00		

SUBTOTAL of Receipts This Page (optional) 5,750.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE A **ITEMIZED RECEIPTS**

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FOR LINE NUMBER 11(a)

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Edward C. McCarthy P.O. Box 21962 Greensboro, NC 27420	Blue Ridge Investors	3/25/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 1,000.00		

B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Paul Eschausse 59 Todd Drive North Glen Head, NY 11545	Bank of New York Capital	5/4/99	$300.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 300.00		

C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
James F. O'Donnell 11 South Meramec, #1430 St. Louis, MO 63105	Capital for Business, Inc.	3/24/99	$250.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 250.00		

D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Bart Bergman 11 South Meramec #1430 St. Louis, MO 63105	Capital for Business Inc.	3/24/99	$250.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 250.00		

E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Commerce Bancshares, Inc. PAC P.O. Box 13686 Kansas City, MO 64199	Commerce Bancshares, Inc.	3/24/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: PAC Aggregate Year-to-Date > $ 1,000.00		

F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Deborah Guthrie 2620 P Street, NW Washington, DC 20007	Capitol Health Partners	3/15/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date > $		

G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Patrick F. Hamner 12900 Preston Rd #700 Dallas, TX 75230	Capital Southwest Corp.	3/15/99	$250.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 250.00		

SUBTOTAL of Receipts This Page (optional)	4,050.00
TOTAL This Period (last page this line number only)	

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11(d)

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NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Patrick F. Hamner, 12900 Preseton Road #700, Dallas, TX 75230	CApital Southwest Corp. / Investment Co. Exec.	6/21/99	$250.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date $ 500.00		
B. Salvator Massaro, 3495 Peidmont Road #412, Atlanta, GA 30305	EGL NatWest Venture Investments LP / Investment Co. Exec.	4/23/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date $ 1000.00		
C. Keith R. Fox, 10 East 53rd St., New York, NY 10022	Exeter Equity Partners / Investment Co. Exec.	1/1/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date $ 1000.00		
D. Keith R. Fox, 10 East 53rd St., New York, NY 10022	Exeter Equity Partners / Investment Co. Exec.	1/16/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date $ 2,000.00		
E. Richard C. Klaffky, 100 Pearl Street, Hartford, CT 06103	First New England Capital / Investment Co. Exec.	4/12/99	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date $ 500.00		
F. Jeffrey Brown, 840 Newport Center Drive #480, Newport Beach, CA 92660	Forrest Binkley & Brown / Investment Co. Exec.	6/28/99	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date $ 500.00		
G. Lawrence Golub, 230 Park Ave. 19th FL, New York, NY 10169	Golub Associates / Investment Co. Exec.	6/10/99	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date $ 500.00		

SUBTOTAL of Receipts This Page (optional) 4,750.00

TOTAL This Period (last page this line number only) .....

FE6AN121

SCHEDULE A **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (in Full)

National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. David Schroder 208 24th St. Drive SE Cedar Rapids, IA 52403 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Employer: InvestAmerica Investment Advisors, Inc Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 250.00	6/9/99	$250.00
B. William Reisler 233 West 47th Street Kansas City, MO 64112 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Employer: Kansas City Equity Partners, LC Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 1000.00	3/30/99	$1,000.00
C. David Schulte 233 West 47th Street Kansas City, MO 64112 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Employer: Kansas City Equity Partners LC Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 1000.00	3/30/99	$1,000.00
D. Thomas Palmer 233 West 47th Street Kansas City, MO 64112 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Employer: Kansas City Equity Partners, LC Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 1,000.00	3/30/99	$1,000.00
E. Paul Bolger 10 P.O. Square #1225 Boston, MA 02109 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Employer: Marathon Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 250.00	6/23/99	$250.00
F. Robert M. Brown 259 Radnor Chester Rd. #140 Radnor, PA 19087 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Employer: Meridian Venture Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 1000.00	4/19/99	$1,000.00
G. Wayne Clevenger 466 Southern Blvd. Chatham, NJ 07928 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Employer: MidMark Associates, Inc Occupation: Investment Co. Exec. Aggregate Year-to-Date: $ 500.00	6/10/99	$500.00

SUBTOTAL of Receipts This Page (optional) 5,000.00

TOTAL This Period (last page this line number only) .....

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NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Joseph Robinson 466 Southern Blvd. Chatham, NJ 07928	MidMark Associates Inc.	6/10/99	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec.		
	Aggregate Year-to-Date > $ 500.00		

B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Denis Newman 466 Southern Blvd. Chatham, NJ 07928	MidMark Associates, Inc.	6/10/99	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec.		
	Aggregate Year-to-Date > $ 500.00		

C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Lee W. Mercer 666 11th St. NW #750 Washington, DC 20001	Nat. Assoc. of Small Business Investment Companies	2/22/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Association Exec.		
	Aggregate Year-to-Date > $ 1,000.00		

D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Jeanette D. Paschal 666 11th St. NW #750 Washington, DC 20001	Nab. Assn. of Small Business Investment Companies	2/22/99	$250.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Association Exec.		
	Aggregate Year-to-Date > $ 250.00		

E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
NationsBank Corp. PAC 101 South Tryon St. 18th Floor Charlotte, NC 28255	NationsBank PAC	4/26/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: PAC		
	Aggregate Year-to-Date > $ 1000.00		

F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
David Coit 70 Center Street Portland, ME 04101	North Atlantic Capital Corp.	4/12/99	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec.		
	Aggregate Year-to-Date > $ 1,000.00		

G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Greg Peters 70 Center Street Portland, ME 04101	North Atlantic Capital Corp.	4/12/99	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: Investment Co. Exec.		
	Aggregate Year-to-Date > $ 500.00		

SUBTOTAL of Receipts This Page (optional)	$4,750.00
TOTAL This Period (last page this line number only)	

FE6AN121

SCHEDULE A **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Albert Coffrin, 70 Center Street, Portland, ME 04101 — Receipt For: ☐ Primary ☐ General ☐ Other (specify):	North Atlantic Capital Corp. / Investment Co. Exec. — Aggregate Year-to-Date $ 500.00	4/12/99	$500.00
B. Wallace Lennox, 180 North Michigan #1905, Chicago, IL 60601 — Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Polestar Capital Corp. / Investment Co. Exec. — Aggregate Year-to-Date $ 1000.00	3/15/99	$1,000.00
C. Edwin T. Robinson, 221 E. 4th St., Cincinnati, OH 45202 — Receipt For: ☐ Primary ☐ General ☐ Other (specify):	River Cities Capital Funds / Investment Co. Exec. — Aggregate Year-to-Date $ 500.00	5/24/99	$500.00
D. Glen Mayfield, 221 E. 4th Street, Cincinnati, OH 45202 — Receipt For: ☐ Primary ☐ General ☐ Other (specify):	River Cities Capital Funds / Investment Co. Exec. — Aggregate Year-to-Date $ 500.00	5/24/99	$500.00
E. J. Eric Lenning, 221 E. 4th Street, Cincinnati, OH 45202 — Receipt For: ☐ Primary ☐ General ☐ Other (specify):	River Cities Capital Funds / Investment Co. Exec. — Aggregate Year-to-Date $	5/24/99	$250.00
F. David Shryock, 7936 S. Cottage Grove Ave., Chicago, IL 60619 — Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Shorebank Capital Corp. / Investment Co. Exec. — Aggregate Year-to-Date $ 500.00	3/15/99	$500.00
G. Marvin Tepper, 175 Great Neck Road, Great Neck, NY 11021 — Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Sterling/Carl Marks Capital, Inc. / Investment Co. Exec. — Aggregate Year-to-Date $	5/3/99	$300.00

SUBTOTAL of Receipts This Page (optional)	$3,550.00
TOTAL This Period (last page this line number only)	

FEBAN121

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 7 OF 8

FOR LINE NUMBER 11(d)

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation / Aggregate Year-to-Date	Date (month, day, year)	Amount of Each Receipt this Period
A. Howard Davidoff, 175 Great Neck Road, Great Neck, NY 11021	Sterling/Carl Marks Capital, Inc. / Investment Co. Exec. / $ 300.00	5/3/99	$300.00
B. Saul Katz, 175 Great Neck Road, Great Neck, NY 11021	Sterling/Carl Marks Capital, Inc. / Investment Co. Exec. / $ 300.00	5/3/99	$300.00
C. Michael Katz, 175 Great Neck Rd., Great Neck, NY 11021	Sterling/Carl Marks Capital, Inc. / Investment Co. Exec. / $ 300.00	5/3/99	$300.00
D. Robert Davidoff, 175 Great Neck Road, Great Neck, NY 11021	Sterling Carl Marks Capital Inc. / Investment Co. Exec. / $ 300.00	5/3/99	$300.00
E. Harvey Rosenblatt, 175 Great Neck Road, Great Neck, NY 11021	Sterling/Carl Marks Capital, Inc. / Investment Co. Exec. / $ 300.00	5/3/99	$300.00
F. Alexander Schilling, 180 Geary Street #500, San Francisco, CA 94108	Tangent Capital / Investment Co. Exec. / $ 250.00	6/28/99	$250.00
G. Thomas Dickerson, One Greenwich Plaza, Greenwich, CT 06830	Tullis Dickerson & Co. / Investment Co. Exec. / $ 250.00	1/1/99	$250.00

Receipt For: ☐ Primary ☐ General ☐ Other (specify):

SUBTOTAL of Receipts This Page (optional) 2,000.00

TOTAL This Period (last page this line number only) ..... $ 29,850.00

FEC/AN12)

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11(d)

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NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
James L.L. Tullis One Greenwich Plaza Greenwich, CT 06830	Tullis Dickerson & Co.	1/1/99	$530.00 purchase value of 24 shares in common stock of PSS World Medical, Inc.**
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation		
	Aggregate Year-to-Date > $ $530.00		

B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
**Note: This is a contribution of a noncash item that was not sold during this reporting period.			
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation		
	Aggregate Year-to-Date > $		

C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation		
	Aggregate Year-to-Date > $		

D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation		
	Aggregate Year-to-Date > $		

E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation		
	Aggregate Year-to-Date > $		

F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation		
	Aggregate Year-to-Date > $		

G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation		
	Aggregate Year-to-Date > $		

SUBTOTAL of Receipts This Page (optional)	
TOTAL This Period (last page this line number only)	

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SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
A. Committee to Re-elect Nydia Velazquez 271 Grand Ave. Brooklyn, NY 11211	House-NY-12 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	3/17/99	$3,000.00
B. Sue Kelly for COngress P.O. Box 599 Katonah, NY 10536	House-NY-19 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	3/17/99	$3,000.00
C. Jon Kyle for U.S. Senate P.O. Box 10246 Phoenix, AZ 85064	Senate-AZ Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	4/28/99	$1,000.00
D. Kay Bailey Hutchison for Senate Comm. P.O. Box 9190 Dallas, TX 75209	Senate-TX Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	4/28/99	$1,000.00
E. Trent Lott for Mississippi 1301 Pennsylvania Ave. NW Washington, DC 20004	Senate-MS Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	4/28/99	$2,000.00
F. Snowe for Senate P.O. BOx 2000 Portland, ME 04104	Senate-ME Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	4/28/99	$1,000.00
G. Lieberman 2000 236 Massachusetts Ave. NE Washington, DC 20002	Senate-CT Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	5/6/99	$1,000.00
H. Friends of Conrad Burns P.O. Box 70397 Washington, DC 20024	Senate-MT Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	5/16/99	$1,000.00
I. Lieberman 2000 236 Massachusetts Ave. NE Washington, DC 20002	Senate-CT Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	5/16/99	$1,000.00

SUBTOTAL of Disbursements This Page (optional) $14,000.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 23

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NAME OF COMMITTEE (in Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement / Disbursement for	Date (month, day, year)	Amount of Each Disbursement This Period
A. Manzullo for COngress P.O. Box 7783 Rockford, IL 6112	House-IL-16 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	5/18/99	$500.00
B. Davis for Congress P.O. Box 2842 Washington, DC 20013	House-IL-7 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	5/18/99	$1,000.00
C. Abraham Senate Committee 2000 26600 Telegraph Road $410 Southfill, MI 48034	Senate-MI Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/15/99	$2,000.00
D. Montanans for Rick Hill 1510 Woodbine Street Alexandria, VA 22302	House-MT-atlarge Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/21/99	$500.00
E. Friends of Congressman Mike Forbes P.O. Box 505 Farmingville, NY 11738	House-NY-1 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/23/99	$1,000.00
F. People for English P.O. Box 1940 Erie, PA 16507	House-PA-21 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/21/99	$500.00
G. Tom Delay COngressional Committee 10707 Corporate Drive #130 Stafford, TX 77477	House-TX-22 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/28/99	$1,000.00
H. Phelps for COngress 442 New Jersey Ave. Washington, DC 20003	House-IL-19 Disbursement for: [XX] Primary [ ] General [ ] Other (specify)	6/28/99	$500.00
I.	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) 7,000.00

TOTAL This Period (last page this line number only) $21,000.00

FE6AN121

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☐	Hand Delivered	Date of Receipt
☐	First Class Mail	POSTMARKED
☑	Registered/Certified Mail	POSTMARKED 7-21-97
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	

Jmw — PREPARER

7-23-97 — DATE PREPARED

(4/98)