

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

BATS GLOBAL MARKETS, INC POLITICAL ACTION COMMITTEE

ADDRESS (number and street) ▼

701 8th Street, NW

Suite 500

☐ Check if different than previously reported. (ACC)

Washington

DC

20001

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00458653

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)  
(Non-Election  
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the  
State of

(d) 30-Day

POST-Election

Report for the:

☒ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y  
10 16 2014

through

M M M / D D D / Y Y Y Y Y Y  
11 24 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Eric Swanson

Signature of Treasurer

Eric Swanson

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y  
12 01 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

BATS GLOBAL MARKETS, INC POLITICAL ACTION COMMITTEE

Report Covering the Period: From: M M / D D / Y Y Y Y Y 10 / 16 / 2014 To: M M / D D / Y Y Y Y Y 11 / 24 / 2014

|  | COLUMN A<br>This Period  | COLUMN B<br>Calendar Year-to-Date                                    |
|--|--|--|
| 6. (a) Cash on Hand<br>January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y</span> 2014     |  | <span style="border: 1px solid black; padding: 2px;">34706.76</span> |
| (b) Cash on Hand at<br>Beginning of Reporting Period.....  | <span style="border: 1px solid black; padding: 2px;">34566.59</span> |  |
| (c) Total Receipts (from Line 19) .....  | <span style="border: 1px solid black; padding: 2px;">0.0</span>      | <span style="border: 1px solid black; padding: 2px;">15000.0</span>  |
| (d) Subtotal (add Lines 6(b) and<br>6(c) for Column A and Lines<br>6(a) and 6(c) for Column B).....              | <span style="border: 1px solid black; padding: 2px;">34566.59</span> | <span style="border: 1px solid black; padding: 2px;">49706.76</span> |
| 7. Total Disbursements (from Line 31) .....  | <span style="border: 1px solid black; padding: 2px;">0.0</span>      | <span style="border: 1px solid black; padding: 2px;">15140.17</span> |
| 8. Cash on Hand at Close of<br>Reporting Period<br>(subtract Line 7 from Line 6(d)) .....                        | <span style="border: 1px solid black; padding: 2px;">34566.59</span> | <span style="border: 1px solid black; padding: 2px;">34566.59</span> |
| 9. Debts and Obligations Owed <b>TO</b><br>the Committee (Itemize all on<br>Schedule C and/or Schedule D) .....  | <span style="border: 1px solid black; padding: 2px;">0.0</span>      |  |
| 10. Debts and Obligations Owed <b>BY</b><br>the Committee (Itemize all on<br>Schedule C and/or Schedule D) ..... | <span style="border: 1px solid black; padding: 2px;">0.0</span>      |  |



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

**BATS GLOBAL MARKETS, INC POLITICAL ACTION COMMITTEE**

Report Covering the Period:

From:

M M / D D / Y Y Y Y Y  
10 16 2014

To:

M M / D D / Y Y Y Y Y  
11 24 2014**I. Receipts****COLUMN A  
Total This Period****COLUMN B  
Calendar Year-to-Date****11. Contributions (other than loans) From:****(a) Individuals/Persons Other**

Than Political Committees

**(i) Itemized (use Schedule A).....**

0.0

15000.0

**(ii) Unitemized .....**

0.0

0.0

**(iii) TOTAL (add**

Lines 11(a)(i) and (ii)..... ▶

0.0

15000.0

**(b) Political Party Committees .....**

0.0

0.0

**(c) Other Political Committees**

(such as PACs).....

0.0

0.0

**(d) Total Contributions (add Lines**

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) .....

0.0

15000.0

**12. Transfers From Affiliated/Other**

Party Committees.....

0.0

0.0

**13. All Loans Received .....**

0.0

0.0

**14. Loan Repayments Received.....**

0.0

0.0

**15. Offsets To Operating Expenditures**

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.0

0.0

**16. Refunds of Contributions Made**

to Federal Candidates and Other

Political Committees.....

0.0

0.0

**17. Other Federal Receipts**

(Dividends, Interest, etc.).....

0.0

0.0

**18. Transfers from Non-Federal and Levin Funds****(a) Non-Federal Account**

(from Schedule H3).....

0.00

0.00

**(b) Levin Funds (from Schedule H5) .....**

0.00

0.00

**(c) Total Transfers (add 18(a) and 18(b))..**

0.00

0.00

**19. Total Receipts (add Lines 11(d),  
12, 13, 14, 15, 16, 17, and 18(c))..... ▶**

0.0

15000.0

**20. Total Federal Receipts**

(subtract Line 18(c) from Line 19) .....

0.0

15000.0

**DETAILED SUMMARY PAGE**

of Disbursements

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| II. Disbursements  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures:  |                               |                                   |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4)                                  |                               |                                   |
| (i) Federal Share .....  | 0.00                          | 0.00                              |
| (ii) Non-Federal Share.....  | 0.00                          | 0.00                              |
| (b) Other Federal Operating Expenditures .....   | 0.0                           | 140.17                            |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....                        | 0.0                           | 140.17                            |
| 22. Transfers to Affiliated/Other Party Committees.....  | 0.0                           | 0.0                               |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees.....         | 0.0                           | 15000.0                           |
| 24. Independent Expenditures (use Schedule E) .....  | 0.0                           | 0.0                               |
| 25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....                   | 0.0                           | 0.0                               |
| 26. Loan Repayments Made.....  | 0.0                           | 0.0                               |
| 27. Loans Made.....  | 0.00                          | 0.00                              |
| 28. Refunds of Contributions To:   |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees .....                                  | 0.0                           | 0.0                               |
| (b) Political Party Committees .....   | 0.0                           | 0.0                               |
| (c) Other Political Committees (such as PACs).....   | 0.0                           | 0.0                               |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....                            | 0.0                           | 0.0                               |
| 29. Other Disbursements .....  | 0.0                           | 0.0                               |
| 30. Federal Election Activity (2 U.S.C. §431(20))  |                               |                                   |
| (a) Allocated Federal Election Activity (from Schedule H6)                                     |                               |                                   |
| (i) Federal Share .....  | 0.00                          | 0.00                              |
| (ii) "Levin" Share.....  | 0.00                          | 0.00                              |
| (b) Federal Election Activity Paid Entirely With Federal Funds .....                           | 0.00                          | 0.00                              |
| (c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....           | 0.00                          | 0.00                              |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..      | 0.0                           | 15140.17                          |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 0.0                           | 15140.17                          |

**DETAILED SUMMARY PAGE**  
of Disbursements

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| III. Net Contributions/Operating Expenditures  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans)<br>(from Line 11(d), page 3) .....          | 0.0                           | 15000.0                           |
| 34. Total Contribution Refunds<br>(from Line 28(d)) .....                              | 0.0                           | 0.0                               |
| 35. Net Contributions (other than loans)<br>(subtract Line 34 from Line 33) .....      | 0.0                           | 15000.0                           |
| 36. Total Federal Operating Expenditures<br>(add Line 21(a)(i) and Line 21(b)) ..... ► | 0.0                           | 140.17                            |
| 37. Offsets to Operating Expenditures<br>(from Line 15, page 3).....                   | 0.0                           | 0.0                               |
| 38. Net Operating Expenditures<br>(subtract Line 37 from Line 36) ..... ►              | 0.0                           | 140.17                            |