22037832711

NASBIC

America's Small Business Partners

Political Action Committee

RECEIVED
FEC MAIL
OPERATIONS CENTER
2002 NOV -3 A 9:22

October 29, 2002

Mr. Edward D. Ryan
Campaign Finance Analyst
Reports Analysis Division
Federal Election Commission
999 E Street, NW
Washington, DC 20463

Dear Mr. Ryan:

In response to your findings in our July 2002 quarterly report, I have enclosed copies of the re-designation requests. As an electronic filer, I will also submit an amended report as quickly as possible.

I have not received any correspondence from the committees in which we have requested re-designations. Please let me know if I should forward copies to your attention once I receive them. I am hopeful that I have properly addressed your findings, but stand ready to provide further details or corrected information. I can be reached by calling (202) 628-5055. Thanks for your help in this matter.

Sincerely,

Jeanette Paschal
Vice President, NASBIC
Executive Director, Venture Capital Institute

NASBIC PAC ID #: C00109991

National Association of Small Business Investment Companies Political Action Committee
666 11th Street • N.W. • Suite 750 • Washington, • DC 20001
Tel: 202 628-5055 • Fax 202 628-5080

22037832712

NASBIC

America's Small Business Partners

Political Action Committee

October 29, 2002

Bill Thomas Campaign Committee
P.O. Box 395
Bakerfield, CA 93302

To Whom It May Concern:

I hereby request that the Bill Thomas Campaign Committee re-designate $1,000.00 from check number 1226 dated June 19, 2002, in the amount of $1,000.00 from the National Association of Small Business Investment Companies Political Action Committee ("NASBIC PAC") to the 2002 general election.

Sincerely,

Lee W. Mercer
Treasurer
NASBIC PAC

National Association of Small Business Investment Companies Political Action Committee
666 11th Street • N.W. • Suite 750 • Washington, • DC 20001
Tel: 202.628.5055 • Fax 202.628.5080
http://www.nasbic.org • E-mail: jpaschal@nasbic.org

NASBIC

America's Small Business Partners

Political Action Committee

October 29, 2002

Capito for Congress
2 Comstock Place
Charleston, WV 25314

To Whom It May Concern:

I hereby request that the Capito for Congress Committee re-designate $1,000.00 from check number 1221 dated May 21, 2002, in the amount of $1,000.00 from the National Association of Small Business Investment Companies Political Action Committee ("NASBIC PAC") to the 2002 general election.

Sincerely,

Lee W. Mercer
Treasurer
NASBIC PAC

National Association of Small Business Investment Companies Political Action Committee
656 11th Street • N.W. • Suite 750 • Washington, • DC 20001
Tel: 202.628.5055 • Fax 202.628.5080
http://www.nasbic.org • E-mail: jpaschal@nasbic.org

NASBIC

America's Small Business Partners

Political Action Committee

October 29, 2002

Stephanie Tubbs Jones for US Congress
3729 Silsby Road
University heights, OH 44118

To Whom It May Concern:

I hereby request that the Stephanie Tubbs Jones for US Congress Committee re-designate $1,000.00 from check number 1225 dated June 19, 2002, in the amount of $1,000.00 from the National Association of Small Business Investment Companies Political Action Committee ("NASBIC PAC") to the 2002 general election.

Sincerely,

Lee W. Mercer
Treasurer
NASBIC PAC

National Association of Small Business Investment Companies Political Action Committee
666 11th Street • N.W. • Suite 750 • Washington, • DC 20001
Tel: 202.628.5055 • Fax 202.628.5080
http://www.nasbic.org • E-mail: lpaschal@nasbic.org

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ 2

Lee W. Mercer, Treasurer
National Association of Small Business Investment
Companies Political Action Committee
666 11th Street N.W., Suite 750
Washington, DC 20001

OCT 1 8 2002

Identification Number: C00109991

Reference: July Quarterly Report (4/1/02-6/30/02)

Dear Ms. Mercer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule B supporting Line 23 of your report discloses one or more contributions to a candidate(s) for the primary election; however, the funds were disbursed after the election date(s) (pertinent portion(s) attached). Please note that contributions may not be designated for an election which has already occurred unless the funds are to be used to reduce a candidate committee's debts incurred during that election campaign.

If any apparently impermissible contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If you have made an impermissible contribution, you must request a refund or provide a written authorization for a redesignation of the contribution pursuant to 11 CFR § 110.2(b) within 60 days of the treasurer's receipt.

If the foregoing conditions for redesignations were not met within 60 days of the treasurer's receipt, your committee must obtain a refund.

Please inform the Commission of your corrective action immediately in

writing and provide a photocopy of the refund or redesignation request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR § 110.1(b)

Although the Commission may take further legal action regarding this impermissible activity, your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

A response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. Electronic filers must file amendments (to include statements, designation and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,

Edward D. Ryan

Edward D. Ryan
Campaign Finance Analyst
Reports Analysis Division

209

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

PAGE 29 / 34

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): BILL THOMAS CAMPAIGN COMMITTEE
Mailing Address: PO BOX 396
City: BAKERSFIELD State: CA Zip Code: 93302
Purpose of Disbursement: H-CA-21
Candidate Name:
Category/Type:
Office Sought: X House ☐ Senate ☐ President
State: CA District: 22
Disbursement For: (2002) X Primary ☐ General ☐ Other (specify) ▼ PRIMARY ELECTION DATE 3/5/02
Date of Disbursement: 06 / 19 / 2002
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4882
202

B.
Full Name (Last, First, Middle Initial): CAPITO, SHELLEY MOORE
Mailing Address: 2 COMSTOCK PLACE
City: CHARLESTON State: WV Zip Code: 25314
Purpose of Disbursement: H-WV-2
Candidate Name:
Category/Type:
Office Sought: X House ☐ Senate ☐ President
State: WV District: 02
Disbursement For: 2002 X Primary ☐ General ☐ Other (specify) ▼ PRIMARY ELECTION DATE 5/14/02
Date of Disbursement: 05 / 21 / 2002
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4873
202

C.
Full Name (Last, First, Middle Initial): HUTCHINSON FOR SENATE
Mailing Address: PO BOX 998
City: ROGERS State: AR Zip Code: 72757
Purpose of Disbursement: S-AR
Candidate Name:
Category/Type:
Office Sought: ☐ House X Senate ☐ President
State: AR District: 00
Disbursement For: 2002 X Primary ☐ General ☐ Other (specify) ▼
Date of Disbursement: 05 / 20 / 2002
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4869

SUBTOTAL of Disbursements This Page (optional) ..... ▶ 3000.00

TOTAL This Period (last page this line number only) ..... ▶

22037832718

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

PAGE 32 / 34

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): RANGEL FOR CONGRESS
Mailing Address: PO BOX 5577 MANHATTANVILLE STA
City: NEW YORK State: NY Zip Code: 10027
Purpose of Disbursement: H-NY-15
Candidate Name:
Category/Type:
Office Sought: X House ☐ Senate ☐ President
State: NY District: 15
Disbursement For: 2002 X Primary ☐ General ☐ Other (specify)
Date of Disbursement: 04 / 15 / 2002
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4865

B.
Full Name (Last, First, Middle Initial): RELY ON YOUR BELIEFS FUND
Mailing Address: 1736 East Sunshine Suite 913
City: Springfield State: MO Zip Code: 65804
Purpose of Disbursement: Leadership Contribution
Candidate Name:
Category/Type:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: 2002 X Primary ☐ General ☐ Other (specify)
Date of Disbursement: 04 / 11 / 2002
Amount of Each Disbursement this Period: 5000.00
Transaction ID: SB23.4860

C.
Full Name (Last, First, Middle Initial): STEPHANIE TUBBS JONES FOR US CONGRESS
Mailing Address: 3729 SILSBY RD
City: UNIVERSITY HEIGHTS State: OH Zip Code: 44118
Purpose of Disbursement: H-OH-11
Candidate Name:
Category/Type:
Office Sought: X House ☐ Senate ☐ President
State: OH District: 11
Disbursement For: 2002 X Primary ☐ General ☐ Other (specify) PRIMARY ELECTION DATE: 5/7/02
Date of Disbursement: 06 / 19 / 2002
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4880

SUBTOTAL of Disbursements This Page (optional) ▶ 7000.00

TOTAL This Period (last page this line number only) ▶

FEC Schedule B (Revised 1/2001)

22037832719

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☐	Hand Delivered	Date of Receipt
☑	First Class Mail	POSTMARKED 10/30/02.
☐	Registered/Certified Mail	POSTMARKED (R/C)
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	
DAQ		11/03/02.
PREPARER		DATE PREPARED

(6/2000)