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FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

FORM 3X Fo	or Other Than An A	authorized Committee	Office	Use Only
NAME OF T     COMMITTEE (in full)	YPE OR PRINT ▼	Example: If typing, over the lines.	type 12FE4M5	
Caterpillar Inc. Political	Action Committee	e (CATPAC)		
ADDRESS (number and street)	510 Lake Cook Road			
Check if different than previously reported. (ACC)	Deerfield		IL 600°	15
2. FEC IDENTIFICATION NUM	MBER ▼	CITY <b>A</b>	STATE ▲	ZIP CODE ▲
C C00148031	3.	IS THIS REPORT NEW (N)	OR AMENDED	)
4. TYPE OF REPORT (Choose One)  (a) Quarterly Reports:	Report Due On:	Mar 20 (M3) Jun	20 (M5) Aug 20 (M8) 20 (M6) Sep 20 (M9) 20 (M7) Oct 20 (M10)	(Non-Election Year Only)  Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1  July 15 Quarterly Report (Q2  October 15 Quarterly Report (Q3	PRE-Election Report for the	Primary (12P) : Convention (120)	General (12G)  Special (12S)	Runoff (12R)
January 31 Year-End Report (YE	Ele	ection on	D / Y Y Y Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day  POST-Election  Report for the	1111(111)	Runoff (30R)	Special (30S)
Termination Report (TER)		ection on	D / Y = Y = Y = Y	in the State of
5. Covering Period 03	01 202	through		021
I certify that I have examined this Type or Print Name of Treasurer	Report and to the best James, Danielle, , ,	of my knowledge and bel	ef it is true, correct and compl	ete.
Signature of Treasurer  James,	Danielle, , ,	[Electronically F		0 / 2021
NOTE: Submission of false, erroned	ous, or incomplete informa	ation may subject the person	signing this Report to the penal	ties of 52 U.S.C. § 30109
Office Use				C FORM 3X Rev. 05/2016

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

#### Caterpillar Inc. Political Action Committee (CATPAC)

Report Covering the Period: From: 03 01 2021 To: 03 31 2021

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand  January 1,  2021		170185.17
	(b) Cash on Hand at Beginning of Reporting Period	312732.29	
	(c) Total Receipts (from Line 19)	61411.42	185512.69
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	374143.71	355697.86
7.	Total Disbursements (from Line 31)	13.05	- 18432.80
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	374130.66	374130.66
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

#### Caterpillar Inc. Political Action Committee (CATPAC)

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees		
(i) Itemized (use Schedule A)	40801.21	95692.71
	20007.07	0004040
(ii) Unitemized	20607.27	89813.48
(iii) TOTAL (add	04400.40	185506.19
Lines 11(a)(i) and (ii)▶	61408.48	183300.19
//-> Political Porty Committees	0.00	0.00
(b) Political Party Committees	0.00	4 4
(c) Other Political Committees	0.00	0.00
(such as PACs)(d) Total Contributions (add Lines	4 4	4 4
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	61408.48	185506.19
Transfers From Affiliated/Other	- Apr	45 45 45
Party Committees	0.00	0.00
Tany commucocimination	4 4	
All Loans Received	0.00	0.00
	4 4	4 4
Loan Panaymenta Pagaiyad	0.00	0.00
Loan Repayments Received Offsets To Operating Expenditures	0.00	7
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
Refunds of Contributions Made	49- 49- 40-	45
to Federal Candidates and Other		
Political Committees	0.00	0.00
Other Federal Receipts	4 4	4 4
(Dividends, Interest, etc.)	2.94	6.50
Transfers from Non-Federal and Levin Funds	4 4	4 4
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
	49. 49. 45.	4 4
(b) Levin Funds (from Schedule H5)	0.00	0.00
(5) Lovin Fando (nom Concado Fio)	4 4	4 4
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	45 45 45	4
Total Receipts (add Lines 11(d),		
12, 13, 14, 15, 16, 17, and 18(c))▶	61411.42	185512.69
'	7	
Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	61411.42	185512.69

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures:     (a) Allocated Federal/Non-Federal     Activity (from Schedule H4)		Jaionaa Tear-to-Date
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating		
Expenditures	13.05	40.35
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	13.05	40.35
Transfers to Affiliated/Other Party	10.00	10.00
Committees	0.00	0.00
Federal Candidates/Committees	0.00	- 5000.00
and Other Political Committees  Independent Expenditures	0.00	3000.00
(use Schedule E)	0.00	0.00
Coordinated Party Expenditures (52 U.S.C. § 30116(d))		
(use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
	4 4	
Loans MadeRefunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	326.85
	7 7 7	025.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds	0.00	0.00
(add Lines 28(a), (b), and (c))	0.00	326.85
•	4 4	4 4
Other Disbursements (Including		10000.00
Non-Federal Donations)	0.00	- 13800.00
Federal Election Activity (52 U.S.C. § 30101(2	20))	
(a) Allocated Federal Election Activity		
(from Schedule H6) (i) Federal Share	0.00	0.00
(i) i odorar Gridio	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid		1 1 1 1 1 1 1 1 1
Entirely With Federal Funds(c) Total Federal Election Activity (add	0.00	0.00
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
		0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	13.05	- 18432.80
Total Federal Disbursements	7 7 7	
(subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	13.05	- 18432.80

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	61408.48	185506.19
34. Total Contribution Refunds (from Line 28(d))	0.00	326.85
85. Net Contributions (other than loans) (subtract Line 34 from Line 33)	61408.48	185179.34
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	13.05	40.35
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
88. Net Operating Expenditures (subtract Line 37 from Line 36)	13.05	40.35

FOR LINE NUMBER: PAGE 6 Use separate schedule(s)

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565429 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 126.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 378.21 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Aiken, Kathryn, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-565713 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1249.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 18 2021 City State Zip Code Transaction ID: A2021-498721 TX Northlake 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing C 41.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 208.00 Other (specify) 584.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alger, Matt, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-565838 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 169.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 508.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ames, Sean, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565953 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 175.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 525.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Anderson, Mat, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City State Zip Code Transaction ID: A2021-565836 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 199.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 597.30 Other (specify)

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bailey, Doug, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2021 City State Zip Code Transaction ID: A2021-565608 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 153.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Territory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 459.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-566380 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Balkema, Chris, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2021 PO Box 348 City State Zip Code Transaction ID : A2021-565481 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 174.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 523.44 Other (specify) 427.80 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bankes, Chester, , , Date of Receipt Mailing Address 3701 South Street 2021 City Zip Code State Transaction ID: A2021-565475 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 68.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 205.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565715 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 126.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 378.87 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Belcher, Jeff, , , Date of Receipt Mailing Address 501 Cardinal Drive 2021 City Zip Code State Transaction ID: A2021-566091 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Operations Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 269.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beldock, Teresa, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-566118 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 94.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Material Req Planning Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 283.59 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bennes, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-566350 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 243.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 730.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bethune, Deborah, , , Date of Receipt Mailing Address 1499 Byron Ave. 18 2021 City State Zip Code Transaction ID: A2021-498086 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 388.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beveridge, Sandra, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City Zip Code State Transaction ID: A2021-566330 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 245.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Logistics Mgmt Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 736.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Birkel, Lindsey, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565786 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bloch, Stephen, E, , Date of Receipt Mailing Address 3701 South Street 2021 City State Zip Code Transaction ID: A2021-565971 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 155.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Project Engr 1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 467.10 Other (specify) 481.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blum, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565830 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 97.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Customer Programs Consultant** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 291.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bonfield, Andrew, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-565350 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CFO** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1249.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Braun, Eric, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-565994 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 613.98 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Breitschwerdt, Otto, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2021 City Zip Code State Transaction ID: A2021-565309 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bromm, Jaron, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-566073 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 234.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 702.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2021 City Zip Code State Transaction ID: A2021-566210 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 154.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 463.02 Other (specify) 805.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Butikofer, Mark, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City Zip Code State Transaction ID: A2021-565812 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 70.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) M&A IT Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 18 2021 City State Zip Code Transaction ID : A2021-498092 VA Roanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing 40.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 203.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carney, Rob, , , III Date of Receipt Mailing Address 600 S. Second Street 2021 Suite 101 City State Zip Code Transaction ID: A2021-565896 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 164.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 493.68 Other (specify) 275.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-566069 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 216.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 649.89 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Chen, Leo, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 2021 City State Zip Code Transaction ID : A2021-565780 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 187.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 564.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chu, Maggie, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-565796 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) 821.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clements, Mary,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-566382 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Digtl & Glb Transformation Dir Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cole, Keri, , , Date of Receipt Mailing Address 1600 Progress Drive 2021 P.O. Box 1037 City State Zip Code Transaction ID : A2021-565736 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1250.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Coleman, Jerry, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City State Zip Code Transaction ID: A2021-565636 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 966.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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17 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cone, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-565397 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 129.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Elec Comp Sr. Project Lead Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 387.96 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Crowell, Tj,,, Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID : A2021-566142 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 97.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 293.22 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Davis, Tim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID: A2021-566128 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 327.06 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Daw, Andy, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-565357 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Procurement** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-566077 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Healthcare Design Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunbar, Nathan, , , Date of Receipt Mailing Address 3701 South Street 2021 City State Zip Code Transaction ID: A2021-565295 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 175.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Quality Manager 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 527.49 Other (specify) 400.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Earle, Kevin, C,, Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565741 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 235.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 707.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-566387 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 245.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 735.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Evans, Josh, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-566372 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 69.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Asset Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 207.87 Other (specify) 550.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Evans, Mike, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City Zip Code State Transaction ID: A2021-566424 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 173.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 522.09 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ferguson, Steve, M, , Date of Receipt Mailing Address 6800 Doerr Lane 2021 City State Zip Code Transaction ID : A2021-565974 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1250.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Feucht, Joel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID: A2021-565670 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Engine Systems Products** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 267.63 Other (specify) 679.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fink, Christopher, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City Zip Code State Transaction ID: A2021-566295 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Manager 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Finn, Bart, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565386 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 168.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 505.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Flannery, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-565813 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 185.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 555.81 Other (specify) 453.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flynn, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565524 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Rental Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Foster, Steve, S, , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-566399 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 72.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Enterprise Architect** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 216.89 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Frake, Tom, G, Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-566152 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.01 Other (specify) 739.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Friend, Paul, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-565321 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 70.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gaw, Matt, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City State Zip Code Transaction ID : A2021-566316 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM Network Solutions & Spt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gentry-Stuenkel, Wendi, , , Date of Receipt Mailing Address 540 W. Madison Street 2021 Floor 3 City State Zip Code Transaction ID: A2021-566340 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Integrated Logistics** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) 561.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name George, Brian, W, , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City Zip Code State Transaction ID: A2021-565434 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Giesfeldt, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2021 PO Box 500 City State Zip Code Transaction ID : A2021-566419 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 190.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 574.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ginzel, Jon, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 2021 City Zip Code State Transaction ID: A2021-566246 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 113.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr Central Human Resources Receipt For: Aggregate Year-to-Date ▼ Primary General 340.68 Other (specify) 404.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Girard, Kristin, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565763 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.01 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Graf, Courtney, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565514 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Director Corp. Finance Svcs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Greuel, Matthew, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City State Zip Code Transaction ID: A2021-565855 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 68.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 205.65 Other (specify) 585.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Guilford, Jerome, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2021 City Zip Code State Transaction ID: A2021-565635 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager BHL/TH Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gustafson, Ryan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565923 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 123.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager EXD Operations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 358.63 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hall, Donald, R., Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-565605 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 615.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Halloran, Jim, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565645 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Western Region Manager State Govern Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 552.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hanback, Corey, B, , Date of Receipt Mailing Address 11601 93rd Ave N 2021 City State Zip Code Transaction ID : A2021-566206 MapleGrove MN 55369 Amount of Each Receipt this Period FEC ID number of contributing 160.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 04 2021 City State Zip Code Transaction ID: A2021-311945 TX Fort Worth 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 469.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Any information copied from such Reports and Statements may not be so or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 18 2021 City State Zip Code Transaction ID: A2021-498101 TX Fort Worth 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 625.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hart, Andrea, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-566346 Nashville ΤN 37203 Amount of Each Receipt this Period FEC ID number of contributing 174.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 522.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 04 2021 City State Zip Code Transaction ID : A2021-311921 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 209.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 1019.72 Other (specify) 508.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 18 2021 City Zip Code State Transaction ID: A2021-498077 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 209.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP/CMO LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 1229.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hays, Katie, , , Date of Receipt Mailing Address Suite 400 2021 1425 K Street City State Zip Code Transaction ID : A2021-565716 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 194.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Intn'l & Fed Gov Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 582.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Heiar, Keith, J., Date of Receipt Mailing Address 501 SW Jefferson 2021 City State Zip Code Transaction ID: A2021-565720 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 167.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 503.64 Other (specify) 571.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heminger, Pamela, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City Zip Code State Transaction ID: A2021-565310 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henderson, Dan, E,, Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg A City State Zip Code Transaction ID : A2021-565526 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Innovation & Emerging Tech Dtr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Henry, Michael, , , Date of Receipt Mailing Address 1904 South First Street 2021 2nd Floor City State Zip Code Transaction ID: A2021-565263 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing 98.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 294.30 Other (specify) 714.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2021 City State Zip Code Transaction ID: A2021-565998 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 184.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Integrated Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 552.09 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565918 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 208.83 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hillman, Jonathan, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg A City State Zip Code Transaction ID: A2021-565698 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 217.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 652.08 Other (specify) 471.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Name of Employer (for Individual)

Caterpillar Inc.

Receipt For:

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Memo Item

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hladun, Johanna, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-565673 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Sr Compliance Review Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hoeft, David, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City State Zip Code Transaction ID : A2021-565571 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 194.27 federal political committee.

Primary General Other (specify) ▼	Aggregate 1	582.81	
Full Name of Individual (Last, First, Middle I Holland, Sally, , ,  Mailing Address 2120 West End Avenue P O Box 340001  City	nitial) or Full Org	ganization Name	Date of Receipt  03 31 2021  Transaction ID: A2021-566395
Nashville  FEC ID number of contributing federal political committee.	TN	37203	Amount of Each Receipt this Period  67.70
Name of Employer (for Individual)  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)	Globa	eation (for Individual) I Receivables Consultant ear-to-Date ▼  203.10	Memo Item
	·		361.97

Occupation (for Individual)

**Assistant General Counsel** 

Angregate Vear-to-Date ▼

SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hutt, Joe, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City Zip Code State Transaction ID: A2021-565664 IL 61611 East Peoria Amount of Each Receipt this Period FEC ID number of contributing 185.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 555.69 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ibrahim, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID : A2021-565537 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 201.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 605.58 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. James, Danielle, , , Date of Receipt Mailing Address Suite 400 2021 1425 K Street City Zip Code State Transaction ID: A2021-565543 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 74.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Political Action Committee Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 223.68 Other (specify) 461.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-565342 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 230.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Segment HR Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 692.49 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Brad, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-565418 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr Adv Comp Mfg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-565474 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Human Resources Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) 847.49 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Craig, , , Date of Receipt Mailing Address 2200 Pacific Highway 2021 City State Zip Code Transaction ID: A2021-566229 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.01 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-565589 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1250.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Kaiser, Jack, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-566054 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 933.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2021 City Zip Code State Transaction ID: A2021-565714 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.01 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565313 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 92.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Region Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 278.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kibby, Steve, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City State Zip Code Transaction ID: A2021-565978 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 225.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 675.66 Other (specify) 734.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Knepp, Wes, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-566187 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Labor & Employee Relations Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 552.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565494 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 67.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineering Project Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 201.69 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 2021 City State Zip Code Transaction ID: A2021-565436 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 104.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Solutions Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 314.01 Other (specify) 356.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kupferschmid, Jason, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2021 City Zip Code State Transaction ID: A2021-566412 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kurumbailmadam, Sankar, , Date of Receipt Mailing Address 500 N Morton Ave 2021 City State Zip Code Transaction ID : A2021-565935 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Lagacy, Julie, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID: A2021-565707 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.01 Other (specify) 666.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name LaHood, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565527 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 176.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Finance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 528.33 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lautzenheiser, Scott, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565944 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 195.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 585.39 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Liang, Chih, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-565476 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 471.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lindberg, Richard, , , Date of Receipt Mailing Address 4-H Park Road P O Box 740 2021 City Zip Code State Transaction ID: A2021-565890 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing 79.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 226.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Liu, Trevor, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 **Building CV** City State Zip Code Transaction ID : A2021-566173 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 67.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 203.07 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lohmeier, Larry, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City State Zip Code Transaction ID: A2021-565773 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 188.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 566.28 Other (specify) 335.69 SUBTOTAL of Receipts This Page (optional).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-565993 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Legal Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Markun, Joe, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City State Zip Code Transaction ID : A2021-565665 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Large Engine Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Martin, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-566344 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 140.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 420.12 Other (specify) 656.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-565573 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matheny, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565979 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 215.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 645.09 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McCartney, Sandi, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 **Building CV** City State Zip Code Transaction ID: A2021-565933 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 218.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 654.00 Other (specify) 849.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGuire, Andy, A,, Date of Receipt Mailing Address 200 NE Adams Street 2021 City Zip Code State Transaction ID: A2021-565361 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.01 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565709 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 77.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 232.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Michael, Dave, , , Date of Receipt Mailing Address 100 NE Adams St. 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-566360 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **AFS Commercial Director** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 594.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moore, Jann, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-566072 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 128.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 386.46 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Rich, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-565887 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Moss, Jarrod, , , Date of Receipt Mailing Address 6000 W. Caterpillar Trail 2021 City State Zip Code Transaction ID: A2021-566075 ΑZ Green Valley 85614 Amount of Each Receipt this Period FEC ID number of contributing C 175.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 528.57 Other (specify) 504.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Kirsten, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-565759 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 86.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Lease Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 258.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Newell, Dontae, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-565607 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 91.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 273.99 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nguyen, Chau, , , Date of Receipt Mailing Address 540 W. Madison Street 2021 Floor 3 City State Zip Code Transaction ID: A2021-565472 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 115.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 345.72 Other (specify) 292.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd Tech Center Bldg K 2021 City Zip Code State Transaction ID: A2021-565687 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 168.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 504.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neil, Ed,,, Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565619 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Earthmoving Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Osborn, Sheri, , , Date of Receipt Mailing Address 2200 Pacific Highway 2021 City State Zip Code Transaction ID: A2021-566269 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 74.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Supply Chain Area Sr. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 223.29 Other (specify) 342.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rassi, A.J., , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-565335 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Strategy & Planning Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rea, David, M. Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code Transaction ID : A2021-565578 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Mining Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1249.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Riggle, Robert, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code Transaction ID: A2021-565904 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 68.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Performance Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 207.46 Other (specify) 595.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ringel, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City Zip Code State Transaction ID: A2021-566319 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 160.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Packaging Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 482.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rodawold, Joseph, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code Transaction ID : A2021-565701 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 161.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 483.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roethler, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-565832 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 196.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 590.73 Other (specify) 519.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rolinitis, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565982 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Risk Management** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rooney, Jim, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565652 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1250.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rosebraugh, Jeff, , , Date of Receipt Mailing Address 3701 South Street 2021 City State Zip Code Transaction ID: A2021-566103 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 209.88 Other (specify) 576.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ruppel, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-566104 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 2021 1425 K Street City State Zip Code Transaction ID : A2021-565863 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 501.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Saad, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-566111 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 213.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 641.97 Other (specify) 480.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Salahuddin, Jihad, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565642 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 156.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Venture Capital Investmt Mg Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 469.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sale, Ronald, , Date of Receipt Mailing Address 8826 2 Route 24 2021 City State Zip Code Transaction ID : A2021-565915 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing 72.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 218.19 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way 2021 Suite 600 City State Zip Code Transaction ID: A2021-565804 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 329.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Santilli, Stefano, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-566398 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 198.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk Analytics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 594.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Seely, Mary, , , Date of Receipt Mailing Address 6800 Doerr Lane 2021 City State Zip Code Transaction ID : A2021-565833 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 349.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1048.59 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Serdah, Zade, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code Transaction ID: A2021-566203 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Staff Acct II Cost / DS Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 747.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shankwitz, Phil, , , Date of Receipt Mailing Address 600 Balderson Blvd PO Box 6 2021 City State Zip Code Transaction ID: A2021-566287 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 196.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 590.91 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shapland, Brad, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID : A2021-565420 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 91.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Architect Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 274.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sheffield, Maria, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-565807 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 210.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Insurance Services Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 630.42 Other (specify) 498.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shepherd, Scott, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City Zip Code State Transaction ID: A2021-566333 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Aftermarket Parts Dist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shurman, Rod, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2021 Suite 4000 City State Zip Code Transaction ID : A2021-565908 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 351.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1054.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shute, Truman, , , Date of Receipt Mailing Address 2800 E. Cedar Hills Drive 2021 City State Zip Code Transaction ID: A2021-566339 IL Chillicothe 61523 Amount of Each Receipt this Period FEC ID number of contributing 68.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solution Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 205.74 Other (specify) 509.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2021 City State Zip Code Transaction ID: A2021-565858 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 180.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 541.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Smith, Kristen, , , Date of Receipt Mailing Address Suite 400 2021 1425 K Street City State Zip Code Transaction ID : A2021-565762 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Communications Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Smith, Mary, , , Date of Receipt Mailing Address 653 Roden Ave 04 2021 City State Zip Code Transaction ID : A2021-311931 AL Boaz 35957-4923 Amount of Each Receipt this Period FEC ID number of contributing C 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 488.76 Other (specify) 377.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 653 Roden Ave 2021 City Zip Code State Transaction ID: A2021-498087 AL Boaz 35957-4923 Amount of Each Receipt this Period FEC ID number of contributing C 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 610.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City State Zip Code Transaction ID : A2021-566184 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Project Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 308.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sonnemaker, Brian, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID: A2021-565442 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Group CIO IT Engagement Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) 373.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565748 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 155.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 466.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevens, Janet, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City State Zip Code Transaction ID : A2021-566071 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 160.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 482.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-565421 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 148.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 446.67 Other (specify) 465.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stinson, John, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565691 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 187.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 562.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID : A2021-565443 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 121.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 365.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stone, Casey, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 **Building CV** City State Zip Code Transaction ID : A2021-565461 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 69.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 208.08 Other (specify) 378.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stone, Jonathan, , , Date of Receipt Mailing Address 27Th & Pershing Rd PO Box 1430 2021 City State Zip Code Transaction ID: A2021-565699 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 157.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 473.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 2021 City State Zip Code Transaction ID : A2021-565824 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM IPSD Large Engines** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1250.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Strother, Art, , , Date of Receipt Mailing Address 100 NE Adams 2021 Cileungsi Bogor City State Zip Code Transaction ID: A2021-565373 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 164.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 493.98 Other (specify) 739.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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69 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2021 City Zip Code State Transaction ID: A2021-565535 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 224.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 673.23 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sundell, Dan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID : A2021-565533 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 136.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 409.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Taluc, Chris, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID: A2021-565492 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 241.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Portfolio Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 564.98 Other (specify) 602.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taphorn, Matt, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2021 City State Zip Code Transaction ID: A2021-565853 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 125.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Division CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 377.46 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Thaker, Hrishikesh, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 City State Zip Code Transaction ID : A2021-566047 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 69.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 209.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thompson, Clay, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-566357 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) 612.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tippey, Trent, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-566171 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Tobias, Mitch, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 Building CV Dock CV City State Zip Code Transaction ID : A2021-565289 East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy & Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Toher, Susan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID: A2021-565992 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 325.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tomlins, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2021 City Zip Code State Transaction ID: A2021-566037 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 168.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Value Chain Engrg Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 504.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 W Kingsbury 2021 City State Zip Code Transaction ID : A2021-565444 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 79.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 239.58 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tyre, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID: A2021-565398 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 247.26 Other (specify) 273.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Umpleby, Jim, , , III Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565659 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CEO Chairman of the Board Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.01 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID : A2021-566115 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1250.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Vanek, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 2021 Suite 902 City State Zip Code Transaction ID: A2021-566105 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 143.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 429.06 Other (specify) 976.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Varghese, Asha,,, Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-565374 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 197.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **President Cat Foundation** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 592.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Volz, Carl, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-565457 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 226.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Walters, Tom, , , Date of Receipt Mailing Address 600 S. Second Street 2021 Suite 101 City State Zip Code Transaction ID: A2021-566160 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 240.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US State & Americas GGCA Dir. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) 513.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-565566 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-566087 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Caterpillar Defense** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1249.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Waters, Bill, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-565406 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 163.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Risk & Compliance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 482.19 Other (specify) 997.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weer, Noel, S,, Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-565308 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 80.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sales & Oprns Plng Coord 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 228.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Weiss, Karl, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg A City State Zip Code Transaction ID : A2021-565712 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1250.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Weston, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-565616 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Procurement** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 572.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Whiteley, Douglas, , , Date of Receipt Mailing Address 2416 Mid-America Industrial Dr 2021 City Zip Code State Transaction ID: A2021-565617 MO Boonville 65233-2635 Amount of Each Receipt this Period FEC ID number of contributing 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 552.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg F City State Zip Code Transaction ID : A2021-566177 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 93.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager 4** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 280.53 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wilkerson, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg A City State Zip Code Transaction ID: A2021-566106 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 152.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 456.81 Other (specify) 430.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williamson, Ted,,, Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-566117 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 71.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CPS Black Belt-3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 215.07 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wisher, Jessica, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City State Zip Code Transaction ID : A2021-565640 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 162.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Value Stream Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 486.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Withers, Chad, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-565465 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Tax Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) 650.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2021 City Zip Code State Transaction ID: A2021-311919 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 79.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 316.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 18 2021 City State Zip Code Transaction ID : A2021-498075 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing 79.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 395.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wurtzbacher, Corey, , , Date of Receipt Mailing Address 100 Sanford Street 2021 City State Zip Code Transaction ID: A2021-565512 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.98 Other (specify) 575.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-565547 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 174.99 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 524.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Young, Michael, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-565271 Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Zemke, Wayne, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2021 Suite 4000 City State Zip Code Transaction ID: A2021-566185 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 349.99 SUBTOTAL of Receipts This Page (optional)..... 40801.21 TOTAL This Period (last page this line number only).....