

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

E\*TRADE Financial Corporation PAC

ADDRESS (number and street)

10951 White Rock Road

☐Check if different  
than previously  
reported. (ACC)

Rancho Cordova

CA

95670

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00356345

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report (Q1)☐July 15  
Quarterly Report (Q2)☐October 15  
Quarterly Report (Q3)☐January 31  
Quarterly Report (YE)☐July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☒

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE-Election**  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post -Election**  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

06

01

2006

through

06

30

2006

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Shawn P. Yadon

Signature of Treasurer

Electronically Filed by Shawn P. Yadon

Date

07

18

2006

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

E\*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	6	0	1	2	0	0	6

To:

M	M	D	D	Y	Y	Y	Y
0	6	3	0	2	0	0	6

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>2006</span>		61933.13
(b) Cash on Hand at Beginning of Reporting Period .....	80292.57	
(c) Total Receipts (from Line 19) .....	11362.26	77565.54
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	91654.83	139498.67
7. Total Disbursements (from Line 31) .....	5065.70	52909.54
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	86589.13	86589.13
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

E\*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M
0	6

D	D
0	1

Y	Y	Y	Y
2	0	0	6

To:

M	M
0	6

D	D
3	0

Y	Y	Y	Y
2	0	0	6

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	10230.56	61725.19
(i) Itemized (use Schedule A) .....		
(ii) Unitemized .....	1131.70	15840.35
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➡	11362.26	77565.54
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➡	11362.26	77565.54
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	11362.26	77565.54
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	11362.26	77565.54

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		65.70	409.54
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		65.70	409.54
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		5000.00	52500.00
24. Independent Expenditure (use Schedule E) .....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....		0.00	0.00
(b) Political Party Committees .....		0.00	0.00
(c) Other Political Committees (such as PACs) .....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....		0.00	0.00
29. Other Disbursements.....		0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....		0.00	0.00
(ii) "Levin" Share .....		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		5065.70	52909.54
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		5065.70	52909.54

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	11362.26	77565.54
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	11362.26	77565.54
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	65.70	409.54
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	65.70	409.54

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 6 / 29

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Carol Phelan-Marsh Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1012220417072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Elizabeth Scholz Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt, Inc. Occupation Director, Consumer Finance Development Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1016861517072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Alison Mittelstadt Mailing Address 671 North Glebe Rd. City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Capital Holdings Occupation Sr Director, Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1030171917072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**310.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Randall Brown Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Director, Network & Systems Admin. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1045599617072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Michael Charland Mailing Address 135 E. 57th St. City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, Lending Sales Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1045599917072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Russell Ross Mailing Address 4500 Bohannon Drive City State Zip Code Menlo Park CA 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Director, Corporate Info Security Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 325.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1045600017072 Amount of Each Receipt this Period 50.00 P/R Deduction (\$25.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

282.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) David Grove Mailing Address 440 South LaSalle City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE--Dempsey Occupation SVP, Market Making Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1045600317072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Daniel Howe Mailing Address 440 South LaSalle City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Brokerage Holdings Occupation EVP, Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1750.06			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1045600417072 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Adam Townsend Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation SVP, Investor Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1045600617072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

577.24

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)

Steve Lingeman

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corp

Occupation  
Director, Software Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600817072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)

Amit Banerjee

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
VP, Software Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1001.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600917072

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)

Karen Wall

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Bank

Occupation  
VP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045607617072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

310.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
 MaryBeth George  
 Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 E\*Trade Capitol Holdings

Occupation  
 Director of Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045607717072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-  
Weekly)

**B.** Full Name (Last, First, Middle Initial)  
 Michael Murphy  
 Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 E\*TRADE Securities, LLC

Occupation  
 VP, Relationship Mgmt.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1055089317072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-  
Weekly)

**C.** Full Name (Last, First, Middle Initial)  
 Edouard Dejoux  
 Mailing Address 135 East 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 E\*TRADE Securities, LLC

Occupation  
 SVP, Global Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1001.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1067673017072

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-  
Weekly)

SUBTOTAL of Receipts This Page (optional) .....

310.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Nicholas Utton Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corporation Occupation Chief Marketing Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2499.90		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1078579117072 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Roderick Mikus Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Director, Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003717072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) John Matos Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Director, Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003817072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

540.60

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Ardwin Droessler

Mailing Address 440 South LaSalle

City State Zip Code  
 Chicago IL 60605

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE--Dempsey

Occupation  
Director, Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1088490817072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B.** Scott Gelber

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Brokerage Holdings

Occupation  
Sr Director, PIERS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1088491017072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C.** Christine Wolf

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corpora-  
tion

Occupation  
EVP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1001.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1090244517072

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

310.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Gabriel Dalporto Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Financial Corporation Occupation VP, Customer Development Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911217072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Paul Halpern Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Financial Corporation Occupation SVP, Bank Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911317072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Ariola Yushin-Slaven Mailing Address 135 East 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corporation Occupation Director, Communications Dev Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911417072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**386.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Kevin Delo Mailing Address 4500 Bohannon Drive City State Zip Code Menlo Park CA 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Director, Retail Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1179644517072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Anthony Altobelli Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Dir. Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1222287717072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Lea Stendahl Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corporation Occupation Director, Active Trader Mktg. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 312.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1253490117072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

234.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Janice Barrilleaux

Mailing Address 10951 White Rock Road

City State Zip Code  
 Rancho Cordova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Brokerage HoldingsOccupation  
Business Mgr, Brokerage Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408624917072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Mitchell Caplan

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.90

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625117072

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Russell Elmer

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.90

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625317072

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

847.20

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Arlen W. Gelbard

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE BankOccupation  
President, E\*TRADE Bank

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.90

Date of Receipt

M M / D D / Y Y Y Y Y  
 / / / /

Transaction ID: PR408625617072

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Robert Lilien

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
President & COO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.90

Date of Receipt

M M / D D / Y Y Y Y Y  
 / / / /

Transaction ID: PR408626117072

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Shane O. Mulron

Mailing Address 10951 White Rock Road

City State Zip Code  
 Rancho Cardova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*TRADE Brokerage HoldingsOccupation  
EVP, Retail Finance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1755.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 / / / /

Transaction ID: PR408626417072

Amount of Each Receipt this Period

270.00

P/R Deduction (\$135.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

1039.20

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Todd W. Roadman

Mailing Address 10951 White Rock Road

City State Zip Code  
 Cordova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
SVP, Financial P&A

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1001.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 / / / /

Transaction ID: PR408626617072

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Shawn P. Yadon

Mailing Address 10951 White Rock Road

City State Zip Code  
 Rancho Cordova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
Director, State Government Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 / / / /

Transaction ID: PR408627017072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Connie Dotson

Mailing Address 10951 White Rock Road

City State Zip Code  
 Rancho Cordova CA 95670

FEC ID number of contributing federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.Occupation  
Chief Communications Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2483.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 / / / /

Transaction ID: PR540115717072

Amount of Each Receipt this Period

382.00

P/R Deduction (\$191.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

614.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) James Bidwell Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Risk Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2499.90			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540115817072 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Todd Mackay Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Mg Director, Corp. Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1742.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540117017072 Amount of Each Receipt this Period 268.00 P/R Deduction (\$134.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Curtis Smith Mailing Address 1650 Union Hill Road City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation SVP, Global Infrastructure Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540118517072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**806.60**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)

James Swartwout

Mailing Address 10951 White Rock Road

City State Zip Code  
 Rancho Cordova CA 95670

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Securities Inc.

Occupation  
VP, Order Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1001.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540118617072

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)

Lee Thompson

Mailing Address 111 Congress Avenue

City State Zip Code  
 Austin TX 78701

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
VP, Chief Technologist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540118817072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)

Jane Gelman

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
VP, Administrative Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR673132017072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

310.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Greg Framke Mailing Address 535 Madison Ave 35th Floor City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Mg Director, CIO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1755.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168117072 Amount of Each Receipt this Period 270.00 P/R Deduction (\$135.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Kenneth Marienau Mailing Address 4005 Windward Plaza Drive City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, General Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168217072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Matthew J. Audette Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation EVP, Corp. Controller Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2010.06		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168417072 Amount of Each Receipt this Period 309.24 P/R Deduction (\$154.62 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**733.24**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Curcio Mailing Address 135 East 57th St. 31st Floor City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Mg Director, Global Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1750.06			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168617072 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Dennis E. Webb Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt, Inc. Occupation President, Capital Markets Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168817072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Robert Simmons Mailing Address 10951 White Rock Road City State Zip Code Rancho Cordova CA 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Financial Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2470.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799169017072 Amount of Each Receipt this Period 380.00 P/R Deduction (\$190.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

803.24

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Lance Ullom Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt Occupation EVP, Fixed Income Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR946040717072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Hartley Caldwell Mailing Address 1650 Union Hill Road City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation VP, Re-Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171217072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Matthew Geary Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Bank Occupation EVP, Risk & Credit Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1750.06			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171317072 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

577.24

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Sunil Malik

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Global Asset Mgmt.

Occupation

VP, Whole Loans &amp; MBS

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1001.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950172417072

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Rachel Bedell

Mailing Address 671 North Glebe Road

City

Arlinton

State

VA

Zip Code

22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Global Asset Mgmt.  
Inc.

Occupation

Director, Retail Consumer Lending

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950172517072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Eric Seasholtz

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Global Asset Mgmt.  
Inc.

Occupation

VP, Mortgage Portfolio

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

507.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950172717072

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

310.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Klena Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation SVP, Investing Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173017072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Christopher Larkin Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation VP, Active Trader Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173117072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) W Chris Staab Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt Occupation Director, Credit Cards Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173617072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**386.00**

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Paul Vienick Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation SVP, Prodcut Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1001.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950173717072 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Joseph Corso Mailing Address 440 S. LaSalle St. Suite 3030 City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE GVR Occupation Manager, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 325.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302017072 Amount of Each Receipt this Period 50.00 P/R Deduction (\$25.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Noel Dalzell Mailing Address 440 S. LaSalle St. City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE--GVR Occupation Director, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302117072 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**282.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Colleen Ryan			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 440 S. LaSalle St. Suite 3030			<b>Transaction ID:</b> PR971302417072	
City State Zip Code Chicago IL 60605			Amount of Each Receipt this Period <div>50.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE GVR		Occupation Director, ETCM Trading		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>325.00</div>		
<b>B.</b> Full Name (Last, First, Middle Initial) Marco Pellini			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 440 South LaSalle			<b>Transaction ID:</b> PR971304117072	
City State Zip Code Chicago IL 60605			Amount of Each Receipt this Period <div>40.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE--Dempsey		Occupation VP, Trading Ops		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>659.00</div>		
<b>C.</b> Full Name (Last, First, Middle Initial) Michael Alpert			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Rd.			<b>Transaction ID:</b> PR971304417072	
City State Zip Code Arlington VA 22203			Amount of Each Receipt this Period <div>54.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*Trade Financial Corp.		Occupation Director, Corp Dev.		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>351.00</div>		

P/R Deduction (\$25.00 Bi-Weekly)

P/R Deduction (\$20.00 Bi-Weekly)

P/R Deduction (\$27.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

144.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Heather Carroll-Cox			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Road			<b>Transaction ID:</b> PR971304517072	
City State Zip Code Arlington VA 22203			Amount of Each Receipt this Period <div>78.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE Bank		Occupation VP, Retail Banking Ops		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>507.00</div>		
<b>B.</b> Full Name (Last, First, Middle Initial) Dirk Wyckoff			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Road			<b>Transaction ID:</b> PR971305017072	
City State Zip Code Arlington VA 22203			Amount of Each Receipt this Period <div>40.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE Bank		Occupation Director, Ass't Controller		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>260.00</div>		
			P/R Deduction (\$39.00 Bi-Weekly)	
			P/R Deduction (\$20.00 Bi-Weekly)	

**SUBTOTAL** of Receipts This Page (optional) .....

118.00

**TOTAL** This Period (last page this line number only) .....

10230.56

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 28 / 29

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Wells Fargo Bank, N.A.

Mailing Address PO Box 63020

City  
San Francisco

State  
CA

Zip Code  
94163

Purpose of Disbursement  
Client Analysis Service Charge

Candidate Name

001  
Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

State:

District:

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Transaction ID: 12771197

Date of Disbursement

MM / DD / YYYY  
06 / 20 / 2006

Amount of Each Disbursement this Period

65.70

Client Analysis Service  
Charge

**SUBTOTAL** of Disbursements This Page (optional) .....

65.70

**TOTAL** This Period (last page this line number only) .....

65.70

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 29 / 29

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Forward Together PAC

Mailing Address 201 North Union St., Suite 350

City  
Alexandria

State  
VA

Zip Code  
22314

Purpose of Disbursement  
Contribution

Candidate Name

011  
Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

State:

District:

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Transaction ID: 12771140

Date of Disbursement

MM / DD / YYYY  
06 / 26 / 2006

Amount of Each Disbursement this Period

5000.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional) .....

5000.00

**TOTAL** This Period (last page this line number only) .....

5000.00