

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)**USE FEC MAILING LABEL
OR TYPE OR PRINT**Example: If typing, type
over the lines

E*TRADE Financial Corporation PAC

ADDRESS (number and street)

671 North Glebe Road

Ballston Tower

☐Check if different
than previously
reported. (ACC)

Arlington

VA

22203

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00356345

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15
Quarterly Report (Q1)☐July 15
Quarterly Report (Q2)☐October 15
Quarterly Report (Q3)☐January 31
Quarterly Report (YE)☐July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐Termination Report
(TER)(b) Monthly
Report
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)
(Non-Election
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)
(Non-Election
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☒

Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the
State of(d) 30-Day
Post -Election
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the
State of

5. Covering Period

1 2

0 1

2 0 0 7

through

1 2

3 1

2 0 0 7

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Jane Gelman

Signature of Treasurer

Electronically Filed by Jane Gelman

Date

0 1

1 0

2 0 0 8

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
Only**FEC FORM 3X**
(Rev. 12/2004)

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name
E*TRADE Financial Corporation PAC

Report Covering the Period: From:

M	M
1	2

D	D
0	1

Y	Y	Y	Y
2	0	0	7

 To:

M	M
1	2

D	D
3	1

Y	Y	Y	Y
2	0	0	7

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1	2007	153859.73
(b) Cash on Hand at Beginning of Reporting Period	257804.68	
(c) Total Receipts (from Line 19)	3097.20	117042.15
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	260901.88	270901.88
7. Total Disbursements (from Line 31)	0.00	10000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	260901.88	260901.88
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

E*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M
1	2

D	D
0	1

Y	Y	Y	Y
2	0	0	7

To:

M	M
1	2

D	D
3	1

Y	Y	Y	Y
2	0	0	7

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	2891.46	104319.08
(i) Itemized (use Schedule A)		
(ii) Unitemized	28.85	11030.25
(iii) TOTAL (add Lines 11(a)(i) and (ii)	2920.31	115349.33
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5)	2920.31	115349.33
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	176.89	1692.82
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	3097.20	117042.15
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	3097.20	117042.15

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)	0.00	0.00
(i) Federal Share.....		
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ➡	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	10000.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	0.00	10000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	10000.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	2920.31	115349.33
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	2920.31	115349.33
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 26

(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

E*Trade Bank

Mailing Address PO Box 1542

City

Merrifield

State

VA

Zip Code

22110-9949

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1692.82

Date of Receipt

M M / D D / Y Y Y Y
1 2 / 3 1 / 2 0 0 7

Transaction ID: 14869552

Amount of Each Receipt this Period

176.89

Bank Interest Received

SUBTOTAL of Receipts This Page (optional)

176.89

TOTAL This Period (last page this line number only)

176.89

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Allison Jeannotte

Mailing Address 60 State Street

City

Boston

State

MA

Zip Code

02109

FEC ID number of contributing
federal political committee.

C

Name of Employer
ETrade Finance Corp

Occupation

Senior Corp Comm Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1005718119895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Charles Leonard

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation

Senior Mgr, Customer Service

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1012220819895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

David Douglass

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Capital Holdings

Occupation

Sr Mgr, Brokerage Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1016861419895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

45.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Alison Mittelstadt

Mailing Address 671 North Glebe Rd.

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Capital Holdings

Occupation

Sr Director, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1030171919895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Randall Brown

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation

Director, Network & Systems Admin.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045599619895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Russell Ross

Mailing Address 4500 Bohannon Drive

City

Menlo Park

State

CA

Zip Code

94025

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation

Director, Corporate Info Security

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600019895

Amount of Each Receipt this Period

25.00

P/R Deduction (\$25.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

103.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Daniel Howe

Mailing Address 440 South LaSalle

City

Chicago

State

IL

Zip Code

60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Brokerage Holdings

Occupation
EVP, Retail

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3365.50

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600419895

Amount of Each Receipt this Period

134.62

P/R Deduction (\$134.62 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Adam Townsend

Mailing Address 10951 White Rock Road

City

Rancho Cordova

State

CA

Zip Code

95670

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation
SVP, Investor Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1925.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600619895

Amount of Each Receipt this Period

77.00

P/R Deduction (\$77.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Brent Simonich

Mailing Address 10951 White Rock Road

City

Rancho Cordova

State

CA

Zip Code

95670

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Brokerage Service-
s, Inc.

Occupation
Director, Brokerage Controller

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600719895

Amount of Each Receipt this Period

10.00

P/R Deduction (\$10.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

221.62

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Steve Lingeman

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp

Occupation

Director, Software Engineering

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600819895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Amit Banerjee

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation

VP, Software Engineering

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1925.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600919895

Amount of Each Receipt this Period

77.00

P/R Deduction (\$77.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Edouard Dejoux

Mailing Address 135 East 57th Street

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities, LLC

Occupation

SVP, Global Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1925.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1067673019895

Amount of Each Receipt this Period

77.00

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

193.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Roderick Mikus

Mailing Address 135 E. 57th Street

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities LLC

Occupation

Director, Relationship Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1083003719895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

David Poole

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Securities LLC

Occupation

Senior Mgr, Corp. Relationship Mgmt.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1083003919895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Ardwin Droessler

Mailing Address 440 South LaSalle

City

Chicago

State

IL

Zip Code

60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE--Dempsey

Occupation

Director, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1088490819895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

93.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Christine Wolf

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corpora-
tion

Occupation

EVP, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1925.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1090244519895

Amount of Each Receipt this Period

77.00

P/R Deduction (\$77.00 Bi-
Weekly)

B.

Full Name (Last, First, Middle Initial)

Paul Halpern

Mailing Address 135 E. 57th Street

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corporat-
ion

Occupation

SVP, Bank Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1925.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1142911319895

Amount of Each Receipt this Period

77.00

P/R Deduction (\$77.00 Bi-
Weekly)

C.

Full Name (Last, First, Middle Initial)

Kevin Delo

Mailing Address 4500 Bohannon Drive

City

Menlo Park

State

CA

Zip Code

94025

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Securities LLC

Occupation

Director, Retail Product Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1179644519895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-
Weekly)

SUBTOTAL of Receipts This Page (optional)

193.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Anthony Altobelli

Mailing Address 135 E. 57th Street

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Securities LLC

Occupation

Dir. Relationship Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR122287719895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Lea Stendahl

Mailing Address 135 E. 57th Street

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corpora-
tion

Occupation

Director, Active Trader Mktg.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1253490119895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Craig Burton

Mailing Address 135 E. 57th Street

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corpora-
tion

Occupation

Director, Financial Planning and Analy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1271748219895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

117.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Powell Jones

Mailing Address 135 E. 57th Street

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corpora-
tion

Occupation

Director, Metrics and Reporting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1271748419895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-
Weekly)

B.

Full Name (Last, First, Middle Initial)

David Goldberg

Mailing Address 135 E. 57th Street

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corpora-
tion

Occupation

Director, Business Analysis

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1271748519895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-
Weekly)

C.

Full Name (Last, First, Middle Initial)

Arlen W. Gelbard

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation

President, E*TRADE Bank

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4807.50

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625619895

Amount of Each Receipt this Period

192.30

P/R Deduction (\$192.30 Bi-
Weekly)

SUBTOTAL of Receipts This Page (optional)

270.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Robert Lilien

Mailing Address 135 E. 57th Street

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation

President & COO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4807.50

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408626119895

Amount of Each Receipt this Period

192.30

P/R Deduction (\$192.30 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Shane O. Mulron

Mailing Address 10951 White Rock Road

City

Rancho Cordova

State

CA

Zip Code

95670

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Brokerage Holdings

Occupation

EVP, Retail Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408626419895

Amount of Each Receipt this Period

135.00

P/R Deduction (\$135.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Connie Dotson

Mailing Address 10951 White Rock Road

City

Rancho Cordova

State

CA

Zip Code

95670

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation

Chief Communications Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4775.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540115719895

Amount of Each Receipt this Period

191.00

P/R Deduction (\$191.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

518.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Todd Mackay

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation

Mg Director, Corp. Dev.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3350.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540117019895

Amount of Each Receipt this Period

134.00

P/R Deduction (\$134.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Curtis Smith

Mailing Address 1650 Union Hill Road

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation

SVP, Global Infrastructure

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1925.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540118519895

Amount of Each Receipt this Period

77.00

P/R Deduction (\$77.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Lee Thompson

Mailing Address 111 Congress Avenue

City

Austin

State

TX

Zip Code

78701

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation

VP, Chief Technologist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540118819895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Christopher V. Reyes

Mailing Address 10951 White Rock Road

City

Rancho Cordova

State

CA

Zip Code

95670

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Brokerage Holdings

Occupation

Business Mgr, Regulatory Reporting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR559347619895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Jonathan K. Law

Mailing Address 4500 Bohannon Drive

City

Menlo Park

State

CA

Zip Code

94025

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation

Business Mgr, Software Engineering

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR650307419895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Kenneth Marienau

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation

VP, General Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1925.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799168219895

Amount of Each Receipt this Period

77.00

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

107.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Matthew J. Audette

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation

EVP, Corp. Controller

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3865.50

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799168419895

Amount of Each Receipt this Period

154.62

P/R Deduction (\$154.62 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Hartley Caldwell

Mailing Address 1650 Union Hill Road

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation

VP, Re-Engineering

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1925.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950171219895

Amount of Each Receipt this Period

77.00

P/R Deduction (\$77.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Matthew Geary

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Bank

Occupation

EVP, Risk & Credit

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3365.50

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950171319895

Amount of Each Receipt this Period

134.62

P/R Deduction (\$134.62 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

366.24

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Eric Seasholtz

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Global Asset Mgmt.
Inc.

Occupation

VP, Mortgage Portfolio

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950172719895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-
Weekly)

B.

Full Name (Last, First, Middle Initial)

Lori Smith

Mailing Address 10951 White Rock Road

City

Rancho Cordova

State

CA

Zip Code

95670

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation

Manager, Communications Center

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950173419895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-
Weekly)

C.

Full Name (Last, First, Middle Initial)

W Chris Staab

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Global Asset Mgmt

Occupation

Director, Credit Cards

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950173619895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-
Weekly)

SUBTOTAL of Receipts This Page (optional)

93.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Lisa Romano

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation

Manager, Procurement

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR956826819895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Francisco Gutierrez

Mailing Address 4500 Bohannon Drive

City

Menlo Park

State

CA

Zip Code

94025

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Finance Corp

Occupation

Sr Manager, Software Engineer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR956827419895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Andrew Flynn

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corpora-
tion

Occupation

Senior Staff, Engineering

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR956827519895

Amount of Each Receipt this Period

10.00

P/R Deduction (\$10.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

40.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Michael Krompegal

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corpora-
tion

Occupation

Manager, Re-engineering

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR956827819895

Amount of Each Receipt this Period

10.00

P/R Deduction (\$10.00 Bi-
Weekly)

B.

Full Name (Last, First, Middle Initial)

Michael Schoenberger

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities, LLC

Occupation

Senior Mgr, Relationship Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR956828319895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-
Weekly)

C.

Full Name (Last, First, Middle Initial)

John Caylor

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities LLC

Occupation

Business Analyst

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971301719895

Amount of Each Receipt this Period

10.00

P/R Deduction (\$10.00 Bi-
Weekly)

SUBTOTAL of Receipts This Page (optional)

35.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Joseph Corso

Mailing Address 440 S. LaSalle St.
Suite 3030

City State Zip Code
Chicago IL 60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE GVR

Occupation
Manager, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971302019895

Amount of Each Receipt this Period

25.00

P/R Deduction (\$25.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Colleen Ryan

Mailing Address 440 S. LaSalle St.
Suite 3030

City State Zip Code
Chicago IL 60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE GVR

Occupation
Director, ETCM Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971302419895

Amount of Each Receipt this Period

25.00

P/R Deduction (\$25.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Kevin Skarbek

Mailing Address 440 South LaSalle

City State Zip Code
Chicago IL 60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE--GVR

Occupation
Manager, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971302519895

Amount of Each Receipt this Period

10.00

P/R Deduction (\$10.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Robert Sondheim

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Capital Holdings

Occupation

Sr. Advertising Principal

Receipt For:

☐

Primary

☐

General

☐

Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971303119895

Amount of Each Receipt this Period

10.00

P/R Deduction (\$10.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Christopher Amato

Mailing Address 440 S. LaSalle Street

City

Chicago

State

IL

Zip Code

60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE-GVR

Occupation

Senior Mgr, Trading

Receipt For:

☐

Primary

☐

General

☐

Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971303519895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Eric Tassell

Mailing Address 9255 Town Center Drive

City

San Diego

State

CA

Zip Code

92121

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities, LLC

Occupation

Senior Mgr, Retail

Receipt For:

☐

Primary

☐

General

☐

Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971303919895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

40.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Ken Elder

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Global Asset Mgmt

Occupation

Sr. Portfolio Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304019895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Marco Pellini

Mailing Address 440 South LaSalle

City

Chicago

State

IL

Zip Code

60605

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE--Dempsey

Occupation

VP, Trading Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304119895

Amount of Each Receipt this Period

20.00

P/R Deduction (\$20.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Brian Young

Mailing Address 4005 Windward Plaza Drive

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Securities LLC

Occupation

Manager, Power E*TRADE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304319895

Amount of Each Receipt this Period

10.00

P/R Deduction (\$10.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

45.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Michael Alpert

Mailing Address 671 North Glebe Rd.

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation

Director, Corp Dev.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

675.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304419895

Amount of Each Receipt this Period

27.00

P/R Deduction (\$27.00 Bi-Weekly)

B.

Full Name (Last, First, Middle Initial)

Heather Carroll-Cox

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation

VP, Retail Banking Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

975.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304519895

Amount of Each Receipt this Period

39.00

P/R Deduction (\$39.00 Bi-Weekly)

C.

Full Name (Last, First, Middle Initial)

Adam McChane

Mailing Address 4500 Bohannon Drive

City

Menlo Park

State

CA

Zip Code

94025

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Financial Corp.

Occupation

Executive Producer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971304819895

Amount of Each Receipt this Period

15.00

P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

81.00

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 26

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

Dirk Wyckoff

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation

Director, Ass't Controller

Receipt For:

☐
☐
☐

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
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Transaction ID: PR971305019895

Amount of Each Receipt this Period

20.00

P/R Deduction (\$20.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

20.00

TOTAL This Period (last page this line number only)

2891.46