

FEC FORM 3

REPORT OF RECEIPTS AND DISBURSEMENTS For An Authorized Committee

RECEIVED. SENATE 15 JAN 30 PM 2:51 Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines. Blumenthal for Senate

ADDRESS (number and street) 777 Summer Street, Ste 103 c/o Cacace Tusch & Santagata Stamford CT 06901

2. FEC IDENTIFICATION NUMBER C00473587 3. IS THIS REPORT NEW OR AMENDED X NEW (N) OR AMENDED (A) CITY STATE ZIP CODE STATE DISTRICT CT 00

4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q1) July 15 Quarterly Report (Q2) October 15 Quarterly Report (Q3) X January 31 Year-End Report (YE) Termination Report (TER) (b) 12-Day PRE-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12S) Election on in the State of (c) 30-Day POST-Election Report for the: General (30G) Runoff (30R) Special (30S) Election on in the State of

5. Covering Period 10 01 2014 through 12 31 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Judith Zamore

Signature of Treasurer Judith Zamore Date 01 30 2015

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only grid and FEC FORM 3 (Revised 02/2003)

15020051703

**SUMMARY PAGE**  
of Receipts and Disbursements

Write or Type Committee Name  
**Blumenthal for Senate**

Report Covering the Period: From: **10 01 2014** To: **12 31 2014**

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
<b>6. Net Contributions (other than loans)</b>		
(a) Total Contributions (other than loans) (from Line 11(e)) ..	7500.00	516385.99
(b) Total Contribution Refunds (from Line 20(d)) ..	0.00	6960.30
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a))...	7500.00	509425.69
<b>7. Net Operating Expenditures</b>		
(a) Total Operating Expenditures (from Line 17) ..	0.00	507405.70
(b) Total Offsets to Operating Expenditures (from Line 14)...	45.00	33462.17
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a))...	-45.00	473943.53
<b>8. Cash on Hand at Close of Reporting Period (from Line 27)...</b>	<b>49096.20</b>	
<b>9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)...</b>	<b>0.00</b>	
<b>10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)...</b>	<b>250000.00</b>	

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463  
  
Toll Free 800-424-9530  
Local 202-694-1100

15020051704

**DETAILED SUMMARY PAGE**

FEC Form 3 (Revised 12/2003)

of Receipts

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Write or Type Committee Name

**Blumenthal for Senate**

Report Covering the Period: From: **10 01 2014** To: **12 31 2014**

<b>I. RECEIPTS</b>	<b>COLUMN A Total This Period</b>	<b>COLUMN B Election Cycle-to-Date</b>
<b>11. CONTRIBUTIONS (other than loans) FROM:</b>		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)...	4000.00	220710.30
(ii) Unitemized.....	0.00	6508.98
(iii) TOTAL of contributions from individuals	4000.00	227219.28
(b) Political Party Committees...	0.00	0.00
(c) Other Political Committees (such as PACs)...	3500.00	289166.71
(d) The Candidate.....	0.00	0.00
(e) TOTAL CONTRIBUTIONS (other than loans) (add Lines 11(a)(iii), (b), (c), and (d))..	7500.00	516385.99
<b>12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES ..</b>	0.00	23830.70
<b>13. LOANS:</b>		
(a) Made or Guaranteed by the Candidate...	0.00	0.00
(b) All Other Loans...	0.00	0.00
(c) TOTAL LOANS (add Lines 13(a) and (b))...	0.00	0.00
<b>14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.) ..</b>	45.00	33462.17
<b>15. OTHER RECEIPTS (Dividends, Interest, etc.).....</b>	0.00	189.51
<b>16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)...</b>	7545.00	573868.37

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**DETAILED SUMMARY PAGE**

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
17. OPERATING EXPENDITURES...	0.00	507405.70
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES ..	7500.00	176663.40
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate...	0.00	0.00
(b) Of All Other Loans .....	0.00	0.00
(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b))...	0.00	0.00
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees ...	0.00	6960.30
(b) Political Party Committees...	0.00	0.00
(c) Other Political Committees (such as PACs) ..	0.00	0.00
(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c))...	0.00	6960.30
21. OTHER DISBURSEMENTS ..	0.00	2291.20
22. <b>TOTAL DISBURSEMENTS</b> (add Lines 17, 18, 19(c), 20(d), and 21) ▶	<b>7500.00</b>	<b>693320.60</b>

**III. CASH SUMMARY**

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD...	49051.20
24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3)...	7545.00
25. SUBTOTAL (add Line 23 and Line 24)...	56596.20
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)...	7500.00
27. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25)...	49096.20

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**SCHEDULE A (FEC Form 3)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 5 OF 9	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d
	<input type="checkbox"/> 12	<input type="checkbox"/> 13a	<input type="checkbox"/> 13b	<input type="checkbox"/> 14
				<input type="checkbox"/> 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**Blumenthal for Senate**

A. Full Name (Last, First, Middle Initial) <b>Keith Ebling</b>		Date of Receipt M M / D D / Y Y Y Y 10 / 15 / 2014
Mailing Address 240 Conway Hill Rd		Transaction ID : C6730733
City Saint Louis	State MO	Zip Code 63141-7238
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1250.00
Name of Employer Express Scripts	Occupation Executive Vice President	
Receipt For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Election Cycle-to-Date 1250.00	

B. Full Name (Last, First, Middle Initial) <b>Christine Houston</b>		Date of Receipt M M / D D / Y Y Y Y 10 / 15 / 2014
Mailing Address 7711 Gannon Ave		Transaction ID : C6730730
City Saint Louis	State MO	Zip Code 63130-2822
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 500.00
Name of Employer Express Scripts	Occupation Senior Vice President	
Receipt For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Election Cycle-to-Date 500.00	

C. Full Name (Last, First, Middle Initial) <b>Steven B. Miller</b>		Date of Receipt M M / D D / Y Y Y Y 10 / 15 / 2014
Mailing Address 8 Berkshire Dr		Transaction ID : C6730732
City Saint Louis	State MO	Zip Code 63117-1026
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Express Scripts	Occupation Senior Vice President	
Receipt For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Election Cycle-to-Date 1000.00	

<b>SUBTOTAL</b> of Receipts This Page (optional).....	2750.00
<b>TOTAL</b> This Period (last page this line number only).....	

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**SCHEDULE A (FEC Form 3)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 6 OF 9	
	<input checked="" type="checkbox"/> 11a 12	<input type="checkbox"/> 11b 13a	<input type="checkbox"/> 11c 13b	<input type="checkbox"/> 11d 14

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NAME OF COMMITTEE (In Full)  
**Blumenthal for Senate**

A. Full Name (Last, First, Middle Initial) <b>Mary Rosado</b>		Date of Receipt M M / D D / Y Y <b>10 / 15 / 2014</b>
Mailing Address <b>2108 Marlboro Dr</b>		Transaction ID : <b>C6730731</b>
City <b>Alexandria</b>	State <b>VA</b> Zip Code <b>22304-1014</b>	
FEC ID number of contributing federal political committee. <b>C</b>		Amount of Each Receipt this Period <b>1250.00</b>
Name of Employer <b>Express Scripts</b>	Occupation <b>Government Affairs</b>	
Receipt For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Election Cycle-to-Date <b>1250.00</b>	

B. Full Name (Last, First, Middle Initial)		Date of Receipt M M / D D / Y Y
Mailing Address		
City	State Zip Code	
FEC ID number of contributing federal political committee. <b>C</b>		Amount of Each Receipt this Period
Name of Employer	Occupation	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Election Cycle-to-Date	

C. Full Name (Last, First, Middle Initial)		Date of Receipt M M / D D / Y Y
Mailing Address		
City	State Zip Code	
FEC ID number of contributing federal political committee. <b>C</b>		Amount of Each Receipt this Period
Name of Employer	Occupation	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Election Cycle-to-Date	

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>1250.00</b>
<b>TOTAL</b> This Period (last page this line number only).....	<b>4000.00</b>

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**SCHEDULE B (FEC Form 3)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 8 OF 9	
	<input type="checkbox"/> 17 20a	<input checked="" type="checkbox"/> 18 20b	<input type="checkbox"/> 19a 20c	<input type="checkbox"/> 19b 21

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NAME OF COMMITTEE (In Full)  
**Blumenthal for Senate**

Full Name (Last, First, Middle Initial) <b>A. Blumenthal for Connecticut</b>		Date of Disbursement MM / DD / YYYY 10 / 09 / 2014
Mailing Address 777 Summer St c/o Cacace Tusch & Santagata		Amount of Each Disbursement this Period 2500.00 Transaction ID : D348212
City Stamford	State CT	
Purpose of Disbursement Transfer 2016 Funds to Blumenthal for Connecticut		Category/ Type
Candidate Name <b>Richard Blumenthal</b>		
Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	
State: CT District: 00		

Full Name (Last, First, Middle Initial) <b>B. Blumenthal for Connecticut</b>		Date of Disbursement MM / DD / YYYY 11 / 03 / 2014
Mailing Address 777 Summer St c/o Cacace Tusch & Santagata		Amount of Each Disbursement this Period 4000.00 Transaction ID : D351563
City Stamford	State CT	
Purpose of Disbursement Transfer 2016 Funds to Blumenthal for Connecticut		Category/ Type
Candidate Name <b>Richard Blumenthal</b>		
Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	
State: CT District: 00		

Full Name (Last, First, Middle Initial) <b>C. Blumenthal for Connecticut</b>		Date of Disbursement MM / DD / YYYY 12 / 10 / 2014
Mailing Address 777 Summer St c/o Cacace Tusch & Santagata		Amount of Each Disbursement this Period 1000.00 Transaction ID : D352269
City Stamford	State CT	
Purpose of Disbursement Transfer 2016 Funds to Blumenthal for Connecticut		Category/ Type
Candidate Name <b>Richard Blumenthal</b>		
Office Sought: <input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	
State: CT District: 00		

<b>SUBTOTAL</b> of Disbursements This Page (optional).....	7500.00
<b>TOTAL</b> This Period (last page this line number only).....	7500.00

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**SCHEDULE C (FEC Form 3)**

**LOANS**

NAME OF COMMITTEE (In Full) **Blumenthal for Senate** Transaction ID : L358

**LOAN SOURCE** Full Name (Last, First, Middle Initial) **Hon. Richard Blumenthal** [PERSONAL FUNDS] Election: 2010  
 Primary  
 General  
 Other (specify) ▼

Mailing Address  
145 Clapboard Ridge Road

City State ZIP Code  
Greenwich CT 06831

Original Amount of Loan	Cumulative Payment To Date	Balance Outstanding at Close of This Period
500000.00	250000.00	250000.00

**TERMS**

Date Incurred	Date Due	Interest Rate	Secured:
09 <sup>M</sup> 30 <sup>D</sup> 2010	09/30/2012	0.00 % (apr)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)	Name of Employer
Mailing Address	Occupation
City State ZIP Code	Amount Guaranteed Outstanding:
2. Full Name (Last, First, Middle Initial)	Name of Employer
Mailing Address	Occupation
City State ZIP Code	Amount Guaranteed Outstanding:
3. Full Name (Last, First, Middle Initial)	Name of Employer
Mailing Address	Occupation
City State ZIP Code	Amount Guaranteed Outstanding:
4. Full Name (Last, First, Middle Initial)	Name of Employer
Mailing Address	Occupation
City State ZIP Code	Amount Guaranteed Outstanding:

<b>SUBTOTALS</b> This Period This Page (optional)...	250000.00
<b>TOTALS</b> This Period (last page in this line only)...	250000.00

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

15020051711

# United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

HAND DELIVERED 1-30-15  
Date of Receipt

USPS FIRST CLASS MAIL \_\_\_\_\_  
Postmark

USPS REGISTERED/CERTIFIED \_\_\_\_\_  
Postmark

USPS PRIORITY MAIL \_\_\_\_\_  
Postmark

DELIVERY CONFIRMATION OR SIGNATURE CONFIRMATION LABEL

USPS EXPRESS MAIL \_\_\_\_\_  
Postmark

### OVERNIGHT DELIVERY SERVICE:

	SHIPPING DATE	NEXT BUSINESS DAY DELIVERY
FEDERAL EXPRESS	_____	<input type="checkbox"/>
UPS	_____	<input type="checkbox"/>
DHL	_____	<input type="checkbox"/>
AIRBORNE EXPRESS	_____	<input type="checkbox"/>

RECEIVED FROM FEDERAL ELECTION COMMISSION \_\_\_\_\_  
Date of Receipt

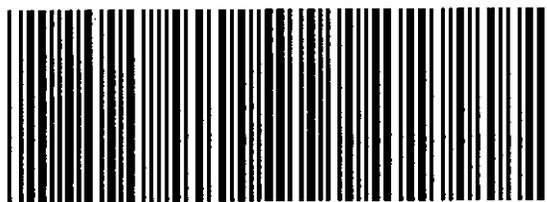
POSTMARK ILLEGIBLE  POSTMARK

FAX \_\_\_\_\_  
Date of Receipt

OTHER \_\_\_\_\_  
Date of Receipt or Postmark

PREPARER DH DATE PREPARED 1-30-15

15020051712



SEN PATCH



SEN PATCH

15020051713