REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION [illegible]

Nov 21 [illegible]

USE FEC MAILNG LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street) ☐ Check if different than previously reported
200 Vesey Street, 27th Floor

CITY, STATE and ZIP CODE
New York, New York 10285

2. FEC IDENTIFICATION NUMBER
C-00127670

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☒ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 10/01/97 through 10/31/97		
6. (a) Cash on Hand January 1, 19 97		$ 130,699.82
(b) Cash on Hand at Beginning of Reporting Period	$ 112,911.86	
(c) Total Receipts (from Line 19)	$ 13,145.03	$ 114,356.28
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 126,056.89	$ 245,056.10
7. Total Disbursements (from Line 30)	$ 7,506.66	$ 126,505.87
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 118,550.23	$ 118,550.23
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
EDWARD S. GRIEB

Signature of Treasurer [signature]

Date 11/17/97

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 3/93)

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: Action Fund of Lehman Brothers Holdings Inc.

REPORT COVERING PERIOD FROM 10/01/97 TO: 10/31/97

	COLUMN A Total This Period	COLUMN B Calendar Year	
I. Receipts			
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	12,079.82	71,802.30	11(a)(i)
ii. Unitemized	805.50	40,014.72	11(a)(ii)
iii. Total (add i and ii) ➤	12,885.32	111,817.02	11(a)(iii)
b. Political Party Committees	0.00	0.00	11(b)
c. Other Political Committees (such as PACs)	0.00	0.00	11(c)
d. Total Contributions (add a iii, b and c) ➤	12,885.32	111,817.02	11(d)
12. Transfers From Affiliated/Other Party Committees	0.00	0.00	12
13. All Loans Received	0.00	0.00	13
14. Loan Repayments Received	0.00	0.00	14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	0.00	0.00	15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	0.00	16
17. Other Federal Receipts (Dividends, Interest, etc.)	259.71	2,539.26	17
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00	18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	13,145.03	114,356.28	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	13,145.03	114,356.28	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share	0.00	0.00	21(a)(i)
ii. Non-Federal Share	0.00	0.00	21(a)(ii)
b. Other Federal Operating Expenditures	6.66	1,795.87	21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤	6.66	1,795.87	21(c)
22. Transfers to Affiliated/Other Party Committees	0.00	0.00	22
23. Contributions to Federal Candidates/Committees and Other Political Committees	7,500.00	124,150.00	23
24. Independent Expenditures (use Schedule E)	0.00	0.00	24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00	25
26. Loan Repayments Made	0.00	0.00	26
27. Loans Made	0.00	0.00	27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	0.00	560.00	28(a)
b. Political Party Committees	0.00	0.00	28(b)
c. Other Political Committees (such as PACs)	0.00	0.00	28(c)
d. Total Contribution Refunds (add a, b and c) ➤	0.00	560.00	28(d)
29. Other Disbursements	0.00	0.00	29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	7,506.66	126,505.87	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	7,506.66	126,505.87	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	12,885.32	111,817.02	32
33. Total Contribution Refunds (from line 28d)	0.00	560.00	33
34. Net Contributions (other than loans)(subtract line 33 from 32)	12,885.32	111,257.02	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤	6.66	1,795.87	35
36. Offsets to Operating Expenditures (from line 15)	0.00	0.00	36
37. Net Operating Expenditures (subtract line 36 from 35) ➤	6.66	1,795.87	37

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
SHAUN K. BUTLER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 760.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JAMES B. HEARTY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
LISA R. JAMES 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 75.00
	AGGREGATE YTD-- $ 500.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JONATHAN R. EPSTEIN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 450.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 435.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
KATHLEEN E. KINSELLA 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DAVID H KRONENBERG 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 300.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JAMES M. DOLAN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
STEPHEN E. HOWARD 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER / OCCUPATION	DATE	AMOUNT
MARK W. HOWARD 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 540.00		
CLARE SULLIVAN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		
JOHN H. MCDONALD, JR. 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 800.00		
PETER I. NEXLER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
HOWARD L. CLARK, JR. 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 880.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
GRANT M WHITESIDE 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 450.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JAMES C. PENROSE 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 880.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JARETT WAIT 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 50.00
	AGGREGATE YTD-- $ 500.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MICHAEL P. GUARNIERI 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ALAN J. MARANTZ 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 76.94
	AGGREGATE YTD-- $ 769.40		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JAMES V. PUCCIARELLI 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 115.38
	AGGREGATE YTD-- $ 846.12		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JEFFREY A. ZOREK 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 630.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER / OCCUPATION	DATE	AMOUNT
JOHN K. SWEENEY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 115.50

AGGREGATE YTD-- $ 996.50

FULL NAME AND ADDRESS	EMPLOYER / OCCUPATION	DATE	AMOUNT
MICHAEL S. WISHART 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00

AGGREGATE YTD-- $ 900.00

FULL NAME AND ADDRESS	EMPLOYER / OCCUPATION	DATE	AMOUNT
KENNETH R. KLEFFELD 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00

AGGREGATE YTD-- $ 220.00

FULL NAME AND ADDRESS	EMPLOYER / OCCUPATION	DATE	AMOUNT
MARY KAY RILEY-MOTTES 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00

AGGREGATE YTD-- $ 335.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
BERNARD J. GRIFFIN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
STEPHEN J. PATRIARCO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
PETER E. WEISS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DAVID M. GOLDFADER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 420.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
LOUIS PIA 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MICHAEL J. O'HANLON 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,050.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
KEVIN T CONNORS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 300.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
APRIL C. LANGER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MARK J. MARCUCCI 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JACK E. SCOTT 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
RANDI J. SALKO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
THOMAS E. HOMMEL 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JEANMARIE GENIRS-JOSLING 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 470.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MARK C. MALIN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 35.00
	AGGREGATE YTD-- $ 350.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JOHN B. RIGATTI 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ROBERT WILLENS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 880.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
KEITH B. ROSENTHAL 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00

AGGREGATE YTD-- $ 440.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DAVID N. SHERR 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00

AGGREGATE YTD-- $ 300.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JEFFREY M HOLDGRAFER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00

AGGREGATE YTD-- $ 240.00

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
CHARLES K. RIZZO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00

AGGREGATE YTD-- $ 220.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
SOTIRIOS S. PANAGEAS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JAMES R. EMMERT 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
GLENN D. HAGG 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
RICHARD J. RITTENHOUSE 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
GERALD A. RIZZIERI 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
THOMAS F. FUREY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 880.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
KEVIN J. FIORI 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MARC L. PALEY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ROBERT OKIN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
LEWIS S. GOOLIS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JEFFREY N. GLASS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 75.00
	AGGREGATE YTD-- $ 420.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
LUCILLE A. DIBELLO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 210.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JOHN V. MALVEY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 740.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
CLAUDIO CORNALI 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
SCOTT E WILLOUGHBY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
STEPHEN LESSING 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 970.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER / OCCUPATION	DATE	AMOUNT
ALAN WASHKOWITZ 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 880.00		
DAWN LOWELL 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		
BRIAN F. PRINCE 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		
THOMAS DE GAGLIA 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
THOMAS P. CORCORAN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
S. ALEXANDER RAVERSTICK 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MARK W. DE GENNARO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
SAKITA MARIKO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 210.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
WANDA SCOTT 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
THOMAS P. FINNERTY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
THOMAS A. RUSSO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JOSEPH POLIZZOTTO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 270.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ANDREW J. MALIK 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTSERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DIANNE BUONINCONTRI 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MICHAEL W. MCGRATH 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DANIER H. LEAVY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 310.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ANDREW L. BERGER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 50.00
	AGGREGATE YTD-- $ 500.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ROGER L. KAPLAN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
GEORGE A. BOURNE 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 50.00
	AGGREGATE YTD-- $ 500.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DAVID GOLDFARB 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 220.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
THEODORE C. BAER III 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 370.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JOHN R. HUBER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
THOMAS N. MARINO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 50.00
	AGGREGATE YTD-- $ 500.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ANTHONY A. NASH 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ALFRED GROTELL 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 75.00
	AGGREGATE YTD-- $ 800.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
VINCENT FASANO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JON P. WALKER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DAVID A. SCHWADRON 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 48.00
	AGGREGATE YTD-- $ 352.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
BETH L. ROWLEY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 880.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DONALD S. SWIFT 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
WILLIAM D. MACALUSO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 210.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
PAUL EDWARDS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
HAROLD W. ZEITLIN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
PATRICK J. DEAN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JOHN P. MURRAY, JR. 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
HARVEY M. KRUEGER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 330.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JEFFREY VANDERBEEK 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 880.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
BRUCE D. BRIER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JOSEPH A. LOFTUS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JOHN R. FLEMING 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
EDWARD B. DONOHUE 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		
FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
STANLEY CIEMNIECKI 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		
FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
WILLIAM J. MASTERSON 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		
FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
HAROLD TEICHMAN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
RICHARD A. MORRIS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 530.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
KAREN M. MULLER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ROBERT S. CALDWELL 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
RICHARD R. REDMOND 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
BETH A. BENSON 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JOSEPH J. CORCORAN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 15.00
	AGGREGATE YTD-- $ 210.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MARYANNE E. RASMUSSEN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
FRANK J. LUPO, JR. 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ROBERT GUGLIELMO 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
AGGREGATE YTD-- $ 440.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MICHAEL L. LEXTON 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
AGGREGATE YTD-- $ 1,100.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
PRASHANT P. VANKOORE 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
AGGREGATE YTD-- $ 330.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JEANNE M. KANE 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
AGGREGATE YTD-- $ 880.00			

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JEFFREY T. KILEY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 840.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DAVID J. LAVELLE 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
STEPHEN PETERS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
PAUL ZOIDIS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MARK L. ZUSY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 820.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JAMES K. ASSELSTINE 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
SARA C. WALKER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JAMES R MONK 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 660.00		

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ROBERT C. COX 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 75.00
	AGGREGATE YTD-- $ 420.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MICHAEL GELBAND 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 760.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
THEODORE P. JANULIS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 880.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DAVID P. WINCHESTER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 980.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 435.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
PHILIP R. ERLANGER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 315.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ANGES L. MACDONALD 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 270.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
GLENN G. GEIST 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 465.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ANDREW M GARVEY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
	AGGREGATE YTD-- $ 330.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 270.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
GARY M. KILLIAN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
BRIAN R. ZIPF 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 2,100.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MICHAEL F. MCKEEVER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
LEONARD M. TEIFELD 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 880.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 480.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
LISA G. REYNOLDS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
PAUL G. TOBIN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
	AGGREGATE YTD-- $ 1,100.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
EDWIN G. FRANK III 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
	AGGREGATE YTD-- $ 440.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MARK K. LABEY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 120.00
	AGGREGATE YTD-- $ 880.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 360.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
WILLIAM E. LIGHTEN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
AGGREGATE YTD-- $ 440.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ERNEST G. GREEN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
AGGREGATE YTD-- $ 1,100.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
AMANDA R. DELK 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 75.00
AGGREGATE YTD-- $ 550.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
KERRY S. RUDY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 75.00
AGGREGATE YTD-- $ 550.00			

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 360.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
STEPHEN A. CLAIBORN 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
AGGREGATE YTD-- $ 790.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
MICHAEL R MILVERSTED 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 150.00
AGGREGATE YTD-- $ 1,100.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
TARUN JOTWANI 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
AGGREGATE YTD-- $ 240.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DAVID J. BRAND 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
AGGREGATE YTD-- $ 220.00			

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 300.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
STEVEN JACK CARLSON 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 69.00
	AGGREGATE YTD-- $ 506.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JOSEPH G. UZELAC 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 75.00
	AGGREGATE YTD-- $ 550.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ALAN A. SPARKS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 90.00
	AGGREGATE YTD-- $ 270.00		

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
PETER J. TAYLOR 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
	AGGREGATE YTD-- $ 220.00		

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 264.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JAMES H. GIBBS 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
AGGREGATE YTD-- $ 440.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
ROBERT C. LIEBER 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
AGGREGATE YTD-- $ 440.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
JAMES P. SMITH 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
AGGREGATE YTD-- $ 440.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
EDWARD B. BRECK 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 45.00
AGGREGATE YTD-- $ 330.00			

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 225.00

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ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
J.W. RUSSELL 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 75.00
AGGREGATE YTD-- $ 550.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
GUY J. MURRAY 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 30.00
AGGREGATE YTD-- $ 220.00			

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
DEIRDRE J. O'DONNELL 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	10/31/97	$ 60.00
AGGREGATE YTD-- $ 440.00			

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 165.00
TOTAL THIS PERIOD .....$ 12,079.82

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc. C00127670

A. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
First Union National Bank of Washington, D.C. Capitol Management Group One First Union Center 1164 Charlotte, NC 28288	Interest earned on bank account	10/31/97	259.71
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $2,539.26		
B. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
C. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
D. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
E. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
F. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
G. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		

SUBTOTAL of Receipts This Page (optional)	259.71
TOTAL This Period (last page this line number only)	259.71

FEC Form 3X

SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page	PAGE 1 OF 1
	FOR LINE NUMBER 21(b)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)
Action Fund of Lehman Brother Holdings Inc. C00127670

A. Full Name, Mailing Address and ZIP Code First Union Bank of Washington, D.C. 740 15th Street, N.W. Washington, D.C. 10005-1097	Purpose of Disbursement Bank Services Charges Disbursement for: Primary General Other (specify)	Date (month, day, year) 10/10/97	Amount of Each Disbursement This Period 6.66
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: Primary General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: Primary General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: Primary General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: Primary General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: Primary General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: Primary General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: Primary General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: Primary General Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)	6.66
TOTAL This Period (last page this line number only)	6.66

FE6ANH36

SCHEDULE B ITEMIZED DISBURSEMENTS LINE NUMBER 23

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Action Fund of Lehman Brothers Holdings Inc. C-00127670

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Maloney for Congress 331 E. St., NE Washington, DC 20002	Contrib. to House cand. Carolyn Maloney, 14/NY YTD: $ 1,000.00 DISBURSEMENT FOR: Primary '98	10/07/97 $	500.00

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Friends for Rick White P.O. Box 8156 Kirkland, WA 98034	Contrib. to House cand. Rick White, 01/WA YTD: $ 1,500.00 DISBURSEMENT FOR: Primary '98	10/07/97 $	500.00

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Bob Kerrey for U.S. Senate 425 8th St., #1143 Washington, DC 20004	Contrib. to Senate cand. Bob Kerry, NE YTD: $ 2,000.00 DISBURSEMENT FOR: Primary '00	10/14/97 $	1,000.00

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Lazio for Congress 4451 Brookfield Corp. Dr., #200 Chantilly, VA 20151	Contrib. to House cand. Rick Lazio, 02/NY YTD: $ 1,000.00 DISBURSEMENT FOR: Primary '98	10/14/97 $	500.00

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Weller for Congress P.O. Box 687 Morris, IL 60450	Contrib. to House cand. Jerry Weller, 11/IL YTD: $ 2,000.00 DISBURSEMENT FOR: Primary '98	10/14/97 $	500.00

SUBTOTAL OF DISBURSEMENTS THIS PAGE ..... $ 3,000.00

SCHEDULE B ITEMIZED DISBURSEMENTS LINE NUMBER 23

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Action Fund of Lehman Brothers Holdings Inc. C-00127670

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Castle for Congress P.O. Box 133 Wilmington, DE 19899	Contrib. to House cand. Michael Castle, 01/DE YTD: $ 2,000.00 DISBURSEMENT FOR: Primary '98	10/20/97	$ 1,000.00

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Friends of Sherrod Brown 111 Edgefield Dr. Elyria, OH 44035	Contrib. to House cand. Sherrod Brown, 13/OH YTD: $ 1,000.00 DISBURSEMENT FOR: Primary '98	10/20/97	$ 500.00

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Falcon for Congress P.O. Box 101018 San Antonio, TX 78201	Contrib. to House cand. Armando Falcon, 20/TX YTD: $ 1,000.00 DISBURSEMENT FOR: Primary '98	10/20/97	$ 1,000.00

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
People Supporting Tom Daschle 424 C St., NE, 1st Fl. Washington, TX 20002	Contrib. to Senate cand. Tom Daschle, SD YTD: $ 3,000.00 DISBURSEMENT FOR: Primary '98	10/20/97	$ 1,000.00

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Kay Bailey Hutchison for Sen Cmte P.O. Box 9190 Dallas, TX 75209	Contrib. to Senate cand. Kay Bailey Hutchison, TX YTD: $ 1,000.00 DISBURSEMENT FOR: Primary '00	10/20/97	$ 1,000.00

SUBTOTAL OF DISBURSEMENTS THIS PAGE	$	4,500.00
TOTAL THIS PERIOD	$	7,500.00

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was recived.

☐	Hand Delivered	Date of Receipt
☐	First Class Mail	POSTMARKED
☑	Registerd/Certified Mail	POSTMARKED 11-18-97
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	

Jmlj
PREPARER

11-21-97
DATE PREPARED

(7/97)