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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

FORIWI 3X	For Other Than An Aut	thorized Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Caterpillar Inc. Politic	cal Action Committee (CATPAC)	
ADDRESS (number and street)	510 Lake Cook Road		
Check if different than previously reported. (ACC)	Deerfield		IL 60015 -
2. FEC IDENTIFICATION	NUMBER ▼ CIT	TY▲	STATE ▲ ZIP CODE ▲
C C00148031		S THIS NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15	Report Due On:	20 (M2) May 20 (M3) T 20 (M3) Jun 20 (M6) 20 (M4) Jul 20 (M7)) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
Quarterly Report July 15 Quarterly Report October 15 Quarterly Report	(Q2) (C) 12-Day PRE-Election Report for the:	Primary (12P) Convention (12C)	General (12G) Runoff (12R) Special (12S) in the
January 31 Year-End Report July 31 Mid-Year Report (Non-elec Year Only) (MY) Termination Report	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
(TER)	Election	on on	in the State of
5. Covering Period	02	through 02	28 2021
I certify that I have examined Type or Print Name of Treasu	James, Danielle, , ,	f my knowledge and belief it is	rue, correct and complete.
Signature of Treasurer	mes, Danielle, , ,	[Electronically Filed]	Date 03 / 11 / 2021
NOTE: Submission of false, err	oneous, or incomplete informatio	on may subject the person signing	this Report to the penalties of 52 U.S.C. § 30109
Office Use Only			FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

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Write or Type Committee Name

Caterpillar Inc. Political Action Committee (CATPAC)

Report Covering the Period: From: 02 01 2021 To: 02 28 2021

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2021		170185.17
	(b) Cash on Hand at Beginning of Reporting Period	253033.58	
	(c) Total Receipts (from Line 19)	60838.78	124101.27
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	313872.36	294286.44
7.	Total Disbursements (from Line 31)	1140.07	- 18445.85
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	312732.29	312732.29
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Caterpillar Inc. Political Action Committee (CATPAC)

02 01 2021 02 28 2021 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 34143.95 54891.50 (i) Itemized (use Schedule A)..... 26692.87 69206.21 (ii) Unitemized (iii) TOTAL (add 124097.71 60836.82 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 124097.71 60836.82 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... 0.00 0.00 17. Other Federal Receipts 3.56 (Dividends, Interest, etc.)..... 1.96 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3)..... 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))......▶ 124101.27 60838.78 20. Total Federal Receipts 60838.78 124101.27 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schoolule H4)		
Activity (from Schedule H4) (i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	13.50	27.30
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	13.50	27.30
Transfers to Affiliated/Other Party Committees	0.00	0.00
Contributions to Federal Candidates/Committees and Other Political Committees	0.00	- 5000.00
Independent Expenditures	4 4	
(use Schedule E)	0.00	0.00
(use Schedule F)	0.00	0.00
6. Loan Repayments Made	0.00	0.00
7. Loans Made	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	126.57	326.85
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	126.57	326.85
Other Disbursements (Including		
Non-Federal Donations)	1000.00	- 13800.00
 Federal Election Activity (52 U.S.C. § 30101(20) (a) Allocated Federal Election Activity (from Schedule H6) 	0))	
(i) Federal Share	0.00	0.00
(ii) "Levin" Share(b) Federal Election Activity Paid	0.00	0.00
Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
. Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	1140.07	- 18445.85
2. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	1140.07	- 18445.85

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)	of Disbursements	Page 5 COLUMN B Calendar Year-to-Date		
III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period			
33. Total Contributions (other than loans) (from Line 11(d), page 3)	60836.82	124097.71		
34. Total Contribution Refunds (from Line 28(d))	126.57	326.85		
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	60710.25	123770.86		
86. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	13.50	27.30		
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00		
88. Net Operating Expenditures (subtract Line 37 from Line 36)	13.50	27.30		

FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-320659 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 126.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 252.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Aiken, Kathryn, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-320384 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alger, Matt, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 26 2021 PO Box 610 City State Zip Code Transaction ID: A2021-321153 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 169.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 338.80 Other (specify) 712.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ames, Sean, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-320729 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 175.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 350.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Mat, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City State Zip Code Transaction ID : A2021-321151 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 199.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 398.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bailey, Doug, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 26 2021 Suite 4000 City State Zip Code Transaction ID: A2021-321007 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 153.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Territory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 306.64 Other (specify) 527.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

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(check only one)								
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Balkema, Chris, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2021 City State Zip Code Transaction ID: A2021-320705 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 174.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Supply Manager III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 348.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-320386 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 126.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 252.58 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bennes, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue 26 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-320818 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 243.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 486.96 Other (specify) 544.25 SUBTOTAL of Receipts This Page (optional).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beveridge, Sandra, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City Zip Code State Transaction ID: A2021-320798 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 245.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Logistics Mgmt Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 491.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bloch, Stephen, E,, Date of Receipt Mailing Address 3701 South Street 2021 City State Zip Code Transaction ID : A2021-320781 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 155.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 311.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bonfield, Andrew, , , Date of Receipt Mailing Address 510 Lake Cook Road 26 2021 City State Zip Code Transaction ID: A2021-321317 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **CFO** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) 817.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Breitschwerdt, Otto, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2021 City Zip Code State Transaction ID: A2021-321231 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bromm, Jaron, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-320256 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 234.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 468.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 26 2021 City Zip Code State Transaction ID: A2021-321268 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 154.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 308.68 Other (specify) 805.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carney, Rob, , , III Date of Receipt Mailing Address 600 S. Second Street Suite 101 2021 City State Zip Code Transaction ID: A2021-320478 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 164.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 329.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-320252 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 216.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 433.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chen, Leo, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 26 2021 City State Zip Code Transaction ID : A2021-320451 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 187.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 376.38 Other (specify) 569.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chu, Maggie, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-321111 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Segment HR Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clements, Mary, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-320849 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digtl & Glb Transformation Dir Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cole, Keri, , , Date of Receipt Mailing Address 1600 Progress Drive 26 2021 P.O. Box 1037 City State Zip Code Transaction ID: A2021-320407 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 983.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coleman, Jerry, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City Zip Code State Transaction ID: A2021-320306 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cone, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID : A2021-320627 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 129.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Comp Sr. Project Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 258.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Daw, Andy, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 26 2021 Bldg A City State Zip Code Transaction ID: A2021-321324 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Procurement** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 679.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dunbar, Nathan, , , Date of Receipt Mailing Address 3701 South Street 2021 City Zip Code State Transaction ID: A2021-321217 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 175.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 351.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Earle, Kevin, C, , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-320412 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 235.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 471.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 26 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-320854 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 245.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 490.02 Other (specify) 656.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Evans, Mike, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City Zip Code State Transaction ID: A2021-320891 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 173.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 348.51 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ferguson, Steve, M, , Date of Receipt Mailing Address 6800 Doerr Lane 2021 City State Zip Code Transaction ID : A2021-320784 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Finn, Bart, , , Date of Receipt Mailing Address 100 NE Adams 26 2021 City State Zip Code Transaction ID: A2021-320616 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 168.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 336.90 Other (specify) 758.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flannery, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-321128 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 185.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 370.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Flynn, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-320922 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Rental Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Frake, Tom, G, Date of Receipt Mailing Address 100 NE Adams 26 2021 City State Zip Code Transaction ID: A2021-321079 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 851.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gentry-Stuenkel, Wendi, , , Date of Receipt Mailing Address 540 W. Madison Street Floor 3 2021 City Zip Code State Transaction ID: A2021-320808 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Integrated Logistics** Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Giesfeldt, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 2021 PO Box 500 City State Zip Code Transaction ID : A2021-320886 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 190.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 383.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ginzel, Jon, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 26 2021 City Zip Code State Transaction ID: A2021-321296 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 113.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mgr Central Human Resources Receipt For: Aggregate Year-to-Date ▼ Primary General 227.12 Other (specify) 721.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 18 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Girard, Kristin, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-320434 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Glawe, Regg,,, Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg E City State Zip Code Transaction ID : A2021-320777 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 368.58 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Guilford, Jerome, , , Date of Receipt Mailing Address 400 Regency Forest Drive 26 2021 Suite 400 City State Zip Code Transaction ID: A2021-320305 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager BHL/TH Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) 1017.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gustafson, Ryan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-320505 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 123.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager EXD Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 235.29 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gutgesell, Peg, Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID : A2021-320758 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 39.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Principal IT Architect Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 207.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Halloran, Jim, , , Date of Receipt Mailing Address 100 NE Adams 26 2021 City State Zip Code Transaction ID: A2021-320315 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Western Region Manager State Governi Receipt For: Aggregate Year-to-Date ▼ Primary General 368.58 Other (specify) 347.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hanback, Corey, B, Date of Receipt Mailing Address 11601 93rd Ave N 2021 City Zip Code State Transaction ID: A2021-321264 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 160.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 18 2021 City State Zip Code Transaction ID : A2021-225553 Fort Worth TX 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hart, Andrea, , , Date of Receipt Mailing Address 2120 West End Avenue 26 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-320814 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 174.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 348.24 Other (specify) 459.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 18 2021 City Zip Code State Transaction ID: A2021-225529 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 209.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP/CMO LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 810.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hays, Katie, , , Date of Receipt Mailing Address Suite 400 2021 1425 K Street City State Zip Code Transaction ID : A2021-320387 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 194.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Intn'l & Fed Gov Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 388.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Heiar, Keith, J., Date of Receipt Mailing Address 501 SW Jefferson 26 2021 City State Zip Code Transaction ID: A2021-320391 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 167.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 335.76 Other (specify) 571.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heminger, Pamela, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City Zip Code State Transaction ID: A2021-321232 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henderson, Dan, E,, Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg A City State Zip Code Transaction ID : A2021-320924 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Innovation & Emerging Tech Dtr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 26 2021 Suite 4000 City State Zip Code Transaction ID: A2021-320192 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 184.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Integrated Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 368.06 Other (specify) 800.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hillman, Jonathan, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-320369 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 217.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 434.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hoeft, David, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City State Zip Code Transaction ID : A2021-320970 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 194.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 388.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hutt, Joe, , , Date of Receipt Mailing Address 812 W Washington Street 26 2021 City State Zip Code Transaction ID: A2021-320334 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 185.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 370.46 Other (specify) 596.86 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-320935 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 201.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 403.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-321309 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 230.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 461.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Johnson, Brad, , , Date of Receipt Mailing Address 510 Lake Cook Road 26 2021 City State Zip Code Transaction ID: A2021-320648 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Mgr Adv Comp Mfg Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 632.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-320698 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Human Resources Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Craig, , , Date of Receipt Mailing Address 2200 Pacific Highway 2021 City State Zip Code Transaction ID : A2021-321287 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 26 2021 City State Zip Code Transaction ID: A2021-320988 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Group President** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 1250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2021 City Zip Code State Transaction ID: A2021-320385 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kibby, Steve, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City State Zip Code Transaction ID : A2021-321023 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 225.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Knepp, Wes, , , Date of Receipt Mailing Address 100 NE Adams 26 2021 City State Zip Code Transaction ID: A2021-321245 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Labor & Employee Relations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 368.58 Other (specify) 826.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 2021 City State Zip Code Transaction ID: A2021-320666 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 104.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Solutions Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 209.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kurumbailmadam, Sankar, , Date of Receipt Mailing Address 500 N Morton Ave 2021 City State Zip Code Transaction ID : A2021-320518 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lagacy, Julie, , , Date of Receipt Mailing Address 812 W Washington Street 26 2021 City State Zip Code Transaction ID: A2021-320378 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 671.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Primary

Other (specify)

General

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 28 OF Use separate schedule(s) (check only one) ITEMIZED RECEIPTS for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name LaHood, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-320925 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 176.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Finance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 352.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lautzenheiser, Scott, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-320527 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 195.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼

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Full Name of Individual (Last, First, Middle In Lohmeier, Larry, , ,	Date of Receipt		
Mailing Address 3701 State Road 26 East	02 26 2021		
City	State	Zip Code	Transaction ID : A2021-320444
Lafayette	IN	47905	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		188.76
Name of Employer (for Individual)	Occupa	ation (for Individual)	Memo Item
Caterpillar Inc.	Engine	ering Manager-3	
Receipt For: Primary General Other (specify)			
UDTOTAL of Descipts This Descriptions (autisms)			560.00

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-321038 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Legal Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Martin, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-320812 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 140.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 280.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Martin, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 26 2021 City State Zip Code Transaction ID: A2021-320972 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) 973.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matheny, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-321024 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 215.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 430.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCartney, Sandi, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 **Building CV** City State Zip Code Transaction ID : A2021-320516 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 218.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 436.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGuire, Andy, A, Date of Receipt Mailing Address 200 NE Adams Street 26 2021 City State Zip Code Transaction ID: A2021-321328 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 849.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-320397 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 832.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-321142 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 149.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager II-Export Controls Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 298.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W Washington Street 26 2021 City Zip Code State Transaction ID: A2021-321002 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 150.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 300.10 Other (specify) 715.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mooney, Martin, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-321144 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 168.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 336.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Jann, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-320255 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 128.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 257.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Rich, , , Date of Receipt Mailing Address 510 Lake Cook Road 26 2021 City State Zip Code Transaction ID: A2021-320469 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 497.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moss, Jarrod, , , Date of Receipt Mailing Address 6000 W. Caterpillar Trail 2021 City Zip Code State Transaction ID: A2021-320258 ΑZ Green Valley 85614 Amount of Each Receipt this Period FEC ID number of contributing C 175.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 352.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myers, Chris, , , Date of Receipt Mailing Address Suite 400 2021 1425 K Street City State Zip Code Transaction ID : A2021-320712 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 308.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Government Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 617.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nguyen, Chau, , , Date of Receipt Mailing Address 540 W. Madison Street 26 2021 Floor 3 City State Zip Code Transaction ID: A2021-320696 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 115.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 230.48 Other (specify) 599.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd Tech Center Bldg K 2021 City Zip Code State Transaction ID: A2021-320358 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 168.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 336.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Osterfeld, Scott, , , Date of Receipt Mailing Address 3450 Executive Way 2021 City State Zip Code Transaction ID : A2021-320531 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing 185.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 371.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 26 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-320820 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 206.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 412.54 Other (specify) 560.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Paternoga, Bob, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-320641 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pellette, Tom, , , Date of Receipt Mailing Address 2200 Pacific Hwy 2021 City State Zip Code Transaction ID : A2021-321083 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Potts, Rachel, , , Date of Receipt Mailing Address 510 Lake Cook Road 26 2021 City State Zip Code Transaction ID: A2021-320768 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Enterprise Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 1033.34 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Quigg, Jim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-320321 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Product Source Plng. & RE Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rassi, A.J., , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg A City State Zip Code Transaction ID : A2021-321302 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy & Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rea, David, M, Date of Receipt Mailing Address 875 W Cushing Street 26 2021 City State Zip Code Transaction ID: A2021-320977 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Mining Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) 943.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ringel, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City Zip Code State Transaction ID: A2021-320787 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 160.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Packaging Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 321.58 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rodawold, Joseph, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code Transaction ID : A2021-320372 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 161.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 322.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roethler, Mary, , , Date of Receipt Mailing Address 100 NE Adams 26 2021 City State Zip Code Transaction ID: A2021-321147 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 196.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 393.82 Other (specify) 519.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rooney, Jim, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-320322 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 2021 1425 K Street City State Zip Code Transaction ID : A2021-321178 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 334.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Saad, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 26 2021 City State Zip Code Transaction ID: A2021-320294 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 213.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 427.98 Other (specify) 797.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Salahuddin, Jihad, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-320312 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 156.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Venture Capital Investmt Mg Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 312.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Santilli, Stefano, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID : A2021-320865 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 198.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk Analytics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 396.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Seely, Mary, , , Date of Receipt Mailing Address 6800 Doerr Lane 26 2021 City Zip Code State Transaction ID: A2021-321148 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 349.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 699.06 Other (specify) 704.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Serdah, Zade, , , Date of Receipt Mailing Address 875 W Cushing Street 2021 City Zip Code State Transaction ID: A2021-321261 ΑZ 85745 Tucson Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Staff Acct II Cost / DS Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shankwitz, Phil, , , Date of Receipt Mailing Address 600 Balderson Blvd PO Box 6 2021 City State Zip Code Transaction ID : A2021-320571 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 196.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 393.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sheffield, Maria, , , Date of Receipt Mailing Address 2120 West End Avenue 26 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-321122 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 210.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Insurance Services Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 420.28 Other (specify) 607.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shurman, Rod, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2021 City State Zip Code Transaction ID: A2021-320490 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 351.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 702.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2021 Suite 4000 City State Zip Code Transaction ID : A2021-321173 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 180.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Smith, Mary, , , Date of Receipt Mailing Address 653 Roden Ave 18 2021 City State Zip Code Transaction ID: A2021-225539 AL Boaz 35957-4923 Amount of Each Receipt this Period FEC ID number of contributing C 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 366.57 Other (specify) 653.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 2021 City Zip Code State Transaction ID: A2021-321242 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 153.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Project Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 307.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sonnemaker, Brian, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID : A2021-320902 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group CIO IT Engagement Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 26 2021 City State Zip Code Transaction ID: A2021-320446 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 150.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Chief Economist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 301.18 Other (specify) 554.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Staley, Daniel, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City Zip Code State Transaction ID: A2021-320938 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 157.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 314.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stanley, Jim, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2021 Bldg E City State Zip Code Transaction ID : A2021-320325 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 114.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 229.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Starr, Jim, , , Date of Receipt Mailing Address 875 W Cushing Street 26 2021 City State Zip Code Transaction ID: A2021-320326 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 192.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Solutions Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 384.92 Other (specify) 464.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-320419 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 155.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 310.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevens, Janet, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City State Zip Code Transaction ID : A2021-320254 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 160.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 321.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 26 2021 City State Zip Code Transaction ID : A2021-320651 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 148.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 297.78 Other (specify) 465.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stinson, John, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-320362 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 187.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID : A2021-320903 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 121.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 243.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stone, Jonathan, , , Date of Receipt Mailing Address 27Th & Pershing Rd 26 2021 PO Box 1430 City State Zip Code Transaction ID: A2021-320370 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 157.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 315.92 Other (specify) 467.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 2021 City Zip Code State Transaction ID: A2021-321139 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM IPSD Large Engines** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Strother, Art, , , Date of Receipt Mailing Address 100 NE Adams 2021 Cileungsi Bogor City State Zip Code Transaction ID : A2021-321340 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 164.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 329.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive 26 2021 **Building CV** City State Zip Code Transaction ID: A2021-320933 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 224.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 448.82 Other (specify) 805.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sundell, Dan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-320931 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 136.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 273.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Taluc, Chris, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID : A2021-320716 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 161.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Portfolio Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 323.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Taphorn, Matt, , , Date of Receipt Mailing Address 100 Tractor Drive 26 2021 **Building CV** City State Zip Code Transaction ID: A2021-321168 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 125.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Division CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 251.64 Other (specify) 424.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thompson, Clay,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-320825 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Tobias, Mitch, , , Date of Receipt Mailing Address 100 Tractor Drive 2021 Building CV Dock CV City State Zip Code Transaction ID : A2021-321211 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy & Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Tomlins, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 26 2021 **Building CV** City State Zip Code Transaction ID : A2021-320231 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 168.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Value Chain Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 336.36 Other (specify) 709.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tyre, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-320628 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 222.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Umpleby, Jim, , , III Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-320329 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CEO Chairman of the Board Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 26 2021 PO Box 610 City State Zip Code Transaction ID: A2021-321042 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 858.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vanek, Jeff, , , Date of Receipt Mailing Address 100 NE Adams Suite 902 2021 City Zip Code State Transaction ID: A2021-320288 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 143.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 286.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Varghese, Asha, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-320604 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 197.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **President Cat Foundation** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 395.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Walters, Tom, , , Date of Receipt Mailing Address 600 S. Second Street 26 2021 Suite 101 City State Zip Code Transaction ID: A2021-321087 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 240.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. US State & Americas GGCA Dir. Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 580.56 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-320965 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-320270 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Caterpillar Defense** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Waters, Bill, , , Date of Receipt Mailing Address 100 NE Adams 26 2021 City State Zip Code Transaction ID: A2021-320636 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 163.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Risk & Compliance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 318.37 Other (specify) 997.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weiss, Karl, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2021 City State Zip Code Transaction ID: A2021-320383 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Whiteley, Douglas, , , Date of Receipt Mailing Address 2416 Mid-America Industrial Dr 2021 City State Zip Code Transaction ID : A2021-321016 MO Boonville 65233-2635 Amount of Each Receipt this Period FEC ID number of contributing 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 368.58 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wilkerson, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 26 2021 Bldg A City State Zip Code Transaction ID: A2021-320289 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 152.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 304.54 Other (specify) 753.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wisher, Jessica, , , Date of Receipt Mailing Address 3000 North 27th Street 2021 City Zip Code State Transaction ID: A2021-320310 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 162.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Value Stream Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 324.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Withers, Chad, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID : A2021-320689 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Tax Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 18 2021 City State Zip Code Transaction ID : A2021-225527 GΑ Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 79.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 237.54 Other (specify) 657.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wurtzbacher, Corey, , , Date of Receipt Mailing Address 100 Sanford Street 2021 City Zip Code State Transaction ID: A2021-320910 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-320945 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 174.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 349.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 591.65 SUBTOTAL of Receipts This Page (optional).....

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)		FOR LINE NUMBER: PAGE 55 OF 57 (check only one)						
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b x 28a	22 23 26 27 28b 28c 29 30b						
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NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Com	nmittee (CATPAC)								
Full Name (Last, First, Middle Initial) A. Tilly, Brad, , ,	Date of Disbursement								
Mailing Address 13455 N. Trailing Indigo Ct	Mailing Address 13455 N. Trailing Indigo Ct								
Oro Valley	State Zip Code AZ 85755		FEC Identification Number						
Purpose of Disbursement Refund to Employee Candidate Name		010 Category/	Transaction ID : B784803 Amount of Each Disbursement this Period						
Senate	nent For: 2021 Primary General	Type	Amount of Each Disbursement this Period 92.32 Memo Item						
State: President x	Other (specify) ▼ Not Applicable								
B. Ostrowski, Edward, , , Mailing Address 684 W. Camino del Bondadoso			Date of Disbursement O2 25 2021						
City Green Valley Purpose of Disbursement Refund to Employee	010	FEC Identification Number							
Candidate Name		Category/ Type	Transaction ID: B784806 Amount of Each Disbursement this Period						
Office Sought: House Disbursen		20.00 Memo Item							
Full Name (Last, First, Middle Initial) C. Kirkpatrick, Vance, , ,	· · · · · · · · · · · · · · · · · · ·								
Mailing Address 2703 Piney Run		02 25 2021							
City Bloomington Purpose of Disbursement	Bloomington IL 61705								
Refund to Employee Candidate Name	Transaction ID: B784804 Amount of Each Disbursement this Period								
Office Sought: House Disbursen Senate President		10.00 Memo Item							
State: District:									
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only)			122.32						

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	Caterpillar I	nc. Political Action Co	mmittee ((CATPAC)												
_	Full Name (Last, First, Middle Initial)							Data of Bishamanant								
A.	Kolmer, John, , ,								Date of Disbursement							
	Mailing Address 808 W. Kensington Dr.								02 25 2021							
	City		State	Zip Code			FEC Id	lentif	ication	Nur	nber					
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	Candidate Name				Categor	y/					B78480 urseme	ent this F	Period			
	Office Sought:	House Disburse	amont For:	2004	Type				_	_		4.2	5			
	Office Sought.	Senate	Primary	nent For: 2021 Primary General			7.20									
		President	Other (spec	cify) ▼			□ Me	emo	Item							
	State:	District:		Not Applicable	•		Ц									
В.	Full Name (Last, First, Middle Initial)							Date of Disbursement								
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