



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

March 15, 2016

LISA LISKER, TREASURER  
GARDNER FOR COLORADO  
9227 E LINCOLN AVE #200-234  
LONE TREE, CO 80124

**Response Due Date**

**04/19/2016**

IDENTIFICATION NUMBER: C00541383

REFERENCE: OCTOBER QUARTERLY REPORT (07/01/2015 - 09/30/2015)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 1 item(s):

- Schedule A of your report (see attached) discloses contributions which appear to exceed the limits set forth in the Act. Please be advised that 11 CFR §102.17(c)(5) prohibits a Joint Fundraising Committee from receiving contributions greater than the combined applicable limits of the participants as set forth under 11 CFR 110.1 and 110.2. The amounts disclosed on the attached chart appear greater than the total amount the two participant committees of the Joint Fundraising Committee can accept.

Please provide clarifying information regarding this possible discrepancy. If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information. If the current Statement of Organization (FEC Form 1) fails to list all participants of the Joint Fundraising Committee, you must amend the Statement of Organization in order to show all participants.

If any contribution you received exceeds the limits, you may have to refund the excessive amount. The funds can be retained if within 60 days of receipt, (1) the excessive amount is properly reattributed to another person, such as a joint account holder, by obtaining signed written authorizations from each person making the contribution pursuant to 11 CFR 110.1(k)(3), and (2) the treasurer informs the person making the contribution that he or she may request the return of the excessive portion of the contribution if it is not intended to be a

GARDNER FOR COLORADO

Page 2 of 3

joint contribution. Any request from a donor for a refund must be honored.

Alternatively, the funds can be retained if within 60 days of receipt you (1) transferred the excessive amount to an account not used to influence federal elections, and (2) provided written notice to the person making the contribution of the option of receiving a refund. Any request from a donor for a refund must be honored.

If the foregoing conditions for reattributions or transfers to a non-federal account were not met within 60 days of receipt, the excessive amount must be refunded.

Please inform the Commission of your corrective action promptly in writing and provide a photocopy of your check for any transfer-out or refund. In addition, any reattributions should be reported as memo entries on Schedule A of the report covering the period during which the authorization for the reattribution is received. Any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report during which the transaction was made.

Although the Commission may take further legal action regarding the acceptance of an excessive contribution(s), prompt action by your committee to seek reattribution, transfer-out or refund the excessive amount will be taken into consideration.

**Please note, you will not receive an additional notice from the Commission on this matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1185.

GARDNER FOR COLORADO

Page 3 of 3

Sincerely,

A handwritten signature in black ink that reads "Samantha Hay". The script is cursive and fluid, with the first name "Samantha" being larger and more prominent than the last name "Hay".

Samantha Hay  
Campaign Finance Analyst  
Reports Analysis Division

332

**Impermissible, Excessive, and Prohibited Contributions  
Gardner for Colorado (C00541383)**

**Excessive Contributions from Individuals**

<b>Contributor Name</b>	<b>Date</b>	<b>Amount</b>	<b>Report</b>
Mr. Charles Edward McVaney	7/2/15	\$15,400.00	2015 October Quarterly
Robert Shapiro	8/2/15	\$5,000.00	2015 October Quarterly
Robert Shapiro	8/11/15	\$5,000.00	2015 October Quarterly
Robert Shapiro	8/18/15	\$5,000.00	2015 October Quarterly