# REPORT OF RECEIPTS AND DISBURSEMENTS

**For Other Than An Authorized Committee**
**(Summary Page)**

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Travelers Group Inc. Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
388 Greenwich Street

CITY, STATE and ZIP CODE
New York, NY 10013

FEB 19 11 42 AM '97

2. FEC IDENTIFICATION NUMBER
C00039305

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**4. TYPE OF REPORT**

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

☐ July 31 Mid Year Report (Non-election Year Only)

☐ Termination Report

Monthly Report Due On:

| | | |
|---|---|---|
| ☒ February 20 | ☐ June 20 | ☐ October 20 |
| ☐ March 20 | ☐ July 20 | ☐ November 20 |
| ☐ April 20 | ☐ August 20 | ☐ December 20 |
| ☐ May 20 | ☐ September 20 | ☐ January 31 |

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

| SUMMARY | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 5. Covering Period 01/01/97 through 01/31/97 | | |
| 6. (a) Cash on Hand January 1, 19 97 | | $ 35,667.24 |
| (b) Cash on Hand at Beginning of Reporting Period | $ 35,667.24 | |
| (c) Total Receipts (from Line 19) | $ 31,623.42 | $ 31,623.42 |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | $ 67,290.66 | $ 67,290.66 |
| 7. Total Disbursements (from Line 30) | $ 11,200.00 | $ 11,200.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | $ 56,090.66 | $ 56,090.66 |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | $ 0.00 | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | $ 0.00 | |

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

*I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.*

Type or Print Name of Treasurer
Daniel E. Rubenstein

Signature of Treasurer

Date 2/14/97

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**FEC FORM 3X**
(revised 9/93)

FE6AN135

# DETAILED SUMMARY PAGE
## OF RECEIPTS AND DISBURSEMENTS
### PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE C00039305
Travelers Group Inc. Political Action Committee

REPORT COVERING PERIOD FROM 01/01/97 TO: 01/31/97

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year | |
|---|---|---|---|
| 11. Contributions (other than loans) From: | | | |
| a. Individual/Persons Other Than Political Committees | | | |
| i. Itemized (use Schedule A) | 18,431.65 | 18,431.65 | 11(a)(i) |
| ii. Unitemized | 13,170.99 | 13,170.99 | 11(a)(ii) |
| iii. Total (add i and ii) ➤ | 31,602.64 | 31,602.64 | 11(a)(iii) |
| b. Political Party Committees | 0.00 | 0.00 | 11(b) |
| c. Other Political Committees (such as PACs) | 0.00 | 0.00 | 11(c) |
| d. Total Contributions (add a iii, b and c) ➤ | 31,602.64 | 31,602.64 | 11(d) |
| 12. Transfers From Affiliated/Other Party Committees | 0.00 | 0.00 | 12 |
| 13. All Loans Received | 0.00 | 0.00 | 13 |
| 14. Loan Repayments Received | 0.00 | 0.00 | 14 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) | 0.00 | 0.00 | 15 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees | 0.00 | 0.00 | 16 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | 20.78 | 20.78 | 17 |
| 18. Transfers from Nonfederal Account for Joint Activity | 0.00 | 0.00 | 18 |
| 19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤ | 31,623.42 | 31,623.42 | 19 |
| 20. Total Federal Receipts (subtract line 18 from line 19) ➤ | 31,623.42 | 31,623.42 | 20 |
| **II. Disbursements** | | | |
| 21. Operating Expenditures: | | | |
| a. Shared Federal/Non-Federal Activity (from Schedule H4) | | | |
| i. Federal Share | 0.00 | 0.00 | 21(a)(i) |
| ii. Non-Federal Share | 0.00 | 0.00 | 21(a)(ii) |
| b. Other Federal Operating Expenditures | 0.00 | 0.00 | 21(b) |
| c. Total Operating Expenditures (add a i, a ii, and b) ➤ | 0.00 | 0.00 | 21(c) |
| 22. Transfers to Affiliated/Other Party Committees | 0.00 | 0.00 | 22 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 3,500.00 | 3,500.00 | 23 |
| 24. Independent Expenditures (use Schedule E) | 0.00 | 0.00 | 24 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 | 25 |
| 26. Loan Repayments Made | 0.00 | 0.00 | 26 |
| 27. Loans Made | 0.00 | 0.00 | 27 |
| 28. Refunds of Contributions To: | | | |
| a. Individuals/Persons Other Than Political Committees | 0.00 | 0.00 | 28(a) |
| b. Political Party Committees | 0.00 | 0.00 | 28(b) |
| c. Other Political Committees (such as PACs) | 0.00 | 0.00 | 28(c) |
| d. Total Contribution Refunds (add a, b and c) ➤ | 0.00 | 0.00 | 28(d) |
| 29. Other Disbursements | 7,700.00 | 7,700.00 | 29 |
| 30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤ | 11,200.00 | 11,200.00 | 30 |
| 31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤ | 11,200.00 | 11,200.00 | 31 |
| **III. Net Contributions/Operating Expenditures** | | | |
| 32. Total Contributions (other than loans)(from line 11d) | 31,602.64 | 31,602.64 | 32 |
| 33. Total Contribution Refunds (from line 28d) | 0.00 | 0.00 | 33 |
| 34. Net Contributions (other than loans)(subtract line 33 from 32) | 31,602.64 | 31,602.64 | 34 |
| 35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤ | 0.00 | 0.00 | 35 |
| 36. Offsets to Operating Expenditures (from line 15) | 0.00 | 0.00 | 36 |
| 37. Net Operating Expenditures (subtract line 36 from 35) ➤ | 0.00 | 0.00 | 37 |

FE8AN135

--ITEMIZED RECEIPTS-- LINE NUMBER 11(a)(i)

ANY INFORMATION COPIED FROM SUCH REPORTS OR STATEMENTS MAY NOT BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR COMMERCIAL PURPOSES, OTHER THAN USING THE NAME AND ADDRESS OF ANY POLITICAL COMMITTEE TO SOLICIT CONTRIBUTIONS FROM SUCH COMMITTEE.

Travelers Group Inc. Political Action Committee

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| JEFFREY LANE<br>791 PARK AVE., #11A<br>NEW YORK, NY 10021 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>EXECUTIVE | 01/28/97 | $ 5,000.00 |
| | AGGREGATE YTD-- $ 5,000.00 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| MICHAEL A. CARPENTER<br>134 OTTER ROCK DR.<br>GREENWICH, CT 06830 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>EXECUTIVE VP | 01/31/97 | $ 166.67 |
| | AGGREGATE YTD-- $ 333.34 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| IRWIN ETTINGER<br>180 DOGWOOD LANE<br>STAMFORD, CT 06903 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>SR. VICE PRESIDENT | 01/31/97 | $ 166.67 |
| | AGGREGATE YTD-- $ 333.34 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| MARC WEILL<br>170 E. 87TH ST., WEST 11C<br>NEW YORK, NY 10128 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>CHIEF INVESTMENT OFCR. | 01/15/97 | $ 625.00 |
| | AGGREGATE YTD-- $ 625.00 | | |

SUBTOTAL OF RECEIPTS THIS PAGE ..... $ 5,958.34

--ITEMIZED RECEIPTS-- LINE NUMBER 11(a)(i)

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Travelers Group Inc. Political Action Committee

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| MARC WEILL<br>170 E. 87TH ST., WEST 11C<br>NEW YORK, NY 10128 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>CHIEF INVESTMENT OFCR. | 01/31/97 | $ 108.33 |
| | AGGREGATE YTD-- $ 733.33 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| MARJORIE MAGNER<br>137 CORLIES AVE.<br>PELHAM, NY 10803 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>PRESIDENT, CEE-CC | 01/15/97 | $ 208.33 |
| | AGGREGATE YTD-- $ 208.33 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| MARJORIE MAGNER<br>137 CORLIES AVE.<br>PELHAM, NY 10803 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>PRESIDENT, CEE-CC | 01/31/97 | $ 208.33 |
| | AGGREGATE YTD-- $ 416.66 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| ROBERT WILLUMSTAD<br>948 FIFTH AVE.<br>NEW YORK, NY 10021 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>CHAIRMAN, CEO-CFS | 01/15/97 | $ 208.33 |
| | AGGREGATE YTD-- $ 208.33 | | |

SUBTOTAL OF RECEIPTS THIS PAGE ..... $ 733.32

--ITEMIZED RECEIPTS-- LINE NUMBER 11(a)(i)

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Travelers Group Inc. Political Action Committee

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| ROBERT WILLUMSTAD<br>945 FIFTH AVE.<br>NEW YORK, NY 10021 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>CHAIRMAN, CEO-CFS | 01/31/97 | $ 208.33 |
| | AGGREGATE YTD-- $ 416.66 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| CHARLES J. CLARKE<br>57 SULKY LANE<br>GLASTONBURY, CT 06033 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>PRESIDENT, P.C.C.L. | 01/31/97 | $ 115.00 |
| | AGGREGATE YTD-- $ 230.00 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| SANFORD WEILL<br>444 ROUND HILL RD.<br>GREENWICH, CT 06831 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>CHAIRMAN & CEO | 01/15/97 | $ 208.33 |
| | AGGREGATE YTD-- $ 208.33 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| SANFORD WEILL<br>444 ROUND HILL RD.<br>GREENWICH, CT 06831 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>CHAIRMAN & CEO | 01/31/97 | $ 208.33 |
| | AGGREGATE YTD-- $ 416.66 | | |

SUBTOTAL OF RECEIPTS THIS PAGE ..... $ 739.99

--ITEMIZED RECEIPTS-- LINE NUMBER 11(a)(i)

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Travelers Group Inc. Political Action Committee

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| JOSEPH PLUMERI<br>1461 MARTINE AVE.<br>SCOTCH PLAINS, NJ 07076 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>CEO-P.F.S. | 01/20/97 | $ 5,000.00 |
| | AGGREGATE YTD-- $ 5,000.00 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| GEORGE ROSS<br>12 PLYMOUTH ROAD<br>RYE, NY 10580 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>EXECUTIVE VP | 01/31/97 | $ 125.00 |
| | AGGREGATE YTD-- $ 250.00 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| ROBERT LIPP<br>38 PARK ROAD<br>SCARSDALE, NY 10583 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>CHAIRMAN-T.I.C. | 01/31/97 | $ 166.67 |
| | AGGREGATE YTD-- $ 333.34 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| PETER DAWKINS<br>178 RUMSON ROAD<br>RUMSON, NJ 07760 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>CHAIRMAN-P.F.S. | 01/15/97 | $ 208.33 |
| | AGGREGATE YTD-- $ 208.33 | | |

SUBTOTAL OF RECEIPTS THIS PAGE ..... $ 5,500.00

ANY INFORMATION COPIED FROM SUCH REPORTS OR STATEMENTS MAY NOT BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR COMMERCIAL PURPOSES, OTHER THAN USING THE NAME AND ADDRESS OF ANY POLITICAL COMMITTEE TO SOLICIT CONTRIBUTIONS FROM SUCH COMMITTEE.

Travelers Group Inc. Political Action Committee

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| PETER DAWKINS<br>178 RUMSON ROAD<br>RUMSON, NJ 07760 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>CHAIRMAN-P.F.S. | 01/31/97 | $ 208.33 |
| | AGGREGATE YTD-- $ 416.66 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| LYNDON OLSON, JR.<br>3812 GREENLEAF DRIVE<br>WACO, TX 76710 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>PRESIDENT, P.L.I.C. | 01/31/97 | $ 125.00 |
| | AGGREGATE YTD-- $ 250.00 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| DONALD COOPER<br>714 MAIN ST., #2004<br>FORT WORTH, TX 76102 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>PRESIDENT, RDI | 01/29/97 | $ 5,000.00 |
| | AGGREGATE YTD-- $ 5,000.00 | | |

| FULL NAME AND ADDRESS | EMPLOYER | DATE | AMOUNT |
|---|---|---|---|
| CHARLES PRINCE III<br>100 VALLEY FORGE RD.<br>WESTON, CT 06883 | THE TRAVELERS GROUP INC.<br>OCCUPATION<br>GENERAL COUNSEL | 01/31/97 | $ 166.67 |
| | AGGREGATE YTD-- $ 333.34 | | |

SUBTOTAL OF RECEIPTS THIS PAGE ..... $ 5,500.00
TOTAL THIS PERIOD ..... $ 18,431.65

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Travelers Group Inc. Political Action Committee (TRAVPAC)

| FULL NAME, ADDRESS AND ZIP | PURPOSE | DATE | AMOUNT |
|---|---|---|---|
| Democratic Senatorial Campaign Cmte<br>430 S. Capitol St., SE<br>Washington, DC 20003 | Contrib. to Multi-cand.<br>Democratic Senatorial<br>Campaign Cmte (DSCC)<br>YTD: $ 2,500.00<br><br>DISBURSEMENT FOR: N/A | 01/24/97 | $ 2,500.00 |

| FULL NAME, ADDRESS AND ZIP | PURPOSE | DATE | AMOUNT |
|---|---|---|---|
| Friends of Jerry Solomon<br>P.O. Box 459<br>Saratoga Springs, NY 12866 | Contrib to House cand.<br>Gerald Solomon, 22/NY<br>YTD: $ 1,000.00<br><br>DISBURSEMENT FOR: Primary '98 | 01/24/97 | $ 1,000.00 |

SUBTOTAL OF DISBURSEMENTS THIS PAGE ..... $ 3,500.00
TOTAL THIS PERIOD ..... $ 3,500.00

ANY INFORMATION COPIED FROM SUCH REPORTS OR STATEMENTS MAY NOT BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR COMMERCIAL PURPOSES, OTHER THAN USING THE NAME AND ADDRESS OF ANY POLITICAL COMMITTEE TO SOLICIT CONTRIBUTIONS FROM SUCH COMMITTEE.

Travelers Group Inc. Political Action Comaittee (TRAVPAC)

| FULL NAME, ADDRESS AND ZIP | PURPOSE | DATE | AMOUNT |
|---|---|---|---|
| House Republican Campaign Cmte<br>606 North Michigan St.<br>Elmhurst, IL 60126 | Non-Federal contrib. to IL House Republican Campaign Cmte<br>YTD: $ 200.00<br><br>DISBURSEMENT FOR: N/A | 01/13/97 | $ 200.00 |
| Democratic Senate Campaign Cmte<br>111 Washington Ave.<br>Albany, NY 12210 | Non-Federal contrib. to New York Democratic Senate Campaign Cmte<br>YTD: $ 500.00<br><br>DISBURSEMENT FOR: N/A | 01/17/97 | $ 500.00 |
| New York Senate Rep. Campaign Cmte<br>P.O. Box 7229<br>Albany, NY 12224 | Non-Federal contrib. to New York Senate Republican Campaign Cmte<br>YTD: $ 1,000.00<br><br>DISBURSEMENT FOR: N/A | 01/24/97 | $ 1,000.00 |
| New York Republican County Cmte<br>45 E. 45th St., #230<br>New York, NY 10017 | Non-Federal contrib. to New York Republican County Cmte<br>YTD: $ 5,000.00<br><br>DISBURSEMENT FOR: N/A | 01/24/97 | $ 5,000.00 |
| Friends of Pataki<br>355 Lexington Ave., #1001<br>New York, NY 10017 | Non-Federal contrib. to New York Governor George Pataki<br>YTD: $ 1,000.00<br><br>DISBURSEMENT FOR: Primary '98 | 01/24/97 | $ 1,000.00 |

SUBTOTAL OF DISBURSEMENTS THIS PAGE ..... $ 7,700.00
TOTAL THIS PERIOD ..... $ 7,700.00

**Federal Election Commission**

# ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

| | | |
|---|---|---|
| ☐ | Hand Delivered | DATE OF RECEIPT |
| ☐ | First Class Mail | POSTMARKED |
| ☑ | Registered/Certified Mail | POSTMARKED 2-14-97 |
| ☐ | No Postmark | |
| ☐ | Postmark Illegible | |
| ☐ | Received from the House Office of Records and Registration | DATE OF RECEIPT |
| ☐ | Received from the Senate Office of Public Records | DATE OF RECEIPT |
| ☐ | Other (Specify): | POSTMARKED<br>and/or DATE OF RECEIPT |

PREPARER

2-19-97

DATE PREPARED

FEC FORM 70-2 (12/92)