Image# 14960545686 PAGE 1 / 38

FEC

REPORT OF RECEIPTS AND DISBURSEMENTS

FURIVI 3X	For Other Than An Auti	horized Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
The Caterpillar Inc. E	mployee Political Actio	on Committee	
ADDRESS (number and street)	100 N.E. Adams Street		
Check if different than previously reported. (ACC)	Peoria		IL
2. FEC IDENTIFICATION N	NUMBER ▼ CIT	YA	STATE ▲ ZIP CODE ▲
C C00148031		S THIS EPORT X (N) C	AMENDED (A)
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports:	Report Due On:	20 (M2) May 20 (20 (M3) Jun 20 (M	(Non-Election Year Only)
April 15		20 (M4) Jul 20 (M	
Quarterly Report July 15 Quarterly Report	(Q2) (C) 12-Day PRE-Election	Primary (12P)	General (12G) Runoff (12F
October 15 Quarterly Report	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report	(YE) Electio	n on	in the State of
July 31 Mid-Year Report (Non-elect Year Only) (MY)	ion (d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30
Termination Repo		n on	in the State of
5. Covering Period	02 01 2014	through 02	2 28 2014
I certify that I have examined	•	my knowledge and belief it i	s true, correct and complete.
Signature of Treasurer Mic	chael C. Morrison	[Electronically Filed]	Date 03 / 20 / 2014
NOTE: Submission of false, erro	oneous, or incomplete information	n may subject the person signi	ng this Report to the penalties of 2 U.S.C. §437g
Office Use Only			FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)
Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 02 01 2014 To: 02 28 2014

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2014		411382.79
	(b) Cash on Hand at Beginning of Reporting Period	374971.34	
	(c) Total Receipts (from Line 19)	53868.14	102470.80
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	428839.48	513853.59
7.	Total Disbursements (from Line 31)	-15987.58	69026.53
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	444827.06	444827.06
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 02	01 2014	To: 02 / 28 / 2014					
I. Receipts	I. Receipts COLUMN A Total This Period						
11. Contributions (other than loans) From: (a) Individuals/Persons Other							
Than Political Committees (i) Itemized (use Schedule A)	23862.88	34684.50					
(ii) Unitemized(iii) TOTAL (add	30001.81	67779.20					
Lines 11(a)(i) and (ii)▶	, 53864.69	102463.70					
(b) Political Party Committees (c) Other Political Committees	0.00	0.00					
(such as PACs)(d) Total Contributions (add Lines	0.00	0.00					
11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)▶	53864.69	102463.70					
12. Transfers From Affiliated/Other Party Committees	0.00	0.00					
13. All Loans Received	0.00	0.00					
14. Loan Repayments Received15. Offsets To Operating Expenditures	0.00	0.00					
(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00					
to Federal Candidates and Other Political Committees	0.00	0.00					
(Dividends, Interest, etc.)	3.45	7.10					
(from Schedule H3)	0.00	0.00					
(b) Levin Funds (from Schedule H5)	0.00	0.00					
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00					
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	53868.14	102470.80					
20. Total Federal Receipts (subtract Line 18(c) from Line 19)▶	53868.14	102470.80					

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	Total This Period					
Operating Expenditures: (a) Allocated Federal/Non-Federal	rotal fillo i circu	Calendar Year-to-Date				
Activity (from Schedule H4)						
(i) Federal Share	0.00	0.00				
(ii) N 5 1 101	0.00	0.00				
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00				
(b) Other Federal Operating Expenditures	12.42	26.53				
(c) Total Operating Expenditures	7					
(add 21(a)(i), (a)(ii), and (b))▶	12.42	26.53				
2. Transfers to Affiliated/Other Party						
Committees	0.00	0.00				
Federal Candidates/Committees and Other Political Committees	-15000.00	62000.00				
Independent Expenditures	7 7					
(use Schedule E)	0.00	0.00				
5. Coordinated Party Expenditures						
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00				
. <u>.</u>	0.00					
Loan Repayments Made	0.00	0.00				
7. Loans Made	0.00	0.00				
B. Refunds of Contributions To:						
(a) Individuals/Persons Other Than Political Committees	0.00	0.00				
(b) Political Party Committees	0.00	0.00				
(c) Other Political Committees		0.00				
(such as PACs)	0.00	0.00				
(d) Total Contribution Refunds						
(add Lines 28(a), (b), and (c))▶	0.00	0.00				
. Other Disbursements	-1000.00	7000.00				
Federal Election Activity (2 U.S.C. §431(20))						
(a) Allocated Federal Election Activity (from Schedule H6)						
(i) Federal Share	0.00	0.00				
()						
(ii) "Levin" Share	0.00	0.00				
(b) Federal Election Activity Paid Entirely						
With Federal Funds	0.00	0.00				
(c) Total Federal Election Activity (add	0.00	0.00				
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00				
. Total Disbursements (add Lines 21(c), 22,						
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	-15987.58	69026.53				
. Total Federal Disbursements						
(subtract Line 21(a)(ii) and Line 30(a)(ii)	****	20000 70				
from Line 31)	-15987.58	69026.53				

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	53864.69	102463.70
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	53864.69	102463.70
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	12.42	26.53
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	12.42	26.53

FOR LINE NUMBER: **PAGE** 6 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

38

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kent Adams Date of Receipt Mailing Address 2120 West End Avenue 2014 28 City Zip Code State Transaction ID: A2014-416187 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 454.54 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 454.54 Other (specify) Full Name (Last, First, Middle Initial) B. Ian Aguirre Date of Receipt Mailing Address Building H Dock 13 02 28 2014 City State Zip Code Transaction ID: A2014-415962 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 109.97 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 219.94 Other (specify) Full Name (Last, First, Middle Initial) c. Mary Bell Date of Receipt Mailing Address Regency Forest II 28 02 2014 City State Zip Code Transaction ID: A2014-416610 NC Cary 27511 Amount of Each Receipt this Period FEC ID number of contributing 454.54 С federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 454.54 Other (specify) 1019.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: **PAGE** 7 OF 38 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

ITEMIZED RECEIPTS 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robin Beran Date of Receipt Mailing Address 100 NE Adams 2014 28 City State Zip Code Transaction ID: A2014-416263 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Director of Global Tax & Trade Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. J. Blumenshine Date of Receipt Mailing Address 2120 West End Avenue 02 28 2014 City State Zip Code Transaction ID: A2014-416586 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas Bluth Date of Receipt Mailing Address 100 NE Adams 28 02 2014 City State Zip Code Transaction ID: A2014-416699 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 С federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) 1250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: **PAGE** Use separate schedule(s) (check only one) X 11a 11b 11c

8 OF 38

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Otto Breitschwerdt Date of Receipt Mailing Address Regency Forest II 2014 28 City Zip Code State Transaction ID: A2014-416709 NC Cary 27511 Amount of Each Receipt this Period FEC ID number of contributing C 192.01 federal political committee. Name of Employer Occupation Caterpillar Inc. DirectorSupply Chain Mgmt. Receipt For: Aggregate Year-to-Date ▼ Primary General 384.02 Other (specify) Full Name (Last, First, Middle Initial) B. James Buda Date of Receipt Mailing Address 100 NE Adams 02 28 2014 City State Zip Code Transaction ID: A2014-416408 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 454.54 federal political committee. Name of Employer Occupation Caterpillar Inc. Exec. VP Law & Public Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 554.54 Other (specify) Full Name (Last, First, Middle Initial) c. Richard Burritt Date of Receipt Mailing Address 500 N Morton Ave 28 02 2014 City State Zip Code Transaction ID: A2014-416361 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 196.76 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Technology Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 393.52 Other (specify) 843.31 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 9 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

38

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Bynum Date of Receipt Mailing Address 2120 West End Avenue 2014 28 City Zip Code State Transaction ID: A2014-416771 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 146.32 federal political committee. Name of Employer Occupation Caterpillar Inc. Cat Insurance Marketing Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 292.64 Other (specify) Full Name (Last, First, Middle Initial) B. James Carlberg Date of Receipt Mailing Address Building H Dock 13 02 28 2014 City State Zip Code Transaction ID: A2014-416441 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 171.02 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 342.04 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Carr Date of Receipt Mailing Address 12301 Old Galena Road 02 28 2014 City Zip Code State Transaction ID: A2014-416626 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 233.58 С federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 467.16 Other (specify) 550.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	•	10 OF	-	38
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Christopher Curfman Date of Receipt Mailing Address 300 Hamilton Blvd. 2014 28 City State Zip Code Transaction ID: A2014-416728 61629-3800 Peoria IL Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael De Walt Date of Receipt Mailing Address 100 NE Adams 02 28 2014 City State Zip Code Transaction ID: A2014-415886 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 425.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify)

Full Name (Last, First, Middle Initial) c. James Duensing Date of Receipt Mailing Address 2120 West End Avenue 28 02 2014 City Zip Code State Transaction ID: A2014-416440 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.67 С federal political committee. Name of Employer Occupation Caterpillar Inc. Executive VP / CFO Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

1241.67

	FOF	FOR LINE NUMBER: PAGE 11 OF									
Use separate schedule(s)	(che	ck only	or	ne)							
for each category of the Detailed Summary Page	X	11a		11b		11c		12			
,,g.		13		14		15		16		17	

Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any pers ne name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Jerome Duggan Mailing Address 100 NE Adams		Date of Receipt
City Peoria	State Zip Code IL 61629	02 28 2014 Transaction ID : A2014-416722 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer	Occupation	148.38
Caterpillar Inc. Receipt For: Primary General Other (specify)	Business Resource Manager Aggregate Year-to-Date ▼ 296.76	
Full Name (Last, First, Middle Initial) Shannon Duvendack Mailing Address 12301 Old Galena Road		Date of Receipt 02 282014
City Mossville	State Zip Code IL 61552	Transaction ID : A2014-416029 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer	Occupation	172.72
Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Sr. Procurement Manager Aggregate Year-to-Date ▼ 345.44	
Full Name (Last, First, Middle Initial) C. Gregory Ferguson		Date of Receipt
Mailing Address PO Box 504 City	State Zip Code	02 28 2014 Transaction ID : A2014-416104
Joliet FEC ID number of contributing federal political committee.	IL 60436	Amount of Each Receipt this Period 114.90
Name of Employer Caterpillar Inc. Receipt For:	Occupation Master Black Belt Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	229.80	
SUBTOTAL of Receipts This Page (optional)	>	436.00
TOTAL This Period (last page this line number	r only)	

	FOF	R LINE	NU	MBER	:	PAGE	•	12 OI	F	38
Use separate schedule(s)	(che	eck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		117

	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Bonnie Fetch Mailing Address Building H Dock 13		Date of Receipt
City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: □ Primary □ General Other (specify) ▼	State Zip Code IL 61552 C Occupation Business Unit Manager Aggregate Year-to-Date ▼ 407.08	Transaction ID : A2014-416654 Amount of Each Receipt this Period 203.54
Full Name (Last, First, Middle Initial) Gregory Folley Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing	State Zip Code IL 61552	Date of Receipt 02 28 2014 Transaction ID: A2014-416347 Amount of Each Receipt this Period
federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Vice President Aggregate Year-to-Date ▼ 833.32	416.66
Full Name (Last, First, Middle Initial) Renard Francois Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer	State Zip Code TN 37203 C	Date of Receipt 02 28 2014 Transaction ID : A2014-416944 Amount of Each Receipt this Period
Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Manager Aggregate Year-to-Date ▼ 272.71	
SUBTOTAL of Receipts This Page (optional)	·····	756.83
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 13 OF 38 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Alan Frese Date of Receipt Mailing Address 6744 S Howell Ave 2014 28 City Zip Code State Transaction ID: A2014-416399 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing C 234.72 federal political committee. Name of Employer Occupation Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 469.44 Other (specify) Full Name (Last, First, Middle Initial) B. David Fuelberth Date of Receipt Mailing Address Route 29 & Old Galena Road 02 28 2014 City State Zip Code Transaction ID: A2014-416359 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 112.64 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.28 Other (specify) Full Name (Last, First, Middle Initial) c. Gregory Gauger Date of Receipt Mailing Address Route 29 & Old Galena Road 28 02 2014 City State Zip Code Transaction ID: A2014-416407 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 190.57 С federal political committee. Name of Employer Occupation Caterpillar Inc. General Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 381.14 Other (specify) 537.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

38

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) **Edward Goodrich** Date of Receipt Mailing Address 2120 West End Avenue 2014 28 City Zip Code State Transaction ID: A2014-416568 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Vice PresidentCFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 574.05 Other (specify) Full Name (Last, First, Middle Initial) B. Gerald Gorman Date of Receipt Mailing Address 2120 West End Avenue 02 28 2014 City State Zip Code Transaction ID: A2014-416488 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 417.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Chief Information Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 834.00 Other (specify) Full Name (Last, First, Middle Initial) c. Stephen Gosselin Date of Receipt Mailing Address 501 SW Jefferson 28 02 2014 City State Zip Code Transaction ID: A2014-416419 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 454.54 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 454.54 Other (specify) 1288.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	IMBER	:	PAGE	15 OF	= ;	38
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
		13		14		15	16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kenneth Gray Date of Receipt Mailing Address 100 NE Adams 2014 28 City State Zip Code Transaction ID: A2014-416406 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 196.35 federal political committee. Name of Employer Occupation WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 392.70 Other (specify) Full Name (Last, First, Middle Initial) B. Steven Guse Date of Receipt Mailing Address 100 NE Adams 02 28 2014 City State Zip Code Transaction ID: A2014-416388 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 255.12 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 510.24 Other (specify) Full Name (Last, First, Middle Initial) c. Bradley Halverson Date of Receipt Mailing Address 100 NE Adams 28 02 2014 City State Zip Code Transaction ID: A2014-416554 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 454.54 federal political committee. Name of Employer Occupation Group President & CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 454.54 Other (specify) 906.01 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	PAGE	_ ′	16 O	F 38	3		
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16	1	7

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Hanback Date of Receipt Mailing Address 12301 Old Galena Road 2014 28 City State Zip Code Transaction ID: A2014-416482 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 181.60 federal political committee. Name of Employer Occupation Director of CPS Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 363.20 Other (specify) Full Name (Last, First, Middle Initial) B. Kimberly S Hauer Date of Receipt Mailing Address 100 NE Adams 02 28 2014 City State Zip Code Transaction ID: A2014-416700 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 454.54 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 454.54 Other (specify) Full Name (Last, First, Middle Initial) c. Katherine Hays Date of Receipt Mailing Address Suite 750 28 2014 02 Zip Code City State Transaction ID: A2014-416959 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 137.29 С federal political committee. Name of Employer Occupation Caterpillar Inc. ManagerGovernmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 274.58 Other (specify)

9

773.43

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF 38 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Christopher Hekel Date of Receipt Mailing Address 1100 Milwaukee Ave 2014 28 City Zip Code State Transaction ID: A2014-416273 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 159.73 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 319.46 Other (specify) Full Name (Last, First, Middle Initial) B. Gary Hendrickson Date of Receipt Mailing Address 100 NE Adams 02 28 2014 City State Zip Code **Transaction ID: A2014-415978** IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 124.46 federal political committee. Name of Employer Occupation Caterpillar Inc. Logistics Center Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 248.92 Other (specify) Full Name (Last, First, Middle Initial) c. Gwenne Henricks Date of Receipt Mailing Address 14009 Old Galena Rd. 28 02 2014 City State Zip Code Transaction ID: A2014-416466 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 С federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) 700.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

	FOR LINE NUMBER: P	AGE 18 OF 38
Use separate schedule(s) for each category of the	(check only one)	
Detailed Summary Page	X 11a 11b 11	c 12
zotanou ourmany r ugo	13 14 15	16 17

Any information copied from such Reports and sor for commercial purposes, other than using the	Statements may not be sold or used by any personant particles and address of any political committee to	on for the purpose of soliciting contributions of solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Daniel Ibrahim Mailing Address Route 29 & Old Galena Road		Date of Receipt
		02 28 2014
City	State Zip Code	Transaction ID : A2014-416678
Mossville	IL 61552	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	133.88
Name of Employer	Occupation	1
Caterpillar Inc.	Engineering Technical Steward	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	267.76	
Full Name (Last, First, Middle Initial) 3. Denise Johnson		Date of Receipt
Mailing Address 100 Tractor Drive	7. 2	02 28 2014
City East Peoria	State Zip Code IL 61630	Transaction ID : A2014-416958
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	454.54
Name of Employer	Occupation	
Caterpillar Inc.	Vice President	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	454.54	
Full Name (Last, First, Middle Initial) C. Kathryn Karol		Date of Receipt
Mailing Address Suite 750		02 28 2014
City Washington	State Zip Code DC 20006	Transaction ID : A2014-416968 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	454.54
Name of Employer	Occupation	
Caterpillar Inc.	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	454.54	
SUBTOTAL of Receipts This Page (optional)		1042.96
TOTAL This Period (last page this line number	only)	

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 19 OF

IT	EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check only one) 11c 12 13 14 15 16 17
	y information copied from such Reports and S for commercial purposes, other than using the			
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee	
Α.	Full Name (Last, First, Middle Initial) Zachary Kauk Mailing Address 100 NE Adams			Date of Receipt 02 28 2014
	City Peoria	State IL	Zip Code 61629	Transaction ID : A2014-416237 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		182.30
	Name of Employer Caterpillar Inc. Receipt For:	Occupation WW Product Aggregate		
	Primary General Other (specify) ▼		364.60	
В.	Full Name (Last, First, Middle Initial) Steven Kibby Mailing Address 100 NE Adams			Date of Receipt
	City	State	Zip Code	02 28 2014 Transaction ID : A2014-416357
	Peoria	IL	61629	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		102.64
	Name of Employer Caterpillar Inc.	Occupation Senior Corp	orate Counsel	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 205.28	
<u>с</u> .	Full Name (Last, First, Middle Initial) Wesley Knepp			Date of Receipt
	Mailing Address 100 NE Adams			02 28 2014
	City Peoria	State IL	Zip Code 61629	Transaction ID : A2014-415963 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		133.74
	Name of Employer	Occupation		
	Caterpillar Inc.	Sr Labor Re	elations Consultant	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 267.48	
S	UBTOTAL of Receipts This Page (optional)		>	418.68
Г	OTAL This Period (last page this line number	only)		

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 20 OF 38

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and Statemer or for commercial purposes, other than using the name		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political	al Action Committee	
Caterpillar Inc. Prod	'	Date of Receipt 02 28 2014 Transaction ID: A2014-416053 Amount of Each Receipt this Period 150.00
Caterpillar Inc. Corpo	pation prate Communications Mgr egate Year-to-Date 319.46	Date of Receipt 02 28 2014 Transaction ID : A2014-416135 Amount of Each Receipt this Period 159.73
Caterpillar Inc. Vice	pation President egate Year-to-Date ▼ 454.54	Date of Receipt O2 28 2014 Transaction ID : A2014-416964 Amount of Each Receipt this Period 454.54
SUBTOTAL of Receipts This Page (optional))	764.27

	FOR	R LINE	NU	IMBER	:	PAGE	2	21 C	١F	38
Use separate schedule(s)	(che	eck only	or or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
,g.		13		14		15		16		717

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Julie Lagacy Date of Receipt Mailing Address 100 NE Adams 2014 28 City State Zip Code Transaction ID: A2014-416546 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 454.54 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 524.62 Other (specify) Full Name (Last, First, Middle Initial) B. William Lane Date of Receipt Mailing Address Suite 750 02 28 2014 City State Zip Code Transaction ID: A2014-416459 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 108.46 federal political committee. Name of Employer Occupation Caterpillar Inc. DirectorGovernmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 216.92 Other (specify) Full Name (Last, First, Middle Initial) c. Mark Lang Date of Receipt Mailing Address 100 NE Adams 28 02 2014 City State Zip Code Transaction ID: A2014-416323 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 139.98 federal political committee. Name of Employer Occupation

SUBTOTAL of Receipts This Page (optional)			7			1	70	02.9	8	Ī
TOTAL This Period (last page this line number only)		_	7	_		,			Ξ	

279.96

Business Resource Manager

Aggregate Year-to-Date ▼

Caterpillar Inc.

Primary

Other (specify)

General

Receipt For:

	FOF	R LINE	NU	IMBER	:	PAGE	- 2	22 OI	F	38
Use separate schedule(s)	(che	ck only	or or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Stuart Levenick Date of Receipt Mailing Address 100 NE Adams 2014 28 City State Zip Code Transaction ID: A2014-416737 61629 IL Peoria Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Group President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name (Last, First, Middle Initial) B. James Matteo Date of Receipt Mailing Address 100 NE Adams 02 28 2014 City State Zip Code Transaction ID: A2014-416731 IL 61629 Peoria Amount of Each Receipt this Period FEC ID number of contributing 107.53 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager

Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 215.06	
Full Name (Last, First, Middle Initial) C. Douglas McCallen		Date of Receipt
Mailing Address Regency Forest II City	State Zip Code	02 28 2014
Cary	NC 27511	Transaction ID : A2014-415874 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	252.27
Name of Employer	Occupation	
Caterpillar Inc.	Rgnl/Group Financial Officer	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 504.54	

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

776.46

FOR LINE NUMBER: PAGE 23 OF 38 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Scott McDougall Date of Receipt Mailing Address 100 S. L. White Blvd. 2014 28 City State Zip Code Transaction ID: A2014-416091 GA La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing C 118.86 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 237.72 Other (specify) Full Name (Last, First, Middle Initial) B. Eric Minder Date of Receipt Mailing Address 100 Tractor Drive 02 28 2014 City State Zip Code Transaction ID: A2014-416800 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 109.41 federal political committee. Name of Employer Occupation Caterpillar Inc. EHS Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 218.82 Other (specify) Full Name (Last, First, Middle Initial) c. Djuana Montgomery Date of Receipt Mailing Address 100 NE Adams 28 02 2014 City State Zip Code Transaction ID: A2014-416207 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 127.64 С federal political committee. Name of Employer Occupation Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 255.28 Other (specify) 355.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER: PAGE 24 OF	
Use separate schedule(s)	(check only one)	
for each category of the Detailed Summary Page	X 11a 11b 11c 12	
,,	13 14 15 16	_

38

	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Erec Montgomery Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Info Technology Manager-II Aggregate Year-to-Date 288.32	Date of Receipt 02 28 2014 Transaction ID : A2014-416130 Amount of Each Receipt this Period 144.16
Full Name (Last, First, Middle Initial) Phillip Moore Mailing Address Precision Sealing Products City Franklin FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code NC 28734 C Occupation Facility Manager Aggregate Year-to-Date 240.47	Date of Receipt 02 28 2014 Transaction ID: A2014-416062 Amount of Each Receipt this Period
Full Name (Last, First, Middle Initial) Richard Moore Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation DirectorInvestor Relations Aggregate Year-to-Date ▼ 401.58	Date of Receipt M
SUBTOTAL of Receipts This Page (optional)	<u> </u>	458.27
TOTAL This Period (last page this line number	only)	

	FOR LINE NUMBER: PAGE 25 OF	38
Use separate schedule(s)	(check only one)	
for each category of the Detailed Summary Page	X 11a 11b 11c 12	
	13 14 15 16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Barbra Moran Date of Receipt Mailing Address 100 NE Adams 2014 28 City State Zip Code Transaction ID: A2014-416097 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 101.33 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 202.66 Other (specify) Full Name (Last, First, Middle Initial) B. Eric Morr Date of Receipt Mailing Address 12301 Old Galena Road 02 28 2014 City State Zip Code Transaction ID: A2014-416099 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 117.55 federal political committee. Name of Employer Occupation Caterpillar Inc. NPI Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 235.10 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Myers Date of Receipt Mailing Address Suite 750 28 2014 02 Zip Code City State Transaction ID: A2014-416866

		_	_	_	-	_	-	-	-	_	ī
SUBTOTAL of Receipts This Page (optional)	Ь.		7			7		38	39.3	ô	
											٦
TOTAL This Period (last page this line number only)	_	_	7	_	_	7	_	_	ж.		

340.96

20006

Federal Govt. Affairs Director

Aggregate Year-to-Date ▼

DC

Occupation

C

Amount of Each Receipt this Period

170.48

Washington

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc.
Receipt For:

	FOF	R LINE	NU	IMBER	:	PAGE	2	26 OI	F	38
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		117

	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Edwin O Neil Jr Mailing Address 500 N Morton Ave City Morton	State Zip Code IL 61550	Date of Receipt 02 28 2014 Transaction ID : A2014-416377 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Mfg. Logistics & Transp. Mgr. Aggregate Year-to-Date ▼ 229.16	114.58
Full Name (Last, First, Middle Initial) Douglas Oberhelman Mailing Address 100 NE Adams City	State Zip Code	Date of Receipt 02
Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For:	Occupation CEOChairman of the Board	Amount of Each Receipt this Period 1333.34
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2666.68	
Full Name (Last, First, Middle Initial) Thomas Pellette Mailing Address 2200 Pacific Hwy City	State Zip Code	Date of Receipt M
San Diego FEC ID number of contributing federal political committee. Name of Employer Solar Turbines Receipt For: □ Primary □ General Other (specify) ▼	CA 92101 C Occupation Vice President Aggregate Year-to-Date ▼ 454.54	Amount of Each Receipt this Period 454.54
SUBTOTAL of Receipts This Page (optional)		1902.46
TOTAL This Period (last page this line number	er only)	

	FOF	R LINE	NU	IMBER	:	PAGE	2	27 O	F	38
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Joseph Poole Date of Receipt Mailing Address 3535 Factoria Blvd. SE 2014 28 City Zip Code State Transaction ID: A2014-416108 WA 98006 Bellevue Amount of Each Receipt this Period FEC ID number of contributing C 145.35 federal political committee. Name of Employer Occupation Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 285.30 Other (specify) Full Name (Last, First, Middle Initial) B. James Quigg Jr Date of Receipt Mailing Address 12301 Old Galena Road 02 28 2014 City State Zip Code Transaction ID: A2014-416227 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 104.37 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 208.74 Other (specify) Full Name (Last, First, Middle Initial) c. Edward Rapp Date of Receipt Mailing Address 100 NE Adams 28 02 2014 City State Zip Code Transaction ID: A2014-415872

833.32

61629

IL

C

Occupation
Group President

Aggregate Year-to-Date ▼

416.66

Amount of Each Receipt this Period

Peoria

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc.
Receipt For:

FEC ID number of contributing

federal political committee.

	FOR	R LINE	NU	IMBER	:	PAGE	2	28 O	F	38
Use separate schedule(s)	(che	eck only	or or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
,g.		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Anthony Rassi Date of Receipt Mailing Address 12301 Old Galena Road 2014 28 City State Zip Code Transaction ID: A2014-416783 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 175.45 federal political committee. Name of Employer Occupation Caterpillar Inc. Multi-Site Purchasing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 350.90 Other (specify) Full Name (Last, First, Middle Initial) B. James Rooney Date of Receipt Mailing Address 100 NE Adams 02 28 2014 City State Zip Code Transaction ID: A2014-416078 IL 61629 Peoria Amount of Each Receipt this Period

	Name of Employer Caterpillar Inc.	Occupation Deputy General Counsel	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00	
C.			Date of Receipt
	Mailing Address 501 SW Jefferson		02 28 2014
	City	State Zip Code	Transaction ID : A2014-416513
	Peoria	IL 61629	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	143.65
	Name of Employer	Occupation	
	• •	'	
	Caterpillar Inc.	General Manager	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 287.30	
5	SUBTOTAL of Receipts This Page (optional)		519.10

TOTAL This Period (last page this line number only).....

200.00

	FOR	LINE	NU	MBER	:	PAGE	2	29 OF	= ;	38
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Edward Scott Date of Receipt Mailing Address 100 NE Adams 28 2014 City State Zip Code Transaction ID: A2014-416514 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 833.34 Other (specify) Full Name (Last, First, Middle Initial) B. Phillip Shankwitz Date of Receipt Mailing Address 27Th & Pershing Rd 02 28 2014 City State Zip Code Transaction ID: A2014-416384 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing 156.70 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 313 40

Other (specify)	313.40	
Full Name (Last, First, Middle Initial) Paula Shook		Date of Receipt
Mailing Address 812 W. Washington St		02 28 2014
City	State Zip Code	Transaction ID : A2014-416339
East Peoria	IL 61630	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	169.89
Name of Employer	Occupation	
Caterpillar Inc.	Info Technology Manager-III	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	339.78	

TOTAL This Period (last page this line number only)		743.26
		7

C.

FOR LINE NUMBER: PAGE 30 OF 38 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Rodney Shurman Date of Receipt Mailing Address 3701 State Road 26 East 2014 28 City Zip Code State Transaction ID: A2014-416234 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 193.74 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 387.48 Other (specify) Full Name (Last, First, Middle Initial) B. James Sibley Date of Receipt Mailing Address Route 29 & Old Galena Road 02 28 2014 City State Zip Code Transaction ID: A2014-416458 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.19 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.38 Other (specify) Full Name (Last, First, Middle Initial) c. Dennis Skarvan Date of Receipt Mailing Address 100 NE Adams 28 02 2014 City State Zip Code Transaction ID: A2014-416042 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 276.99 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 553.98 Other (specify) 670.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	IMBER	:	PAGE	3	1 O	F	38
Use separate schedule(s)	(che	eck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		717

Any information copied from such Reports and or for commercial purposes, other than using t	Statements may not be sold or used by any pers he name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Adam Smith Mailing Address 2120 West End Avenue		Date of Receipt
		02 28 2014
City	State Zip Code TN 37203	Transaction ID : A2014-416329
Nashville	11N 3/2U3	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	194.32
Name of Employer	Occupation	
Caterpillar Inc.	Senior Corporate Counsel	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	388.64	
Full Name (Last, First, Middle Initial) Brad Stevenson	•	Date of Receipt
Mailing Address 100 NE Adams		02 28 2014
City	State Zip Code IL 61629	Transaction ID : A2014-416348
Peoria		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	115.40
Name of Employer	Occupation	
Caterpillar Inc.	EHS Manager I	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	230.80	
Full Name (Last, First, Middle Initial) C. Sally Stiles	•	Date of Receipt
Mailing Address 100 NE Adams		02 28 2014
City Peoria	State Zip Code IL 61629	Transaction ID : A2014-416640
	01029	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	256.53
Name of Employer	Occupation	
Caterpillar Inc. Receipt For:	Dir. of Tax & Trade Operations	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	500.84	
SUBTOTAL of Receipts This Page (optional)		566.25
TOTAL This Period (last page this line number	er only)	

	FOI	R LINE	NU	IMBER	:	PAGE	. 3	32 OI	F	38
Use separate schedule(s)	(che	eck only	or or	ne)						
for each category of the Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	e name and address of any political committee to	o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Daniel Sundell Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify)	State Zip Code IL 61629 C Occupation General Manager-KEC Aggregate Year-to-Date ▼	Date of Receipt 02 28 2014 Transaction ID : A2014-416555 Amount of Each Receipt this Period 112.91
Full Name (Last, First, Middle Initial) Larry Tate Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Product Manager Aggregate Year-to-Date ▼	Date of Receipt 02 28 2014 Transaction ID: A2014-416473 Amount of Each Receipt this Period 454.54
Full Name (Last, First, Middle Initial) Robert Thompson Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TN 37203 C Occupation PresidentInsurance Services Aggregate Year-to-Date ▼ 650.00	Date of Receipt M
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number	>	892.45

	FOR	LINE	NU	MBER	:	PAGE	33 OF	=	38
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
_ common common, cogo		13		14		15	16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Tillotson Date of Receipt Mailing Address 12301 Old Galena Road 2014 28 City State Zip Code Transaction ID: A2014-416267 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 150.11 federal political committee. Name of Employer Occupation CPGS Projects Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.22 Other (specify) Full Name (Last, First, Middle Initial) B. Donald Umpleby III Date of Receipt Mailing Address 100 NE Adams 02 28 2014 City State Zip Code Transaction ID: A2014-416045 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 454.54 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼ Primary General 454.54 Other (specify) Full Name (Last, First, Middle Initial) c. Tana Utley Date of Receipt Mailing Address 12301 Old Galena Road 28 2014 02 City State Zip Code Transaction ID: A2014-416465 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 454.54 federal political committee. Name of Employer Occupation

SUBTOTAL of Receipts This Page (optional)			7		,	1	059.19	
TOTAL This Period (last page this line number only)			7		,			

871.54

Vice President

Aggregate Year-to-Date ▼

Caterpillar Inc. Receipt For:

Primary

Other (specify)

General

FOR LINE NUMBER: PAGE 34 OF 38 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Reid Waitt Date of Receipt Mailing Address 5000 Wormack Rd 2014 28 City State Zip Code Transaction ID: A2014-416576 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing C 232.65 federal political committee. Name of Employer Occupation **GMCompact Construction Equip** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 465.30 Other (specify) Full Name (Last, First, Middle Initial) **B.** Thomas Walters Date of Receipt Mailing Address 100 NE Adams 02 28 2014 City State Zip Code Transaction ID: A2014-416085 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 140.00 federal political committee. Name of Employer Occupation Caterpillar Inc. ManagerGovernmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) Full Name (Last, First, Middle Initial) c. David Walton Date of Receipt Mailing Address 100 NE Adams 28 02 2014 City State Zip Code Transaction ID: A2014-416215 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 216.96 С federal political committee. Name of Employer Occupation Caterpillar Inc. Vice PresidentCFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 428.52 Other (specify) 589.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 35 OF 38

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and S or for commercial purposes, other than using the	statements may not be sold or used by any pe e name and address of any political committee	rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Karl Weiss Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Vice President Aggregate Year-to-Date ▼	Date of Receipt 02 28 2014 Transaction ID : A2014-415867 Amount of Each Receipt this Period 416.67
Full Name (Last, First, Middle Initial) Timothy Williams Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation DirectorGlobal Security Svcs Aggregate Year-to-Date ▼ 204.00	Date of Receipt 02 28 2014 Transaction ID : A2014-416902 Amount of Each Receipt this Period
Full Name (Last, First, Middle Initial) David Wisley Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Sr Engineering Specialist-Des Aggregate Year-to-Date ▼ 209.64	Date of Receipt 02 28 2014 Transaction ID: A2014-416888 Amount of Each Receipt this Period 104.82
SUBTOTAL of Receipts This Page (optional)		623.49
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 36 OF 38 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c **Detailed Summary Page**

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Wunning Date of Receipt Mailing Address 100 NE Adams 2014 28 City State Zip Code Transaction ID: A2014-416344 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼ Primary General 833.32 Other (specify) Full Name (Last, First, Middle Initial) B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 416.66 SUBTOTAL of Receipts This Page (optional)..... 23862.88 TOTAL This Period (last page this line number only).....

ľ

SCHEDULE B (FEC Form 3X)	Llos concrete cole = dula/a)	FOR LINE N		37 OF 38
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only 21b 27	one) 22 X 23 24 28c 28c	25 26 29 30
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi				
Full Name (Last, First, Middle Initial)				
National Republican Congressional Mailing Address 320 1st St. SE	l Cmte			2014
Washington	State Zip Code DC 20003		Transaction ID : B486380	
Purpose of Disbursement Contribution		011	Amount of Each Disburseme	nt this Period
Candidate Name		Category/ Type		-15000.00
Senate President	nent For: 2014 Primary General Other (specify)		Voided: Original check dated (01/30/14
State: District: Full Name (Last, First, Middle Initial)	Not Applicable			
ruii Name (Last, First, Middle Illitial)			Date of Disbursement	Y Y Y Y
Mailing Address				
City	State Zip Code			
Purpose of Disbursement			Amount of Each Disburseme	nt this Period
Candidate Name	,	Category/ Type		
President	nent For: Primary General Other (specify) ▼	.,,,,,	, , ,	
State: District: Full Name (Last, First, Middle Initial)				
/•			Date of Disbursement	Y . Y . Y
Mailing Address			W - W / B - B / T -	
City	State Zip Code			
Purpose of Disbursement	ı			
Candidate Name	l	Category/ Type	Amount of Each Disburseme	nt this Period
	nent For: Primary General Other (specify)		, , ,	
Oldio.				
SUBTOTAL of Disbursements This Page (optional)		······		-15000.00
TOTAL This Period (last page this line number only).				-15000.00

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 38 OF 38
TEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	INOMBER.
I LIVILLE DIODOROLIVILIATO	for each category of the Detailed Summary Page	21b	22 23 24 25 26
	Detailed Suffilliary Fage	27	28a 28b 28c X 29 30
Any information copied from such Reports and Statem			
or for commercial purposes, other than using the name	e and address of any politic	cal committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
angle The Caterpillar Inc. Employee Polit	ical Action Committ	ee	
Full Name (Last, First, Middle Initial)			
A. Citizens for Joe Sosnowski			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 8628 Blue River Rd.			02 01 2014
City	State Zip Code		
Rockford	IL 61107		Transaction ID : B488377
Purpose of Disbursement			
P-2014 State House 69 IL		011	Amount of Each Disbursement this Period
Candidate Name		Category/	-1000.00
Joe Sosnowski Office Sought: House Disbursen	nent For: 2014	Туре	.555.50
	Primary General		Voided: Original check dated 11/05/13
President	Other (specify) ▼		volueu. Original check dated 11/05/15
State: IL District: 69			
Full Name (Last, First, Middle Initial)			
3.			Date of Disbursement
Moiling Address			M = M / D = D / Y = Y = Y
Mailing Address			
City	State Zip Code		
D			
Purpose of Disbursement			Amount of Each Disbursement this Period
Candidate Name			Amount of Lacif Disbursement this Feriod
		Category/ Type	
Office Sought: House Disbursen	nent For:	A1, -	
	Primary General		
	Other (specify) ▼		
State: District:			
Full Name (Last, First, Middle Initial) C.			Date of Disbursement
			M M / D D / Y Y Y
Mailing Address			
City	State Zip Code		
Oity C	Julio Zip OUUE		
Purpose of Disbursement			
Can dislate Name			Amount of Each Disbursement this Period
Candidate Name		Category/	
Office Sought: House Disbursen	nent For:	Туре	
	Primary General		
	Other (specify) ▼		
State: District:	<u> </u>		
			1000.00
$\textbf{SUBTOTAL} \ \ \text{of Disbursements This Page (optional)}$		·····•	-1000.00
TOTAL This Desired West seem that I'm			-1000.00
TOTAL This Period (last page this line number only)			1000.00