**FEC FORM 3**

# REPORT OF RECEIPTS AND DISBURSEMENTS

**For An Authorized Committee**

SECRETARY OF THE SENATE
15 FEB -2 PM 12:13
Office Use Only

1. NAME OF COMMITTEE (in full) **TYPE OR PRINT ▼** Example: If typing, type over the lines. 12FE4M5

OBERWEIS FOR SENATE

ADDRESS (number and street) ▼ PO BOX 274

☐ Check if different than previously reported. (ACC)

NORTH AURORA | IL | 60542 -

2. **FEC IDENTIFICATION NUMBER ▼** C C00551531

CITY ▲ STATE ▲ ZIP CODE ▲

3. IS THIS REPORT ☒ NEW (N) **OR** ☐ AMENDED (A)

STATE ▼ DISTRICT: IL 00

4. **TYPE OF REPORT** (Choose One)

(a) Quarterly Reports:

- ☐ April 15 Quarterly Report (Q1)
- ☐ July 15 Quarterly Report (Q2)
- ☐ October 15 Quarterly Report (Q3)
- ☒ January 31 Year-End Report (YE)
- ☐ Termination Report (TER)

(b) 12-Day **PRE**-Election Report for the:

☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R)
☐ Convention (12C) ☐ Special (12S)

Election on MM / DD / YYYY in the State of

(c) 30-Day **POST**-Election Report for the:

☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on MM / DD / YYYY in the State of

5. Covering Period 11 / 25 / 2014 through 12 / 31 / 2014

*I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.*

Type or Print Name of Treasurer Shari Martin

Signature of Treasurer *Shari Martin* Shari Martin Date 01 / 28 / 2015

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

**FEC FORM 3**
(Revised 02/2003)

15020073682

# SUMMARY PAGE
of Receipts and Disbursements



Write or Type Committee Name
OBERWEIS FOR SENATE

Report Covering the Period: From: M M 11 / D D 25 / Y Y Y Y 2014 To: M M 12 / D D 31 / Y Y Y Y 2014

| | COLUMN A This Period | COLUMN B Election Cycle-to-Date |
|---|---|---|
| 6. Net Contributions (other than loans) | | |
| (a) Total Contributions (other than loans) (from Line 11(e)).. | 0.00 | 1356698.50 |
| (b) Total Contribution Refunds (from Line 20(d)).. | 0.00 | 0.00 |
| (c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a))... | 0.00 | 1356698.50 |
| 7. Net Operating Expenditures | | |
| (a) Total Operating Expenditures (from Line 17).. | 152.78 | 2409175.07 |
| (b) Total Offsets to Operating Expenditures (from Line 14)... | 0.00 | 0.00 |
| (c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a))... | 152.78 | 2409175.07 |
| 8. Cash on Hand at Close of Reporting Period (from Line 27)... | 5846.65 | |
| 9. Debts and Obligations Owed **TO** the Committee (Itemize all on Schedule C and/or Schedule D)... | 0.00 | |
| 10. Debts and Obligations Owed **BY** the Committee (Itemize all on Schedule C and/or Schedule D).. | 1000000.00 | |

**For further information contact:**

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

15020073683

# DETAILED SUMMARY PAGE
of Receipts

FEC **Form 3** (Revised 12/2003)



Write or Type Committee Name

OBERWEIS FOR SENATE

Report Covering the Period: From: M M 11 / D D 25 / Y Y Y Y 2014 To: M M 12 / D D 31 / Y Y Y Y 2014

| I. RECEIPTS | COLUMN A Total This Period | COLUMN B Election Cycle-to-Date |
|---|---|---|
| 11. CONTRIBUTIONS (other than loans) FROM: | | |
| (a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)... | 0.00 | 648750.00 |
| (ii) Unitemized ..... | 0.00 | 57473.50 |
| (iii) TOTAL of contributions from individuals .. ▶ | 0.00 | 706223.50 |
| (b) Political Party Committees... | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)... | 0.00 | 30575.00 |
| (d) The Candidate ..... | 0.00 | 619900.00 |
| (e) TOTAL CONTRIBUTIONS (other than loans) (add Lines 11(a)(iii), (b), (c), and (d))... | 0.00 | 1356698.50 |
| 12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES .. | 0.00 | 9500.00 |
| 13. LOANS: (a) Made or Guaranteed by the Candidate... | 0.00 | 1000000.00 |
| (b) All Other Loans... | 0.00 | 0.00 |
| (c) TOTAL LOANS (add Lines 13(a) and (b))... | 0.00 | 1000000.00 |
| 14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.) .. | 0.00 | 0.00 |
| 15. OTHER RECEIPTS (Dividends, Interest, etc.)..... | 3386.10 | 0.00 |
| 16. **TOTAL RECEIPTS** (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)... ▶ | 3386.10 | 2366198.50 |

15020073684

# DETAILED SUMMARY PAGE
of Disbursements



| II. DISBURSEMENTS | COLUMN A Total This Period | COLUMN B Election Cycle-to-Date |
|---|---|---|
| 17. OPERATING EXPENDITURES... | 152.78 | 2409175.07 |
| 18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES .. | 0.00 | 60.00 |
| 19. LOAN REPAYMENTS: | | |
| (a) Of Loans Made or Guaranteed by the Candidate... | 0.00 | 0.00 |
| (b) Of All Other Loans ..... | 0.00 | 0.00 |
| (c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b))... | 0.00 | 0.00 |
| 20. REFUNDS OF CONTRIBUTIONS TO: | | |
| (a) Individuals/Persons Other Than Political Committees... | 0.00 | 0.00 |
| (b) Political Party Committees... | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs).. | 0.00 | 0.00 |
| (d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c))... | 0.00 | 0.00 |
| 21. OTHER DISBURSEMENTS... | 0.00 | 0.00 |
| 22. **TOTAL DISBURSEMENTS** (add Lines 17, 18, 19(c), 20(d), and 21) ▶ | 152.78 | 2409235.07 |

## III. CASH SUMMARY

| | |
|---|---|
| 23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD... | 2613.33 |
| 24 TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3)... | 3386.10 |
| 25. SUBTOTAL (add Line 23 and Line 24)... | 5999.43 |
| 26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)... | 152.78 |
| 27. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25)... | 5846.65 |

15020073685

# SCHEDULE A (FEC Form 3)
## ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 11a ☐ 11b ☐ 11c ☐ 11d
☐ 12 ☐ 13a ☐ 13b ☐ 14 ☒ 15



Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
OBERWEIS FOR SENATE

**A.**
Full Name (Last, First, Middle Initial): Efficiency Media
Mailing Address: 3616 Winnetka Rd
City: Glenview
State: IL
Zip Code: 60026
FEC ID number of contributing federal political committee: C
Name of Employer:
Occupation:
Receipt For: 2014
☐ Primary ☒ General
☐ Other (specify)
Election Cycle-to-Date: 3386.10

Date of Receipt: 12 / 26 / 2014
**Transaction ID : SA15.7162**
Amount of Each Receipt this Period: 3386.10
Media refund

**B.**
Full Name (Last, First, Middle Initial):
Mailing Address:
City:
State:
Zip Code:
FEC ID number of contributing federal political committee: C
Name of Employer:
Occupation:
Receipt For:
☐ Primary ☐ General
☐ Other (specify)
Election Cycle-to-Date:

Date of Receipt: M M / D D / Y Y Y Y
Amount of Each Receipt this Period:

**C.**
Full Name (Last, First, Middle Initial):
Mailing Address:
City:
State:
Zip Code:
FEC ID number of contributing federal political committee: C
Name of Employer:
Occupation:
Receipt For:
☐ Primary ☐ General
☐ Other (specify)
Election Cycle-to-Date:

Date of Receipt: M M / D D / Y Y Y Y
Amount of Each Receipt this Period:

**SUBTOTAL** of Receipts This Page (optional) ..... 3386.10

**TOTAL** This Period (last page this line number only) ..... 3386.10

15020073686

# SCHEDULE C (FEC Form 3)
# LOANS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
- [X] 13a
- [ ] 13b

NAME OF COMMITTEE (In Full)
OBERWEIS FOR SENATE

Transaction ID : SC/10.4237

**LOAN SOURCE** Full Name (Last, First, Middle Initial) *[PERSONAL FUNDS]*
JAMES D 'JIM' OBERWEIS

Election: 2014
- [X] Primary
- [ ] General
- [ ] Other (specify) ▼

Mailing Address
3 BUCKINGHAM DR

| City | State | ZIP Code |
|---|---|---|
| SUGAR GROVE | IL | 60554 |

| Original Amount of Loan | Cumulative Payment To Date | Balance Outstanding at Close of This Period |
|---|---|---|
| 500000.00 | 0.00 | 500000.00 |

**TERMS**

| Date Incurred | Date Due | Interest Rate | Secured: |
|---|---|---|---|
| M 12 / D 31 / Y 2013 | M M / D D / Y 12/31/2014 | 1.00 % (apr) | [ ] Yes [X] No |

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)
   Mailing Address
   City State ZIP Code
   Name of Employer
   Occupation
   Amount Guaranteed Outstanding:

2. Full Name (Last, First, Middle Initial)
   Mailing Address
   City State ZIP Code
   Name of Employer
   Occupation
   Amount Guaranteed Outstanding:

3. Full Name (Last, First, Middle Initial)
   Mailing Address
   City State ZIP Code
   Name of Employer
   Occupation
   Amount Guaranteed Outstanding:

4. Full Name (Last, First, Middle Initial)
   Mailing Address
   City State ZIP Code
   Name of Employer
   Occupation
   Amount Guaranteed Outstanding:

**SUBTOTALS** This Period This Page (optional)..... ▶ 500000.00

**TOTALS** This Period (last page in this line only).. ▶

**Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.**

15020073687

# SCHEDULE C (FEC Form 3)
## LOANS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) [X] 13a [ ] 13b

NAME OF COMMITTEE (In Full)
OBERWEIS FOR SENATE

Transaction ID : SC/10.5600

**LOAN SOURCE** Full Name (Last, First, Middle Initial) *[PERSONAL FUNDS]*
JAMES D 'JIM' OBERWEIS

Election: 2014
[ ] Primary
[X] General
[ ] Other (specify)

Mailing Address
3 BUCKINGHAM DR

| City | State | ZIP Code |
|---|---|---|
| SUGAR GROVE | IL | 60554 |

| Original Amount of Loan | Cumulative Payment To Date | Balance Outstanding at Close of This Period |
|---|---|---|
| 500000.00 | 0.00 | 500000.00 |

**TERMS**

| Date Incurred | Date Due | Interest Rate | Secured: |
|---|---|---|---|
| 06 / 30 / 2014 | / / 6/30/15 | 1.00 % (apr) | [ ] Yes [X] No |

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)
Mailing Address
City State ZIP Code
Name of Employer
Occupation
Amount Guaranteed Outstanding:

2. Full Name (Last, First, Middle Initial)
Mailing Address
City State ZIP Code
Name of Employer
Occupation
Amount Guaranteed Outstanding:

3. Full Name (Last, First, Middle Initial)
Mailing Address
City State ZIP Code
Name of Employer
Occupation
Amount Guaranteed Outstanding:

4. Full Name (Last, First, Middle Initial)
Mailing Address
City State ZIP Code
Name of Employer
Occupation
Amount Guaranteed Outstanding:

| | |
|---|---|
| **SUBTOTALS** This Period This Page (optional)... | 500000.00 |
| **TOTALS** This Period (last page in this line only)... | 1000000.00 |

**Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.**

15020073688

15020073689

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United States Senate Post Office

INSPECTION

United States Senate Post Office

INSPECTION

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JAN 30 2015

**PAYMENT BY ACCOUNT** (if applicable)

**DELIVERY OPTIONS** (Customer Use Only)

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| Date Accepted (MM/DD/YY) | Scheduled Delivery Time ☐ 10:30 AM ☐ 3:00 PM ☐ 12 NOON | Insurance Fee $ | COD Fee $ |
| Time Accepted ☐ AM ☐ PM | 10:30 AM Delivery Fee $ | Return Receipt Fee $ | Live Animal Transportation Fee $ |
| Weight ☐ Flat Rate ozs. | Sunday/Holiday Premium Fee $ | Total Postage & Fees $ | |
| | Acceptance Employee Initials | | |

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|---|---|---|
| Delivery Attempt (MM/DD/YY) | Time ☐ AM ☐ PM | Employee Signature |
| Delivery Attempt (MM/DD/YY) | Time ☐ AM ☐ PM | Employee Signature |

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JULIE ADAMS
SECRETARY

DANA K. MACCALLUM
SUPERINTENDENT

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# United States Senate

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| UPS | _____ | ☐ |
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| AIRBORNE EXPRESS | _____ | ☐ |

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Date of Receipt

OTHER _____
Date of Receipt or Postmark

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1502007 36691

SEN PATCH