01/28/2004 16 : 45

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

**For Other Than An Authorized Committee**

Office Use Only

1. NAME OF COMMITTEE (In full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

New York Bankers Political Action Committee

ADDRESS (number and street) ▼ 99 Park Avenue

4th Floor

Check if different than previously reported. (ACC)

New York | NY | 10016

2. **FEC IDENTIFICATION NUMBER** ▼ C00081422

CITY ▲ STATE ▲ ZIPCODE ▲

3. IS THIS REPORT — X NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report(Q1)
- July 15 Quarterly Report(Q2)
- October 15 Quarterly Report(Q3)
- X January 31 Quarterly Report(YE)
- July 31 Mid-Year Report(Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

| | | | |
|---|---|---|---|
| Feb 20 (M2) | May 20 (M5) | Aug 20 (M8) | Nov 20 (M11) (Non-Election Year Only) |
| Mar 20 (M3) | Jun 20 (M6) | Sep 20 (M9) | Dec 20 (M12) (Non-Election Year Only) |
| Apr 20 (M4) | Jul 20 (M7) | Oct 20 (M10) | Jan 31 (YE) |

(c) 12-Day **PRE**-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12G)

Election on in the State of

(d) 30-Day **Post**-Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 07 01 2003 through 12 31 2003

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Karen Jannelly

Signature of Treasurer Electronically Filed by Karen Jannelly Date 01 28 2004

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

| Office Use Only | | | | | | | |
|---|---|---|---|---|---|---|---|

**FEC FORM 3X**
(Rev. 02/2003)

# SUMMARY PAGE
## OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)



Write or Type Committee Name
New York Bankers Political Action Committee

Report Covering the Period: From: 07 01 2003 To: 12 31 2003

| | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|---|---|---|
| 6. (a) Cash on Hand January 1 2003 | | 21696.23 |
| (b) Cash on Hand at Begining of Reporting Period ..... | 21696.23 | |
| (c) Total Receipts (from Line 19) ..... | 11800.00 | 11800.00 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) ..... | 33696.23 | 33696.23 |
| 7. Total Disbursements (from Line 31) ..... | 28646.63 | 28646.63 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) ..... | 5051.60 | 5051.60 |
| 9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |
| 10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

# DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Rev. 02/2003)



Write or Type Committee Name

New York Bankers Political Action Committee

Report Covering the Period: From: 07 01 2003 To: 12 31 2003

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A) ..... | 2985.00 | |
| (ii) Unitemized ..... | 8815.00 | |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶ | 11800.00 | 11800.00 |
| (b) Political Party Committees ..... | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) ..... | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) ..... ▶ | 11800.00 | 11800.00 |
| 12. Transfers From Affiliated/Other Party Committees ..... | 0.00 | 0.00 |
| 13. All Loans Received ..... | 0.00 | 0.00 |
| 14. Loan Repayments Received ..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) ..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees ..... | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) ..... | 0.00 | 0.00 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3) ..... | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) ..... | 0.00 | 0.00 |
| (c) Total Transfer (add 18(a) and 18(b)). | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) ..... | 11800.00 | 11800.00 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) ..... | 11800.00 | 11800.00 |

# DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)



| II. DISBURSEMENTS | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 21. Operating Expenditures: | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 646.63 | 646.63 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶ | 646.63 | 646.63 |
| 22. Transfers to Affiliated/Other Party Committees | 26000.00 | 26000.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 0.00 | 0.00 |
| 24. Independent Expenditure (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 |
| 26. Loan Repayments Made | 0.00 | 0.00 |
| 27. Loans Made | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶ | 0.00 | 0.00 |
| 29. Other Disbursements | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C 431(20)) | | |
| (a) Shared Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) "Levin" Share | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) | 26646.63 | 26646.63 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31) | 26646.63 | 26646.63 |

# DETAILED SUMMARY PAGE

of Disbursements



| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 33. Total Contributions (other than loans) from Line 11(d), page 3) ..... | 11800.00 | 11800.00 |
| 34. Total Contribution Refunds (from Line 28(d)) ..... | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) ..... | 11800.00 | 11800.00 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... | 646.63 | 646.63 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) ..... | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... | 646.63 | 646.63 |

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
New York Bankers Political Action Committee

**A.**
Full Name (Last, First, Middle Initial)
William J. Bosies, Jr.
Mailing Address 20 Birch Road
City: Darien State: CT Zip Code: 06820
FEC ID number of contributing federal political committee: C
Name of Employer: New York Bankers Association
Occupation: Senior Vice President
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 385.00
Date of Receipt: 10 06 2003
Transaction ID: SA11A1.4101
Amount of Each Receipt this Period: 385.00

**B.**
Full Name (Last, First, Middle Initial)
Richard Burke
Mailing Address 103 High Street
City: Avon State: NY Zip Code: 14414
FEC ID number of contributing federal political committee: C
Name of Employer: The Burke Group, Inc.
Occupation: Principal
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 11 12 2003
Transaction ID: SA11A1.4114
Amount of Each Receipt this Period: 250.00

**C.**
Full Name (Last, First, Middle Initial)
Thomas F. Goldrick, Jr.
Mailing Address One Pell Lane
City: Syosset State: NY Zip Code: 11791
FEC ID number of contributing federal political committee: C
Name of Employer: State Bank of Long Island
Occupation: Chairman
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 10 29 2003
Transaction ID: SA11A1.4105
Amount of Each Receipt this Period: 500.00

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1135.00**

TOTAL This Period (last page this line number only) ..... ▶

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
New York Bankers Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Peter G. Humphrey
Mailing Address: 230 West Buffalo Street
City: Warsaw | State: NY | Zip Code: 14569
FEC ID number of contributing federal political committee: C
Name of Employer: Financial Institutions. Inc.
Occupation: Chairman, President & CEO
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 11 01 2003
Transaction ID: SA11A1.4111
Amount of Each Receipt this Period: 500.00

**B.**
Full Name (Last, First, Middle Initial): Walcott J. Humphrey, Jr.
Mailing Address: 55 North Main Street
City: Warsaw | State: NY | Zip Code: 14569
FEC ID number of contributing federal political committee: C
Name of Employer: Financial Institutions. Inc.
Occupation: Director
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 10 08 2003
Transaction ID: SA11A1.4109
Amount of Each Receipt this Period: 250.00

**C.**
Full Name (Last, First, Middle Initial): Richard W Marzbacher
Mailing Address: 75 Fairview Road
City: Farmingdale | State: NY | Zip Code: 11735
FEC ID number of contributing federal political committee: C
Name of Employer: State Bank of Long Island
Occupation: President
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 10 29 2003
Transaction ID: SA11A1.4108
Amount of Each Receipt this Period: 500.00

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1250.00**

TOTAL This Period (last page this line number only) ..... ▶

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
New York Bankers Political Action Committee

**A.**
Full Name (Last, First, Middle Initial)
Daniel T Rowe

Mailing Address P.O. Box 182

City: East Setauket
State: NY
Zip Code: 11733

FEC ID number of contributing federal political committee: C

Name of Employer: State Bank of Long Island
Occupation: Director

Receipt For: Primary General
Other (specify)

Aggregate Year-to-Date: 300.00

Date of Receipt: 10 29 2003

Transaction ID: SA11A1.4107

Amount of Each Receipt this Period: 300.00

**B.**
Full Name (Last, First, Middle Initial)
Jeffrey Wilks

Mailing Address 941 Park Avenue #9A

City: New York
State: NY
Zip Code: 10028

FEC ID number of contributing federal political committee: C

Name of Employer: State Bank of Long Island
Occupation: Director

Receipt For: Primary General
Other (specify)

Aggregate Year-to-Date: 300.00

Date of Receipt: 11 24 2003

Transaction ID: SA11A1.4121

Amount of Each Receipt this Period: 300.00

SUBTOTAL of Receipts This Page (optional) ..... ▶ 600.00

TOTAL This Period (last page this line number only) ..... ▶ 2985.00

## SCHEDULE B (FEC Form 3X)
## ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

| | | | | | | |
|---|---|---|---|---|---|---|
| [X] 21b | [ ] 22 | [ ] 23 | [ ] 24 | [ ] 25 | [ ] 26 | |
| [ ] 27 | [ ] 28a | [ ] 28b | [ ] 28c | [ ] 29 | [ ] 30b | |



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NAME OF COMMITTEE (In Full)
New York Bankers Political Action Committee

A. Full Name (Last, First, Middle Initial)
Gibson, Dunn & Crutcher

Mailing Address 1050 Connecticut Avenue, N.W.

City: Washington
State: DC
Zip Code: 20036

Purpose of Disbursement: Legal services

Category/Type: 001

Candidate Name

Office Sought: House / Senate / President
State: District

Disbursement For: Primary / General / Other (specify)

Transaction ID: SB21B.4359
Date of Disbursement: 12 / 29 / 2003

Amount of Each Disbursement this Period: 646.63

| | |
|---|---|
| SUBTOTAL of Disbursements This Page (optional) ▶ | 646.63 |
| TOTAL This Period (last page this line number only) ▶ | 646.63 |

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

| | | | | | |
|---|---|---|---|---|---|
| ☐ 21b | ☒ 22 | ☐ 23 | ☐ 24 | ☐ 25 | ☐ 26 |
| ☐ 27 | ☐ 28a | ☐ 28b | ☐ 28c | ☐ 29 | ☐ 30b |



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NAME OF COMMITTEE (In Full)
New York Bankers Political Action Committee

**A.**
Full Name (Last, First, Middle Initial)
ABA BankPAC

Mailing Address 1120 Connecticut Avenue, N.W.

City: Washington
State: DC
Zip Code: 20036

Purpose of Disbursement

Candidate Name

011
Category/ Type

Office Sought: House / Senate / President
State: District

Disbursement For: Primary / General / Other (specify)

Transaction ID: SB22.4361
Date of Disbursement
07 / 15 / 2003

Amount of Each Disbursement this Period
18000.00

**B.**
Full Name (Last, First, Middle Initial)
ABA BankPAC

Mailing Address 1120 Connecticut Avenue, N.W.

City: Washington
State: DC
Zip Code: 20036

Purpose of Disbursement

Candidate Name

011
Category/ Type

Office Sought: House / Senate / President
State: District

Disbursement For: Primary / General / Other (specify)

Transaction ID: SB22.4384
Date of Disbursement
11 / 17 / 2003

Amount of Each Disbursement this Period
10000.00

| | |
|---|---|
| SUBTOTAL of Disbursements This Page (optional) ..... ▶ | 28000.00 |
| TOTAL This Period (last page this line number only) ..... ▶ | 28000.00 |