

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Headwaters Incorporated PAC

ADDRESS (number and street) ▼

10701 S. River Front Parkway

Suite 300

☐ Check if different than previously reported. (ACC)

South Jordan

UT

84095

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00388751

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☒ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

UT

5. Covering Period

M M M / D D D / Y Y Y Y Y Y

10

16

2014

through

M M M / D D D / Y Y Y Y Y Y

11

24

2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Scott Ballard

Signature of Treasurer

Mr. Scott Ballard

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y

12

02

2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Headwaters Incorporated PAC

Report Covering the Period:

From:

| | | | | |
|-----|---|-----|---|---------|
| M M | / | D D | / | Y Y Y Y |
| 10 | | 16 | | 2014 |

To:

| | | | | |
|-----|---|-----|---|---------|
| M M | / | D D | / | Y Y Y Y |
| 11 | | 24 | | 2014 |

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|--|---|---|
| 6. (a) Cash on Hand January 1, <div><div>Y Y Y Y Y</div><div>2014</div></div> | | <div><div></div><div>44951.64</div></div> |
| (b) Cash on Hand at Beginning of Reporting Period..... | <div><div></div><div>71620.88</div></div> | |
| (c) Total Receipts (from Line 19) | <div><div></div><div>3610.38</div></div> | <div><div></div><div>34279.62</div></div> |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)..... | <div><div></div><div>75231.26</div></div> | <div><div></div><div>79231.26</div></div> |
| 7. Total Disbursements (from Line 31)..... | <div><div></div><div>0.00</div></div> | <div><div></div><div>4000.00</div></div> |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | <div><div></div><div>75231.26</div></div> | <div><div></div><div>75231.26</div></div> |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | <div><div></div><div>0.00</div></div> | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | <div><div></div><div>0.00</div></div> | |



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Headwaters Incorporated PAC

Report Covering the Period:

From:

M M /

D D /

Y Y Y Y Y

To:

M M /

D D /

Y Y Y Y Y

10

16

2014

11

24

2014

I. Receipts
COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other
Than Political Committees

(i) Itemized (use Schedule A).....

3544.17

29069.73

(ii) Unitemized

60.00

5158.50

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

3604.17

34228.23

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ▶

3604.17

34228.23

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

6.21

51.39

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),

12, 13, 14, 15, 16, 17, and 18(c)) ▶

3610.38

34279.62

20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ▶

3610.38

34279.62

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share..... | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | 0.00 | 4000.00 |
| 24. Independent Expenditures (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)..... | 0.00 | 0.00 |
| 26. Loan Repayments Made..... | 0.00 | 0.00 |
| 27. Loans Made..... | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... | 0.00 | 0.00 |
| 29. Other Disbursements | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C. §431(20)) | | |
| (a) Allocated Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) "Levin" Share..... | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b)).... | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) .. | 0.00 | 4000.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 0.00 | 4000.00 |

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) (from Line 11(d), page 3) | 3604.17 | 34228.23 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 3604.17 | 34228.23 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ► | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3)..... | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) ► | 0.00 | 0.00 |

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Scott Ballard

Mailing Address 4390 Wasatch Meadows Drive

City State Zip Code
West Jordan UT 84088

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

Treasurer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

884.58

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7235

Amount of Each Receipt this Period

115.38

biweekly payroll deduction \$38.46

Full Name (Last, First, Middle Initial)

B. Mr. Kirk A. Benson

Mailing Address 4184 W. Alpine Cove Drive

City State Zip Code
Alpine UT 84004

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

Chief Executive Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2640.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7236

Amount of Each Receipt this Period

360.00

biweekly payroll deduction \$120.00

Full Name (Last, First, Middle Initial)

C. Mrs. Stephanie Black

Mailing Address 2826 Elk Horn Lane

City State Zip Code
Sandy UT 84093

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Energy Svcs

Occupation

VP Covol Svcs Group

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1769.16

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7237

Amount of Each Receipt this Period

230.76

biweekly payroll deduction \$76.92

SUBTOTAL of Receipts This Page (optional)..... ►

706.14

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 7 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Robert E. Darby

Mailing Address 27011 Timberline Drive

City

San Antonio

State

TX

Zip Code

78260

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Construction Mtls

Occupation

General Manager

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

442.75

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7238

Amount of Each Receipt this Period

57.75

biweekly payroll deduction \$19.25

Full Name (Last, First, Middle Initial)

B. Mr. Timothy Davis

Mailing Address 10505 Featherwood Drive

City

South Jordan

State

UT

Zip Code

84095

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

Director of Internal Audit

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7239

Amount of Each Receipt this Period

30.00

biweekly payroll deduction \$10.00

Full Name (Last, First, Middle Initial)

C. Mr. Gary England

Mailing Address 2980 East 9690 South

City

Sandy

State

UT

Zip Code

84092

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Resources

Occupation

Vice President

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

884.58

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7240

Amount of Each Receipt this Period

115.38

biweekly payroll deduction \$38.46

SUBTOTAL of Receipts This Page (optional)..... ▶

203.13

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Alan G. Falls

Mailing Address 2362 North Trail

City

Midland

State

MI

Zip Code

48642

FEC ID number of contributing federal political committee.

C

Name of Employer

Headwaters Resources

Occupation

Eastern Region Area Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

343.20

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 24 / 2014

Transaction ID : SA11AI.7241

Amount of Each Receipt this Period

46.80

biweekly payroll deduction \$15.60

Full Name (Last, First, Middle Initial)

B. Mr. Matt Feiner

Mailing Address 11218 Lady Fern Circle

City

San Diego

State

AA

Zip Code

92131

FEC ID number of contributing federal political committee.

C

Name of Employer

Headwaters Stone Division

Occupation

VP Finance/Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.26

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 24 / 2014

Transaction ID : SA11AI.7242

Amount of Each Receipt this Period

28.86

biweekly payroll deduction \$9.62

Full Name (Last, First, Middle Initial)

C. Mr. Kyelon Flournoy

Mailing Address 280 Gin Spur

City

Seguin

State

TX

Zip Code

78155

FEC ID number of contributing federal political committee.

C

Name of Employer

Headwaters Construction Mtls

Occupation

Plant Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 24 / 2014

Transaction ID : SA11AI.7243

Amount of Each Receipt this Period

45.00

biweekly payroll deduction \$15.00

SUBTOTAL of Receipts This Page (optional)..... ►

120.66

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Richard Garagliano

Mailing Address 21945 NE 11th Street

City

Sammamish

State

WA

Zip Code

98074

FEC ID number of contributing federal political committee.

C

Name of Employer

Eldorado Stone

Occupation

Director of Technical Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 24 / 2014

Transaction ID : SA11AI.7244

Amount of Each Receipt this Period

28.86

biweekly payroll deduction \$9.62

Full Name (Last, First, Middle Initial)

B. Ms Brenda Garza

Mailing Address 1422 Genesis Drive

City

Mansfield

State

TX

Zip Code

76063

FEC ID number of contributing federal political committee.

C

Name of Employer

Hewadwaters Construction Matls

Occupation

Lean Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

442.29

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 24 / 2014

Transaction ID : SA11AI.7245

Amount of Each Receipt this Period

57.69

biweekly payroll deduction \$19.23

Full Name (Last, First, Middle Initial)

C. Mr. William H. Gehrman

Mailing Address 5248 CR 302

City

Nacogdoches

State

TX

Zip Code

75961

FEC ID number of contributing federal political committee.

C

Name of Employer

Headwaters Resources

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1769.39

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 24 / 2014

Transaction ID : SA11AI.7246

Amount of Each Receipt this Period

230.79

biweekly payroll deduction \$76.93

SUBTOTAL of Receipts This Page (optional)..... ►

317.34

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 10 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. James K. Glass

Mailing Address 5584 Farmstead Court S

City State Zip Code
 Fargo ND 58104

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Resources

Occupation

Area Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7248

Amount of Each Receipt this Period

45.00

biweekly payroll deduction \$15.00

Full Name (Last, First, Middle Initial)

B. Mr. Eric Graves

Mailing Address 3614 Fawn Creek Drive

City State Zip Code
 Kingwood TX 77339

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Construction Matls

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7249

Amount of Each Receipt this Period

30.00

biweekly payroll deduction \$10.00

Full Name (Last, First, Middle Initial)

C. Mrs. Barbara Green

Mailing Address 3023 East 7145 South

City State Zip Code
 Salt Lake City UT 84121

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

Human Resources Technician

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.26

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7250

Amount of Each Receipt this Period

28.86

biweekly payroll deduction \$9.62

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

103.86

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Scott Jackson

Mailing Address 2117 Lincoln Lane

City State Zip Code
Holladay UT 84124

FEC ID number of contributing federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

Treasurer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

807.66

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 24 / 2014

Transaction ID : SA11AI.7251

Amount of Each Receipt this Period

115.38

biweekly payroll deduction \$38.46

Full Name (Last, First, Middle Initial)

B. Ms Deborah Johnson

Mailing Address 16015 Sea Palms Drive

City State Zip Code
Crosby TX 77532

FEC ID number of contributing federal political committee.

C

Name of Employer

Headwaters Construction Mats

Occupation

Site Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

352.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 24 / 2014

Transaction ID : SA11AI.7252

Amount of Each Receipt this Period

48.00

biweekly payroll deduction \$16.00

Full Name (Last, First, Middle Initial)

C. Mr. Jerry Dale Johnson

Mailing Address PO Box 624

City State Zip Code
Franklin TX 77856

FEC ID number of contributing federal political committee.

C

Name of Employer

Headwaters Resources

Occupation

Operations Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 24 / 2014

Transaction ID : SA11AI.7253

Amount of Each Receipt this Period

45.00

biweekly payroll deduction \$15.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

208.38

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Lonnie M. Johnston

Mailing Address 2428 Bristol Court

City

Bossier City

State

LA

Zip Code

71111

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Resources

Occupation

Technical Sales Rep

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7254

Amount of Each Receipt this Period

30.00

biweekly payroll deduction \$10.00

Full Name (Last, First, Middle Initial)

B. Mr. Phillip L. Kennedy Jr.

Mailing Address 1247 Avenida Amistad

City

San Marcos

State

CA

Zip Code

92069

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Stone

Occupation

Controller

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7255

Amount of Each Receipt this Period

30.00

biweekly payroll deduction \$10.00

Full Name (Last, First, Middle Initial)

C. Mr. Jimmy Lambert

Mailing Address 5401 FM 1331

City

Taylor

State

TX

Zip Code

76574

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Resources

Occupation

Area Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

343.20

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7256

Amount of Each Receipt this Period

46.80

biweekly payroll deduction \$15.60

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

106.80

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 13 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Charles Lawton III

Mailing Address 5095 S. Sandpiper Drive
Apt. 565

City State Zip Code
Salt Lake City UT 84117

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Resources

Occupation

Director of Ops & Financial Analysis

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7258

Amount of Each Receipt this Period

30.00

biweekly payroll deduction \$10.00

Full Name (Last, First, Middle Initial)

B. Mr. Murphy K. Lents

Mailing Address 1370 Grand Avenue, B

City State Zip Code
San Marcos CA 92078

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Stone Division

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1771.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7259

Amount of Each Receipt this Period

231.00

biweekly payroll deduction \$77.00

Full Name (Last, First, Middle Initial)

C. Mr. James A. Lepinski

Mailing Address 909 E Rocky Mouth Lane

City State Zip Code
Draper UT 84020

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

VP, New Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.26

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7260

Amount of Each Receipt this Period

28.86

biweekly payroll deduction \$9.62

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

289.86

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 14 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Jeffrey Luntz

Mailing Address 7620 Washington Avenue

City State Zip Code
Houston TX 77007

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Construction Mats

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7261

Amount of Each Receipt this Period

30.00

biweekly payroll deduction \$10.00

Full Name (Last, First, Middle Initial)

B. Ms. Sharon Madden

Mailing Address 4490 South 2700 East

City State Zip Code
Salt Lake City UT 84124

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

Vice President of Investor Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

884.58

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7262

Amount of Each Receipt this Period

115.38

biweekly payroll deduction \$38.46

Full Name (Last, First, Middle Initial)

C. Mr. David A. Marshall

Mailing Address 05634 East Harbor Drive

City State Zip Code
Fruitland Park FL 34731

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Resources

Occupation

Southern Region Area Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7263

Amount of Each Receipt this Period

60.00

biweekly payroll deduction \$20.00

SUBTOTAL of Receipts This Page (optional)..... ►

205.38

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 15 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Christopher D. Mason

Mailing Address 910 E Rocky Mouth Lane

City State Zip Code
 Draper UT 84020

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

IT Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

897.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7264

Amount of Each Receipt this Period

117.00

biweekly payroll deduction \$39.00

Full Name (Last, First, Middle Initial)

B. Dr. Rafic Minkara

Mailing Address 204 Lakeside Drive

City State Zip Code
 Kennesaw GA 30144

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Tech Innovation

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

880.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7265

Amount of Each Receipt this Period

120.00

biweekly payroll deduction \$40.00

Full Name (Last, First, Middle Initial)

C. Mr. Donald P Newman

Mailing Address 12851 Moose Hollow Drive

City State Zip Code
 Draper UT 84020-8342

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

Chief Financial Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1771.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7266

Amount of Each Receipt this Period

231.00

biweekly payroll deduction \$77.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

468.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 16 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Harvey J. North

Mailing Address 358 International Way

City
Alpine

State Zip Code
UT 84004

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

Vice President, Corporate Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

884.58

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7267

Amount of Each Receipt this Period

115.38

biweekly payroll deduction \$38.46

Full Name (Last, First, Middle Initial)

B. Mr. James H. Pouns

Mailing Address 2088 FM 949

City
Alleyton

State Zip Code
TX 78935

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Construction Mtls

Occupation

Controller

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7268

Amount of Each Receipt this Period

60.00

biweekly payroll deduction \$120.00

Full Name (Last, First, Middle Initial)

C. Mr. Christopher Sauline

Mailing Address 5550 Huron Hills Drive

City
Commerce Township

State Zip Code
MI 48382

FEC ID number of contributing
federal political committee.

C

Name of Employer

Tapco Intl

Occupation

VP of Manufacturing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

880.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7270

Amount of Each Receipt this Period

120.00

biweekly payroll deduction \$40.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

295.38

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 17 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Mr. Brent Spann

Mailing Address 6832 Korite Place

City State Zip Code
 Carlsbad CA 92009

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eldorado Stone

Occupation

VP of Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

884.58

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7271

Amount of Each Receipt this Period

115.38

biweekly payroll deduction \$38.46

Full Name (Last, First, Middle Initial)

B. Mr. David Spiess

Mailing Address 17 Lancaster Court

City State Zip Code
 North Hills NY 11030

FEC ID number of contributing
federal political committee.

C

Name of Employer

Tapco

Occupation

VP Retail Group

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7272

Amount of Each Receipt this Period

45.00

biweekly payroll deduction \$15.00

Full Name (Last, First, Middle Initial)

C. Mr. D. Kerry Stubbs

Mailing Address 11089 S Alpine Creek Way

City State Zip Code
 South Jordan UT 84095

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Incorporated

Occupation

Vice President, Financial Reporting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

699.62

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7273

Amount of Each Receipt this Period

90.00

biweekly payroll deduction \$30.00

SUBTOTAL of Receipts This Page (optional)..... ►

250.38

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 18 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

A. Ms Sharmala Von Pawlak

Mailing Address 4931 Nichols Street

City

Everett

State

WA

Zip Code

98203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eldorado Stone

Occupation

Director of Credit & Collections

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.26

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7274

Amount of Each Receipt this Period

28.86

biweekly payroll deduction \$9.62

Full Name (Last, First, Middle Initial)

B. Mr. Bobby Whisnant

Mailing Address 1669 CR 101

City

Columbus

State

TX

Zip Code

78934

FEC ID number of contributing
federal political committee.

C

Name of Employer

Headwaters Construction Mtls

Occupation

COO / VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1760.00

Date of Receipt

11 / 24 / 2014

Transaction ID : SA11AI.7275

Amount of Each Receipt this Period

240.00

biweekly payroll deduction \$80.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

268.86

3544.17