

PALLONE FOR CONGRESS

P.O. BOX 3176
Long Branch, NJ 07740
Tel: (732) 571-4141 • Fax: (732) 571-9488

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

2000 MAR -6 A 10:29

March 1, 2000

Mr. Donald L. Averett
Reports Analyst
Reports Analysis Division
Federal Election Commission
999 E St. NW
Washington, DC 20463

RE: Year End Report (10/01/99-12/31/99)
UA Plumbers Local 14 Political Fund

Dear Mr. Averett:

This letter is in reply to your letter of Feb. 23, 2000 to the Plumbers Local 14 PAC Fund.

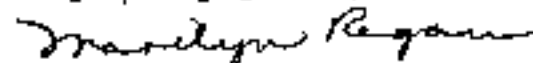
The \$500.00 we received from them on Nov. 29, 99 was reported by us as a General Election 2000 contribution. I have enclosed a copy of our report.

This issue was also raised by your office with a letter to Plumbers & Pipefitters Local #9 PAC on Dec. 22, 99. In this letter from Antoinette Kitchen, the FEC questioned three contributions from Local 9 PAC and one from Local 14 Political Fund.

I answered this letter to Mr. Michael Cantwell on Jan. 7, 2000 and he in turn sent Ms. Kitchen a letter on Jan. 10, 2000 along with a copy of the letter and information I sent to him with the breakdown of our reporting of their contributions.

I have enclosed copies of all this information.

Very truly yours,



Marilyn Regan, Asst. Treas.
Pallone for Congress

Enc: 21
cc: UA Plumbers Local 14

PALLONE FOR CONGRESS

P.O. BOX 3176

Long Branch, NJ 07740

Tel: (732) 571-4141 • Fax: (732) 571-9488

March 1, 2000

David A. Gerson & Assoc.
16 Arcadian Ave. #C3
Paramus, NJ 07652
Attn: Ellen

RE: Letter from FEC dated Feb. 28, 2000

Dear Ellen:

Enclosed please find copies of all the information that I sent Mike Cantwell on Jan. 7, 2000 and the letter he sent to the FEC on Jan. 10, 2000.

If you wish me to send this information to the FEC, please let me know. Hopefully this will clear up this situation.

Very truly yours,

Marilyn Regan

Marilyn Regan, Asst. Treas.
Pallone for Congress

Enc: 13



DAVID A. GERSON
CERTIFIED PUBLIC ACCOUNTANT
& ASSOCIATES, P.C.

16 Arcadian Avenue, Suite C3
Paramus, New Jersey 07652
Phone: (201) 843-0444 • Fax: (201) 843-0902

February 28, 2000

Pallone for Congress
PO Box 3176
Long Branch, NJ 07740

Re: Plumbers Local Union No. 14
Political Action Committee
IN: C00191213
Year end report (10/1/99-12/31/99)

Dear Sir or Madam:

We are accountant's for the Plumbers Local Union No. 14 Political Action Committee and are writing on their behalf for the matter as captioned above.

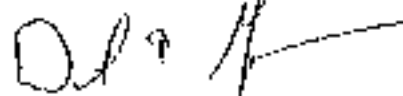
We are enclosing a copy of a letter dated February 23, 2000 with all enclosures that our client received from the Federal Election Commission (FEC) stating that they may have made excess contributions to your committee.

Please review the letter and either; respond directly to the FEC and explain how the contributions meet their requirements and are therefore allowable, or, refund the excess to our client as soon as possible.

Your prompt attention to this matter will be greatly appreciated.

Please copy us on all correspondence.

Sincerely yours,



DAVID A. GERSON, CPA

MFC;dg
99ACL.txt
Encl.

cc. Mr. Charles Iversen/Plumbers Local Union No. 14
Mr. Donald Averett/FEC



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20543

RQ-2

Charles Iverson, Treasurer
Plumbers Local 14 PAC Fund
150 Main Street
Lodi, NJ 07644

FEB 23 2000

Identification Number: C00191213

Reference: Year End Report (10/01/99-12/31/99)

Dear Mr. Iverson:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule B of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. 2 U.S.C. §441a(a) precludes a multicandidate committee and its affiliates from making a contribution to a candidate for federal office in excess of \$5,000 per election.

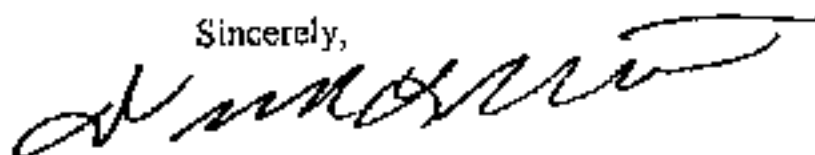
If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If you have made an excessive contribution, you should notify the recipient and request a refund of the amount in excess of \$5,000 and/or notify the recipient in writing of your redesignation of the contribution. In the best interest of your committee, all refunds and redesignations should be made within sixty days of the treasurer's receipt of the contribution(s).

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR §110.1(b)

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,



Donald L. Averett
Reports Analyst
Reports Analysis Division

PLUMBERS AND PIPEFITTERS LOCAL UNION NO. 9

POLITICAL ACTION COMMITTEE

Chairman
MICHAEL F. CANTWELL



Telephone
(732) 792-0999

Committee
MICHAEL K. MALONEY THOMAS F. SEEMAN
JOSEPH M. DELISA JOHN LOUTH, JR.
THOMAS J. MATUSZ JAMES DALY

2 IRON ORE ROAD AT ROUTE 33
ENGLISHTOWN, NJ 07726

January 10, 2000

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Attention: Ms. Antoinette Kitchen
Reports Analyst

Re: C00155440
Pallone for Congress

Dear Ms. Kitchen:

Enclosed for your review is a response to your December 22, 1999 letter from Ms. Marilyn Regan, of Pallone for Congress.

We trust Ms. Regan's letter adequately answers the inquiries you have raised and that this matter can now be closed.

If you require any further information or actions on our part, please advise.

Sincerely yours,

Michael F. Cantwell / DAK

MICHAEL F. CANTWELL
Treasurer

MFC;dg
9PACL.txt
Encl.

cc. Mr. David A. Gerson, CPA
Ms. Marilyn Regan

PALLONE FOR CONGRESS

P.O. BOX 3176

Long Branch, NJ 07740

Tel: (732) 571-4141 • Fax: (732) 571-9488

January 7, 2000

Mr. Michael Cantwell, Tr.
Plumbers & Pipefitters Local #9 PAC
2 Iron Ore Rd. at Rt 33
Englishtown, NJ 07726

RE: Letter regarding the FEC reporting

Dear Mike:

We are in receipt of your letter of January 3, 2000, in which you state that the FEC believes that you have made excess contributions to us.

We do not think so. Our records for 1999 are as follows:

Received on 2-12-99, check #1061, from your Local 9 PAC, dated 2-9-99, for \$5,000.00. We reported this as a Primary 2000 contribution.

Received on 8-19-99, check #1105, from your Local 9 PAC, dated 8-14-99, for \$1,000.00. We will report this as a General 2000 contribution on our Dec. 31st report which is due on Jan. 31st.

Received on 11-29-99, check #1044, from UA Plumbers Local 14 Political Fund, dated 11-19-99, for \$500.00. We will report this as a General 2000 contribution on our Dec. 31st report.

Received on 12-2-99, check #1216, from your Local 9 PAC, dated 11-26-99, for \$1,000.00. We will report this as a General 2000 contribution on our Dec. 31st report.

These contributions total, as of today, \$5,000.00 for the Primary 2000 Election and \$2,500.00 for the General 2000 Election. You can still contribute \$2,500.00 to Pallone for Congress for the General 2000 Election.

We hope this will clear up the situation. Enclosed please find copies of the checks and our FEC report showing your contributions and their designations.

Very truly yours,

Marilyn Regan
Marilyn Regan, Asst. Treas.
Pallone for Congress

Enc: 4



SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules for each category of the Related Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
PALLONE FOR CONGRESS (C00226928)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Transp. Pol. Ed. League 14600 Detroit Ave. Cleveland, OH 44107	Occupation	2-4-99	1,000.00
Receipt For: <input type="checkbox"/> Other (specify): <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date	\$ 1,000.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
News-America-Fox PAC 444 N. Capitol St. NW Washington, DC 20001	Occupation	2-5-99	500.00
Receipt For: <input type="checkbox"/> Other (specify): <input type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date	\$ 500.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Lucent Technologies PAC 300 19th St. NW #700 Washington, DC 20006	Occupation	2-9-99	500.00
Receipt For: <input type="checkbox"/> Other (specify): <input type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date	\$ 500.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Seafarers Political Activity 5201 Auth Way Camp Springs, MD 20746	Occupation	2-11-99	500.00
Receipt For: <input type="checkbox"/> Other (specify): <input type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date	\$ 500.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Above contribution earmarked thru Dem. Congr. Camp. Cmte. 430 So. Capitol St. Washington, DC 20003	Occupation		500.00 MEMO ENTRY Total from Conduit
Receipt For: <input type="checkbox"/> Other (specify): <input type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date	\$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Plumbers & Pipefitters Local 9 2 Iron Ore Rd. PAA Englishtown, NJ 07726	Occupation	2-12-99	5,000.00
Receipt For: <input type="checkbox"/> Other (specify): <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date	\$ 5,000.00	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Other (specify): <input type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date	\$	

SUBTOTAL of Receipts This Page (optional) 7,500.00
TOTAL This Period (last page this line number only)

FL

1061

PLUMBERS AND PIPEFITTERS LOCAL 9

VOLUNTARY POLITICAL ACTION ACCT.
2 IRON ORE RD., AT RT. 33
ENGLISHTOWN, N.J. 07728

60-728372113

DATE *February 9, 1997*

PAY TO THE ORDER OF

Pellone for Congress

\$ 5000.00

Five thousand and 00/100

DOLLARS



Sovereign Bank

FOR

Contributions

Michael J. Cantwell

⑈00106⑈ ⑆231372691⑆000 1591058910⑈

Michael Cantwell
792-0999

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1
FOR LINE NUMBER 11 c

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

PALLONE FOR CONGRESS (C00226928)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Baltimore Gas & Electric PAC PO BOX 1475 Baltimore, MD 21203		8-12-99	500.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 500.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Transportation Pol. Ed. League 14600 Detroit Ave. Cleveland, OH 44107		8-18-99	2,500.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 3,500.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Plumbers & Pipefitters Local #9 2 Iron Ore Rd. Pol. Action Acct. Englishtown, NJ 07726		8-19-99	1,000.00
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 6,000.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Arent, Fox Civic Participation 1050 Conn. Ave. NW Fund Washington, DC 20036		8-25-99	1,000.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1,000.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Marine Trades Assn./NJ PAC 1999 Rt 88 Brick, NJ 08724		9-7-99	100.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 200.00	Permissible Funds
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Amer. Fed. of Govt Empl. PAC 80 F St, NW Washington, DC 20001		9-8-99	500.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 1,000.00	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
GPU Power PAC 801 Penn. Ave. NW Washington, DC 20004		9-8-99	500.00
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	Aggregate Year-to-Date > \$ 3,000.00	

SUBTOTAL of Receipts This Page (optional)

6,100.00

TOTAL This Period (last page this line number only)

PLUMBERS & PIPEFITTERS 02/00
LOCAL UNION NO. 9
POLITICAL ACTION ACCOUNT
2 IRON ORE RD. AT ROUTE 33
ENGLISHTOWN, NJ 07726

PICK 1105

SS 21212
BRANCH 10000

DATE August 14, 1999

PAY TO THE ORDER OF Pallone for Congress

\$ 1000.00

One thousand and 00/100

DOLLARS

FIRST UNION First Union National Bank
Newark, New Jersey
NJ 021200025

FOR 2 Guests

Michael F. Cantwell

⑆001105⑆ ⑆021200025⑆ 200004681496⑆

DONATION: \$500 PER PERSON

Count me in. Enclosed is my check for \$ 1000.00 for 2 guests.
(SP)

Sorry, I can't make the party, but enclosed is a check for \$ _____ for
Pallone for Congress.

Federal law requires political committees to report the name, mailing address, occupation and name of employer for each individual whose contributions aggregate in excess of \$200 in a calendar year

Full Name: Michael F. Cantwell
Home Mailing Address: 11 Ryerson Drive Tel: _____
City & State: Hamilton Sp. NJ Zip: 08690
Occupation: Business Manager
Business Name: Plumbers + Pipefitters Local Self-employed?
Business Address: 2 Iron Ore Road Tel: 732-0979
City & State: Englishtown NJ Zip: 07726

Make Check Payable To:
PALLONE FOR CONGRESS

We cannot accept corporate checks. Contributions are non-tax deductible.
Paid for by Pallone for Congress, J. Tully, Treasurer

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 9 OF
FOR LINE NUMBER 11 c

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

FALLONE FOR CONGRESS (C00226928)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Treasury Employees PAC 901 E St. NW Washington, DC 20004 Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	11-5-99	500.00
Aggregate Year-to-Date > \$ 1,500.00			
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Airline Pilots Assn. PAC 1625 Mass. Ave. NW Washington, DC 20036 Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	11-8-99	1,000.00
Aggregate Year-to-Date > \$ 2,000.00			
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Planned Parenthood Action Fund PAC 810 Seventh Ave. New York, NY 10029 Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	11-8-99	500.00
Aggregate Year-to-Date > \$ 500.00			
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Natl Propane Gas Assn. PAC 1600 Eisenhower Lane Lisle, IL 60532 Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	11-15-99	500.00
Aggregate Year-to-Date > \$ 500.00			
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Securities Industry Assn. PAC 1401 Eye St. NW #100 Washington, DC 20005 Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	11-15-99	500.00
Aggregate Year-to-Date > \$ 500.00			
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
IA Plumbers Local 14 Political Fund 150 Main St. Lodi, NJ 07644 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	11-29-99	500.00
Aggregate Year-to-Date > \$ 500.00			
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation		
Aggregate Year-to-Date > \$			

SUBTOTAL of Receipts This Page (optional)

3,500.00

TOTAL This Period (last page this line number only)

UA PLUMBERS LOCAL 14
POLITICAL FUND
150 MAIN STREET
LODI, NJ 07644

THE BANK OF NEW YORK
GARFIELD, NJ 07026

001044

11/19/1999

PAY TO THE
ORDER OF Pallone for Congress

\$ **500.00**

Five Hundred and 00/100 ***** DOLLARS

Pallone for Congress
PO Box 3176
Long Branch, NJ 07740

Thomas DePinto
Charles Silverman

MEMO
Donation

⑈001044⑈ ⑆021202719⑆ 6104578193⑈

UA PLUMBERS LOCAL 14
Pallone for Congress

11/19/1999

001044
500.00

Plumbers Loc. 14 P Donation

500.00

Count me in. Enclosed is my check for \$ _____ for _____ goods.
 Sorry, I can't make the benefit, but enclosed is a check for \$ 500.00 for
Pallone for Congress.

Federal law requires political committees to report the name, mailing address, occupation and source of
employer for each individual whose contribution aggregates in excess of 1200 in a calendar year.

Full Name: _____
Home Mailing Address: _____ Tel: _____
City & State: _____ Zip: _____
Occupation: _____
Business Name: Plumbers Local 14 Self-Employed
Business Address: 150 Main Street Tel: 973-473-5544
City & State: Lodi, NJ Zip: 07644

Make checks payable to
Pallone for Congress

We cannot accept corporate checks
Political contributions are not tax deductible

Mail to: Pallone for Congress, c/o Bank of America, P.O. Box 1000, Trenton, NJ 08646

P&B

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedules for each category of the Detailed Summary Page

PAGE 10 OF 11
FOR LINE NUMBER 11 c

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

PALLONE FOR CONGRESS (C00226928)

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Amer. Optometric Assn. PAC 1505 Prince St. Alexandria, VA 22314			
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	12-2-99	1,000.00
		Aggregate Year-to-Date >	\$ 2,500.00
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Plumbers & Pipefitters Local #9 2 Iron Ore Rd. PAA Englishtown, NJ 07726			
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	12-2-99	1,000.00
		Aggregate Year-to-Date >	\$ 7,000.00
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Laborers' Political League 905 16th St. NW Washington, DC 20006			
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	12-3-99	1,000.00
		Aggregate Year-to-Date >	\$ 3,000.00
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Affinity Fed. Credit Union PAC PO Box 750 Bedminster, NJ 07921			
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	12-6-99	500.00
		Aggregate Year-to-Date >	\$ 1,500.00
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Amer Assn of Clinical Urologists 1111 Plaza Dr. PAC Schaumburg, IL 60173			
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	12-6-99	1,000.00
		Aggregate Year-to-Date >	\$ 2,500.00
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
College of Amer. Pathologists PAC 1350 Eye St. NW #590 Washington, DC 20005			
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	12-6-99	1,000.00
		Aggregate Year-to-Date >	\$ 1,000.00
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Title Industry PAC 1828 L St. NW #705 Washington, DC 20036			
Receipt For: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation	12-6-99	1,000.00
		Aggregate Year-to-Date >	\$ 2,500.00

SUBTOTAL of Receipts This Page (optional)	6,500.00
TOTAL This Period (last page this line number only)	

PLUMBERS & PIPEFITTERS 02/89
LOCAL UNION NO. 9
POLITICAL ACTION ACCOUNT
2 IRON ORE RD. AT ROUTE 33
ENGLISHTOWN, NJ 07728

1216

60-21212
BRANCH 14823

DATE November 26, 1989

PAY TO THE ORDER OF Pallone for Congress
One thousand and 00/100

\$ 1000.00

DOLLARS

FIRST UNION

First Union National Bank
RT 021200025

FOR 2 Tickets

Michael F. Cartwell

⑈001216⑈ ⑆021200025⑆ 2000004681496⑈

- Count me in. Enclosed is my check for \$ 1000 for 2 seats.
 Sorry, I can't make the benefit, but enclosed is a check for \$ _____ for
Pallone for Congress.

Federal law requires political committees to report the name, mailing address, occupation and name of employer for each individual whose contribution aggregates in excess of \$200 in a calendar year.

Full Name: Michael F. Cartwell
Home Mailing Address: 11 Ryerson Drive Tel: _____
City & State: Hamilton NJ Zip: 08690 1
Occupation: Business Manager
Business Name: Plumbers + Pipefitters Local 9 Self-Employed
Business Address: 2 Iron Ore Road RT 33. 792-0999
City & State: Englishtown NJ Zip: 07726



Make checks payable to
Pallone for Congress

We cannot accept corporate checks.
Political contributions are not tax deductible.

P&B

Print for by Pallone for Congress, Inc. & Dilly, Treasurer

PLUMBERS AND PIPEFITTERS LOCAL UNION NO. 9

POLITICAL ACTION COMMITTEE

Chairman
MICHAEL F. CANTWELL



Telephone
(732) 792-0999

Committee
MICHAEL K. MALONEY THOMAS F. SEEMAN
JOSEPH M. DELISA JOHN LOUTH, JR.
THOMAS J. MATUSZ JAMES DALY

2 IRON ORE ROAD AT ROUTE 33
ENGLISHTOWN, NJ 07726



January 3, 2000

Pallone for Congress
PO Box 3176
Long Branch, NJ 07740

Dear Sir or Madam:

We are enclosing a copy of a letter dated December 22, 1999 with all enclosures that we received from the Federal Election Commission (FEC) stating that we may have made excess contributions to your committee.

Please review the letter and either; respond directly to the FEC and explain how the contributions meet their requirements and are therefore allowable, or, refund the excess to us as soon as possible.

Your prompt attention to this matter will be greatly appreciated.

Please copy us on all correspondence.

Sincerely yours,

MICHAEL F. CANTWELL
Treasurer

MFC;dg
9PACL.txt
Encl.

cc. D.A. Gerson, CPA & Associates, PC
Ms. Antoinette Kitchen/FEC



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

Michael Cantwell, Treasurer
Plumbers & Pipefitters Local No. 9
Political Action Committee
2 Iron Ore Road at Rt. 33
Englishtown, NJ 07726

DEC 22 1999

Identification Number: C00155440

Reference: April Quarterly Report (1/1/99-3/31/99)

Dear Mr. Cantwell:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule B of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. 2 U.S.C. §441a(a) precludes a multicandidate committee and its affiliates from making a contribution to a candidate for federal office in excess of \$5,000 per election.

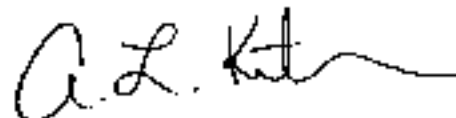
If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If you have made an excessive contribution, you should notify the recipient and request a refund of the amount in excess of \$5,000 and/or notify the recipient in writing of your redesignation of the contribution. In the best interest of your committee, all refunds and redesignations should be made within sixty days of the treasurer's receipt of the contribution(s).

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR §110.1(b)

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,

A handwritten signature in cursive script that reads "A.L. Kitchen". The signature is written in black ink and is positioned above the typed name.

Antoinette Kitchen
Reports Analyst
Reports Analysis Division

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1
FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

PLUMBERS & PIPEFITTERS LOCAL 9 PAC FUND

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Dinner Expenses Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
Rush Holt for Congress Box 782 Pennington, NJ 08534	Dinner Expenses Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	1/4/99	1,000.00
B. Full Name, Mailing Address and ZIP Code Tomicelli For US Senate, Inc. 430 South Capital Street, SE Washington, DC 20003	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/9/99	5,000.00
C. Full Name, Mailing Address and ZIP Code Pallone for Congress P.O. Box 3176 Long Branch, NJ 07740	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/9/99	5,000.00
D. Full Name, Mailing Address and ZIP Code Pascrell For Congress 63 Quartz Lane Paterson, NJ 07501	Dinner Expenses Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	2/13/99	2,500.00
E. Full Name, Mailing Address and ZIP Code Pallone Senate Exploratory Comm. P.O. Box 3176 Long Branch, NJ 07740	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	3/25/99	5,000.00
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

OK

SUBTOTAL of Disbursements This Page (optional)

18,500.00

TOTAL This Period (last page this line number only)

18,500.00

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedules
for each category of the
Detailed Summary PagePAGE 1 OF 1
FOR LINE NUMBER
23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

PLUMBERS & PIPEFITTERS LOCAL 9 POLITICAL ACTION COMM

A. Full Name, Mailing Address and ZIP Code

Pallone for Congress
P.O. Box 3176
Long Branch, NJ 07740

Purpose of Disbursement

Contribution

Disbursement for: Primary General
 Other (specify)Date (month,
day, year)

8/14/99

Amount of Each
Disbursement This Period

1,000.00

B. Full Name, Mailing Address and ZIP Code

DNC/ Federal Account
430 South Capital Street, SE
Washington, DC 20003

Purpose of Disbursement

Contribution

Disbursement for: Primary General
 Other (specify)Date (month,
day, year)

8/19/99

Amount of Each
Disbursement This Period

100.00

C. Full Name, Mailing Address and ZIP Code

Toricelli For US Senate, Inc.
11 Colter Drive
New Brunswick, NJ 08901

Purpose of Disbursement

Contribution

Disbursement for: Primary General
 Other (specify)Date (month,
day, year)

9/17/99

Amount of Each
Disbursement This Period

5,000.00

D. Full Name, Mailing Address and ZIP Code

Purpose of Disbursement

Disbursement for: Primary General
 Other (specify)Date (month,
day, year)Amount of Each
Disbursement This Period

E. Full Name, Mailing Address and ZIP Code

Purpose of Disbursement

Disbursement for: Primary General
 Other (specify)Date (month,
day, year)Amount of Each
Disbursement This Period

F. Full Name, Mailing Address and ZIP Code

Purpose of Disbursement

Disbursement for: Primary General
 Other (specify)Date (month,
day, year)Amount of Each
Disbursement This Period

G. Full Name, Mailing Address and ZIP Code

Purpose of Disbursement

Disbursement for: Primary General
 Other (specify)Date (month,
day, year)Amount of Each
Disbursement This Period

H. Full Name, Mailing Address and ZIP Code

Purpose of Disbursement

Disbursement for: Primary General
 Other (specify)Date (month,
day, year)Amount of Each
Disbursement This Period

I. Full Name, Mailing Address and ZIP Code

Purpose of Disbursement

Disbursement for: Primary General
 Other (specify)Date (month,
day, year)Amount of Each
Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

6,100.00

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 1 OF 1
FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

PLUMBERS LOCAL 14 POLITICAL FUND

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
DON PAYNE FOR CONGRESS P.O. BOX 2406 NEWARK, NJ 07114	CONTRIBUTION Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/19/99	\$ 500.00
B. Full Name, Mailing Address and ZIP Code PALLOVE FOR CONGRESS P.O. BOX 3176 LONG BRANCH, NJ 07740	CONTRIBUTION Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/19/99	\$ 500.00
C. Full Name, Mailing Address and ZIP Code STEVE ROTHMAN FOR CONGRESS P.O. BOX 714 HALKENSACK, NJ 07602	DINNER EXPENSE Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/19/99	\$ 500.00
D. Full Name, Mailing Address and ZIP Code PASCARELL FOR CONGRESS, INC. 63 QUARTZ LANE PATERSON, NJ 07501	DINNER EXPENSE Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/19/99	\$ 1,200.00
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

\$ 2,700.00

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

PLUMBERS & PIPEFITTERS LOCAL 9 POLITICAL ACTION COMMITTEE

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Comm. To re-elect Chris Smith P.O. Box 3184 Hamilton, NJ 08619	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/4/99	5,000.00
B. Full Name, Mailing Address and ZIP Code Rush Holt For Congress P.O. Box 782 Princeton, NJ 08534	Dinner Expense Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/15/99	1,000.00
C. Full Name, Mailing Address and ZIP Code Democratic Congressional Campaign Comm. P.O. Box 848 Union City, NJ 07087	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/15/99	2,000.00
D. Full Name, Mailing Address and ZIP Code Don Payne for Congress P.O. Box 2406 Newark, NJ 07114	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/15/99	500.00
E. Full Name, Mailing Address and ZIP Code Pallone for Congress P.O. Box 3176 Long Branch, NJ 07740	Dinner Expense Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/26/99	1,000.00
F. Full Name, Mailing Address and ZIP Code DNC/Federal Account 430 South Capital Street SE Washington, DC 20003	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	12/12/99	250.00
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

Handwritten initials

SUBTOTAL of Disbursements This Page (optional)

\$ 9,750.00

TOTAL This Period (last page this line number only)

