Image# 13964925661 PAGE 1 / 257

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

							Office Use Only	
1.	NAME OF COMMITTEE (in full)	YPE OR PRI	NT ▼	Example: If typi over the lines.	ng, type	12FE4M5		
Т	he Caterpillar Inc. Emp	oloyee Po	litical Action (Committee	1 1 1 1	1 1 1 1 1		
_								
		100 N.E. Ada	ama Stroot					
AD	DRESS (number and street)	100 N.E. Ada						
ľ	Check if different than previously							
i	reported. (ACC)	Peoria					61629-1430	
2.	FEC IDENTIFICATION NUI	MBER ▼	CITY		S	STATE 🛦	ZIP CO	DE 🛦
	C C00148031		3. IS TH REPC		NEW (N) OR	AM (A)	ENDED	
4.	TYPE OF REPORT (Choose One)	(b) Monthly Report	1 05 20 1	(M2)	May 20 (M5)	Aug	20 (M8)	Nov 20 (M11) (Non-Election Year Only)
	(a) Quarterly Reports:	Due On	: Mar 20	(M3)	Jun 20 (M6)	Sep	20 (M9) ×	Dec 20 (M12) (Non-Election Year Only)
	April 15		Apr 20 (M4)	Jul 20 (M7)	Oct 2	20 (M10)	Jan 31 (YE)
	Quarterly Report (Q1) (c) ₁₂	-Day	Primary (12F	P)	General ((12G)	Runoff (12R)
	July 15 Quarterly Report (Q2	2)	E-Election port for the:	Convention ((12C)	Special (125)	
	October 15 Quarterly Report (Q3		port for the		(1.20)	oposiai (.=0)	
	January 31 Year-End Report (YE	(1)	Election on	M = M /	D D /	Y	in the State o	of
	July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30 PC	-Day OST-Election	General (300	G)	Runoff (3	0R)	Special (30S)
	Termination Report (TER)	He	port for the:	M = M /	D D /	Y . Y . Y . Y	in the	
	(ILII)		Election on				State of	of
5.	Covering Period 11	/ D D D 01	2013	through	M M 11	/ D D /	2013	
Ιc	ertify that I have examined this	Report and	to the best of my	knowledge and	belief it is true	e, correct and	I complete.	
Тур	pe or Print Name of Treasurer	Michael C. M	1orrison					
Sig	gnature of Treasurer Michae	el C. Morrison		[Electronicall	y Filed] Da	ate 12	/ D D /	2013
NO	TE: Submission of false, erroned	ous, or incomp	lete information ma	y subject the per	son signing th	is Report to th	ne penalties of 2	U.S.C. §437a.
	Office	.,		,, po.	2 5 9 4.11	.,	FEC FOR	
	Use Only						Rev. 12/2	

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 11 01 2013 To: 11 30 2013

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2013		140972.23
	(b) Cash on Hand at Beginning of Reporting Period	384837.83	
	(c) Total Receipts (from Line 19)	52320.70	585063.60
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	437158.53	726035.83
7.	Total Disbursements (from Line 31)	12512.03	301389.33
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	424646.50	424646.50
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

ons (other than loans) From: iduals/Persons Other i Political Committees remized (use Schedule A) Unitemized TOTAL (add ines 11(a)(i) and (ii)		47346.18 4971.37 52317.55	395024.96 186995.80
Political Committees remized (use Schedule A) Unitemized TOTAL (adds 11(a)(i) and (ii)		4971.37 52317.55	186995.80
Jnitemized (use Schedule A)		4971.37 52317.55	186995.80
Jnitemized TOTAL (add ines 11(a)(i) and (ii) cal Party Committees r Political Committees		4971.37 52317.55	186995.80
TOTAL (add ines 11(a)(i) and (ii)▶ cal Party Committees r Political Committees n as PACs)		52317.55	
cal Party Committeesr Political Committees	7		500000 70
r Political Committees			582020.76
n as PACs)		0.00	0.00
		0.00	
		0.00	0.00
Contributions (add Lines			
(iii), (b), and (c)) (Carry			500000 70
s to Line 33, page 5)▶		52317.55	582020.76
From Affiliated/Other			
nmittees		0.00	0.00
		0.00	
Received		0.00	0.00
payments Received		0.00	0.00
	7	7	
· · · · · · · · · · · · · · · · · · ·		0.00	0.00
	7	7	7
		0.00	3000.00
	7	0.00	3000.00
· · · · · · · · · · · · · · · · · · ·		2.45	42.84
		3.10	42.04
		0.00	0.00
i Scriedule HS)	7	0.00	0.00
		0.00	
Funds (from Schedule H5)		0.00	0.00
Transfers (add 18(a) and 18(b))		0.00	0.00
r , , ,	From Affiliated/Other mmittees	payments Received	mmittees

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
Operating Expenditures:	iotai iilis reliou	Calellual Teal-10-Date		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)				
(i) Federal Share	0.00	0.00		
(i) N 5 1 101	0.00	0.00		
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00		
(b) Other Federal Operating Expenditures	12.03	289.33		
(c) Total Operating Expenditures				
(add 21(a)(i), (a)(ii), and (b))▶	12.03	289.33		
Transfers to Affiliated/Other Party				
Contributions to	0.00	0.00		
Contributions to Federal Candidates/Committees	-500.00	228000 00		
and Other Political Committees Independent Expenditures	-300.00	238000.00		
(use Schedule E)	0.00	0.00		
Coordinated Party Expenditures				
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00		
Loan Repayments Made	0.00	0.00		
Loans Made	2.22	0.00		
Loans MadeRefunds of Contributions To:	0.00	0.00		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00		
man Folitical Committees	0.00	5.00		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees				
(such as PACs)	0.00	0.00		
(d) Total Contribution Refunds				
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))▶	0.00	0.00		
(add Ellies 20(a), (b), and (c))				
Other Disbursements	13000.00	63100.00		
Federal Election Activity (2 U.S.C. §431(20))				
(a) Allocated Federal Election Activity				
(from Schedule H6)	0.00	0.00		
(i) Federal Share	0.00			
(ii) "Levin" Share	0.00	0.00		
(b) Federal Election Activity Paid Entirely		7 7		
With Federal Funds	0.00	0.00		
(c) Total Federal Election Activity (add				
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00		
Total Dishurasments (add Lines 04/s) 00				
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	12512.03	204202.00		
20, 27, 20, 20, 21, 20(u), 23 and 30(b))	12312.03	301389.33		
Total Federal Disbursements				
(subtract Line 21(a)(ii) and Line 30(a)(ii)				
from Line 31)	12512.03	301389.33		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

1 LO 1 01111 3X (11ev. 02/2003)		i age 3	
III. Net Contributions/Operating Expenditures			
3. Total Contributions (other than loans) (from Line 11(d), page 3)	52317.55	582020.76	
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00	
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	52317.55	582020.76	
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	12.03	289.33	
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00	
8. Net Operating Expenditures (subtract Line 37 from Line 36)	12.03	289.33	

	FOR L	JINE NU		PAGE		6 C)F			
Use separate schedule(s)	(check only one)									
for each category of the Detailed Summary Page	X 1	1a	11b		11c		12			
	1 1:	3	14		15		16	ſ		

257

	Statements may not be sold or used by any personal ename and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) James Aardema Mailing Address 9401 85Th Avenue North City Brooklyn Park FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code MN 55445 C Occupation Engineering Manager-1 Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396015 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Brian Abbott Mailing Address 7 Tractor Road City Singapore FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code ZZ 62796 C Occupation Product Manager Aggregate Year-to-Date ▼ 1024.28	Date of Receipt 11 29 2013 Transaction ID: A2013-4395777 Amount of Each Receipt this Period 97.02
Full Name (Last, First, Middle Initial) Marylean Abney Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Business Resource Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396448 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)	• • • • • • • • • • • • • • • • • • •	147.02
TOTAL This Period (last page this line number	only)	

1mage# 13964925667 PAGE 7 / 257

: 97 A = G7 9 @ G5 B9 CI G'H9 LHF9 @ 5 H98 'HC' 5 F9 DCF HZ G7 < 98 I @ 'CF' + H9 A = N5 H= CB

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: **PAGE** 8 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

257

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kent Adams Date of Receipt Mailing Address 2120 West End Avenue 2013 11 29 City Zip Code State Transaction ID: A2013-4396103 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 466.08 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4533.98 Other (specify) Full Name (Last, First, Middle Initial) B. lan Aguirre Date of Receipt Mailing Address Building H Dock 13 11 29 2013 City State Zip Code Transaction ID: A2013-4395873 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 109.97 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 1163.92 Other (specify) Full Name (Last, First, Middle Initial) c. Gbadebo Akinlua Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396842 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Process Control Engineer B Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 601.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

FOR LINE NUMBER: PAGE 9 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and or for commercial purposes, other than using the		rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial) Jay Alexander Mailing Address 369 Ann Street City Brisbane FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code ZZ 00000 C Occupation Manager Commercial Mining Aggregate Year-to-Date ▼ 1100.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396078 Amount of Each Receipt this Period 100.00
Full Name (Last, First, Middle Initial) Jesse Alford III Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TN 37203 C Occupation Employee Communications Mgr Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395992 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Matthew Alger Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Engineering Manager-2 Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID : A2013-4395871 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)	>	150.00
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 10 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Allen Date of Receipt Mailing Address 27Th & Pershing Rd 2013 11 29 City State Zip Code Transaction ID: A2013-4396001 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 61.97 federal political committee. Name of Employer Occupation Caterpillar Inc. Logistics Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 691.81 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Allen Date of Receipt Mailing Address 2120 West End Avenue 11 29 2013 City State Zip Code Transaction ID: A2013-4396059 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Residual Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mathew Anderson Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396832 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 161.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 11 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Anderson Date of Receipt Mailing Address 4716 86th Avenue NW 2013 11 City Zip Code State Transaction ID: A2013-4522839 WA 98335-6129 Gig Harbor Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager I, Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 575.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Anderson Date of Receipt Mailing Address 4716 86th Avenue NW 11 28 2013 City State Zip Code Transaction ID: A2013-4384421 WA Gig Harbor 98335-6129 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager I, Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph Andris Date of Receipt Mailing Address 701 Waterford Way 11 29 2013 City State Zip Code Transaction ID: A2013-4396574 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Finance Mgr-Secretary/Treasur Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Curtis Apgar Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396026 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Armbrust Date of Receipt Mailing Address Route 29 & Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396201 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Chris Arvin Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396034 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 35.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Global People & Org Dev Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	PAGE	1	13 OF	:	257				
Use separate schedule(s)	(che	ck only	or or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

	Statements may not be sold or used by any person e name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial) David Augustine Mailing Address 501 SW Jefferson		Date of Receipt
City Peoria	State Zip Code IL 61629	11 29 2013 Transaction ID : A2013-4396473 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Commercial Manager Aggregate Year-to-Date ▼ 550.00	
Full Name (Last, First, Middle Initial) 3. Carlos A Aylwin Mailing Address 9250 Sky Park Court		Date of Receipt 11 30 2013
City San Diego	State Zip Code CA 92123-4302	Transaction ID : A2013-4384826 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	40.00
Name of Employer Solar Turbines	Occupation Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 440.00	
Full Name (Last, First, Middle Initial) Douglas Bailey		Date of Receipt
Mailing Address 100 NE Adams	State 7'm Code	11 29 2013
City Peoria	State Zip Code IL 61629	Transaction ID : A2013-4396081 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.90
Name of Employer Caterpillar Inc.	Occupation Account Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 315.05	
SUBTOTAL of Receipts This Page (optional)		118.90
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 14 OF 257

IT	EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check only	y one) 11b 14	11c	12 16	17
	ny information copied from such Reports and Si for commercial purposes, other than using the							
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee					
Α.	Mailing Address Route De Frontenex 76			Date of	f Receipt		2013	Y
	City Geneva 6	State ZZ	Zip Code 01211		action ID:			
	FEC ID number of contributing federal political committee.	С				7	100	.00
	Name of Employer Caterpillar Inc. Receipt For:	Occupation Vice President	ent CFSC					
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 900.00					
В.					f Receipt			
	Mailing Address Attn: Administrative Assistant City	State	Zip Code	11	29 action ID :	IJ L	2013	Y
	Aurora	IL	60507		t of Each F			
	FEC ID number of contributing federal political committee.	С			-		52.	22
	Name of Employer Caterpillar Inc.	Occupation Manufacturi	ng Project Engr 2					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 302.22					
С .	Full Name (Last, First, Middle Initial) Ali Bakirdan			Date of	f Receipt			
	Mailing Address 812 W. Washington St			M - M	/ <u>29</u>		2013	Y
	City East Peoria	State IL	Zip Code 61630		saction ID :			
	FEC ID number of contributing federal political committee.	С			,		20	.00
	Name of Employer	Occupation						
	Caterpillar Inc.	IS Specialis	st					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 220.00					
s	UBTOTAL of Receipts This Page (optional)				-		172.	22
Т	OTAL This Period (last page this line number of	only)				1 45		

	FOR	LINE	NU	MBER	:	PAGE	. 1	15 OF	2	257
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Lee Baldwin Date of Receipt Mailing Address 12301 Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396754 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Tech Steward Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mike Balkom Date of Receipt Mailing Address 8565 Old Marsh Way 14 11 2013 City State Zip Code Transaction ID: A2013-4522823 ΑL Montgomery 36117-5176 Amount of Each Receipt this Period FEC ID number of contributing 45.69 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager, Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.87 Other (specify) Full Name (Last, First, Middle Initial) c. Mike Balkom Date of Receipt Mailing Address 8565 Old Marsh Way 28 11 2013 City State Zip Code Transaction ID: A2013-4384405

1096.56

36117-5176

AL

C

Occupation

Manager, Sales

Aggregate Year-to-Date ▼

45.69

Amount of Each Receipt this Period

Montgomery

Receipt For:

FEC ID number of contributing

General

federal political committee.

Name of Employer

Primary

Progress Rail Services

Other (specify)

	FOR LINE NUMBER:	PAGE	16 OF	257
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
,	13 14	15	16	

	Statements may not be sold or used by any personance name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) William Ball Mailing Address 100 NE Adams		Date of Receipt 11 29 2013
City Peoria	State Zip Code IL 61629	Transaction ID : A2013-4396380 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	45.59
Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation E&C Program Strategy Manager Aggregate Year-to-Date ▼ 501.49	
Full Name (Last, First, Middle Initial) Mari Lou Balmer Millar Mailing Address 14009 Old Galena Rd.		Date of Receipt 11 29 2013
City Mossville	State Zip Code IL 61552	Transaction ID : A2013-4396069 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer Caterpillar Inc.	Occupation Research Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	
Full Name (Last, First, Middle Initial) C. Chester Bankes Jr		Date of Receipt
Mailing Address 3701 State Road 26 East		11 29 2013
City Lafayette	State Zip Code IN 47905	Transaction ID : A2013-4396357 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	40.61
Name of Employer	Occupation Plant Engra Superintendent	
Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Plant Engrg Superintendent Aggregate Year-to-Date ▼ 390.61	
SUBTOTAL of Receipts This Page (optional)		111.20
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commorbial purposco, other than using the	te fiame and address of any political committee to	Conor Contributions from Such Confidition.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Christopher Barber Mailing Address 100 Tractor Drive		Date of Receipt 11 29 2013
City East Peoria FEC ID number of contributing federal political committee.	State Zip Code IL 61630	Transaction ID: A2013-4395831 Amount of Each Receipt this Period 13.32
Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Master Black Belt Aggregate Year-to-Date ▼ 527.79	
Full Name (Last, First, Middle Initial) Alice Barbour Mailing Address 501 SW Jefferson City	State Zip Code	Date of Receipt 11 29 2013 Transaction ID : A2013-4396345
Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc.	C Occupation Assistant Dean	Amount of Each Receipt this Period 60.00
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 660.00	
Full Name (Last, First, Middle Initial) Scott Barnes Mailing Address 5801 N. Smith Road City Edwards	State Zip Code IL 61528	Date of Receipt 11 29 2013 Transaction ID : A2013-4395815 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	C Occupation Project Manager Aggregate Year-to-Date ▼ 220.00	Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)	<u> </u>	93.32
TOTAL This Period (last page this line number	er only)	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gabriel Barton Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396100 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Gregory Bates Date of Receipt Mailing Address 7 Tractor Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396777 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. William Bates Date of Receipt Mailing Address Precision Sealing Products 29 11 2013 Zip Code City State Transaction ID: A2013-4396840 NC Franklin 28734 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Business Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional).....

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	FOF	LINE	NU	MBER	:	PAGE	•	19 OF	=	257
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	d Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) A. Danie IM Bauer		Date of Receipt
Mailing Address 9250 Sky Park Court		11 30 2013
City	State Zip Code	Transaction ID : A2013-4384854
San Diego	CA 92123-4302	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
Solar Turbines	Caterpillar Solar Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	220.00	
Full Name (Last, First, Middle Initial) George Beasley	·	Date of Receipt
Mailing Address 100 Ne Adams		11 29 2013
City	State Zip Code	Transaction ID : A2013-4395856
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Caterpillar Inc.	Special Assignment	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 550.00	
Full Name (Last, First, Middle Initial) C. Kenneth Beaupre		Date of Receipt
Mailing Address 100 NE Adams		M = M / D = D / Y = Y = Y
City	State Zip Code	11 29 2013 Transaction ID : A2013-4306622
Peoria	IL 61629	Transaction ID : A2013-4396622 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Marketing Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	275.00	
SUBTOTAL of Receipts This Page (optional)		95.00
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Any information copied from such Reports and Stat or for commercial purposes, other than using the name	ements may not be sold or used by any per ame and address of any political committee	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	litical Action Committee	
Caterpillar Inc.	State Zip Code IL 61552 C C C C C C C C C C C C C	Date of Receipt 11 29 2013 Transaction ID: A2013-4395804 Amount of Each Receipt this Period 50.00
Caterpillar Inc.	State Zip Code NC 27511 C Description Vice President Aggregate Year-to-Date ▼ 4525.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396550 Amount of Each Receipt this Period 475.00
Caterpillar Inc.	State Zip Code ZZ 00000 C Description Regional Manager Aggregate Year-to-Date ▼ 600.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396676 Amount of Each Receipt this Period 100.00
SUBTOTAL of Receipts This Page (optional)	>	625.00
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	FOR	LINE	NU	MBER	:	PAGE	2	21 OF	:	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Bennington Date of Receipt Mailing Address 12301 Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4395977 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Category Purchasing Manager B Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Craig Berger Date of Receipt Mailing Address Route 29 & Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396280 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 47.43 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Product Service Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 547.43 Other (specify)

Full Name (Last, First, Middle Initial) c. Dean Beutel Date of Receipt Mailing Address 12301 Old Galena Road 29 11 2013 City State Zip Code Transaction ID: A2013-4396112 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. CPS Americas and GFM Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify)

FOR LINE NUMBER: (check only one) PAGE 22 OF 257 Use separate schedule(s)

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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) SueAnn Billimack Mailing Address 812 W. Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Lead Human Resource Mgr Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396863 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Michael Biltimier Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Sales Manager Aggregate Year-to-Date ▼ 330.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396052 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) Lindsey Birkel Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Lead Human Resource Mgr Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396041 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)		75.00
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	FOF	R LINE	NU	IMBER	:	PAGE	2	23 ()F	257
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John Bixby Date of Receipt Mailing Address Attn: Administrative Assistant 29 2013 11 City State Zip Code Transaction ID: A2013-4396299 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation IS Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Ronald Bjerke Date of Receipt Mailing Address 100 Tractor Drive 29 11 2013 City State Zip Code Transaction ID: A2013-4396533 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Manager-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph Blandino Date of Receipt Mailing Address 100 Tractor Drive 29 11 2013 City State Zip Code Transaction ID: A2013-4395891 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation

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275.00

Factory Manager

Aggregate Year-to-Date ▼

Caterpillar Inc. Receipt For:

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Blass Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2013 City State Zip Code Transaction ID: A2013-4396333 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 120.24 federal political committee. Name of Employer Occupation TSD Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1289.17 Other (specify) Full Name (Last, First, Middle Initial) B. James Blass Date of Receipt Mailing Address 14009 Old Galena Rd. 29 11 2013 City Zip Code State Transaction ID: A2013-4396331 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 124.06 federal political committee. Name of Employer Occupation Caterpillar Inc. TSD Director

Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1289.17	
Full Name (Last, First, Middle Initial) James Blass Mailing Address 14009 Old Galena Rd. City	State Zip Code	Date of Receipt 11 29 2013 Transaction ID : A2013-4396332
Mossville FEC ID number of contributing federal political committee.	IL 61552	Amount of Each Receipt this Period -124.06
Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation TSD Director Aggregate Year-to-Date ▼ 1289.17	

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120.24

FOR LINE NUMBER: PAGE 25 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mary Blichmann Date of Receipt Mailing Address 3701 State Road 26 East 2013 11 29 City Zip Code State Transaction ID: A2013-4396149 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation NPI Program Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Gary Blood Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396499 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 74.78 federal political committee. Name of Employer Occupation Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 822.58 Other (specify) Full Name (Last, First, Middle Initial) c. Paul Blough Date of Receipt Mailing Address 812 W. Washington St 11 29 2013 City State Zip Code Transaction ID: A2013-4396163 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Technology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 144.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 26 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michele Blubaugh Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City Zip Code State Transaction ID: A2013-4396407 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 52.85 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Tech Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 581.35 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Blum Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396815 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 79.94 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 839.90 Other (specify) Full Name (Last, First, Middle Initial) c. J. Blumenshine Date of Receipt Mailing Address 2120 West End Avenue 11 29 2013 City Zip Code State Transaction ID: A2013-4396522 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 140.49 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 1516.91 Other (specify) 273.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

		LINE			:	PAGE	2	7 (OF	257
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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial) David Blunier Mailing Address 14009 Old Galena Rd.		Date of Receipt 11 29 2013
City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Technologist-Test/Validation Aggregate Year-to-Date ▼ 220.00	Transaction ID : A2013-4396813 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Thomas Bluth Mailing Address 100 NE Adams City Peoria	State Zip Code IL 61629	Date of Receipt 1.1 29 2013 Transaction ID: A2013-4396639 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	Occupation Vice President Aggregate Year-to-Date ▼ 4583.26	416.66
Full Name (Last, First, Middle Initial) Mark Bohl Mailing Address 500 N Morton Ave City Morton	State Zip Code IL 61550	Date of Receipt 11 29 2013 Transaction ID: A2013-4396805 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Distribution Logistics Analyst Aggregate Year-to-Date ▼ 900.37	82.37
SUBTOTAL of Receipts This Page (optional)		519.03
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	FOF	R LINE	NU	MBER	:	PAGE	2	28	OF	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Tyronne Bolden Date of Receipt Mailing Address PO Box 504 2013 11 29 City State Zip Code Transaction ID: A2013-4395855 Joliet IL 60436 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Project Success Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Bolden Jr Date of Receipt Mailing Address 12301 Old Galena Road 29 11 2013 City State Zip Code Transaction ID: A2013-4396325 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. James Boles Date of Receipt Mailing Address 100 Tractor Drive 29 11 2013

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275.00

Zip Code

61630

State

IL

C

Occupation

Site Purchasing Manager B

Aggregate Year-to-Date ▼

25.00

Transaction ID: A2013-4395800

Amount of Each Receipt this Period

City

East Peoria

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc.
Receipt For:

	FOF	R LINE	NU	MBER	:	PAGE	2	29 OF	=	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Joel Bonnette Date of Receipt Mailing Address 100 NE Adams 29 2013 City State Zip Code Transaction ID: A2013-4395943 61629 IL Peoria Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation CPS Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey Bowman Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396868 IL 61629 Peoria Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee

	Todorai pointoar committee.		
	Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation eChannel Commercial Manager Aggregate Year-to-Date ▼ 550.00	
C.	Full Name (Last, First, Middle Initial) David Bozeman Mailing Address 100 NE Adams		Date of Receipt
	City Peoria FEC ID number of contributing	State Zip Code IL 61629	11 29 2013 Transaction ID : A2013-4396891 Amount of Each Receipt this Period 50.00
	federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	C Occupation Senior Vice President Aggregate Year-to-Date ▼ 550.00	50.00
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Craig R Brabec Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396689 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Analytics Division Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Walter Bradbury Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396316 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 74.52 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 819.72 Other (specify) Full Name (Last, First, Middle Initial) c. Eric Braun Date of Receipt Mailing Address Frank Perkins Parkway 11 29 2013 Zip Code City State Transaction ID: A2013-4396759 77 Peterborough 00000 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel

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385.00

Aggregate Year-to-Date ▼

Receipt For:

Primary

Other (specify)

General

	FOF	R LINE	NU	MBER	:	PAGE	31 C)F	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Nicholas Brazis Date of Receipt Mailing Address 600 W Washington St 2013 11 29 City State Zip Code Transaction ID: A2013-4396828 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 58.05 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Manager-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 632.25 Other (specify) Full Name (Last, First, Middle Initial) **B.** Otto Breitschwerdt Date of Receipt Mailing Address Regency Forest II 29 11 2013 City State Zip Code Transaction ID: A2013-4396649 NC Cary 27511 Amount of Each Receipt this Period FEC ID number of contributing 192.01 federal political committee. Name of Employer Occupation Caterpillar Inc. Director Supply Chain Mgmt. Receipt For: Aggregate Year-to-Date ▼ Primary General 1984.70 Other (specify) Full Name (Last, First, Middle Initial) c. Kevin Brennan Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396580 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 96.86

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1011.49

Occupation

Senior Corporate Counsel

Aggregate Year-to-Date ▼

federal political committee.

Other (specify)

General

Name of Employer

Primary

Caterpillar Inc. Receipt For:

FOR LINE NUMBER: PAGE 32 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Anthony Brewster Date of Receipt Mailing Address 8826 2 Route 24 2013 11 29 City State Zip Code Transaction ID: A2013-4396837 Mapleton IL 61547 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Labor Relations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Donald Bridenbaugh Date of Receipt Mailing Address 7 Tractor Road 11 29 2013 City State Zip Code Transaction ID: A2013-4395980 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **GM** Transportation Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Bruce Brineman Date of Receipt Mailing Address Attn: Administrative Assistant 11 29 2013 City State Zip Code Transaction ID: A2013-4396646 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. NPI Mfg Strategy Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 33 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	ical Action Committee	
Griffin FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Page int For:	State Zip Code GA 30224 cupation nufacturing Engrg Mgr 3 gregate Year-to-Date 220.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395937 Amount of Each Receipt this Period 20.00
Athens FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Descript For:	State Zip Code GA 30608 cupation ch 1 Deployment Mgr 1 gregate Year-to-Date 600.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396786 Amount of Each Receipt this Period 100.00
East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Peoplet For:	State Zip Code IL 61630 cupation ecial Assignment gregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396652 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)		145.00
TOTAL This Period (last page this line number only).		

FOR LINE NUMBER: PAGE 34 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Stor for commercial purposes, other than using the	tatements may not be sold or used by any per name and address of any political committee	rson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical Action Committee	
Full Name (Last, First, Middle Initial) Kevin Bruch Mailing Address 3701 State Road 26 East City Lafayette FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IN 47905 C Occupation Engineering Manager-3 Aggregate Year-to-Date ▼ 330.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396467 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) Michael Bruch Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Pricing Operations Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396376 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Richard Bryant Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify)	State Zip Code TN 37203 C Occupation Master 6 Sigma Black Belt Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396697 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)	•	75.00
TOTAL This Period (last page this line number of	only)	

FOR LINE NUMBER: PAGE 35 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical Action Committee	
Full Name (Last, First, Middle Initial) James Buda Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Exec. VP Law & Public Policy Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396330 Amount of Each Receipt this Period 100.00
Full Name (Last, First, Middle Initial) Paul Budzinski Mailing Address 501 SW Jefferson City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Business Process Analyst III Aggregate Year-to-Date ▼ 319.62	Date of Receipt 11 29 2013 Transaction ID : A2013-4396814 Amount of Each Receipt this Period 10.00
Full Name (Last, First, Middle Initial) Matthew Bulfer Mailing Address 812 W. Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Sr. IT Analyst - Tech Infra Aggregate Year-to-Date ▼ 592.73	Date of Receipt 11 29 2013 Transaction ID : A2013-4396774 Amount of Each Receipt this Period 54.80
SUBTOTAL of Receipts This Page (optional)	>	164.80
TOTAL This Period (last page this line number of	only)	

	FOF	R LINE	NU	IMBER	:	PAGE	3	36	OF	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Bullard Date of Receipt Mailing Address 2000 Hoff Road 29 2013 11 City State Zip Code Transaction ID: A2013-4395827 TN 38024 Dyersburg Amount of Each Receipt this Period FEC ID number of contributing C 57.75 federal political committee. Name of Employer Occupation Facility Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 629.37 Other (specify) Full Name (Last, First, Middle Initial) B. Nathaniel Buncher Date of Receipt Mailing Address 3408 Wild Prairie Lane 11 14 2013 City State Zip Code Transaction ID: A2013-4522841 IL 60134-3963 Geneva Amount of Each Receipt this Period FEC ID number of contributing C 43.26 federal political committee

	rederal political committee.		7
	Name of Employer Progress Rail Services	Occupation VP, Global Aftermarket Parts	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 994.98	
C.	Full Name (Last, First, Middle Initial) Nathaniel Buncher		Date of Receipt
	Mailing Address 3408 Wild Prairie Lane		11 28 2013
	City	State Zip Code	Transaction ID : A2013-4384423
	Geneva	IL 60134-3963	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С	43.26
	Name of Employer	Occupation	
	Progress Rail Services	VP, Global Aftermarket Parts	
	Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 1038.24	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paul Buob Date of Receipt Mailing Address 12301 Old Galena Road 29 2013 City State Zip Code Transaction ID: A2013-4396493 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 20.83 federal political committee. Name of Employer Occupation Sr. Procurement Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 229.13 Other (specify) Full Name (Last, First, Middle Initial) **B.** Laurie A Burgett Date of Receipt Mailing Address 9250 Sky Park Court 30 11 2013 City State Zip Code Transaction ID: A2013-4384852 San Diego CA 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Solar Turbines Manager

Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	
Full Name (Last, First, Middle Initial) Thomas J Burke Mailing Address 9250 Sky Park Court City	State Zip Code	Date of Receipt 11 30 2013 Transaction ID : A2013-4384856
San Diego FEC ID number of contributing federal political committee.	CA 92123-4302	Amount of Each Receipt this Period 20.00
Name of Employer Solar Turbines Receipt For: Primary General Other (specify)	Occupation Manager Aggregate Year-to-Date ▼ 220.00	

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FOR LINE NUMBER: PAGE 38 OF 257 Use separate schedule(s) (check only one)

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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Richard Burritt Mailing Address 500 N Morton Ave City Morton FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61550 C Occupation Technology Manager Aggregate Year-to-Date ▼ 2164.36	Date of Receipt 11 29 2013 Transaction ID: A2013-4396278 Amount of Each Receipt this Period 196.76
Full Name (Last, First, Middle Initial) Christopher Burtscher Mailing Address 600 W Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Buyer A Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID : A2013-4396824 Amount of Each Receipt this Period 2.06
Full Name (Last, First, Middle Initial) Mark Butikofer Mailing Address 501 SW Jefferson City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Senior IS Specialist Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4395890 Amount of Each Receipt this Period 44.70
SUBTOTAL of Receipts This Page (optional)		243.52
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: (check only one) PAGE 39 OF 257 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
	d Statements may not be sold or used by any put the name and address of any political committee	person for the purpose of soliciting contributions the to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) James Bynum Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TN 37203 C Occupation Cat Insurance Marketing Mgr Aggregate Year-to-Date ▼ 1600.91	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) Amy Campbell Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Director of Auditing Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396616 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Michelle Campbell-Castaline Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Business Support Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396724 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional).		221.32
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	FOR LINE NUMBER:	PAGE	40 OF	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Marci Carballido Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396152 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Sheila Cardines Date of Receipt Mailing Address 501 SW Jefferson 29 11 2013 City State Zip Code Transaction ID: A2013-4396212 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Warranty Process Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) c. James Carlberg Date of Receipt Mailing Address Building H Dock 13 29 11 2013 City State Zip Code Transaction ID: A2013-4396368 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 171.02 federal political committee. Name of Employer Occupation Product Manager Caterpillar Inc.

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Carney III Date of Receipt Mailing Address 100 NE Adams 2013 29 City State Zip Code Transaction ID: A2013-4396846 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy J Caron Date of Receipt Mailing Address 100 N.E. Adams Street 30 11 2013 City State Zip Code Transaction ID: A2013-4384870 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) John Carpenter Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396315 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Carr Date of Receipt Mailing Address 12301 Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396566 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 233.58 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2555.64 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Castle Date of Receipt Mailing Address 14009 Old Galena Rd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396469 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 65.28 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Tech Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 700.05 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Chadwick Date of Receipt Mailing Address 300 Hamilton Blvd. 29 11 2013 City State Zip Code Transaction ID: A2013-4395788 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **OEM Business Unit Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify)

348.86

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TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 43 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Xiao Chen Date of Receipt Mailing Address No.5. Xin Qing South Road 2013 11 29 City Zip Code State Transaction ID: A2013-4395988 ZZ Wuxi 21402 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Virgil Cihla Date of Receipt Mailing Address 300 Hamilton Blvd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396391 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Project Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Paul Clark Date of Receipt Mailing Address 14009 Old Galena Rd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396314 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. GM D&FP and Tunnel Boring Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Cobo Date of Receipt Mailing Address Attn: Administrative Assistant 2013 11 29 City State Zip Code Transaction ID: A2013-4396160 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Manager-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Wayne Cochran Date of Receipt Mailing Address 3701 State Road 26 East 11 29 2013 City State Zip Code Transaction ID: A2013-4396839 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 28.99 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 278.99 Other (specify) Full Name (Last, First, Middle Initial) c. Marcia Colburn Date of Receipt Mailing Address 2120 West End Avenue 29 11 2013 City Zip Code State Transaction ID: A2013-4395976 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Communications Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 78.99 SUBTOTAL of Receipts This Page (optional).....

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FOR LINE NUMBER: PAGE 45 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gerald Coleman Date of Receipt Mailing Address Route 29 & Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396122 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Martin Collier Date of Receipt Mailing Address 100 Ne Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396271 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global RE & Facilities Dev Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Patrick Conley Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396592 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. 6 Sigma Strategy Champion Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 95.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 46 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Terry Cook Date of Receipt Mailing Address 2120 West End Avenue 2013 11 29 City Zip Code State Transaction ID: A2013-4396719 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Glbl Employee Relations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Coon Date of Receipt Mailing Address The Phoenix Building 11 29 2013 City State Zip Code Transaction ID: A2013-4396665 ZZ Solihull 00000 Amount of Each Receipt this Period FEC ID number of contributing 86.05 federal political committee. Name of Employer Occupation Caterpillar Inc. **CPF Managing Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 941.51 Other (specify) Full Name (Last, First, Middle Initial) c. Jananne Copeland Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396455 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Chief Accounting Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 156.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 47 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Benjamin Cordani Date of Receipt Mailing Address 6744 S Howell Ave 2013 11 29 City Zip Code State Transaction ID: A2013-4395876 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kristen Covey Date of Receipt Mailing Address 7 Tractor Road 11 29 2013 City State Zip Code Transaction ID: A2013-4395916 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Regional Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Dale Cox Date of Receipt Mailing Address Route 29 & Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396482 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John Cox Date of Receipt Mailing Address 12301 Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396404 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation 6 Sigma Black Belt-3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Paul Creech Date of Receipt Mailing Address 111 Alewine Drive 11 14 2013 City State Zip Code Transaction ID: A2013-4522826 ΑL Boaz 35957-5037 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name (Last, First, Middle Initial) c. Paul Creech Date of Receipt Mailing Address 111 Alewine Drive 28 11 2013 City State Zip Code Transaction ID: A2013-4384408 AL Boaz 35957-5037 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Railcar Sales & Leasing Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Joseph Creed Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396237 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa Creger Date of Receipt Mailing Address Attn: Administrative Assistant 11 80 2013 City State Zip Code Transaction ID: A2013-4386987 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa Creger Date of Receipt Mailing Address Attn: Administrative Assistant 22 11 2013 City State Zip Code Transaction ID: A2013-4396571 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional).....

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FOR LINE NUMBER: PAGE 50 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Creviston Date of Receipt Mailing Address 27Th & Pershing Rd 2013 11 29 City State Zip Code Transaction ID: A2013-4396764 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Service Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher Curfman Date of Receipt Mailing Address 300 Hamilton Blvd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396668 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 3500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Teresa Cushing Date of Receipt Mailing Address 14009 Old Galena Rd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396211 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 475.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	MBER	:	PAGE	: :	51 O	F	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Damerell Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396396 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Service Suppt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Patricia Damm Date of Receipt Mailing Address 6744 S Howell Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4396044 Oak Creek WI 53154 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) Jerry Datzman Date of Receipt Mailing Address 14009 Old Galena Rd. 29 11 2013 City State Zip Code Transaction ID: A2013-4396389 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing & Contracts Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify)

100.00

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FOR LINE NUMBER: PAGE 52 OF 257 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)
Any information copied from such Reports and or for commercial purposes, other than using t	Statements may not be sold or used by any pe the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Jill Daugherty Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Corporate Controller Aggregate Year-to-Date ▼ 225.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396047 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Tracy Daugherty Mailing Address Route 29 & Old Galena Roa City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Special Assignment Aggregate Year-to-Date 550.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396184 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Janet Davidson Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Import/Export Services Supv Aggregate Year-to-Date 388.24	Date of Receipt 11 29 2013 Transaction ID : A2013-4396865 Amount of Each Receipt this Period 27.96
SUBTOTAL of Receipts This Page (optional).		102.96
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	FOF	R LINE	NU	MBER	:	PAGE	53	OF	257	7
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Ryan Davis Date of Receipt Mailing Address 715 Shasta Drive 2013 11 City State Zip Code Transaction ID: A2013-4522820 Albertville AL 35950-5803 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Opers Wheel Axle Bearings **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Ryan Davis Date of Receipt Mailing Address 715 Shasta Drive 28 11 2013 City State Zip Code Transaction ID: A2013-4384402 ΑL 35950-5803 Albertville Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Opers Wheel Axle Bearings Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas Day Date of Receipt Mailing Address 330 Sw Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396352 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation

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220.00

Business Resource Manager

Aggregate Year-to-Date ▼

Caterpillar Inc.

Primary

Other (specify)

General

Receipt For:

FOR LINE NUMBER: PAGE 54 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert De Camp Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396654 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Risk Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael De Walt Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4395796 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) c. David DeFreitas Date of Receipt Mailing Address 501 SW Jefferson 11 29 2013 City State Zip Code Transaction ID: A2013-4395797 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 105.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	IMBER	:	PAGE	55	5 OF	=	25
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	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) A. Anthony Deluca Mailing Address 12301 Old Galena Road		Date of Receipt 11 29 2013
City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Engineering Manager-1 Aggregate Year-to-Date ▼ 275.00	Transaction ID : A2013-4396225 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Michael Dennis Mailing Address 600 W Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Labor Relations Manager Aggregate Year-to-Date ▼ 751.46	Date of Receipt 11 29 2013 Transaction ID: A2013-4396525 Amount of Each Receipt this Period 68.68
Full Name (Last, First, Middle Initial) Joseph Derk Mailing Address 27Th & Pershing Rd City Decatur FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 62526 C Occupation Sr Engineering Proj Team Leadr Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396706 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)	>	118.68
TOTAL This Period (last page this line number	r only)	

FOR LINE NUMBER: PAGE 56 OF 257

ITEMIZED RECEIPTS	for each category of the Detailed Summary Pa	he V
		any person for the purpose of soliciting contributions mmittee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe	e Political Action Committee	
Full Name (Last, First, Middle Initial) Shankar Desai Mailing Address 812 W. Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc.	State Zip Code IL 61630 C Occupation Strategy & Architecture Dir.	Date of Receipt 11 29 2013 Transaction ID : A2013-4396638 Amount of Each Receipt this Period 67.95
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼	3.32
Full Name (Last, First, Middle Initial) B. John Deselem Mailing Address Unit 3		Date of Receipt 11 29 2013
City High Wycombe FEC ID number of contributing	State Zip Code WA 00000	Transaction ID : A2013-4396010 Amount of Each Receipt this Period
federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Engineering Manager-1 Aggregate Year-to-Date ▼	.00
C. Full Name (Last, First, Middle Initial) Bertali C Devine Mailing Address 100 N.E. Adams Street		Date of Receipt
City Peoria FEC ID number of contributing	State Zip Code IL 61629-0001	11 30 2013 Transaction ID : A2013-4384866 Amount of Each Receipt this Period 25.00
federal political committee. Name of Employer Solar Turbines Receipt For: Primary General Other (specify)	Occupation Manager Aggregate Year-to-Date ▼	5.00
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Brian Devine Date of Receipt Mailing Address 500 N. Morton Ave 2013 11 29 City State Zip Code Transaction ID: A2013-4396155 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 87.92 federal political committee. Name of Employer Occupation Info Technology Manager-III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 954.55 Other (specify) Full Name (Last, First, Middle Initial) B. Michelle Dhaemers-Needham Date of Receipt Mailing Address 501 SW Jefferson 11 29 2013 City State Zip Code Transaction ID: A2013-4395854 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Amory Diccion Date of Receipt Mailing Address Attn: Administrative Assistant 29 11 2013 Zip Code City State Transaction ID: A2013-4396741 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Engineer-Design

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275.00

Aggregate Year-to-Date ▼

Receipt For:

Primary

Other (specify)

General

FOR LINE NUMBER: PAGE 58 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Douglas Dickerson Date of Receipt Mailing Address 100 Tractor Drive 2013 11 29 City State Zip Code Transaction ID: A2013-4396727 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 40.29 federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 403.41 Other (specify) Full Name (Last, First, Middle Initial) **B.** Kyle Diekhoff Date of Receipt Mailing Address 100 Tractor Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4396740 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** John Disharoon Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4395778 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 115.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: (check only one) PAGE 59 OF 257 Use separate schedule(s)

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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	Political Action Committee	
Full Name (Last, First, Middle Initial) Dana Ditman Mailing Address Route 29 & Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Business Support Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396227 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Kimberly Doe Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Business Resource Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395860 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Kevin Doglio Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Business Support Manager Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396542 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional)		95.00
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FOR LINE NUMBER: PAGE 60 OF 257

ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)
	d Statements may not be sold or used by any pe the name and address of any political committee	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	e Political Action Committee	
Full Name (Last, First, Middle Initial) A. Suzette Doligale Mailing Address 16106 Kensington Ave City Tinley Park FEC ID number of contributing federal political committee. Name of Employer ElectroMotive Diesel Receipt For: Primary General	State Zip Code IL 60477-6720 C Occupation Manager Aggregate Year-to-Date ▼	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Other (specify) ▼ Full Name (Last, First, Middle Initial) B. Suzette Doligale Mailing Address 16106 Kensington Ave	480.00	Date of Receipt
City Tinley Park FEC ID number of contributing federal political committee.	State Zip Code IL 60477-6720	11 28 2013 Transaction ID: A2013-4384394 Amount of Each Receipt this Period 20.00
Name of Employer ElectroMotive Diesel Receipt For: Primary Other (specify) Other	Occupation Manager Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial) C. Keith Donner Mailing Address Rodovia Luiz De Queiroz R	Km 157	Date of Receipt
City Piracicaba FEC ID number of contributing	State Zip Code ZZ 13420	Transaction ID : A2013-4396454 Amount of Each Receipt this Period
federal political committee. Name of Employer Caterpillar Inc. Receipt For:	Occupation Product Source Planning Mgr. Aggregate Year-to-Date ▼	20.00
Primary General Other (specify) ▼	220.00	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

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	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	olitical Action Committee	
١.	Full Name (Last, First, Middle Initial) Thomas Doolittle Mailing Address 2120 West End Avenue City	State Zip Code	Date of Receipt 11 29 2013 Transaction ID : A2013-4396224
	Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	TN 37203 C Occupation Human Resources Manager Aggregate Year-to-Date ▼ 550.00	Amount of Each Receipt this Period 50.00
3.	Full Name (Last, First, Middle Initial) Gene Doubleday Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code IL 61629 C Occupation Project Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395895 Amount of Each Receipt this Period 25.00
D.	Full Name (Last, First, Middle Initial) Rebecca Doubleday Mailing Address 501 SW Jefferson City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Marketing Consultant Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395846 Amount of Each Receipt this Period 25.00
s	UBTOTAL of Receipts This Page (optional)	>	100.00
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FOR LINE NUMBER: PAGE 62 OF 257

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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Nola Douglas Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TN 37203 C Occupation N. A. Financial Accounting Mgr Aggregate Year-to-Date ▼ 798.11	Date of Receipt 11 29 2013 Transaction ID: A2013-4396054 Amount of Each Receipt this Period 73.51
Full Name (Last, First, Middle Initial) Robert Downey Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TN 37203 C Occupation Senior Corporate Counsel Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395991 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Michael Dubois Mailing Address Route 29 & Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Quality Manager 4 Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396083 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)		123.51
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Duda Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396350 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. James Duensing Date of Receipt Mailing Address 2120 West End Avenue 11 29 2013 City State Zip Code Transaction ID: A2013-4396367 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 130.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Executive VP / CFO Receipt For: Aggregate Year-to-Date ▼ Primary General 1170.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kevin Duffy Date of Receipt Mailing Address 14009 Old Galena Rd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396093 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General

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275.00

Other (specify)

	FOR LINE NUMBER:	PAGE	64 OF	257
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Dugan Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396765 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Executive Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jerome Duggan Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396662 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 148.38 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1591.15 Other (specify) Full Name (Last, First, Middle Initial) c. Harold Dulaney Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396606 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 273.38 SUBTOTAL of Receipts This Page (optional).....

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	Statements may not be sold or used by any perse e name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Michael Duncan Mailing Address 100 S. L. White Blvd. City La Grange	State Zip Code GA 30241	Date of Receipt 11 29 2013 Transaction ID: A2013-4396489 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	Occupation Sales Manager Aggregate Year-to-Date ▼ 550.00	50.00
Full Name (Last, First, Middle Initial) David Dunlevy Mailing Address 13105 Northwest Freeway City	State Zip Code TX 77040	Date of Receipt 11 29 2013 Transaction ID : A2013-4396695
Houston FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For:	Occupation General Manager	Amount of Each Receipt this Period 25.00
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	
Full Name (Last, First, Middle Initial) Shannon Duvendack Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing	State Zip Code IL 61552	Date of Receipt 11 29 2013 Transaction ID: A2013-4395940 Amount of Each Receipt this Period 86.36
federal political committee. Name of Employer Caterpillar Inc. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Sr. Procurement Manager Aggregate Year-to-Date ▼ 922.77	
SUBTOTAL of Receipts This Page (optional)		161.36
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FOR LINE NUMBER: (check only one) PAGE 66 OF 257 Use separate schedule(s)

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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	Political Action Committee	
Full Name (Last, First, Middle Initial) Debra Duzinskas Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Reman BU Mgr - Mining Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396621 Amount of Each Receipt this Period 45.00
Full Name (Last, First, Middle Initial) Timothy Earle Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Factory Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396298 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Patricia Eastwood Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TN 37203 C Occupation Senior Corporate Counsel Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395867 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional)		120.00
TOTAL This Period (last page this line number of	only)	

	FOR	LINE	NU	MBER	:	PAGE	. (67 OF	:	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Eddy Date of Receipt Mailing Address 1720 Kingsbury 2013 11 29 City State Zip Code Transaction ID: A2013-4396738 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 57.07 federal political committee. Name of Employer Occupation Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 620.39 Other (specify) Full Name (Last, First, Middle Initial) **B.** Anthony Edmonds Date of Receipt Mailing Address 7 Tractor Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396797 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lori Edwards Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396720 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 157.07 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 68 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 13 14 15 16

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Evans Date of Receipt Mailing Address 1100 Milwaukee Ave 2013 11 29 City Zip Code State Transaction ID: A2013-4396116 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 47.24 federal political committee. Name of Employer Occupation **Business Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 519.64 Other (specify) Full Name (Last, First, Middle Initial) B. Robin Fahlberg Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396072 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Patent Agent II Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Aaron Faivre Date of Receipt Mailing Address Attn: Administrative Assistant 11 29 2013 City State Zip Code Transaction ID: A2013-4396634 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Product Development Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 87.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 69 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Andrew Ferguson Date of Receipt Mailing Address 501 SW Jefferson 2013 11 29 City State Zip Code Transaction ID: A2013-4396145 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 79.74 federal political committee. Name of Employer Occupation Caterpillar Inc. C. I. & Dlr. Lrng. Dept. Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 877.14 Other (specify) Full Name (Last, First, Middle Initial) B. Gregory Ferguson Date of Receipt Mailing Address PO Box 504 11 29 2013 City State Zip Code Transaction ID: A2013-4396017 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing 114.90 federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 1272.49 Other (specify) Full Name (Last, First, Middle Initial) **c.** Bonnie Fetch Date of Receipt Mailing Address Building H Dock 13 11 29 2013 City State Zip Code Transaction ID: A2013-4396594 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 203.54 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Unit Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 2191.09 Other (specify) 398.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	IMBER	:	PAGE	7	70 C)F	257
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	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Joel Feucht Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Special Assignment Aggregate Year-to-Date ▼ 981.31	Date of Receipt 11 29 2013 Transaction ID: A2013-4396501 Amount of Each Receipt this Period 89.21
Full Name (Last, First, Middle Initial) John Fields Mailing Address 2600 Camino Entrada City Santa Fe FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code NM 87507 C Occupation Group Manager 2 Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4395996 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Bart Finn Mailing Address 1106 - 4 Shimizu City Akashi FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code ZZ 00000 C Occupation NPI Program Manager Aggregate Year-to-Date ▼ 269.28	Date of Receipt 11 29 2013 Transaction ID: A2013-4396124 Amount of Each Receipt this Period 24.48
SUBTOTAL of Receipts This Page (optional)	>	138.69
TOTAL This Period (last page this line numb	er only)	

	FOR LINE NUMBER:	PAGE 71 OF 257
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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) A. Steven Fisher Mailing Address 12301 Old Galena Road		Date of Receipt 11 29 2013
City Mossville FEC ID number of contributing federal political committee. Name of Employer	State Zip Code IL 61552 C Occupation	Transaction ID: A2013-4396358 Amount of Each Receipt this Period 0.00
Caterpillar Inc. Receipt For: Primary General Other (specify)	Vice President Aggregate Year-to-Date ▼ 5000.00	
Full Name (Last, First, Middle Initial) Mark Flannery Mailing Address 100 NE Adams City	Date of Receipt 11 29 2013	
City Peoria FEC ID number of contributing federal political committee.	State Zip Code IL 61629	Transaction ID: A2013-4396725 Amount of Each Receipt this Period 51.14
Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Corporate Counsel Aggregate Year-to-Date ▼ 697.69	
Full Name (Last, First, Middle Initial) Michael Flinn Mailing Address 100 Ne Adams		Date of Receipt 11 29 2013
City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Engineering Manager-3 Aggregate Year-to-Date ▼ 275.00	Transaction ID : A2013-4396468 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)	>	76.14
TOTAL This Period (last page this line number	r only)	

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:					PAGE	. 7	72 OF	:	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Daniel Flynn Date of Receipt Mailing Address 2901 Gateway Blvd. 2013 11 29 City State Zip Code Transaction ID: A2013-4396656 TX 76712 Waco Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation **GM** Americas Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Gregory Folley Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396263 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.26 Other (specify) Full Name (Last, First, Middle Initial) c. Billy Frank Date of Receipt Mailing Address 100 NE Adams

FEC ID number of contributing federal political committee.

Name of Employer

Caterpillar Inc.

Receipt For:

Primary

Other (specify)

Other (specify)

Caterpillar Inc.

Aggregate Year-to-Date

220.00

Zip Code

61629

State

IL

2013

20.00

29

Transaction ID: A2013-4395884

Amount of Each Receipt this Period

11

City

Peoria

	FOR	R LINE	NU	IMBER	:	PAGE	- 7	73	OF	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Freberg Date of Receipt Mailing Address 5715 Clyde Rhyne Drive 29 2013 City State Zip Code Transaction ID: A2013-4396289 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation NPI Program Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. David Frederick Date of Receipt Mailing Address 812 W. Washington St 29 11 2013 City State Zip Code Transaction ID: A2013-4396374 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. IT Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) Alan Frese Date of Receipt Mailing Address 6744 S Howell Ave M M / D 013

5 0744 O 110WCII 7WC		
City Oak Creek	State Zip Code WI 53154	Transaction ID : A2013-4396320 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	234
Name of Employer	Occupation	
Caterpillar Inc.	Solutions & Technology Mgr.	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2407.02	

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234.72

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Frohberg Date of Receipt Mailing Address 3701 State Road 26 East 2013 11 29 City State Zip Code Transaction ID: A2013-4396507 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Frostman Date of Receipt Mailing Address 447 Morris Road 14 11 2013 City State Zip Code Transaction ID: A2013-4522817 Ft Wright KY 41011-1860 Amount of Each Receipt this Period FEC ID number of contributing 9.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, International Business Receipt For: Aggregate Year-to-Date ▼ Primary General 207.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Richard Frostman Date of Receipt Mailing Address 447 Morris Road 28 11 2013 City State Zip Code Transaction ID: A2013-4384399 KY Ft Wright 41011-1860 Amount of Each Receipt this Period FEC ID number of contributing C 9.00 federal political committee. Name of Employer Occupation VP, International Business Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 216.00 Other (specify) 68.00

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SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 75 OF 257

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Any information copied from such Reports and Statemen or for commercial purposes, other than using the name a		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political	al Action Committee	
Pagaint For:	61552	Date of Receipt 11 29 2013 Transaction ID: A2013-4396293 Amount of Each Receipt this Period 40.00
Pagaint For:	61552	Date of Receipt 11 29 2013 Transaction ID : A2013-4396276 Amount of Each Receipt this Period 112.64
Possint For:	53172-0500	Date of Receipt 11 29 2013 Transaction ID: A2013-4396866 Amount of Each Receipt this Period 25.00
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Gaddis Date of Receipt Mailing Address 2120 West End Avenue 2013 11 29 City State Zip Code Transaction ID: A2013-4396446 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 58.40 federal political committee. Name of Employer Occupation Caterpillar Inc. Risk Management Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 642.40 Other (specify) Full Name (Last, First, Middle Initial) B. Paul Gaeto Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396105 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir. Perform & Group/Reg HR Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial)

c. Philip Gardner Date of Receipt Mailing Address 100 Tractor Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4396417 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General

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987.73

93.13

Other (specify)

FOR LINE NUMBER: PAGE 77 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Scott Garman Date of Receipt Mailing Address 110 S Marion St # 605 2013 11 City State Zip Code Transaction ID: A2013-4330218 60302-2877 Oak Park IL Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Scott Garman Date of Receipt Mailing Address 110 S Marion St # 605 11 28 2013 City State Zip Code Transaction ID: A2013-4384393 Oak Park IL 60302-2877 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 625.00 Other (specify) Full Name (Last, First, Middle Initial) c. Donna Gatza Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4395842 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 44.05 С federal political committee. Name of Employer Occupation Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General 460.87 Other (specify) 94.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) **Gregory Gauger** Date of Receipt Mailing Address Route 29 & Old Galena Road 2013 29 City State Zip Code Transaction ID: A2013-4396329 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 190.57 federal political committee. Name of Employer Occupation General Engineering Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2096.27 Other (specify) Full Name (Last, First, Middle Initial) B. Orville Geick Date of Receipt Mailing Address 100 Tractor Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4396440 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Performance Mgr4 Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Justin Gibbons Date of Receipt Mailing Address Suite 750 29 11 2013 Zip Code City State Transaction ID: A2013-4395865 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 52.58 С federal political committee. Name of Employer Occupation Caterpillar Inc. Washington Operations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 578.38 Other (specify)

293.15

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	Statements may not be sold or used by any personal ename and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Daniel Gilbert Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation 6 Sigma Black Belt-3 Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4395900 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Jonathan Ginzel Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Developmental Assignment Aggregate Year-to-Date ▼ 1070.82	Date of Receipt 1.1 29 2013 Transaction ID: A2013-4396248 Amount of Each Receipt this Period 98.64
Full Name (Last, First, Middle Initial) Thomas Giunta Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Master Black Belt Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396544 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)	•	148.64
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Regg Glawe Date of Receipt Mailing Address Route 29 & Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396572 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 78.01 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 630.81 Other (specify) Full Name (Last, First, Middle Initial) B. James Glennon Date of Receipt Mailing Address 701 Waterford Way 11 29 2013 City State Zip Code Transaction ID: A2013-4396204 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing & Contracts Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. John Goddard Date of Receipt Mailing Address 100 Ne Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396176 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Technical Steward** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 123.01 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Pankaj Goel Date of Receipt Mailing Address 100 Tractor Drive 2013 29 City State Zip Code Transaction ID: A2013-4395907 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation IT Dir. Busn. Transformations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Terry Goff Date of Receipt Mailing Address Route 29 & Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396664 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 79.13 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir Emissions Regs & Conform Receipt For: Aggregate Year-to-Date ▼ Primary General 070 42

Other (specify) ▼	070.43	
Full Name (Last, First, Middle Initial) Darin Goode		Date of Receipt
Mailing Address 600 W Washington St		11 29 2013
City	State Zip Code	Transaction ID : A2013-4395930
East Peoria	IL 61630	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Category Purchasing Manager A	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 275.00	

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154.13

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 82 OF 257

IT	EMIZED RECEIPTS		for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17
	y information copied from such Reports and S for commercial purposes, other than using the			
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Ad	ction Committee	
Α.	Full Name (Last, First, Middle Initial) Brian Goodell Mailing Address 9250 Sky Park Court City San Diego FEC ID number of contributing federal political committee.	State CA	Zip Code 92123-4302	Date of Receipt 11 30 2013 Transaction ID: A2013-4384864 Amount of Each Receipt this Period 50.00
	Name of Employer	Occupation		
	Solar Turbines Receipt For: Primary General Other (specify) ▼	Manager Aggregate	Year-to-Date ▼ 550.00	
B B	Full Name (Last, First, Middle Initial) Edward Goodrich			Date of Receipt
υ.	Mailing Address 2120 West End Avenue			1,1 29 2013
	City Nashville	State TN	Zip Code 37203	Transaction ID : A2013-4396502 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		157.38
	Name of Employer Caterpillar Inc.	Occupation Vice Preside	ent CFSC	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1731.18	
<u> </u>	Full Name (Last, First, Middle Initial) Gerald Gorman			Date of Receipt
	Mailing Address 2120 West End Avenue			11 29 _ 2013 _
	City Nashville	State TN	Zip Code 37203	Transaction ID : A2013-4396416
	FEC ID number of contributing federal political committee.	C	37203	Amount of Each Receipt this Period 417.00
	Name of Employer	Occupation		
	Caterpillar Inc. Receipt For:		nation Officer	
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2517.00	
H	UBTOTAL of Receipts This Page (optional) OTAL This Period (last page this line number			624.38

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Stephen Gosselin Date of Receipt Mailing Address 501 SW Jefferson 2013 11 29 City State Zip Code Transaction ID: A2013-4396343 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 422.63 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4577.43 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel Gove Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396426 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 59.16 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Process Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 650.76 Other (specify) Full Name (Last, First, Middle Initial) c. Kenneth Gray Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396328 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 196.35 federal political committee. Name of Employer Occupation WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1755.24 Other (specify) 678.14 SUBTOTAL of Receipts This Page (optional).....

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SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 84 OF 257

ITI	EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check online)	y one) 11b 14	11c	12 16	17
	y information copied from such Reports and S for commercial purposes, other than using the							
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee					
Α.	Full Name (Last, First, Middle Initial) Larry Gregory Mailing Address 3701 State Road 26 East			Date of	f Receipt		2013	Y
	City Lafayette	State IN	Zip Code 47905	Trans	saction ID :	A2013-4	1396370	
	FEC ID number of contributing federal political committee.	С			-	- 1	20	.00
	Name of Employer Caterpillar Inc. Receipt For:	Occupation Product Ma	nager					
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 220.00					
В.	Full Name (Last, First, Middle Initial) Richard Grgurich Mailing Address 812 W. Washington St			Date of	f Receipt		- V - V	V
	City	State	Zip Code	11	29 action ID :	_ L	2013 396858	
	East Peoria FEC ID number of contributing federal political committee.	C	61630	Amoun	t of Each F	Receipt th		.03
	Name of Employer Caterpillar Inc.	Occupation Business Pr	ocess Specialist					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 758.53					
С.				Date of	f Receipt			
	Mailing Address 3701 State Road 26 East		7:01	11	29	IJ L	2013	Y
	City Lafayette	State IN	Zip Code 47905		saction ID: t of Each F			
	FEC ID number of contributing federal political committee.	С				7	81	.18
	Name of Employer Caterpillar Inc.	Occupation Technology	Project Team Leader					
	Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼ 855.17					
s	UBTOTAL of Receipts This Page (optional)		>		,		175.	21
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SCHEDULE A (FEC Form 3X)

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	Statements may not be sold or used by any penter have and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Steven Griffith Mailing Address 812 W. Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Business Process Analyst II Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID : A2013-4396773 Amount of Each Receipt this Period 27.28
Full Name (Last, First, Middle Initial) Jon Gruebele Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Master Black Belt Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4395786 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Timothy Gryl Mailing Address 500 N Morton Ave City Morton FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61550 C Occupation Caterpillar Service Parts Mgr. Aggregate Year-to-Date ▼ 952.76	Date of Receipt 11 29 2013 Transaction ID : A2013-4396164 Amount of Each Receipt this Period 87.31
SUBTOTAL of Receipts This Page (optional)	•	139.59
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FOR LINE NUMBER: PAGE 86 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jerome Guilford Jr Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396165 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Genl Mgr Lifecycle Prods/Servs Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Steven Guse Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396307 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 255.12 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2690.12 Other (specify) Full Name (Last, First, Middle Initial) c. Margaret Gutgesell Date of Receipt Mailing Address 812 W. Washington St 11 29 2013 City State Zip Code Transaction ID: A2013-4396419 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 69.38 С federal political committee. Name of Employer Occupation Caterpillar Inc. IT Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General 763.18 Other (specify) 349.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 87 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jeffrey J Gutzwiller Date of Receipt Mailing Address Route 29 & Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396200 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 18.98 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 208.78 Other (specify) Full Name (Last, First, Middle Initial) B. Rhonda Haffner Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396513 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) John Hall Date of Receipt Mailing Address 2-8 Hopkinson Street 11 29 2013 City State Zip Code Transaction ID: A2013-4395863 77 Burnie 07320 Amount of Each Receipt this Period FEC ID number of contributing C 48.62 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 534.82 Other (specify) 92.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER:	PAGE	88 OF	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Halloran Date of Receipt Mailing Address 980 Ninth Street 29 2013 11 City State Zip Code Transaction ID: A2013-4396585 CA Sacramento 95814 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation California Reg Affairs Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bradley Halverson Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396487 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 579.42 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President & CFO Receipt For: Aggregate Year-to-Date ▼ Primary General 4420.62 Other (specify) Full Name (Last, First, Middle Initial) c. Douglas Hampton Date of Receipt Mailing Address Route 7 29 11 2013 Zip Code City State Transaction ID: A2013-4395970 GA Toccoa 30577 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation **Developmental Assignment** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General

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275.00

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Danny Hanback Date of Receipt Mailing Address 6600 River Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396505 LaGrange IL 60525 Amount of Each Receipt this Period FEC ID number of contributing 280.36 federal political committee. Name of Employer Occupation Sr.VP & Chief Ops. Officer-EMD Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3067.46 Other (specify) Full Name (Last, First, Middle Initial) B. Mark Hanback Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396410 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 181.60 federal political committee. Name of Employer Occupation Caterpillar Inc. Director of CPS Receipt For: Aggregate Year-to-Date ▼ Primary General 1997.60 Other (specify) Full Name (Last, First, Middle Initial) **c.** Jon Harayda Date of Receipt Mailing Address 501 SW Jefferson 11 29 2013 City State Zip Code Transaction ID: A2013-4396383 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Revenue Mgmt Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 486.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: PAGE 90 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Harding Date of Receipt Mailing Address 100 Caterpillar Way 2013 11 29 City State Zip Code Transaction ID: A2013-4396217 GA Thomasville 31792 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Keith Hargis Date of Receipt Mailing Address 2120 West End Avenue 11 29 2013 City State Zip Code Transaction ID: A2013-4396907 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Facilities Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. David Harn Date of Receipt Mailing Address 9401 85Th Avenue North 11 29 2013 City Zip Code State Transaction ID: A2013-4396763 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Factory Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 91 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Andrea Hart Date of Receipt Mailing Address 100 Tractor Drive 2013 11 29 City State Zip Code Transaction ID: A2013-4395925 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 31.51 federal political committee. Name of Employer Occupation Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 346.61 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Hart Date of Receipt Mailing Address 1557 Eagle Drive 14 11 2013 City State Zip Code Transaction ID: A2013-4522833 KY Ashland 41102-8988 Amount of Each Receipt this Period FEC ID number of contributing 25.22 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Sales & Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 580.06 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew Hart Date of Receipt Mailing Address 1557 Eagle Drive 28 11 2013 City Zip Code State Transaction ID: A2013-4384415 KY Ashland 41102-8988 Amount of Each Receipt this Period FEC ID number of contributing 25.22 С federal political committee. Name of Employer Occupation Progress Rail Services Director, Sales & Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 605.28 Other (specify) 81.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 92 OF 257

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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	olitical Action Committee	
Full Name (Last, First, Middle Initial) Lawrence Hartnett Mailing Address 500 N. Morton Ave. City Morton FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61550 C Occupation Business Resource Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395881 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Jennifer Hartsock Mailing Address 812 W. Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation IT Dir. Busn. Transformations Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4395875 Amount of Each Receipt this Period 21.03
Full Name (Last, First, Middle Initial) Sylvia Hasinger Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify)	State Zip Code IL 61629 C Occupation Group/Regional HR Director Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4395878 Amount of Each Receipt this Period 50.00
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John Hassiepen Date of Receipt Mailing Address 100 Ne Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396476 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kimberly S Hauer Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396640 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 833.34 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4166.70 Other (specify) Full Name (Last, First, Middle Initial) c. Dennis Hausman Date of Receipt Mailing Address Route 29 & Old Galena Road 29 11 2013 City State Zip Code Transaction ID: A2013-4396301 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee.

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330.00

Occupation

Engineering Manager-2

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

Caterpillar Inc.

Receipt For:

	FO	R LINE	NU	MBER	:	PAGE	94	OF	257
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29

Transaction ID: A2013-4396904

Amount of Each Receipt this Period

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Martin Haycraft Date of Receipt Mailing Address 208 Woodmere Dr. 2013 11 City State Zip Code Transaction ID: A2013-4522819 Albertville AL 35950-2690 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP/CMO, LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name (Last, First, Middle Initial) B. Martin Haycraft Date of Receipt Mailing Address 208 Woodmere Dr. 28 11 2013 City State Zip Code Transaction ID: A2013-4384401 ΑL Albertville 35950-2690 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP/CMO, LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name (Last, First, Middle Initial) c. Katherine Hays Date of Receipt Mailing Address Suite 750

Other (specify) ▼	1104.60										
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Zip Code

20006

Manager Governmental Affairs

Aggregate Year-to-Date ▼

State

DC

Occupation

С

2013

104.60

City

Washington

FEC ID number of contributing

General

federal political committee.

Name of Employer

Primary

Caterpillar Inc.

Receipt For:

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 95 OF 257

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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	Political Action Committee	
Full Name (Last, First, Middle Initial) Brent Heider Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Strategy Consultant I Aggregate Year-to-Date ▼ 659.71	Date of Receipt 11 29 2013 Transaction ID: A2013-4396881 Amount of Each Receipt this Period 64.49
Full Name (Last, First, Middle Initial) Paul Heidfeld Mailing Address 812 W. Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Portfolio Manager Aggregate Year-to-Date ▼ 330.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396471 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) Robert Heitzman Mailing Address 3701 State Road 26 East City Lafayette FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IN 47905 C Occupation General Manager Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396409 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional)		144.49
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 96 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Christopher Hekel Date of Receipt Mailing Address 1100 Milwaukee Ave 2013 11 29 City Zip Code State Transaction ID: A2013-4396189 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 159.73 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 1593.74 Other (specify) Full Name (Last, First, Middle Initial) **B.** Gary Hendrickson Date of Receipt Mailing Address 500/76 Moo 3 Tambol Tasit 11 29 2013 City State Zip Code Transaction ID: A2013-4395889 ZZ Rayong 21140 Amount of Each Receipt this Period FEC ID number of contributing 124.46 federal political committee. Name of Employer Occupation Caterpillar Inc. Logistics Center Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1352.98 Other (specify) Full Name (Last, First, Middle Initial) c. Gwenne Henricks Date of Receipt Mailing Address 14009 Old Galena Rd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396394 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 С federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.37 Other (specify) 700.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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	Statements may not be sold or used by any perse name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial) David Herbert Mailing Address 300 Hamilton Blvd.		Date of Receipt
City	State Zip Code	11 29 2013 Transaction ID + A2013 4205700
Peoria	IL 61629-3800	Transaction ID : A2013-4395790 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Caterpillar Inc.	Sr. Business Resource Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 550.00	
Full Name (Last, First, Middle Initial) 3. Jason Herman		Date of Receipt
Mailing Address 27Th & Pershing Rd		11 292013
City	State Zip Code	Transaction ID : A2013-4396802
Decatur	IL 62525	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	87.53
Name of Employer	Occupation	
Caterpillar Inc.	Group Manager 2	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 927.82	
Full Name (Last, First, Middle Initial) C. Gerald Herrman		Date of Receipt
Mailing Address 501 SW Jefferson		11 292013
City	State Zip Code	Transaction ID : A2013-4395840
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Caterpillar Inc.	Logistics Consulting Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	330.00	
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FOR LINE NUMBER: PAGE 98 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Ryan Hess Date of Receipt Mailing Address Attn: Administrative Assistant 2013 11 29 City State Zip Code Transaction ID: A2013-4396729 Aurora IL 60507 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Roy Heussner Date of Receipt Mailing Address 9401 85Th Avenue North 11 29 2013 City State Zip Code Transaction ID: A2013-4396495 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 69.61 federal political committee. Name of Employer Occupation Caterpillar Inc. Operations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 765.71 Other (specify) Full Name (Last, First, Middle Initial) c. Mark Hexum Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4395951 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Industry Prod Application Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 114.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Thomas A Hickerson Date of Receipt Mailing Address 9250 Sky Park Court 30 2013 City State Zip Code Transaction ID: A2013-4384814 CA 92123-4302 San Diego Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) \blacktriangledown 440.00 Full Name (Last, First, Middle Initial) Shelley Hickman Date of Receipt Mailing Address 1100 Milwaukoo A

City	State Zip Code	11 29 2013 Transaction ID : A2013-4396905
South Milwaukee	WI 53172-0500	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer Caterpillar Inc.	Occupation Pending	_
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 550.00	
Full Name (Last, First, Middle Initial) Lance High		Date of Receipt
Mailing Address 7 Tractor Road		11 29 2013
City	State Zip Code	Transaction ID : A2013-4396644
Singapore	ZZ 62796	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	+
Caterpillar Inc.	Deputy General Counsel	

Aggregate Year-to-Date ▼

1100.00

190.00

Receipt For:

Primary

Other (specify)

General

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Primary

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Other (specify)

General

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Hill Date of Receipt Mailing Address 4-H Park Road 2013 11 City State Zip Code Transaction ID: A2013-4395920 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 40.55 federal political committee. Name of Employer Occupation Section Mgr 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼

Full Name (Last, First, Middle Initial) Robert Hill		Date of Receipt
Mailing Address 12301 Old Galena Road		11 29 2013
City	State Zip Code	Transaction ID : A2013-4395808
Mossville	IL 61552	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Sr. Business Resource Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	
Full Name (Last, First, Middle Initial)	_	·

290.55

c. Michael Hinrichsen Date of Receipt Mailing Address 100 NE Adams 2013 29 City State Zip Code Transaction ID: A2013-4396251 ΙL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 69.32 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing Division Manager

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762.52

Aggregate Year-to-Date ▼

Receipt For:

Primary

Other (specify)

General

FOR LINE NUMBER: PAGE 101 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Rex Hockaday Date of Receipt Mailing Address 6744 S Howell Ave 2013 11 29 City Zip Code State Transaction ID: A2013-4396068 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. Barbara Hodel Date of Receipt Mailing Address 500 N Morton Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4396115 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 88.06 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir. Cat Parts Distribution Receipt For: Aggregate Year-to-Date ▼ Primary General 968.66 Other (specify) Full Name (Last, First, Middle Initial) c. Susan Hodges Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396038 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 238.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOR L	INE NU	IMBER:	PAGE	. 1	02 OF	2	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jeffrey Hoecker Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396823 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Attorney Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Hoenes Date of Receipt Mailing Address 17th Floor China Life Tower 11 29 2013 City State Zip Code Transaction ID: A2013-4396029 ZZ Beijing 10002 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Douglas Hoerr Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4395922 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 95.00

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TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 103 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) William Hohulin Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396399 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Sally Holland Date of Receipt Mailing Address 2120 West End Avenue 11 29 2013 City State Zip Code Transaction ID: A2013-4396133 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 43.69 federal political committee. Name of Employer Occupation Caterpillar Inc. Portfolio Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 480.59 Other (specify) Full Name (Last, First, Middle Initial) c. James Hollowell Date of Receipt Mailing Address 21 Barrington Circle 11 14 2013 City State Zip Code Transaction ID: A2013-4522840 KY Paducah 42003-8895 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Progress Rail Services Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 690.00 Other (specify) 173.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 104 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Hollowell Date of Receipt Mailing Address 21 Barrington Circle 2013 11 28 City State Zip Code Transaction ID: A2013-4384422 KY Paducah 42003-8895 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) Full Name (Last, First, Middle Initial) B. David Holt Date of Receipt Mailing Address 111 Southchase Blvd 11 29 2013 City State Zip Code Transaction ID: A2013-4395987 SC Fountain Inn 29644 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. James Horton Date of Receipt Mailing Address Route 29 & Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396539 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 825.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	LINE	NU	MBER	:	PAGE	: 1	05 OF	2	257
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sandra Hoskins Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396628 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. William Howard Date of Receipt Mailing Address Building H Dock 13 11 29 2013 City State Zip Code Transaction ID: A2013-4396878 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Jerry Hubbard Date of Receipt Mailing Address 501 SW Jefferson 29 11 2013 City State Zip Code Transaction ID: A2013-4395936 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Project Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional).....

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Other (specify)

	FOR LINE NUMBER: PAGE 106 OF	F 25
Use separate schedule(s)	(check only one)	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Dennis Huber Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396253 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. Randall Huber Date of Receipt Mailing Address 14009 Old Galena Rd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396347 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 59.81 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General

Full Name (Last, First, Middle Initial) c. Delma Hume Date of Receipt Mailing Address 12301 Old Galena Road 29 11 2013 City State Zip Code Transaction ID: A2013-4396359 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager

Aggregate Year-to-Date ▼

657.91

275.00

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Receipt For:

Primary

Other (specify)

General

FOR LINE NUMBER: PAGE 107 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Beverly Hungate Date of Receipt Mailing Address 812 W. Washington St 2013 11 29 City State Zip Code Transaction ID: A2013-4396288 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Hunter Date of Receipt Mailing Address 600 Balderson Blvd 11 29 2013 City State Zip Code Transaction ID: A2013-4396701 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Operations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Russell Hunter Date of Receipt Mailing Address 1720 Kingsbury 11 29 2013 City State Zip Code Transaction ID: A2013-4396885 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 108 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Walter Hupe Date of Receipt Mailing Address 27Th & Pershing Rd 2013 11 29 City State Zip Code Transaction ID: A2013-4396348 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 825.00 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph Hutt Date of Receipt Mailing Address 600 Balderson Blvd 11 29 2013 City State Zip Code Transaction ID: A2013-4396243 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Daniel Ibrahim Date of Receipt Mailing Address Route 29 & Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396618 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 133.88 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Technical Steward** Receipt For: Aggregate Year-to-Date ▼ Primary General 342.18 Other (specify) 228.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 109 OF 257

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	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee					
Α.	Full Name (Last, First, Middle Initial) Ronald Ingram Mailing Address 27Th & Pershing Rd			Date o	of Receipt		2013	Y
	City Decatur	State IL	Zip Code 62526		saction ID nt of Each			
	FEC ID number of contributing federal political committee.	С			- 1	- 1	50.	.00
	Name of Employer Caterpillar Inc. Receipt For:		in Manager 5					
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 550.00					
В.	Full Name (Last, First, Middle Initial) Steven Janda			Date o	of Receipt			
	Mailing Address 12301 Old Galena Road City	State	Zip Code	11 Trans	29 saction ID)	2013 4396121	Y
	Mossville	IL	61552		nt of Each			
	FEC ID number of contributing federal political committee.	С					25.	00
	Name of Employer Caterpillar Inc.	Occupation Business De	evelopment Manager					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00					
С .	Full Name (Last, First, Middle Initial) John Janes			Date o	of Receipt			
	Mailing Address 12740 W. Gran Bay Parkway			M - N	/ 29		2013	Y
	City Jacksonville	State FL	Zip Code 32258		saction ID nt of Each			
	FEC ID number of contributing federal political committee.	С			,	7	25	.00
	Name of Employer	Occupation						
	Caterpillar Inc.	Account Ma	anager					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00					
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gregory Janssen Date of Receipt Mailing Address 7 Tractor Road 29 2013 City State Zip Code Transaction ID: A2013-4396561 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Global Logistics Netwk Ops Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Jasinski Jr Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396117 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼

Primary General Other (specify) ▼	275.00	
Full Name (Last, First, Middle Initial) C. Brian Jensen		Date of Receipt
Mailing Address 14009 Old Galena Rd.	7.01	11 29 2013
City	State Zip Code	Transaction ID : A2013-4396459
Mossville	IL 61552	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Sr Engineering Tech Team Leadr	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		

275.00

75.00

Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Alicia Johnson Date of Receipt Mailing Address 300 Hamilton Blvd. 2013 11 29 City State Zip Code Transaction ID: A2013-4395972 Peoria IL 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bradley Johnson Date of Receipt Mailing Address KM 8.5 Presa De La Amistad 11 29 2013 City State Zip Code Transaction ID: A2013-4395819 ZZ Acuna 26220 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Craig Johnson Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4395848 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional).....

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	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Denise Johnson Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Vice President Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396903 Amount of Each Receipt this Period 500.00
Full Name (Last, First, Middle Initial) Scott Johnson Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation WW Product Manager Aggregate Year-to-Date ▼ 550.00	Date of Receipt M M M / 29 / 2013 Transaction ID: A2013-4395780 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) William Johnson Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Marketing Division Manager Aggregate Year-to-Date ▼ 863.83	Date of Receipt M M M / 29 / 2013 Transaction ID : A2013-4396305 Amount of Each Receipt this Period 79.16
SUBTOTAL of Receipts This Page (optional)		629.16
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew Johnston Date of Receipt Mailing Address 12301 Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396808 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Don Jones Date of Receipt Mailing Address 500 N Morton Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4396508 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. GM Global Parts Supply Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew Jones Date of Receipt Mailing Address 12301 Old Galena Road 29 11 2013 City State Zip Code Transaction ID: A2013-4395903 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General

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220.00

Other (specify)

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Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any pene name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Eric Jordan Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) Full Name (Last First Middle Initial)	State Zip Code IL 61552 C Occupation Marketing Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395798 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Christopher Jung Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Labor Relations Representative Aggregate Year-to-Date ▼ 213.64	Date of Receipt 11 29 2013 Transaction ID: A2013-4396852 Amount of Each Receipt this Period 20.49
Full Name (Last, First, Middle Initial) Pamela Just Mailing Address 1710 Walnut Street City Albertville FEC ID number of contributing federal political committee. Name of Employer Progress Rail Services Receipt For: Primary General Other (specify)	State Zip Code AL 35950-2853 C Occupation Controller, Business Unit Aggregate Year-to-Date ▼ 569.25	Date of Receipt 11 14 2013 Transaction ID: A2013-4522821 Amount of Each Receipt this Period 24.75
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	70.24
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FOR LINE NUMBER: PAGE 115 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Pamela Just Date of Receipt Mailing Address 1710 Walnut Street 2013 11 28 City State Zip Code Transaction ID: A2013-4384403 Albertville AL 35950-2853 Amount of Each Receipt this Period FEC ID number of contributing C 24.75 federal political committee. Name of Employer Occupation Controller, Business Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 594.00 Other (specify) Full Name (Last, First, Middle Initial) B. David Kacynski Date of Receipt Mailing Address 2120 West End Avenue 11 29 2013 City State Zip Code Transaction ID: A2013-4396408 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 825.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** John Kaiser Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396023 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Regional Site Purchasing Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 199.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John J Kapusnik Date of Receipt Mailing Address 9250 Sky Park Court 30 2013 11 City State Zip Code Transaction ID: A2013-4384825 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Kathryn Karol Date of Receipt Mailing Address Suite 750 29 11 2013 City State Zip Code Transaction ID: A2013-4396913 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 555.56 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4444.48 Other (specify) Full Name (Last, First, Middle Initial) c. Zachary Kauk Date of Receipt

Mailing Address 7 Tractor Road 29 11 2013 Zip Code City State Transaction ID: A2013-4396154 77 Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General

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1986.79

182.30

Other (specify)

FOR LINE NUMBER: PAGE 117 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew Keeley Date of Receipt Mailing Address Attn: Administrative Assistant 2013 11 29 City State Zip Code Transaction ID: A2013-4396198 Aurora IL 60507 Amount of Each Receipt this Period FEC ID number of contributing C 66.85 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 735.35 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Kees Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396586 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Richard Keith Date of Receipt Mailing Address 300 Hamilton Blvd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396527 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 111.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 118 OF 257

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	d Statements may not be sold or used by any per the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Terry Keith Mailing Address 812 W. Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Sr Business Process Specialist Aggregate Year-to-Date ▼ 275.00	Date of Receipt 1.1 29 2013 Transaction ID: A2013-4396095 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Kurt Keller Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify)	State Zip Code IL 61629 C Occupation Corporate Counsel Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396713 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Kevin Kempher Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Product Health Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396456 Amount of Each Receipt this Period 25.00
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Colleen Keough Date of Receipt Mailing Address 501 SW Jefferson 2013 11 29 City State Zip Code Transaction ID: A2013-4396182 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Senior IT Supervisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Kertesz Date of Receipt Mailing Address Attn: Administrative Assistant 11 29 2013 City State Zip Code Transaction ID: A2013-4396630 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mohammed Khan Date of Receipt Mailing Address Route 29 & Old Galena Road 29 11 2013 City State Zip Code Transaction ID: A2013-4396609 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Name of Employer Occupation Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 825.00 Other (specify) 195.00

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FOR LINE NUMBER: PAGE 120 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Farzin Khorasanizadeh Date of Receipt Mailing Address 501 SW Jefferson 2013 11 29 City State Zip Code Transaction ID: A2013-4396523 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 41.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Customer & Industry Suppt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 740.64 Other (specify) Full Name (Last, First, Middle Initial) **B.** Steven Kibby Date of Receipt Mailing Address 17th Floor China Life Tower 11 29 2013 City State Zip Code Transaction ID: A2013-4396274 ZZ Beijing 10002 Amount of Each Receipt this Period FEC ID number of contributing 102.64 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1110.26 Other (specify) Full Name (Last, First, Middle Initial) c. Samuel Kieffer Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396191 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Revenue Management Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 164.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 121 OF 257

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	y information copied from such Reports and Si for commercial purposes, other than using the			
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee	
Α.	Full Name (Last, First, Middle Initial) Charlie Kim Mailing Address 12301 Old Galena Road			Date of Receipt
	City Mossville	State IL	Zip Code 61552	Transaction ID : A2013-4396799 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		25.00
	Name of Employer Caterpillar Inc. Receipt For:		g Project Team Leadr Year-to-Date ▼	
	Primary General Other (specify) ▼	Aggregate	275.00	
В.	·			Date of Receipt
	Mailing Address 100 Ne Adams City	State	Zip Code	11 29 2013
	Peoria	IL	61629	Transaction ID : A2013-4396084 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		20.00
	Name of Employer Caterpillar Inc.	Occupation Senior Corp	orate Counsel	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 220.00	
С .	Full Name (Last, First, Middle Initial) Russell Kirkpatrick			Date of Receipt
	Mailing Address Route 29 & Old Galena Road			11 29 2013
	City Mossville	State IL	Zip Code 61552	Transaction ID : A2013-4396856 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		27.81
	Name of Employer	Occupation		
	Caterpillar Inc. Receipt For:	1	rvice Analyst	_
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 296.43	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Klabunde Date of Receipt Mailing Address 1029 Baldwin Lane 2013 11 City State Zip Code Transaction ID: A2013-4522837 Oak Park IL 60302-4445 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Locomotive Services Receipt For: Aggregate Year-to-Date ▼ Primary General 287.50 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Klabunde Date of Receipt Mailing Address 1029 Baldwin Lane 28 11 2013 City State Zip Code Transaction ID: A2013-4384419 Oak Park IL 60302-4445 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Locomotive Services Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Wayne Klopfenstein Date of Receipt Mailing Address Building H Dock 13 29 11 2013 City State Zip Code Transaction ID: A2013-4396287 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 415.16 Other (specify)

50.00

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes	, other than using the	name and address of any political committee to	5 Solicit Communions from Such Committee.
NAME OF COMMITTEE The Caterpillar II		olitical Action Committee	
Full Name (Last, First, Malling Address 100 NE	•		Date of Receipt
City Peoria		State Zip Code IL 61629	Transaction ID : A2013-4396091 Amount of Each Receipt this Period
FEC ID number of contr federal political committee	•	C	25.00
Name of Employer Caterpillar Inc.		Occupation Talent Development Consultant	
Receipt For: Primary Other (specify) ▼	General	Aggregate Year-to-Date ▼ 275.00	
Full Name (Last, First, Massley Knepp Mailing Address 100 NE			Date of Receipt
City Peoria	riualiio	State Zip Code IL 61629	11 29 2013 Transaction ID : A2013-4395874 Amount of Each Receipt this Period
FEC ID number of contr federal political committee	•	C	101.90
Name of Employer Caterpillar Inc.		Occupation Sr Labor Relations Consultant	
Receipt For: Primary Other (specify) ▼	General	Aggregate Year-to-Date ▼ 1352.29	
Full Name (Last, First, No. Paul Knollmaier	· 		Date of Receipt
Mailing Address 701 Wa	aterford Way		11 29 2013
City Miami		State Zip Code FL 33126	Transaction ID : A2013-4395964 Amount of Each Receipt this Period
FEC ID number of contr federal political committee	•	C	106.85
Name of Employer Caterpillar Inc.		Occupation Prod Support & Sales Ops Mgr	
Receipt For: Primary Other (specify)	General	Aggregate Year-to-Date ▼ 1175.35	
SUBTOTAL of Receipts T	his Page (optional)	>	233.75
TOTAL This Period (last p	age this line number o	nly)	

FOR LINE NUMBER: PAGE 124 OF 257

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	I Statements may not be sold or used by any per the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Travis Knox Mailing Address 2950 Temple School Rd City Winston-Salem FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code NC 27107 C Occupation Engineering Project Team Leadr Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395836 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) David Koch Mailing Address Attn: Administrative Assista City Aurora FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify)	State Zip Code IL 60507 C Occupation Product Manager Aggregate Year-to-Date 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396536 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Christopher Kopinski Mailing Address 500 N Morton Ave City Morton FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61550 C Occupation Sr Inventory Mgmt Supervisor Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396096 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional).		75.00
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for each category of the Detailed Summary Page	X	11a		11b		11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Linda Kraftzenk Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396048 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Corporate Communications Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Kreuser Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396688 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global People & Org Dev Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Craig Kroeger Date of Receipt Mailing Address 12301 Old Galena Road 29 11 2013 City State Zip Code Transaction ID: A2013-4396340 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Large High Spd Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify)

85.00

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 126 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Stat or for commercial purposes, other than using the name	ements may not be sold or used by any per ame and address of any political committee	son for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	litical Action Committee	
Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Description Vice President Aggregate Year-to-Date ▼ 4500.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396909 Amount of Each Receipt this Period 500.00
Caterpillar Inc.	State Zip Code TX 77040 C C C Ccupation Sales Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396241 Amount of Each Receipt this Period 25.00
Caterpillar Inc.	State Zip Code IL 61550 C Description GM Parts Supply Chain Strateg Aggregate Year-to-Date ▼ 657.47	Date of Receipt 11 29 2013 Transaction ID : A2013-4396056 Amount of Each Receipt this Period 59.77
SUBTOTAL of Receipts This Page (optional)	>	584.77
TOTAL This Period (last page this line number on	ly)	

	FOF	R LINE	NU	IMBER	PAGE	27 OF	2	257		
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Christina Lael Date of Receipt Mailing Address 2120 West End Avenue 2013 11 29 City State Zip Code Transaction ID: A2013-4396857 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Accountant Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Julie Lagacy Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396478 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 454.55 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4615.58 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Lammert Date of Receipt Mailing Address 300 Hamilton Blvd. 29 11 2013 City State Zip Code Transaction ID: A2013-4395904 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee.

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275.00

Occupation Project Manager

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

Caterpillar Inc. Receipt For:

FOR LINE NUMBER: (check only one) PAGE 128 OF 257 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee I	Political Action Committee	
Full Name (Last, First, Middle Initial) Thomas Lane Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Engrg Tech Stwd-Tech Reg Comp Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396321 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) William Lane Mailing Address Suite 750 City Washington FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code DC 20006 C Occupation Director Governmental Affairs Aggregate Year-to-Date ▼ 1136.63	Date of Receipt 11 29 2013 Transaction ID : A2013-4396386 Amount of Each Receipt this Period 83.35
Full Name (Last, First, Middle Initial) Mark Lang Mailing Address 2-8 Hopkinson Street City Burnie FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code ZZ 07320 C Occupation Business Resource Manager Aggregate Year-to-Date ▼ 1504.02	Date of Receipt 11 29 2013 Transaction ID: A2013-4396240 Amount of Each Receipt this Period 139.98
SUBTOTAL of Receipts This Page (optional)		243.33
TOTAL This Period (last page this line number	only)	

	FOR LINE	: NUMBER	: PAGE	E 129 OF	257
Use separate schedule(s)	(check on	ly one)			
for each category of the Detailed Summary Page	X 11a	11b	11c	12	
	13	14	15	16	717

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Stephen Larson Date of Receipt Mailing Address 500 N Morton Ave 2013 11 29 City State Zip Code Transaction ID: A2013-4396344 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.26 Other (specify) Full Name (Last, First, Middle Initial) B. Brett Lashlee Date of Receipt Mailing Address 2120 West End Avenue 11 29 2013 City State Zip Code Transaction ID: A2013-4396817 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Process Coordinator** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Scott Lautzenheiser Date of Receipt Mailing Address 124 SW Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396088 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify)

466.66

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 130 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and State or for commercial purposes, other than using the	atements may not be sold or used by any per name and address of any political committee	rson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	olitical Action Committee	
Full Name (Last, First, Middle Initial) Bryan Lavezzi Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Engineering Manager-1 Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID : A2013-4396556 Amount of Each Receipt this Period 64.50
Full Name (Last, First, Middle Initial) James Lawlor Mailing Address 224 Warrenton Shores Dr. City Guntersville FEC ID number of contributing federal political committee. Name of Employer Progress Rail Services Receipt For: Primary General Other (specify)	State Zip Code AL 35976-6124 C Occupation Manager, Corporate HR Aggregate Year-to-Date ▼ 230.00	Date of Receipt 11 14 2013 Transaction ID: A2013-4522829 Amount of Each Receipt this Period 10.00
Full Name (Last, First, Middle Initial) James Lawlor Mailing Address 224 Warrenton Shores Dr. City Guntersville FEC ID number of contributing federal political committee. Name of Employer Progress Rail Services Receipt For: Primary General Other (specify)	State Zip Code AL 35976-6124 C Occupation Manager, Corporate HR Aggregate Year-to-Date ▼	Date of Receipt 11 28 2013 Transaction ID: A2013-4384411 Amount of Each Receipt this Period 10.00
SUBTOTAL of Receipts This Page (optional)	>	84.50
TOTAL This Period (last page this line number o	nly)	

FOR LINE NUMBER: PAGE 131 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Frank T Lazet Date of Receipt Mailing Address 9250 Sky Park Court 30 2013 11 City State Zip Code Transaction ID: A2013-4384808 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael LeCrone Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396853 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Brand Protect. & Invest. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Lee Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396608 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Procurement Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	LINE	NU	IMBER	:	PAGE	1	32 OF	2	25
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Betty Lehman Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396726 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation **Human Resources Consultant** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Sean Leuba Date of Receipt Mailing Address 300 Hamilton Blvd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396266 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Mgr CPGS Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew Leustek Date of Receipt Mailing Address Route 29 & Old Galena Road 29 11 2013 City State Zip Code Transaction ID: A2013-4396742 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify)

FEC Schedule A (Form 3X) Rev. 02/2003

150.00

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TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	MBER	:	PAGE	: 1	33 OF	2	257
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for each category of the Detailed Summary Page	×	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gary LeVar Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396228 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Industry Region Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Stuart Levenick Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396680 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.26 Other (specify) Full Name (Last, First, Middle Initial) **c.** Eric Levi Date of Receipt Mailing Address 100 N.E. Adams Street 30 11 2013 City State Zip Code Transaction ID: A2013-4384857 IL Peoria 61629-0001 Amount of Each Receipt this Period

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220.00

C

Occupation

Aggregate Year-to-Date ▼

Manager

20.00

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Solar Turbines

Receipt For:

FOR LINE NUMBER: PAGE 134 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and St or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical Action Committee	
Full Name (Last, First, Middle Initial) Teresa Lewis Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Special Assignment Aggregate Year-to-Date ▼ 385.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396648 Amount of Each Receipt this Period 35.00
Full Name (Last, First, Middle Initial) Chih Liang Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation 6 Sigma Strategy Champion Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395883 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Monique Liard Mailing Address Carl-Benz-Strasse 1 City Mannheim FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code ZZ 00000 C Occupation Business Resource Manager Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396848 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional)		110.00
TOTAL This Period (last page this line number of	only)	

FOR LINE NUMBER: PAGE 135 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kenneth Lindblom Date of Receipt Mailing Address Attn: Administrative Assistant 2013 11 29 City State Zip Code Transaction ID: A2013-4396494 Aurora IL 60507 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy Lindner Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396167 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Ulf Lindqwister Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396721 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FO	R LINE	NU	IMBER	:	PAGE	1	36 OF	: 2	25
Use separate schedule(s)	(che	eck only	or	ne)						
for each category of the Detailed Summary Page	×	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gregory Loftness Date of Receipt Mailing Address 9401 85Th Avenue North 2013 29 City Zip Code State Transaction ID: A2013-4396783 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Manager-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Suzette Long Date of Receipt Mailing Address 6744 S Howell Ave 29 11 2013 City State Zip Code Transaction ID: A2013-4396760 Oak Creek WI 53154 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lloyd Longer Date of Receipt Mailing Address 13105 Northwest Freeway 11 29 2013 City Zip Code State Transaction ID: A2013-4396822 TX Houston 77040 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify)

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FOR LINE NUMBER: PAGE 137 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Charles Looney Date of Receipt Mailing Address 100 Caterpillar Way 2013 11 29 City State Zip Code Transaction ID: A2013-4396535 GA Thomasville 31792 Amount of Each Receipt this Period FEC ID number of contributing C 65.80 federal political committee. Name of Employer Occupation Group Manager 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 460.60 Other (specify) Full Name (Last, First, Middle Initial) B. Patricia Ludewig Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396598 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Tax Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Edward Luke Date of Receipt Mailing Address 2120 West End Avenue 11 29 2013 City Zip Code State Transaction ID: A2013-4395908 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Info. Services Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 110.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOR	LINE N	UMBER:	:	PAGE	: 1	38 OF	257
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for each category of the Detailed Summary Page	X	11a	11b		11c		12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Bradley Luttrell Date of Receipt Mailing Address 12301 Old Galena Road 29 2013 City State Zip Code Transaction ID: A2013-4395832 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 39.28 federal political committee. Name of Employer Occupation Caterpillar Inc. Buyer A Receipt For: Aggregate Year-to-Date ▼ Primary General 432.08 Other (specify) Full Name (Last, First, Middle Initial) B. Jason Lynn Date of Receipt Mailing Address Suite 750 29 11 2013 City State Zip Code Transaction ID: A2013-4396841 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. William MacLeod Date of Receipt Mailing Address 27Th & Pershing Rd 11 29 2013 Zip Code City State Transaction ID: A2013-4396792 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 88.91 federal political committee. Name of Employer Occupation Pending Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼

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941.48

Primary

Other (specify)

General

	FOR	LINE	NU	MBER	:	PAGE	1	39 OF	2	257
Use separate schedule(s)	(che	ck only	or	ne)						
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Scott Magner Date of Receipt Mailing Address 40 2013 11 29 City Zip Code State Transaction ID: A2013-4395807 ZZ Grenoble Cedex 38041 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Supervisor-2 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Donald Mahannah Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396378 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mark Manning Date of Receipt Mailing Address 7 Tractor Road 29 11 2013 Zip Code City State Transaction ID: A2013-4396437 77 Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General

550.00

Other (specify)

FOR LINE NUMBER: PAGE 140 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paul Manning Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396744 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Comp Administration Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Josh Maquet Date of Receipt Mailing Address 4-H Park Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396210 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) c. Rodolfo Martinez Jr Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396074 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	MBER	:	PAGE	1	41 OF	- 2	257
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Karen Martos Date of Receipt Mailing Address 100 Tractor Drive 29 2013 11 City State Zip Code Transaction ID: A2013-4396884 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation CPS Black Belt-2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Deborah Massengill Date of Receipt Mailing Address 14009 Old Galena Rd. 29 11 2013 City State Zip Code Transaction ID: A2013-4395981 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 46.43 federal political committee. Name of Employer Occupation Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 644.67 Other (specify) Full Name (Last, First, Middle Initial) c. David Masterson Date of Receipt Mailing Address 13105 Northwest Freeway 29 11 2013 City Zip Code State Transaction ID: A2013-4396567 TX Houston 77040 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee.

SUBTOTAL of Receipts This Page (optional)			7	Ι	7	Ξ	I	86.4	3	
TOTAL This Period (last page this line number only)			7	_	7	_		_]

220.00

Occupation

Senior Corporate Counsel

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

Caterpillar Inc. Receipt For:

FOR LINE NUMBER: (check only one) PAGE 142 OF 257 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and State or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	olitical Action Committee	
Full Name (Last, First, Middle Initial) Paul Mathes Mailing Address 111 Southchase Blvd City Fountain Inn FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code SC 29644 C Occupation Product Manager Aggregate Year-to-Date ▼ 923.27	Date of Receipt 11 29 2013 Transaction ID: A2013-4396540 Amount of Each Receipt this Period 86.81
Full Name (Last, First, Middle Initial) Sean Matheson Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Procurement Manager 1 Aggregate Year-to-Date ▼ 292.65	Date of Receipt 11 29 2013 Transaction ID : A2013-4396838 Amount of Each Receipt this Period 42.65
Full Name (Last, First, Middle Initial) Christopher Mathewson Mailing Address 501 SW Jefferson City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify)	State Zip Code IL 61629 C Occupation Warranty Policy Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396169 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)		149.46
TOTAL This Period (last page this line number o	only)	

	FOR LINE NUMBER:					PAGE 143 OF				25
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	Statements may not be sold or used by any pers ne name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) 1. Dennis Matousek	Date of Receipt	
Mailing Address Attn: Administrative Assistan	11 29 2013	
City	State Zip Code	Transaction ID : A2013-4396888
Aurora	IL 60507	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	6 Sigma Black Belt-1	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	275.00	
Full Name (Last, First, Middle Initial) 3. Glenn Matson		Date of Receipt
Mailing Address 812 W. Washington St		11 29 2013
City	State Zip Code	Transaction ID : A2013-4396297
East Peoria	IL 61630	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Info Technology Manager-III	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	
Full Name (Last, First, Middle Initial) C. James Matteo		Date of Receipt
Mailing Address 1106 - 4 Shimizu		11 29 2013
City	State Zip Code	Transaction ID : A2013-4396672
Akashi	ZZ 00000	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	107.53
Name of Employer	Occupation	
Caterpillar Inc.	Product Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1176.50	
SUBTOTAL of Receipts This Page (optional)		157.53
optional)		
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	FOR LINE NUMBER:					PAGE 144 OF				257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jason Mattox Date of Receipt Mailing Address 560 Rehoboth Road 2013 11 29 City State Zip Code Transaction ID: A2013-4395853 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 28.04 federal political committee. Name of Employer Occupation Sr. Designer/Modeler Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 293.12 Other (specify) Full Name (Last, First, Middle Initial) B. Phillip Maxheimer Date of Receipt Mailing Address No.18 Zhusong Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396209 ZZ Nantong 22631 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Paul Mc Kneight Date of Receipt Mailing Address Attn: Administrative Assistant 29 11 2013 City State Zip Code Transaction ID: A2013-4396433 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Product Performance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 103.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David McAllister Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396234 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Douglas McCallen Date of Receipt Mailing Address Regency Forest II 11 29 2013 City State Zip Code Transaction ID: A2013-4395784 NC Cary 27511 Amount of Each Receipt this Period FEC ID number of contributing 252.27 federal political committee. Name of Employer Occupation Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 2738.91 Other (specify) Full Name (Last, First, Middle Initial) **c.** Patrick McCartan Date of Receipt

Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396615 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Regional Treasurer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General

275.00

Other (specify)

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Detailed Summary Page	×	11a		11b		11c		12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sandra McCartney Date of Receipt Mailing Address Route De Frontenex 76 2013 11 29 City State Zip Code Transaction ID: A2013-4396218 ZZ Geneva 6 01211 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas McCauley Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396479 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** John McClain Date of Receipt Mailing Address 11915 Briarleaf Way 30 11 2013 City State Zip Code Transaction ID: A2013-4384848 CA San Diego 92128-5254 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Solar Manager Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 147 OF 257

IT _	EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16	17
	ny information copied from such Reports and S for commercial purposes, other than using the				
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee		
Α.	Full Name (Last, First, Middle Initial) Amy McCoy Mailing Address 1720 Kingsbury			Date of Receipt 11 29 2013	Y
	City Seguin	State TX	Zip Code 78155	Transaction ID : A2013-4395864 Amount of Each Receipt this Period	_
	FEC ID number of contributing federal political committee.	С		20.0	00
	Name of Employer Caterpillar Inc. Receipt For: Primary General		sources Manager Year-to-Date ▼		
	Other (specify) ▼		220.00		
В.	Full Name (Last, First, Middle Initial) Matthew McDonnough Mailing Address 100 NE Adams			Date of Receipt	Y
	City Peoria	State IL	Zip Code 61629	Transaction ID : A2013-4396696 Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	С	1111	25.0	00
	Name of Employer Caterpillar Inc.	Occupation Area Manag			
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00		
С.	Full Name (Last, First, Middle Initial) Scott McDougall Mailing Address and Substitute Plants			Date of Receipt	
	Mailing Address 100 S. L. White Blvd. City	State	Zip Code	11 29 2013 Transaction ID : A2013-4396003	Y
	La Grange FEC ID number of contributing	GA	30241	Amount of Each Receipt this Period	
	federal political committee.	C		118.8	36
	Name of Employer Caterpillar Inc.	Occupation Marketing S			
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1274.60		
s	UBTOTAL of Receipts This Page (optional)		>	163.8	36
Т	OTAL This Period (last page this line number	only)			

FOR LINE NUMBER: PAGE 148 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Ryan McKenna Date of Receipt Mailing Address 233 E. Wacker Drive Apt. 3802 2013 11 City Zip Code State Transaction ID: A2013-4330221 IL Chicago 60601-5115 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Ryan McKenna Date of Receipt Mailing Address 233 E. Wacker Drive Apt. 3802 11 28 2013 City State Zip Code Transaction ID: A2013-4384396 IL Chicago 60601-5115 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Karen McMullen Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396111 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 68.47 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 747.17 Other (specify) 88.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 149 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) William McNew Date of Receipt Mailing Address 300 Hamilton Blvd. 2013 11 29 City State Zip Code Transaction ID: A2013-4396776 Peoria IL 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Mining Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Brett Mcquern Date of Receipt Mailing Address 9201 Faulkner Lake Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396643 North Little Rock AR 72117 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Logistics Center Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Charles Menke Date of Receipt Mailing Address State Rt. 116 E. 11 29 2013 City State Zip Code Transaction ID: A2013-4396192 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 34.82 federal political committee. Name of Employer Occupation Caterpillar Inc. Machine Development Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 485.28 Other (specify) 74.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 150 OF 257

IT	EMIZED RECEIPTS		for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17
	ny information copied from such Reports and for commercial purposes, other than using the	erson for the purpose of soliciting contributions to solicit contributions from such committee.		
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political A	ction Committee	
Α.	Mailing Address 6744 S Howell Ave			Date of Receipt 1,1 29 2013
	City Oak Creek	State WI	Zip Code 53154	Transaction ID : A2013-4396625
	FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period 50.00
	Name of Employer	Occupation		
	Caterpillar Inc. Receipt For:	Business R	esource Manager	
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 550.00	
В.	Full Name (Last, First, Middle Initial) Thomas Meyer			Date of Receipt
	Mailing Address 13105 Northwest Freeway			11 29 2013
	City Houston	State TX	Zip Code 77040	Transaction ID : A2013-4396296 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		20.00
	Name of Employer Caterpillar Inc.	Occupation Market Prof		
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 220.00	
— С.	Full Name (Last, First, Middle Initial) Donald Meyers			Date of Receipt
	Mailing Address 2120 West End Avenue			11 29 _ 2013 _
	City Nashville	State TN	Zip Code 37203	Transaction ID : A2013-4396631
	FEC ID number of contributing federal political committee.	C	37203	Amount of Each Receipt this Period 84.00
	Name of Employer	Occupation	1	
	Caterpillar Inc.	Senior Cor	porate Counsel	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 924.00	
S	SUBTOTAL of Receipts This Page (optional)	1		154.00
1	OTAL This Period (last page this line number	r only)		

FOR LINE NUMBER: (check only one) PAGE 151 OF 257 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Matthew Miceli Mailing Address P.O. Box 80108 City Athens FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code GA 30608 C Occupation Factory Manager Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4395906 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Ronald Michaelson Mailing Address 812 W. Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Info Technology Manager-III Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396442 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Eric Mickel Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify)	State Zip Code IL 61630 C Occupation Sr. Business Resource Manager Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID : A2013-4396414 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)		65.00
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FOR LINE NUMBER: PAGE 152 OF 257

IT	EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check o	· ′	11c	12 16	17
	ny information copied from such Reports and S for commercial purposes, other than using the							
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee					
Α.	Full Name (Last, First, Middle Initial) Charles Miles Mailing Address Attn: Administrative Assistant			M			Y	Y
	City Aurora	State IL	Zip Code 60507		nsaction ID ant of Each			
	FEC ID number of contributing federal political committee.	С			7		145.	.25
	Name of Employer Caterpillar Inc. Receipt For:	Occupation Master Blac	ck Belt					
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 345.25					
В.	Full Name (Last, First, Middle Initial) Sonya Miles				of Receipt			
	Mailing Address 100 NE Adams City	State	Zip Code	11 Trar		29	2013 4396064	Y
	Peoria	IL	61629	Amou	int of Each	Receipt t	his Period	
	FEC ID number of contributing federal political committee.	С					50.	00
	Name of Employer Caterpillar Inc.	Occupation Lead Huma	n Resources Mgr					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 550.00					
<u>с</u> .	Full Name (Last, First, Middle Initial) David Miller			Date	of Receipt			
	Mailing Address 600 W Washington St			11		29	2013	Y
	City East Peoria	State IL	Zip Code 61630		nsaction ID Int of Each			
	FEC ID number of contributing federal political committee.	С			7	7	25.	.00
	Name of Employer	Occupation						
	Caterpillar Inc.	Sr Enginee	ring Proj Team Leadr					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00					
S	SUBTOTAL of Receipts This Page (optional)						220.:	25
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FOR LINE NUMBER: PAGE 153 OF 257

IT	EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check o	nly one) 11b 14	11c	12 16	17
	ny information copied from such Reports and Si for commercial purposes, other than using the							
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee					
Α.	Full Name (Last, First, Middle Initial) Larry Miller Mailing Address 14009 Old Galena Rd.			Date	of Receipt	: - D / T	Y	Y
	City Mossville	State IL	Zip Code 61552		nsaction II ont of Each			
	FEC ID number of contributing federal political committee.	С			7		25.	.00
	Name of Employer Caterpillar Inc. Receipt For:	Occupation Special Ass	signment					
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00					
В.	Full Name (Last, First, Middle Initial) Mary Miller			Date	of Receipt			
	Mailing Address 100 NE Adams City	State	Zip Code	11 Trar		29 / Constant of the constant	2013 4396627	Y
	Peoria	IL	61629	Amou	nt of Each	Receipt t	his Period	
	FEC ID number of contributing federal political committee.	С			7	- 5	50.	00
	Name of Employer Caterpillar Inc.	Occupation Western He	emisphere Tax Manager					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 550.00					
С .	Full Name (Last, First, Middle Initial) Michael Miller			Date	of Receipt			
	Mailing Address 501 SW Jefferson			M 11		29	2013	Y
	City Peoria	State IL	Zip Code 61629		nsaction II nt of Each			
	FEC ID number of contributing federal political committee.	С			7		50	.00
	Name of Employer	Occupation						
	Caterpillar Inc.	Product & 1	Tech Training Supv					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 550.00					
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FOR LINE NUMBER: (check only one) PAGE 154 OF 257 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Wayne Miller Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Supply Chain Performance Mgr 3 Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396412 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Kelsey Milman Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Senior Corporate Counsel Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396098 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Eric Minder Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation EHS Manager I Aggregate Year-to-Date ▼ 1133.64	Date of Receipt 11 29 2013 Transaction ID: A2013-4396745 Amount of Each Receipt this Period 109.41
SUBTOTAL of Receipts This Page (optional)		154.41
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 155 OF 257 Use separate schedule(s) (check only one) X 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Marsha Mitts Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396110 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Tax Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kathleen Mock Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396185 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Patrick Mohrman Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4395785 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 70.39 federal political committee. Name of Employer Occupation Caterpillar Inc. Customer Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 731.74 Other (specify) 195.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page	X	11a		11b		11c	12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using	the name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	e Political Action Committee	
Full Name (Last, First, Middle Initial) A. Arnold Monteleone		Date of Receipt
Mailing Address 1000 Moringside Cir		11 14 2013
City	State Zip Code	Transaction ID : A2013-4522822
Denison	TX 75020-4154	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Progress Rail Services	VP, Trackwork Division	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	575.00	
Full Name (Last, First, Middle Initial) Arnold Monteleone		Date of Receipt
Mailing Address 1000 Moringside Cir		11 28 2013
City	State Zip Code	Transaction ID : A2013-4384404
Denison	TX 75020-4154	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Progress Rail Services	VP, Trackwork Division	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	600.00	
Full Name (Last, First, Middle Initial) Djuana Montgomery		Date of Receipt
Mailing Address Avenue des Etats Unis		11 29 2013
City Gosselies	State Zip Code ZZ 06041	Transaction ID : A2013-4396123 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	127.64
Name of Employer	Occupation	
Caterpillar Inc.		
Receipt For:	Senior IT Supervisor Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1381.87	
SUBTOTAL of Receipts This Page (optional)		177.64
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	FOR LINE NUMBER:	PAGE 1	57 OF	257
Use separate schedule(s) for each category of the	(check only one)			
Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) **Erec Montgomery** Date of Receipt Mailing Address Avenue des Etats Unis 29 2013 City State Zip Code Transaction ID: A2013-4396043 ZZ Gosselies 06041 Amount of Each Receipt this Period FEC ID number of contributing C 144.16 federal political committee. Name of Employer Occupation Info Technology Manager-II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1577.27 Other (specify) Full Name (Last, First, Middle Initial) **B.** Kimberly Montgomery Date of Receipt Mailing Address 2120 West End Avenue 29 11 2013 City Zip Code State Transaction ID: A2013-4395985 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Business Analyst II Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify)

Full Name (Last, First, Middle Initial) Randall Mooberry		Date of Receipt
Mailing Address 100 Ne Adams		11 29 2013
City	State Zip Code	Transaction ID : A2013-4396428
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	55
Name of Employer	Occupation	
Caterpillar Inc.	Engineering Manager-2	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	

SUBTOTAL of Receipts This Page (optional)		7			7	Ξ	2	25.05	5	
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785.07

55.89

Other (specify)

C

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Martin Mooney Date of Receipt Mailing Address 27Th & Pershing Rd 2013 11 29 City State Zip Code Transaction ID: A2013-4395945 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey Moore Date of Receipt Mailing Address Route 29 & Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396141 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.03 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 848.56 Other (specify) Full Name (Last, First, Middle Initial) c. Phillip Moore Date of Receipt Mailing Address Precision Sealing Products 29 11 2013 City Zip Code State Transaction ID: A2013-4395973 NC Franklin 28734 Amount of Each Receipt this Period FEC ID number of contributing 113.32 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1184.49 Other (specify) 263.35 SUBTOTAL of Receipts This Page (optional).....

	FOF	R LINE	NU	IMBER	:	PAGE	. 1	59 OF	: :	25
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Richard Moore Date of Receipt Mailing Address 100 NE Adams 2013 29 City State Zip Code Transaction ID: A2013-4396447 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 200.79 federal political committee. Name of Employer Occupation **Director Investor Relations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2076.08 Other (specify) Full Name (Last, First, Middle Initial) B. Barbra Moran Date of Receipt Mailing Address 100 Ne Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396009 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 101.33 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General

Other (specify) ▼	1053.98	
Full Name (Last, First, Middle Initial) Eric Morr Mailing Address 12301 Old Galena Road		Date of Receipt 11 29 2013
City	State Zip Code	Transaction ID : A2013-4396011
Mossville	IL 61552	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	117.55
Name of Employer	Occupation	
Caterpillar Inc.	NPI Team Leader	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1197.58	

SUBTOTAL of Receipts This Page (optional).....

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419.67

	FOF	LINE	NU	MBER	:	PAGE	. 1	60 OF	2	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Alec Morris Date of Receipt Mailing Address 500 N Morton Ave 2013 11 29 City State Zip Code Transaction ID: A2013-4396082 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 42.65 federal political committee. Name of Employer Occupation 6 Sigma Black Belt-2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 463.63 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Morrison Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396873 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Director U.S. Domestic Tax Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph Moscato Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396779 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 112.65 SUBTOTAL of Receipts This Page (optional).....

	FOF	R LINE	NU	MBER	:	PAGE	: 1	61 OF	2	257
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Mosley Date of Receipt Mailing Address 5350 Hunter Road 29 2013 City State Zip Code Transaction ID: A2013-4396281 GΑ 30336-2321 Atlanta Amount of Each Receipt this Period FEC ID number of contributing C 65.54 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Technical Steward** Receipt For: Aggregate Year-to-Date ▼ Primary General 715.21 Other (specify) Full Name (Last, First, Middle Initial) B. Jarrod Moss Date of Receipt Mailing Address 501 Cardinal Drive 29 11 2013 City State Zip Code Transaction ID: A2013-4395897 Corinth MS 38834 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee.

Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Engineering Manager-1 Aggregate Year-to-Date ▼ 275.00	
Full Name (Last, First, Middle Initial) C. Sherri Mowbray Mailing Address 501 SW Jefferson City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General	State Zip Code IL 61629 C Occupation Business Resource Manager Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID : A2013-4395927 Amount of Each Receipt this Period 77.76

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

168.30

FOR LINE NUMBER: PAGE 162 OF 257

ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	Political Action Committee	
Full Name (Last, First, Middle Initial) Thomas Muir Mailing Address 1720 Kingsbury City Seguin FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) General	State Zip Code TX 78155 C Occupation CPS Master Black Belt Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4395789 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) B. Casey Murphy Mailing Address 100 NE Adams		Date of Receipt 11 29 2013
City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For:	State Zip Code IL 61629 C Occupation Sr. Communications Rep Aggregate Year-to-Date ▼	Transaction ID : A2013-4396861 Amount of Each Receipt this Period 40.00
Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) C. Christopher Myers Mailing Address Suite 750	305.00	Date of Receipt 11 29 2013
City Washington FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: □ Primary □ General Other (specify) ▼	State Zip Code DC 20006 C Occupation Federal Govt. Affairs Director Aggregate Year-to-Date ▼ 1812.17	Transaction ID : A2013-4396812 Amount of Each Receipt this Period 170.48
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number		235.48

	FOF	R LINE	NU	IMBER	:	PAGE	= 1	63 OF	25
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for each category of the Detailed Summary Page		11a		11b		11c		12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jaime Myers Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396867 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy Myers Date of Receipt Mailing Address 100 Tractor Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4395954 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Mfg Engrg Superintendent Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Bhaskar Nagaranthal Date of Receipt Mailing Address PO Box 504 29 11 2013 City State Zip Code Transaction ID: A2013-4396226 IL Joliet 60436 Amount of Each Receipt this Period

550.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

C

Occupation

Supply Chain Manager 4

Aggregate Year-to-Date ▼

50.00

FEC ID number of contributing

General

federal political committee.

Name of Employer

Primary

Caterpillar Inc.

Receipt For:

	FOF	R LINE	NU	MBER	:	PAGE	= 1	64 OF	2	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Randy Nash Date of Receipt Mailing Address 100 Tractor Drive 2013 29 City State Zip Code Transaction ID: A2013-4396475 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 71.84 federal political committee. Name of Employer Occupation **Engineering Technical Steward** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 779.95 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph Needham Date of Receipt Mailing Address 100 Tractor Drive 29 11 2013 City State Zip Code Transaction ID: A2013-4395837 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Planning Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Bruce Nelson Date of Receipt Mailing Address 9401 85Th Avenue North 29 11 2013 City Zip Code State Transaction ID: A2013-4396782 MN Brooklyn Park 55445 Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)		•		Ξ	Ī	7	Ī	Ī	7	Ī	176	6.69	
TOTAL This Period (last page this line number	only)	>	Г										

853.68

С

Occupation

Aggregate Year-to-Date ▼

Buyer C

79.85

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc.

Receipt For:

FOR LINE NUMBER: PAGE 165 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Walter Neubauer Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396650 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Competitive Analysis Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) B. Chau Nguyen Date of Receipt Mailing Address Attn: Administrative Assistant 11 29 2013 City State Zip Code Transaction ID: A2013-4396496 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 90.45 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 966.68 Other (specify) Full Name (Last, First, Middle Initial) c. David Nicoll Date of Receipt Mailing Address Frank Perkins Parkway 11 29 2013 City State Zip Code Transaction ID: A2013-4395975 77 Peterborough 00000 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Director Bus Proc Improvement Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 155.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 166 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Niemeier Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4395779 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 62.96 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 858.88 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Noe Date of Receipt Mailing Address Global Bank Building 11 29 2013 City State Zip Code Transaction ID: A2013-4396049 ZZ Panama 00000 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. HR Shared Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Dale Noel Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396504 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Director of Purchasing Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 112.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOR LINE NUMBER:	PAGE 1	67 OF 257
Use separate schedule(s)	(check only one)		
for each category of the Detailed Summary Page	X 11a 11b	11c	12
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kenneth K Nolen Date of Receipt Mailing Address 9250 Sky Park Court 30 2013 11 City State Zip Code Transaction ID: A2013-4384807 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Vice President Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Norlin Date of Receipt Mailing Address 100 Tractor Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4396037 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kurt Norris Date of Receipt Mailing Address Attn: Administrative Assistant 29 11 2013 City State Zip Code Transaction ID: A2013-4396377 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 86.86 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 880.65 Other (specify)

211.86

SUBTOTAL of Receipts This Page (optional).....

	FOR	R LINE	NU	IMBER	:	PAGE	1	68 OF	2	257
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Patricia O Connell Date of Receipt Mailing Address 27Th & Pershing Rd 2013 11 29 City State Zip Code Transaction ID: A2013-4396443 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Edwin O Neil Jr Date of Receipt Mailing Address 500 N Morton Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4396295 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 114.58 federal political committee. Name of Employer Occupation Caterpillar Inc. Mfg. Logistics & Transp. Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 1246.24 Other (specify) Full Name (Last, First, Middle Initial) c. Deborah O'Fallon Date of Receipt Mailing Address 12301 Old Galena Road 29 11 2013 City State Zip Code Transaction ID: A2013-4396849 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 214.58

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Douglas Oberhelman Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396259 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 0.00 federal political committee. Name of Employer Occupation CEO Chairman of the Board Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Wayne Ocker Date of Receipt Mailing Address 444 Birch Street 11 29 2013 City State Zip Code Transaction ID: A2013-4396806 WI Prentice 54556 Amount of Each Receipt this Period FEC ID number of contributing 36.64 federal political committee. Name of Employer Occupation Caterpillar Inc. Service Technical Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 286.64 Other (specify) Full Name (Last, First, Middle Initial) c. Carey Oden Date of Receipt Mailing Address 1012 4th Plaza 11 14 2013 City State Zip Code Transaction ID: A2013-4522815 AL Pleasant Grove 35127-2023 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Name of Employer Occupation Progress Rail Services Manager II, Plant Receipt For: Aggregate Year-to-Date ▼ Primary General

230.00

46.64

Other (specify)

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FOR LINE NUMBER: PAGE 170 OF 257

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	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee	
Α.	Full Name (Last, First, Middle Initial) Carey Oden Mailing Address 1012 4th Plaza			Date of Receipt
				11 28 2013
	City Pleasant Grove	State AL	Zip Code 35127-2023	Transaction ID : A2013-4384397 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		10.00
	Name of Employer	Occupation		
	Progress Rail Services	Manager II,	Plant	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 240.00	
_	Full Name (Last, First, Middle Initial)			
В.	Bradley Olson			Date of Receipt
	Mailing Address 13105 Northwest Freeway			11 29 2013
	City	State TX	Zip Code	Transaction ID : A2013-4396398
	Houston	1/	77040	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		88.12
	Name of Employer Caterpillar Inc.	Occupation Marketing M		
	Receipt For:		Year-to-Date ▼	
	Primary General Other (specify) ▼	/ iggi ogalo	964.19	
С .	Full Name (Last, First, Middle Initial) Dennis ORourke			Date of Receipt
	Mailing Address 1106 - 4 Shimizu			11 292013
	City Akashi	State ZZ	Zip Code 00000	Transaction ID : A2013-4395888 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		25.00
	Name of Employer	Occupation		
	Caterpillar Inc.	Product De	velopment Manager	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00	
s	UBTOTAL of Receipts This Page (optional)		•	123.12
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kelly Orr Date of Receipt Mailing Address 3701 State Road 26 East 2013 11 29 City State Zip Code Transaction ID: A2013-4395969 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation General Manager of Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Roberto Ortega Date of Receipt Mailing Address 501 Cardinal Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4396901 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Denver M Osborn Date of Receipt Mailing Address 100 N.E. Adams Street 30 11 2013 City State Zip Code Transaction ID: A2013-4384833 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional).....

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	Statements may not be sold or used by any personance name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Ledward Ostrowski		Date of Receipt
Mailing Address Attn: Administrative Assistan	t	11 292013 _
City	State Zip Code	Transaction ID : A2013-4396896
Aurora	IL 60507	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Manufacturing Engineer 3	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	
Full Name (Last, First, Middle Initial) Timothy Ouart Mailing Address 100 Tractor Drive		Date of Receipt
City	State 7in Code	11 29 2013
City East Peoria	State Zip Code IL 61630	Transaction ID : A2013-4396642
_		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	62.32
Name of Employer	Occupation	
Caterpillar Inc.	Quality Manager 2	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 677.45	
Full Name (Last, First, Middle Initial) C. Barrington Owens		Date of Receipt
Mailing Address 751 International Dr		11 29 2013
City	State Zip Code	Transaction ID : A2013-4396795
Franklin	IN 46131	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
Caterpillar Inc.	Product Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	220.00	
SUBTOTAL of Receipts This Page (optional)		107.32
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 173 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sue Papenhause Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396589 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Demand Mgr & Mktg Svcs Supv Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa Park Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396752 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Pension Inv. & Life Ins. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Stanley Parker Date of Receipt Mailing Address 4-H Park Road 11 29 2013 City State Zip Code Transaction ID: A2013-4395934 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. 6Sigma Black Belt 2-Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 145.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 174 OF 257

ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only one) 11c 12 13 14 15 16 17
Any information copied from such Reports and Stator for commercial purposes, other than using the na		
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	litical Action Committee	
Full Name (Last, First, Middle Initial) Robert Paternoga Mailing Address 12301 Old Galena Road City Mossville	State Zip Code IL 61552	Date of Receipt 11 29 2013 Transaction ID : A2013-4396684 Amount of Each Receipt this Period
Caterpillar Inc. Receipt For: Primary General Other (specify)	C Description Reman BU Mgr - Engines Aggregate Year-to-Date ▼ 275.00	25.00
Full Name (Last, First, Middle Initial) B. Leslie Paulson Mailing Address 501 SW Jefferson City	State Zip Code	Date of Receipt 11 29 2013
Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc.	IL 61629 Coccupation Tech Info Solutions Dept Mgr Aggregate Year-to-Date ▼ 330.00	Transaction ID : A2013-4396106 Amount of Each Receipt this Period 30.00
Caterpillar Inc.	State Zip Code TX 75020 C Occupation Facility Manager Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID : A2013-4396334 Amount of Each Receipt this Period 20.00
Primary General Other (specify) ▼ SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number on		75.00

	FOR	LINE	NU	MBER	:	PAGE	: 1	75 OF	2	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Randy Pedigo Date of Receipt Mailing Address 751 International Dr 2013 11 29 City State Zip Code Transaction ID: A2013-4396854 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 51.34 federal political committee. Name of Employer Occupation Group Manager 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 551.34 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas Pellette Date of Receipt Mailing Address 2200 Pacific Hwy 11 29 2013 City State Zip Code Transaction ID: A2013-4396700 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 625.00 federal political committee. Name of Employer Occupation Solar Turbines Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4375.00 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew Pendergrass Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4395851 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Talent Development Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 706.34

SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 176 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Audie Penn Date of Receipt Mailing Address 12301 Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396875 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Marco Perez Date of Receipt Mailing Address 100 N.E. Adams Street 30 11 2013 City State Zip Code Transaction ID: A2013-4384868 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 20.84 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 229.24 Other (specify) Full Name (Last, First, Middle Initial) c. Douglas Petterson Date of Receipt Mailing Address P O Box 999 11 29 2013 City Zip Code State Transaction ID: A2013-4396223 NC Clayton 27528 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 95.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NU	MBER:	PAGE	177 OF	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Brian Pfautsch Date of Receipt Mailing Address 12301 Old Galena Road 2013 29 City State Zip Code Transaction ID: A2013-4396439 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Reman BU Mgr - Engine Systems Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Todd Philbee Date of Receipt Mailing Address 2120 West End Avenue 11 29 2013 City State Zip Code Transaction ID: A2013-4396685 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 90.60 federal political committee. Name of Employer Occupation Caterpillar Inc. Mktg Center of Excellence Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 977.03 Other (specify) Full Name (Last, First, Middle Initial) c. David Phipps Date of Receipt Mailing Address 82 Sadovnicheskaya Street 29 11 2013 City Zip Code State Transaction ID: A2013-4396418 77 Moscow 11503 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation

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275.00

Special Assignment

Aggregate Year-to-Date ▼

Caterpillar Inc.

Primary

Other (specify)

General

Receipt For:

FOR LINE NUMBER: PAGE 178 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Poli	tical Action Committee	
Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) ▼ A	State Zip Code IL 61552 C ccupation upply Chain Performance Mgr 3 ggregate Year-to-Date ▼ 756.41	Date of Receipt 11 29 2013 Transaction ID: A2013-4396159 Amount of Each Receipt this Period 72.22
Decatur FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. St	State Zip Code IL 62526 C ccupation aff Acct II Cost / DS ggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396076 Amount of Each Receipt this Period 35.00
Bellevue FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. All Descript For:	State Zip Code WA 98006 C ccupation rea Manager ggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396021 Amount of Each Receipt this Period 139.95
SUBTOTAL of Receipts This Page (optional)	>	247.17
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FOR LINE NUMBER: PAGE 179 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Crystal Potthoff Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396036 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Rachel Potts Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396769 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Robert Powell Date of Receipt Mailing Address 10830 Hwy 174 11 14 2013 City State Zip Code Transaction ID: A2013-4522835 AL Odenville 35120 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP and Controller Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	MBER	:	PAGE	1	80 OF	2	257
Use separate schedule(s)	(che	ck only	or	ne)						
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Powell Date of Receipt Mailing Address 10830 Hwy 174 2013 11 28 City State Zip Code Transaction ID: A2013-4384417 Odenville AL 35120 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation VP and Controller **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Powers Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396425 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Platform Purchasing Manager A Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Richard Prendergast Date of Receipt Mailing Address Attn: Administrative Assistant 29 11 2013 City State Zip Code Transaction ID: A2013-4395816 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify)

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FOR LINE NUMBER: PAGE 181 OF 257

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Any information copied from such Reports and State or for commercial purposes, other than using the	atements may not be sold or used by any per name and address of any political committee	son for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	olitical Action Committee	
Full Name (Last, First, Middle Initial) Jonathan Pressler Mailing Address Building H Dock 13 City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Product Manager Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396239 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Jeffrey Pridgen Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TN 37203 C Occupation Global Underwriting Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4395879 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Marc Proud Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Product Service Engineer Aggregate Year-to-Date ▼ 835.17	Date of Receipt 11 29 2013 Transaction ID : A2013-4396633 Amount of Each Receipt this Period 76.62
SUBTOTAL of Receipts This Page (optional)	>	126.62
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FOR LINE NUMBER: PAGE 182 OF 257

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Any information copied from such Reports and St or for commercial purposes, other than using the	atements may not be sold or used by any per name and address of any political committee	son for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical Action Committee	
Full Name (Last, First, Middle Initial) William Purnell Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) General	State Zip Code IL 61629 C Occupation Corporate Counsel Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396890 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) James Quigg Jr Mailing Address Route 29 & Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Business Resource Manager Aggregate Year-to-Date ▼ 1138.95	Date of Receipt 11 29 2013 Transaction ID: A2013-4396144 Amount of Each Receipt this Period 104.37
Full Name (Last, First, Middle Initial) Jamero Rainey Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61552 C Occupation Special Assignment Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396874 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)	>	154.37
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FOR LINE NUMBER: PAGE 183 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Rainey Date of Receipt Mailing Address Attn: Administrative Assistant 2013 11 29 City State Zip Code Transaction ID: A2013-4396565 Aurora IL 60507 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Tech Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Ennodio Ramos Date of Receipt Mailing Address Frank Perkins Parkway 30 11 2013 City State Zip Code Transaction ID: A2013-4384871 ZZ Peterborough 00000 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Director IPS Mktg & Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 1630.00 Other (specify) Full Name (Last, First, Middle Initial) c. Edward Rapp Date of Receipt Mailing Address 7 Tractor Road 11 29 2013 City State Zip Code Transaction ID: A2013-4395781 77 Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General 4583.26 Other (specify) 451.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	IMBER	:	PAGE	1	84 OF	2	25
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Anthony Rassi Date of Receipt Mailing Address 7 Tractor Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396728 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 175.45 federal political committee. Name of Employer Occupation Multi-Site Purchasing Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 675.45 Other (specify) Full Name (Last, First, Middle Initial) B. Carol Rathman Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396611 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Keith Reber Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396252 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jeffrey Redmon Date of Receipt Mailing Address 14009 Old Galena Rd. 2013 11 29 City State Zip Code Transaction ID: A2013-4396369 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Engrg Talent & Competency Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Davis Reese Date of Receipt Mailing Address Route De Frontenex 76 11 29 2013 City State Zip Code Transaction ID: A2013-4395913 ZZ Geneva 6 01211 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) c. Eric Reiners Date of Receipt Mailing Address 14009 Old Galena Rd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396172 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General

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550.00

Other (specify)

FOR LINE NUMBER: PAGE 186 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Reinhart Date of Receipt Mailing Address 27Th & Pershing Rd 2013 11 29 City State Zip Code Transaction ID: A2013-4396545 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Manager-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Reinsma Date of Receipt Mailing Address Western Canada District 11 29 2013 City State Zip Code Transaction ID: A2013-4396670 ZZ Edmonton 00000 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Mining Regional Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Christopher Reitz Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396899 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 115.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Warren Reneau Date of Receipt Mailing Address 1100 Milwaukee Ave 2013 11 29 City Zip Code State Transaction ID: A2013-4396492 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 94.04 federal political committee. Name of Employer Occupation Caterpillar Inc. NPI Mfg Strategy Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 979.17 Other (specify) Full Name (Last, First, Middle Initial) **B.** David Rest Date of Receipt Mailing Address 2000 E Washington St 11 29 2013 City State Zip Code Transaction ID: A2013-4396199 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Learning/Recruiting Proc. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Steven Rich Date of Receipt Mailing Address 17th Floor China Life Tower 29 11 2013 City State Zip Code Transaction ID: A2013-4396511 ZZ Beijing 10002 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee.

139.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

250.00

Occupation

Product Manager

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

Caterpillar Inc.

Receipt For:

	FOR	R LINE	NU	MBER	:	PAGE	: 1	88 OF	2	257
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Richards Date of Receipt Mailing Address 701 Waterford Way 2013 11 29 City State Zip Code Transaction ID: A2013-4395894 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Manager Commercial Mining Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kenneth J Ridler Date of Receipt Mailing Address 9250 Sky Park Court 30 11 2013 City State Zip Code Transaction ID: A2013-4384846 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Solar Turbines Caterpillar Solar Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Steven Rieker Date of Receipt Mailing Address 300 Hamilton Blvd. 29 11 2013 City State Zip Code Transaction ID: A2013-4396134 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify)

75.00

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FOR LINE NUMBER: PAGE 189 OF 257

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	y information copied from such Reports and S for commercial purposes, other than using the													
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee											
Α.	Full Name (Last, First, Middle Initial) Gary Ringenberg Mailing Address 100 NE Adams			Date o	of Receip	ot 29	2013	Y						
	City Peoria	State IL	Zip Code 61629		Transaction ID : A2013-4396342 Amount of Each Receipt this Period									
	FEC ID number of contributing federal political committee.	С			7	7	91	.59						
	Name of Employer Caterpillar Inc. Receipt For: Primary General		evelopment Manager Year-to-Date ▼											
	Other (specify)		999.54											
В.	Full Name (Last, First, Middle Initial) James Rink			Date	of Receip	ot								
	Mailing Address P O Box 504	State	Zip Code	11	_	29	2013	Y						
	City Joliet	IL	60436		Transaction ID : A2013-4396483 Amount of Each Receipt this Period									
	FEC ID number of contributing federal political committee.	С		Amou	in or Eac	ar riccopt		.00						
	Name of Employer Caterpillar Inc.	Occupation Special Ass												
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 550.00											
<u> </u>	Full Name (Last, First, Middle Initial) Keith Roberson			Date	of Receip	ot								
	Mailing Address 100 NE Adams			M 11	M / D	29	2013	Y						
	City Peoria	State IL	Zip Code 61629			ID : A2013 h Receipt								
	FEC ID number of contributing federal political committee.	С			7	1	25	5.00						
	Name of Employer	Occupation		7										
	Caterpillar Inc.	Senior Corp	porate Counsel											
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00											
s	UBTOTAL of Receipts This Page (optional)			Е			166	.59						
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) William Roberts Date of Receipt Mailing Address 7 Tractor Road 2013 11 29 City Zip Code State Transaction ID: A2013-4396230 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Industry Region Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Robertson Date of Receipt Mailing Address 600 W Washington St 11 29 2013 City State Zip Code Transaction ID: A2013-4396871 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Process Control Engineer A Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Adam J Robinson Date of Receipt Mailing Address 9250 Sky Park Court 30 11 2013 City State Zip Code Transaction ID: A2013-4384858 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing 40.00 С federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify)

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FEC Schedule A (Form 3X) Rev. 02/2003

115.00

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew Rocke Date of Receipt Mailing Address 500 N Morton Ave 2013 11 29 City State Zip Code Transaction ID: A2013-4395952 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Logistics Engrg & Planning Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. David Roeder Date of Receipt Mailing Address 371 Whiteoak Road 14 11 2013 City State Zip Code Transaction ID: A2013-4522827 ΑL Albertville 35950-9331 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** SVP Eng & Track Serv Bus Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name (Last, First, Middle Initial) c. David Roeder Date of Receipt Mailing Address 371 Whiteoak Road 28 11 2013 City State Zip Code Transaction ID: A2013-4384409 AL Albertville 35950-9331 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation SVP Eng & Track Serv Bus Unit Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Heather Roeschley Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396807 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Org. Development Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Roethler Date of Receipt Mailing Address 501 SW Jefferson 11 29 2013 City State Zip Code Transaction ID: A2013-4396153 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 81.21 federal political committee. Name of Employer Occupation Caterpillar Inc. TIS Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 886.26 Other (specify) Full Name (Last, First, Middle Initial) c. William Rohner Date of Receipt Mailing Address 300 Hamilton Blvd. 29 11 2013 City State Zip Code Transaction ID: A2013-4396679 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 0.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify)

101.21

SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 193 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jeffery Rohr Date of Receipt Mailing Address 107 South Chase Blvd 2013 11 29 City State Zip Code Transaction ID: A2013-4395961 SC Fountain Inn 29644 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) Full Name (Last, First, Middle Initial) B. James Rooney Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4395989 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 2200.00 Other (specify) Full Name (Last, First, Middle Initial) c. Glenn Ross Date of Receipt Mailing Address 812 W. Washington St 11 29 2013 City State Zip Code Transaction ID: A2013-4396318 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 49.82 С federal political committee. Name of Employer Occupation Caterpillar Inc. IT Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 548.02 Other (specify) 284.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 194 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jeffrey Roszell Date of Receipt Mailing Address Attn: Administrative Assistant 2013 11 29 City State Zip Code Transaction ID: A2013-4396453 Aurora IL 60507 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. James Rous Date of Receipt Mailing Address 500 N Morton Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4396381 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 63.86 federal political committee. Name of Employer Occupation Caterpillar Inc. Inventory & Logistics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 702.46 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas Ruffner Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396073 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.93 С federal political committee. Name of Employer Occupation Caterpillar Inc. Technology Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 555.07 Other (specify) 139.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 195 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Lavona Russell Date of Receipt Mailing Address 2120 West End Avenue 2013 11 29 City Zip Code State Transaction ID: A2013-4396757 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Business Excellence Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mark Russell Date of Receipt Mailing Address 1595 Northridge Trace 11 14 2013 City State Zip Code Transaction ID: A2013-4522818 AL Albertville 35951-4266 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, ETS Administration Receipt For: Aggregate Year-to-Date ▼ Primary General 230.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mark Russell Date of Receipt Mailing Address 1595 Northridge Trace 28 11 2013 City State Zip Code Transaction ID: A2013-4384400 ΑL Albertville 35951-4266 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Name of Employer Occupation VP, ETS Administration Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 45.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOR LINE NUMBER: PAGE	196 OF 25	57
Use separate schedule(s)	(check only one)		
for each category of the Detailed Summary Page	X 11a 11b 11c	12	
	13 14 15	16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Thomas Russell Date of Receipt Mailing Address 6627 Butler Oaks Ct. 2013 11 City State Zip Code Transaction ID: A2013-4522832 TX 77389-2921 Spring Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Business Development Receipt For: Aggregate Year-to-Date ▼ Primary General 1150.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas Russell Date of Receipt Mailing Address 6627 Butler Oaks Ct. 28 11 2013 City State Zip Code Transaction ID: A2013-4384414 TX Spring 77389-2921 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Business Development Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brent Ruth Date of Receipt Mailing Address 100 Tractor Drive 29 11 2013 City State Zip Code Transaction ID: A2013-4396844 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Process Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 197 OF 257

IT	EMIZED RECEIPTS		for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17					
	ry information copied from such Reports and S for commercial purposes, other than using the			erson for the purpose of soliciting contributions to solicit contributions from such committee.					
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Ad	ction Committee						
Α.	Full Name (Last, First, Middle Initial) Steven Ryan Mailing Address 100 NE Adams City	State	Zip Code	Date of Receipt 11 29 2013					
	Peoria	IL	61629	Transaction ID : A2013-4396900 Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	С		25.00					
	Name of Employer	Occupation							
	Caterpillar Inc.	Industry Re	presentative						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00						
В.	Full Name (Last, First, Middle Initial) Brian Sage			Date of Receipt					
	Mailing Address 12301 Old Galena Road			11 29 2013					
	City	State	Zip Code	Transaction ID : A2013-4396886					
	Mossville	IL .	61552	Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	С		99.96					
	Name of Employer Caterpillar Inc.	Occupation Special Assi	inn m ant						
	Receipt For:	<u> </u>							
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1073.79						
С .	Full Name (Last, First, Middle Initial) Jason Sage			Date of Receipt					
	Mailing Address 12301 Old Galena Road			11 29 2013					
	City	State	Zip Code	Transaction ID : A2013-4396058					
	Mossville	IL	61552	Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	С		50.00					
	Name of Employer	Occupation							
	Caterpillar Inc.	Div HR Sur	Chain & Qual Mgr						
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 550.00						
H	UBTOTAL of Receipts This Page (optional) OTAL This Period (last page this line number			174.96					

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	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full)	· · · · · · · · · · · · · · · · · · ·	
The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) A. Matthew Sager		Date of Receipt
Mailing Address 12301 Old Galena Road		11 29 2013
City	State Zip Code	Transaction ID : A2013-4396213
Mossville	IL 61552	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	40.00
Name of Employer	Occupation	
Caterpillar Inc.	Lead Human Resource Mgr	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	440.00	
Full Name (Last, First, Middle Initial) Genda Saletzki	Date of Receipt	
Mailing Address 501 SW Jefferson		11 29 2013
City	State Zip Code	Transaction ID : A2013-4396444
Peoria	IL 61629	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	143.65
Name of Employer	Occupation	
Caterpillar Inc.	General Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1567.58	
Full Name (Last, First, Middle Initial) C. Marcos Sallowicz		Date of Receipt
Mailing Address 701 Waterford Way		M M / D D / Y Y Y Y
		11 29 2013
City	State Zip Code	Transaction ID : A2013-4396651
Miami	FL 33126	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Caterpillar Inc.	Distribution Svcs Reg Mgr	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	350.00	
United (Specify) ▼	330.00	
SUBTOTAL of Receipts This Page (optional)		283.65
TOTAL This Period (last page this line number	er only)	

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Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Jeffrey Sampson Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code TN 37203 C Occupation Remarketing Sales Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395946 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Evelyn Savage Mailing Address 2471 S. Broad Street City Albertville FEC ID number of contributing federal political committee. Name of Employer Progress Rail Services Receipt For: Primary General Other (specify)	State Zip Code AL 35950-8809 C Occupation VP/COO Loco & Railcar Service Aggregate Year-to-Date ▼ 460.00	Date of Receipt 11 14 2013 Transaction ID: A2013-4522831 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Evelyn Savage Mailing Address 2471 S. Broad Street City Albertville FEC ID number of contributing federal political committee. Name of Employer Progress Rail Services Receipt For: Primary General Other (specify)	State Zip Code AL 35950-8809 C Occupation VP/COO Loco & Railcar Service Aggregate Year-to-Date ▼ 480.00	Date of Receipt 11 28 2013 Transaction ID : A2013-4384413 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)		65.00
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 200 OF 257

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	y information copied from such Reports and Si for commercial purposes, other than using the					solicitin		tions			
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee								
Α.	Full Name (Last, First, Middle Initial) Corey Schaer Mailing Address 330 Sw Adams				Date of Receipt						
	City Peoria	State IL	Zip Code 61629		11 29 2013 Transaction ID : A2013-4396894 Amount of Each Receipt this Period						
	FEC ID number of contributing federal political committee.			7	,	25	.00				
	Name of Employer Caterpillar Inc. Receipt For:	Occupation 6 Sigma Bla									
	Primary General Other (specify) ▼	99.03	275.00								
В.	Full Name (Last, First, Middle Initial) Jack Schebo			Date o	f Receipt						
	Mailing Address 1325 Wellington Way City	State	Zip Code	11	11 14 2013 Transaction ID : A2013-4522824						
	Liberty	MO	64068-3221	Amount of Each Receipt this Period							
	FEC ID number of contributing federal political committee.	С					30	.59			
	Name of Employer Progress Rail Services	Occupation Manager I, I									
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 703.57								
С.				Date o	f Receipt						
	Mailing Address 1325 Wellington Way			11	28		2013	Y			
	City Liberty	State MO	Zip Code 64068-3221		saction ID at of Each F						
	FEC ID number of contributing federal political committee.	С			7	,	30	.59			
	Name of Employer	Occupation									
	Progress Rail Services	Manager I,	Project								
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 734.16								
s	UBTOTAL of Receipts This Page (optional)		>				86.	18			
T	OTAL This Period (last page this line number of	only)									

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Avery Schick Date of Receipt Mailing Address 441 Carlingview Drive 2013 11 29 City State Zip Code Transaction ID: A2013-4395850 ZZ Etobicoke 00000 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kyle Scholl Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396341 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Scott Schryver Date of Receipt Mailing Address 5801 N. Smith Road 29 11 2013 City State Zip Code Transaction ID: A2013-4395941 IL Edwards 61528 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 202 OF 257

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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	•	
,	State Zip Code L 61552	Date of Receipt 11 29 2013 Transaction ID : A2013-4396432 Amount of Each Receipt this Period
Caterpillar Inc. Eng	cupation gineering Manager-3 gregate Year-to-Date ▼ 440.00	40.00
Full Name (Last, First, Middle Initial) B. Edward Scott Mailing Address 100 NE Adams		Date of Receipt 11 29 2013
Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Cor	Cotate Zip Code L 61629 Cupation Proprate Treasurer gregate Year-to-Date ▼ 3128.85	Amount of Each Receipt this Period 416.67
Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Ag	State Zip Code IL 61629 cupation ad Human Resources Mgr gregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4395787 Amount of Each Receipt this Period
Primary General Other (specify) ▼ SUBTOTAL of Receipts This Page (optional)		556.67

FOR LINE NUMBER: PAGE 203 OF 257

IT	EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17						
	ny information copied from such Reports and S for commercial purposes, other than using the									
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee							
Α.	Full Name (Last, First, Middle Initial) Brent Selburg Mailing Address 100 Ne Adams			Date of Receipt 11 29 2013						
	City Peoria	State IL	Zip Code 61629	Transaction ID : A2013-4396045 Amount of Each Receipt this Period						
	FEC ID number of contributing federal political committee.	С		50.00						
	Name of Employer Caterpillar Inc. Receipt For: Primary General	Occupation Parts Opera Aggregate								
	Other (specify)		300.00							
В.	Full Name (Last, First, Middle Initial) Scott Shaffer			Date of Receipt						
	Mailing Address 27Th & Pershing Rd City	State	Zip Code	11 29 2013 Transaction ID : A2013-4396484						
	Decatur	IL	62526	Amount of Each Receipt this Period						
	FEC ID number of contributing federal political committee.	С		25.00						
	Name of Employer Caterpillar Inc.	Occupation Engineering								
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00							
<u>с</u> .	Full Name (Last, First, Middle Initial) William Shaner			Date of Receipt						
	Mailing Address 300 Hamilton Blvd.			11 29 2013						
	City Peoria	State IL	Zip Code 61629-3800	Transaction ID : A2013-4396632 Amount of Each Receipt this Period						
	FEC ID number of contributing federal political committee.	С		20.00						
	Name of Employer	Occupation								
	Caterpillar Inc.	Senior Proj	ect Engineer							
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 220.00							
S	SUBTOTAL of Receipts This Page (optional)		•	95.00						
	OTAL This Period (last page this line number	only)								

FOR LINE NUMBER: PAGE 204 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Phillip Shankwitz Date of Receipt Mailing Address 27Th & Pershing Rd 2013 11 29 City State Zip Code Transaction ID: A2013-4396303 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 156.70 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1668.32 Other (specify) Full Name (Last, First, Middle Initial) B. David Shannon Date of Receipt Mailing Address 111 Southchase Blvd 11 29 2013 City State Zip Code Transaction ID: A2013-4395835 SC Fountain Inn 29644 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brad Shapland Date of Receipt Mailing Address 812 W. Washington St 11 29 2013 City State Zip Code Transaction ID: A2013-4396146 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 69.65 С federal political committee. Name of Employer Occupation Caterpillar Inc. IT Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 728.34 Other (specify) 276.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 205 OF 257

IT _	EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check or X 11a 13	nly one) 111 14	-	1c 5	12 16	17		
	ny information copied from such Reports and S for commercial purposes, other than using the										
	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P	olitical A	ction Committee								
Α.	Full Name (Last, First, Middle Initial) Robert Sharp Mailing Address 501 Cardinal Drive				Date of Receipt 11 29 2013 Transaction ID: A2013-4396617 Amount of Each Receipt this Period						
	Corinth	State MS	Zip Code 38834								
	FEC ID number of contributing federal political committee.	С			-		7	73.	33		
	Name of Employer Caterpillar Inc. Receipt For:		ing Project Engr 1 Year-to-Date ▼								
	Primary General Other (specify) ▼	Aggregate	799.19								
В.	Full Name (Last, First, Middle Initial) Ernest Shaw			Date	of Receip	ot					
	Mailing Address 501 SW Jefferson	01-1-	7's Oads	11	11 29 2013 Transaction ID : A2013-4395882						
	City Peoria	State IL	Zip Code 61629		isaction nt of Ead						
	FEC ID number of contributing federal political committee.	С	1	Amou	III OI Lac	ii necei	pt triis	25.	00		
	Name of Employer Caterpillar Inc.	Occupation Parts Inform	nation Project Ldr								
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00								
<u>с</u> .	Full Name (Last, First, Middle Initial) Scott Shepherd			Date	of Receip	ot					
	Mailing Address 12301 Old Galena Road			M 11		29		2013	Y		
	City Mossville	State IL	Zip Code 61552		nsaction nt of Ead						
	FEC ID number of contributing federal political committee.	С			7		,	75.	.00		
	Name of Employer	Occupation									
	Caterpillar Inc. Receipt For:	Director of I									
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 825.00								
s	UBTOTAL of Receipts This Page (optional)		•					173.	33		
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Receipt For:

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Primary

Other (specify)

General

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

	FOR	LINE N	JU	MBER	PAGE 206 OF				257	
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
	I □.	13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Barry Shook Date of Receipt Mailing Address 812 W. Washington St 2013 11 29 City State Zip Code Transaction ID: A2013-4395983 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 41.66 federal political committee. Name of Employer Occupation Solution Lead I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 458.26 Other (specify) Full Name (Last, First, Middle Initial) B. Paula Shook Date of Receipt Mailing Address 812 W. Washington St 11 29 2013 City State Zip Code Transaction ID: A2013-4396256 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 169.89 federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 1719.44 Other (specify) Full Name (Last, First, Middle Initial) c. Rodney Shurman Date of Receipt Mailing Address 560 Rehoboth Road 11 29 2013 Zip Code City State Transaction ID: A2013-4396151 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 193.74 federal political committee. Name of Employer Occupation Product Manager Caterpillar Inc.

SUBTOTAL of Receipts This Page (optional)			7	Ξ		- 5	Ξ	4	105.2	9	
TOTAL This Period (last page this line number only)	_	_	7	_	_	- 1	_	_	_		<u>_</u>

Aggregate Year-to-Date ▼

2050.98

FOR LINE NUMBER: PAGE 207 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Sibley Date of Receipt Mailing Address Route 29 & Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396385 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 200.19 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2136.91 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy Sidles Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396575 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Chad Siebenaler Date of Receipt Mailing Address 600 W Washington St 11 29 2013 City State Zip Code Transaction ID: A2013-4395968 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) 255.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

federal political committee.

	FOF	R LINE	NU	MBER	:	PAGE	2	08 OF	2	257
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Thomas Simmons Date of Receipt Mailing Address 12301 Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396092 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Quality Manager 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) B. Chidambaram Singanallur Date of Receipt Mailing Address 100 Tractor Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4395852 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing

Name of Employer Occupation Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Dennis Skarvan Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4395953 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 276.99 federal political committee. Name of Employer Occupation Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2887.93 Other (specify) 341.99

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

25.00

FOR LINE NUMBER: PAGE 209 OF 257

ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports and or for commercial purposes, other than using			erson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political A	ction Committee	
Full Name (Last, First, Middle Initial) A. Maureen Sluga Mailing Address 560 Rehoboth Road City	State	Zip Code	Date of Receipt 11 29 2013 Transaction ID: A2013-4396247
Griffin FEC ID number of contributing federal political committee.	GA	30224	Amount of Each Receipt this Period 64.75
Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Marketing N Aggregate		
Full Name (Last, First, Middle Initial) B. Richard Smathers Mailing Address 100 S. L. White Blvd.	<u> </u>		Date of Receipt 11 29 2013
City La Grange FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: □ Primary □ General Other (specify) ▼	State GA C Occupation NPI Prograt Aggregate		Transaction ID: A2013-4396187 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Aaron Smith Mailing Address 14009 N Old Galena Rd City Mossville	State IL	Zip Code 61552	Date of Receipt 11 29 2013 Transaction ID: A2013-4396387 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼		ment Manager B Year-to-Date ▼ 220.00	20.00
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line numb		•	109.75

	FOR LINE NUMBER:	PAGE 210 OF	257
Use separate schedule(s)	(check only one)		
for each category of the Detailed Summary Page	X 11a 11b	11c 12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Adam Smith Date of Receipt Mailing Address 2120 West End Avenue 2013 11 29 City State Zip Code Transaction ID: A2013-4396246 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. H. Smith Date of Receipt Mailing Address 424 W. 6th Avenue 14 11 2013 City State Zip Code Transaction ID: A2013-4522834 WV Huntington 25701-1722 Amount of Each Receipt this Period FEC ID number of contributing 38.06 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Recycling Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 875.38 Other (specify) Full Name (Last, First, Middle Initial) c. H. Smith Date of Receipt Mailing Address 424 W. 6th Avenue 28 11 2013 City Zip Code State Transaction ID: A2013-4384416 WV Huntington 25701-1722 Amount of Each Receipt this Period FEC ID number of contributing 38.06 С federal political committee. Name of Employer Occupation VP, Recycling Operations Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 913.44 Other (specify)

126.12

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FOR LINE NUMBER: PAGE 211 OF 257

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Any information copied from such Reports and Sta or for commercial purposes, other than using the n	tements may not be sold or used by any per ame and address of any political committee	son for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	litical Action Committee	
Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code IN 47905 C Occupation Engineering Manager-1 Aggregate Year-to-Date ▼ 440.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396195 Amount of Each Receipt this Period 40.00
Cataurillan Ina	State Zip Code IL 62525 C Occupation Manufacturing Engineer 3 Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396097 Amount of Each Receipt this Period 25.00
• •	State Zip Code TX 76052-5130 C Occupation VP, Intermodal Operation Aggregate Year-to-Date ▼ 460.00	Date of Receipt 11 14 2013 Transaction ID: A2013-4522836 Amount of Each Receipt this Period 20.00
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jose Soriano Date of Receipt Mailing Address 701 Lonesome Trail 2013 11 28 City State Zip Code Transaction ID: A2013-4384418 TX Haslet 76052-5130 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Intermodal Operation **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kristine Spencer Date of Receipt Mailing Address Rodovia Luiz De Queiroz Km 157 11 29 2013 City State Zip Code Transaction ID: A2013-4396372 Piracicaba ZZ 13420 Amount of Each Receipt this Period FEC ID number of contributing 85.96 federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 936.83 Other (specify) Full Name (Last, First, Middle Initial) **c.** Michael Spielman Date of Receipt Mailing Address Attn: Administrative Assistant 29 11 2013 City State Zip Code Transaction ID: A2013-4395869 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify)

130.96

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kathryn Spitznagle Date of Receipt Mailing Address 100 NE Adams 2013 City State Zip Code Transaction ID: A2013-4396262 IL 61629 Peoria Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Spitznagle Date of Receipt Mailing Address 560 Rehoboth Road 2013

City	State Zip Code	Transaction ID : A2013-4396581
Griffin	GA 30224	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	33.64
Name of Employer Caterpillar Inc.	Occupation Sales Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 351.25	
C. Michael Sposato Mailing Address 100 Ne Adams		Date of Receipt
City Peoria	State Zip Code IL 61629	11 29 2013 Transaction ID : A2013-4395939 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	25.00
Name of Employer	Occupation	
Caterpillar Inc.	Deputy General Counsel	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 507.08	

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TOTAL This Period (last page this line number only).....

78.64

FOR LINE NUMBER: PAGE 214 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Laine Spruiell Date of Receipt Mailing Address 313 Copeland Dr. 2013 11 City State Zip Code Transaction ID: A2013-4522828 Boaz AL 35956-5449 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Controller, International Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Laine Spruiell Date of Receipt Mailing Address 313 Copeland Dr. 11 28 2013 City State Zip Code Transaction ID: A2013-4384410 AL Boaz 35956-5449 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Controller, International Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mary Stanbary Date of Receipt Mailing Address 100 Tractor Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4396216 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 59.04 federal political committee. Name of Employer Occupation Caterpillar Inc. **Employee Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 608.91 Other (specify) 99.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 215 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Stanley Date of Receipt Mailing Address Route 29 & Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396803 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 86.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Supervisor 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 934.87 Other (specify) Full Name (Last, First, Middle Initial) B. Frank Starke Date of Receipt Mailing Address 3701 State Road 26 East 11 29 2013 City State Zip Code Transaction ID: A2013-4396699 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 95.87 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.51 Other (specify) Full Name (Last, First, Middle Initial) c. James Starr Date of Receipt Mailing Address 6744 S Howell Ave 11 29 2013 City Zip Code State Transaction ID: A2013-4395999 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 231.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	MBER	:	PAGE	2	16 OF	2	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jeffrey Steele Date of Receipt Mailing Address 3501 South FM Hwy 1417 2013 11 29 City State Zip Code Transaction ID: A2013-4396911 TX 75020 Denison Amount of Each Receipt this Period FEC ID number of contributing C 52.36 federal political committee. Name of Employer Occupation Material Req Plng Supv 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 704.60 Other (specify) Full Name (Last, First, Middle Initial) **B.** Theodore Steidinger Date of Receipt Mailing Address 4-H Park Road 11 29 2013 City State Zip Code Transaction ID: A2013-4395960 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 90.05 federal political committee. Name of Employer Occupation Caterpillar Inc. 6 Sigma Black Belt-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 340.05 Other (specify) Full Name (Last, First, Middle Initial) c. Scott Sterton Date of Receipt Mailing Address 3535 Factoria Blvd. SE 11 29 2013 Zip Code City State Transaction ID: A2013-4396006 WA Bellevue 98006 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Senior Regional Insurance Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify)

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Any information copied from such Reports and S or for commercial purposes, other than using the	tatements may not be sold or used by any personance name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Brad Stevenson Mailing Address 100 NE Adams		Date of Receipt 1.1 29 2013
City Peoria	State Zip Code IL 61629	Transaction ID : A2013-4396264 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	115.40
Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation EHS Manager I Aggregate Year-to-Date ▼ 1201.92	
Full Name (Last, First, Middle Initial) Daniel Stewart Mailing Address Suite 750		Date of Receipt 11 29 2013
City Washington	State Zip Code DC 20006	Transaction ID : A2013-4396686 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	20.00
Name of Employer Caterpillar Inc.	Occupation International Export Credit Mg	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) C. Leonard Stewart		Date of Receipt
Mailing Address 17th Floor China Life Tower		11 29 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Beijing	State Zip Code ZZ 10002	Transaction ID : A2013-4396690 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
Caterpillar Inc. Receipt For: Primary General Other (specify)	Corporate Counsel Aggregate Year-to-Date ▼ 220.00	
SUBTOTAL of Receipts This Page (optional)		155.40
TOTAL This Period (last page this line number	only)	

	FC)R	LINE	NU	MBER	:	PAGE	2	18 OF	- 2	257
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for each category of the Detailed Summary Page	>	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sally Stiles Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396582 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 244.31 federal political committee. Name of Employer Occupation Dir. of Tax & Trade Operations Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2237.15 Other (specify) Full Name (Last, First, Middle Initial) B. Jennifer Stokes Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396743 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Rental/Used Equipment Cnslt Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kevin Stoller Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396101 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Corporate Cash Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 294.31 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 219 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Brian Stone Date of Receipt Mailing Address 1100 Milwaukee Ave 2013 11 29 City Zip Code State Transaction ID: A2013-4396732 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 96.58 federal political committee. Name of Employer Occupation Group Manager 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1009.62 Other (specify) Full Name (Last, First, Middle Initial) B. Casey Stone Date of Receipt Mailing Address 1100 Milwaukee Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4396862 South Milwaukee WI 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Supervisor 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Janet Storms Date of Receipt Mailing Address 501 SW Jefferson 11 29 2013 City State Zip Code Transaction ID: A2013-4396465 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 57.07 federal political committee. Name of Employer Occupation Caterpillar Inc. Change Management Coach Receipt For: Aggregate Year-to-Date ▼ Primary General 767.62 Other (specify) 178.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FO	R LINE	NU	MBER	:	PAGE	2	20 OF	257
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Detailed Summary Page	[×	11a		11b		11c		12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Stratton Date of Receipt Mailing Address Avenida Transformacion #545 2013 11 29 City Zip Code State Transaction ID: A2013-4396509 ZZ Nuevo Laredo 88275 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation General Manager Reman Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Strong Date of Receipt Mailing Address Rodovia Luiz De Queiroz Km 157 11 29 2013 City State Zip Code Transaction ID: A2013-4396229 Piracicaba ZZ 13420 Amount of Each Receipt this Period FEC ID number of contributing 201.85 federal political committee. Name of Employer Occupation Caterpillar Inc. Technical Services Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 2102.30 Other (specify) Full Name (Last, First, Middle Initial) **c.** Robert Stuart Date of Receipt Mailing Address 100 NE Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396834 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Benefit Vndr Mgr w/ Plan Desig Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 276.85 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER: PA	GE 221 OF 257
Use separate schedule(s) for each category of the	(check only one)	
Detailed Summary Page	X 11a 11b 11c	12
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	Statements may not be sold or used by any person and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Xiaobo Sun		Date of Receipt
Mailing Address No.5. Xin Qing South Road		11 29 2013 -
City	State Zip Code	Transaction ID : A2013-4396869
Wuxi	ZZ 21402	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Caterpillar Inc.	T&SD Director	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	550.00	
Full Name (Last, First, Middle Initial) 3. Daniel Sundell		Date of Receipt
Mailing Address Falkensteiner Strasse 2		11 292013
City	State Zip Code	Transaction ID : A2013-4396488
Kiel	ZZ 24159	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	112.91
Name of Employer	Occupation	
Caterpillar Inc.	General Manager-KEC	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1187.26	
Full Name (Last, First, Middle Initial) C. Robert E Sutherland		Date of Receipt
Mailing Address 100 N.E. Adams Street		M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City	State Zip Code	Transaction ID : A2013-4384834
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Solar Turbines	Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	275.00	
SUBTOTAL of Receipts This Page (optional)		187.91
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 222 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Janice S Sutton Date of Receipt Mailing Address 9250 Sky Park Court 30 2013 11 City State Zip Code Transaction ID: A2013-4384810 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Solar Turbines General Mgr, Human Resources Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Swanson Date of Receipt Mailing Address 501 SW Jefferson 11 29 2013 City State Zip Code Transaction ID: A2013-4396203 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 43.60 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior IT Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 582.45 Other (specify) Full Name (Last, First, Middle Initial) c. Rodney Swenson Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396382 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 108.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR	R LINE	NU	IMBER	:	PAGE	2	23 OF	2	257
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Johnny Tackett Date of Receipt Mailing Address 1720 Kingsbury 2013 11 29 City State Zip Code Transaction ID: A2013-4396605 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Logistics Manager 4 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Taphorn Date of Receipt Mailing Address 100 Ne Adams 29 11 2013 City State Zip Code Transaction ID: A2013-4396772 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 98.26 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1064.09 Other (specify) Full Name (Last, First, Middle Initial) c. Larry Tate Date of Receipt Mailing Address 100 Tractor Drive 29 11 2013 City State Zip Code Transaction ID: A2013-4396401 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 500.00 federal political committee. Name of Employer Occupation Product Manager Caterpillar Inc.

SUBTOTAL of Receipts This Page (optional)			7	I	Ī	7	I	62	23.26	
TOTAL This Period (last page this line number only)			7		_	7		Ξ	_	

4500.00

Aggregate Year-to-Date ▼

Receipt For:

Primary

Other (specify)

General

	FOR LINE NUMBER: PAGE 224	OF 257
Use separate schedule(s)	(check only one)	
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	13 14 15 10	a 1 7

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Stephen Taylor Date of Receipt Mailing Address 12301 Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396895 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kenneth terHorst Date of Receipt Mailing Address 100 N.E. Adams Street 30 11 2013 City State Zip Code Transaction ID: A2013-4384855 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Daniel Terpstra Date of Receipt Mailing Address 500 N Morton Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4396168 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation

SUBTOTAL of Receipts This Page (optional)		Ξ	7	Ξ	Ξ	7	Ξ	10	00.00	
TOTAL This Period (last page this line number only)		_	7	_		7	_		_	

550.00

Special Assignment

Aggregate Year-to-Date ▼

Caterpillar Inc.

Primary

Other (specify)

General

Receipt For:

FOR LINE NUMBER: PAGE 225 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kristn Theisen Date of Receipt Mailing Address 100 Tractor Drive 2013 11 29 City State Zip Code Transaction ID: A2013-4396039 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Process Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin Thieneman Date of Receipt Mailing Address 100 S. L. White Blvd. 11 29 2013 City State Zip Code Transaction ID: A2013-4395872 GA La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) c. Heather Thomas Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4395823 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Communications Rep - 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	IMBER	:	PAGE	2	26 OF	= :	25
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Mark Thompson		Date of Receipt
Mailing Address 100 NE Adams		11 29 2013
City Peoria	State Zip Code IL 61629	Transaction ID : A2013-4396142 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer Caterpillar Inc.	Occupation Sr. Business Resource Manager	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 550.00	
Full Name (Last, First, Middle Initial) Robert Thompson	•	Date of Receipt
Mailing Address 2120 West End Avenue		11 29 2013
City Nashville	State Zip Code TN 37203	Transaction ID : A2013-4396667 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	325.00
Name of Employer Caterpillar Inc.	Occupation President Insurance Services	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 3575.00	
Full Name (Last, First, Middle Initial) C. Steven Tillotson	•	Date of Receipt
Mailing Address 12301 Old Galena Road		11 29 _ 2013 _
City Mossville	State Zip Code IL 61552	Transaction ID : A2013-4396183 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	150.11
Name of Employer Caterpillar Inc.	Occupation CPGS Projects Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1534.11	
SUBTOTAL of Receipts This Page (optional).		525.11
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 227 OF 257

ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17
	Statements may not be sold or used by any pene name and address of any political committee	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) A. Bradley Tilly Mailing Address 300 Hamilton Blvd. City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629-3800 C Occupation Manager Commercial Mining Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4395858 Amount of Each Receipt this Period 72.93
Full Name (Last, First, Middle Initial) B. James Tilson Mailing Address 12301 Old Galena Road		Date of Receipt
City Mossville FEC ID number of contributing federal political committee.	State Zip Code IL 61552	Transaction ID: A2013-4395958 Amount of Each Receipt this Period 20.00
Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Sr. Technologist - Design Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) C. Cindy Tinsley Mailing Address 2120 West End Avenue		Date of Receipt 11 29 2013
City Nashville FEC ID number of contributing	State Zip Code TN 37203	Transaction ID : A2013-4396220 Amount of Each Receipt this Period 30.00
federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	Occupation Business Analyst III Aggregate Year-to-Date ▼ 330.00	
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line numbe		122.93

FOR LINE NUMBER: PAGE 228 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)
Any information copied from such Reports and Statements m or for commercial purposes, other than using the name and a		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political A	ction Committee	
Possint For:	Zip Code 53172-0500 n al Manager Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4395935 Amount of Each Receipt this Period 25.00
Pagaint For:	Zip Code 61629 n /elopment Manager • Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396384 Amount of Each Receipt this Period 25.00
Pagaint For:	Zip Code 21502 am Manager Year-to-Date ▼ 832.06	Date of Receipt 11 29 2013 Transaction ID: A2013-4396196 Amount of Each Receipt this Period 76.25
SUBTOTAL of Receipts This Page (optional)	•	126.25

FOR LINE NUMBER: PAGE 229 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Susan Toher Date of Receipt Mailing Address 4-H Park Road 2013 11 29 City State Zip Code Transaction ID: A2013-4395931 Pontiac IL 61764 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Operations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1600.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Gregory Towles Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396267 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Market Professional Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Randal Towles Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396354 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Executive Compensation Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 145.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 230 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Andrew Trent Date of Receipt Mailing Address Level 22 300 Adelaide Street 2013 11 29 City Zip Code State Transaction ID: A2013-4395924 ZZ Brisbane 00000 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey Tull Date of Receipt Mailing Address 3701 State Road 26 East 11 29 2013 City State Zip Code Transaction ID: A2013-4396551 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 41.93 federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 535.46 Other (specify) Full Name (Last, First, Middle Initial) c. Trent Turner Date of Receipt Mailing Address 444 Birch Street 11 29 2013 City Zip Code State Transaction ID: A2013-4396876 WI Prentice 54556 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 96.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	MBER	:	PAGE	2	31 OF	257
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Beth Tyre Date of Receipt Mailing Address 100 Tractor Drive 2013 29 City State Zip Code Transaction ID: A2013-4396613 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Human Resources Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Tyson Date of Receipt Mailing Address 13105 Northwest Freeway 11 29 2013 City State Zip Code Transaction ID: A2013-4396066 TX Houston 77040 Amount of Each Receipt this Period FEC ID number of contributing 72.03 federal political committee. Name of Employer Occupation Caterpillar Inc. Technical Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 788.13 Other (specify)

Full Name (Last, First, Middle Initial) C. Gary Uken		Date of Receipt
Mailing Address 100 Tractor Drive		11 29 2013
City	State Zip Code	Transaction ID : A2013-4395893
East Peoria	IL 61630	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
Caterpillar Inc.	Director of Engineering	
Receipt For:	Aggregate Year-to-Date ▼	

220.00

Primary

Other (specify)

General

C

FOR LINE NUMBER: PAGE 232 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mary Umholtz Date of Receipt Mailing Address 100 NE Adams 2013 11 29 City State Zip Code Transaction ID: A2013-4396877 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 43.39 federal political committee. Name of Employer Occupation Caterpillar Inc. Paralegal II Receipt For: Aggregate Year-to-Date ▼ Primary General 452.21 Other (specify) Full Name (Last, First, Middle Initial) B. Donald Umpleby III Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4395956 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 625.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼ Primary General 4375.00 Other (specify) Full Name (Last, First, Middle Initial) c. Jonathon Underwood Date of Receipt Mailing Address 100 Tractor Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4396816 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 693.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 233 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Unes Date of Receipt Mailing Address 300 Hamilton Blvd. 2013 11 29 City State Zip Code Transaction ID: A2013-4396364 Peoria IL 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Mining Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Tana Utley Date of Receipt Mailing Address Frank Perkins Parkway 11 29 2013 City State Zip Code Transaction ID: A2013-4396392 ZZ Peterborough 00000 Amount of Each Receipt this Period FEC ID number of contributing 454.55 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4962.50 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas Van Kley Date of Receipt Mailing Address 500 N Morton Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4395841 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 529.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 234 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Joseph Van Wassenhove Date of Receipt Mailing Address 501 SW Jefferson 2013 11 29 City State Zip Code Transaction ID: A2013-4396254 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 87.17 federal political committee. Name of Employer Occupation Caterpillar Inc. Parts Pricing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 946.39 Other (specify) Full Name (Last, First, Middle Initial) B. Terry Vance Date of Receipt Mailing Address 300 Hamilton Blvd. 11 29 2013 City State Zip Code Transaction ID: A2013-4396681 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Timothy Vande Schraaf Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396099 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 37.97 С federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. IT Analyst - Applications Receipt For: Aggregate Year-to-Date ▼ Primary General 287.97 Other (specify) 145.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 235 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Darren Vandermolen Date of Receipt Mailing Address Prestige Solitaire 2013 11 29 City Zip Code State Transaction ID: A2013-4396019 ZZ Bangalore 56002 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mark Vannette Date of Receipt Mailing Address 100 Tractor Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4396682 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Gina Vassallo Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4395938 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Process Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 236 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Dennis Veigelt Date of Receipt Mailing Address 202 Cardinal Dr 2013 11 29 City Zip Code State Transaction ID: A2013-4396077 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 57.34 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 307.34 Other (specify) Full Name (Last, First, Middle Initial) B. Sheryl Velasquez Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396641 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brandon Velde Date of Receipt Mailing Address 500 N. Morton Ave. 11 29 2013 City State Zip Code Transaction ID: A2013-4395971 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 26.02 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Technology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 282.83 Other (specify) 108.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR	LINE	NU	MBER	:	PAGE	: 2	37 OF	2	257
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Verheyen Date of Receipt Mailing Address 12301 Old Galena Road 2013 11 29 City State Zip Code Transaction ID: A2013-4396300 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Annette R Verpraet Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396040 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Division Communications Lead** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Iris K Villela Date of Receipt Mailing Address 9250 Sky Park Court 30 11 2013 City State Zip Code Transaction ID: A2013-4384829 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Name of Employer Occupation Caterpillar Solar Manager Solar Turbines Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) 185.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 238 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Voller Date of Receipt Mailing Address 600 Balderson Blvd 2013 11 29 City State Zip Code Transaction ID: A2013-4396233 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Carl Volz Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396588 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 59.60 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 623.03 Other (specify) Full Name (Last, First, Middle Initial) c. Douglas Wagener Date of Receipt Mailing Address 4060 Mound Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396012 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manufacturing Proj Engr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 109.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Reid Waitt Date of Receipt Mailing Address 5000 Wormack Rd 2013 11 29 City State Zip Code Transaction ID: A2013-4396512 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing C 232.65 federal political committee. Name of Employer Occupation **GM** Compact Construction Equip Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2504.46 Other (specify) Full Name (Last, First, Middle Initial) B. John Walenta Date of Receipt Mailing Address 100 Tractor Drive 29 11 2013 City State Zip Code Transaction ID: A2013-4396351 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 88.59 federal political committee. Name of Employer Occupation Caterpillar Inc. Factory Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 919.55 Other (specify) Full Name (Last, First, Middle Initial) C. Thomas Walters Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4395997 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 140.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Governmental Affairs

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1540.00

Aggregate Year-to-Date ▼

Receipt For:

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Other (specify)

General

FOR LINE NUMBER: PAGE 240 OF 257

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Ļ	NAME OF COMMITTEE (In Full)	io and a	and the same of th				20110 11	J Guoi	. 551111		
\rangle	The Caterpillar Inc. Employee	Political A	ction Committee								
Α.	Full Name (Last, First, Middle Initial) David Walton				Date of	f Re	ceipt				
	Mailing Address 100 NE Adams				1 1	/	29	/ Y	201		
	City	State	Zip Code		Trans	acti	on ID :	A2013-4	39613	2	
	Peoria	IL	61629	_	Amount	t of	Each Re	eceipt th	is Per	iod	
	FEC ID number of contributing federal political committee.	С					7	7	2	211.5	6
	Name of Employer	Occupation									
	Caterpillar Inc.	Vice Presid	ent CFSC								
	Receipt For:	Aggregate	Year-to-Date ▼								
	Primary General		2288.40	1							
	Other (specify) ▼		2200.40	ч							
 В.	Full Name (Last, First, Middle Initial) Timothy Ward	ı			Date of	f Re	ceipt				
	Mailing Address 12301 Old Galena Road				M M	/	29	/ Y	2013		
	City	State	Zip Code					A2013-4 3			
	Mossville	IL .	61552	\dashv	Amount	t of	Each Re	eceipt th	is Per	iod	
	FEC ID number of contributing federal political committee.	С				_	,	,	1	00.0	0
	Name of Employer	Occupation									
	Caterpillar Inc.	Director Sur	oply Chain Planning								
	Receipt For:	Aggregate	Year-to-Date ▼								
	Primary General Other (specify) ▼		1100.00								
	Full Name (Last, First, Middle Initial) Paul Warner				Date of	f Re	ceipt				
	Mailing Address 100 Tractor Drive				M = M	1	29	/ Y	2013		1
	City	State	Zip Code		Trans	acti	ion ID :	A2013-4	39651	4	
	East Peoria	IL	61630	4	Amount	t of	Each Re	eceipt th	is Per	iod	
	FEC ID number of contributing federal political committee.	С					,	-		25.0	0
	Name of Employer	Occupation		\dashv							
	Caterpillar Inc.	Product Su	pply Integration Mgr								
	Receipt For:	Aggregate	Year-to-Date ▼								
	Primary General Other (specify) ▼		275.00								
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FOR LINE NUMBER: PAGE 241 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Watson Date of Receipt Mailing Address 501 SW Jefferson 2013 11 29 City State Zip Code Transaction ID: A2013-4396402 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Karl Weiss Date of Receipt Mailing Address 100 Tractor Drive 11 29 2013 City State Zip Code Transaction ID: A2013-4395776 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 816.66 Other (specify) Full Name (Last, First, Middle Initial) c. Douglas Weston Date of Receipt Mailing Address 12301 Old Galena Road 11 29 2013 City State Zip Code Transaction ID: A2013-4396449 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Segment Manager A Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 491.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 242 OF 257 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Christopher White Date of Receipt Mailing Address 3701 State Road 26 East 2013 11 29 City Zip Code State Transaction ID: A2013-4395993 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Purchasing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Wiebmer Date of Receipt Mailing Address 6744 S Howell Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4396206 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kimberly Wienzierl Date of Receipt Mailing Address 812 W. Washington St 11 29 2013 City State Zip Code Transaction ID: A2013-4396464 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 69.37 С federal political committee. Name of Employer Occupation Caterpillar Inc. Portfolio Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 711.05 Other (specify) 169.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Amount of Each Receipt this Period

72.99

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Valerie Wiest Date of Receipt Mailing Address 14009 Old Galena Rd. 2013 11 29 City State Zip Code Transaction ID: A2013-4396599 Mossville IL 61552

C federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 777.57 Other (specify) Full Name (Last, First, Middle Initial) B. Laurence Wilken Date of Receipt Mailing Address 100 Ne Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396698 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 950.00 Other (specify)

Full Name (Last, First, Middle Initial) **c.** Martin Willi Date of Receipt Mailing Address 14009 Old Galena Rd. 29 11 2013 City State Zip Code Transaction ID: A2013-4396424 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 72.86 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary

245.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

781.33

General

Other (specify)

FOR LINE NUMBER: PAGE 244 OF 257 Use separate schedule(s)

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Any information copied from such Reports and or for commercial purposes, other than using t	I Statements may not be sold or used by any perthe name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Timothy Williams Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Facility Manager Aggregate Year-to-Date ▼ 385.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396558 Amount of Each Receipt this Period 35.00
Full Name (Last, First, Middle Initial) Timothy Williams Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Director Global Security Svcs Aggregate Year-to-Date ▼ 1089.87	Date of Receipt 11 29 2013 Transaction ID: A2013-4396847 Amount of Each Receipt this Period 102.00
Full Name (Last, First, Middle Initial) Charles Wills Mailing Address 1600 Progress Drive City Albertville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code AL 35950 C Occupation VP Int'l Mkt Dev - Rail Div Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396258 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional).		162.00
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 245 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and Statement or for commercial purposes, other than using the name a		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politica	al Action Committee	
Pagaint For:	61550	Date of Receipt 11 29 2013 Transaction ID: A2013-4396388 Amount of Each Receipt this Period 52.41
Pagaint For:	61630	Date of Receipt 11 29 2013 Transaction ID: A2013-4396761 Amount of Each Receipt this Period 25.00
Possint For:	61552	Date of Receipt 11 29 2013 Transaction ID: A2013-4396835 Amount of Each Receipt this Period 104.82
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number only))	182.23

FOR LINE NUMBER: PAGE 246 OF 257 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gregory A Wofford Date of Receipt Mailing Address 9250 Sky Park Court 30 2013 11 City State Zip Code Transaction ID: A2013-4384823 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mark Woith Date of Receipt Mailing Address 6744 S Howell Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4396273 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Purchasing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kelly Wojda Date of Receipt Mailing Address 100 NE Adams 11 29 2013 City State Zip Code Transaction ID: A2013-4396114 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Director of Talent Attraction** Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOR	LINE	NU	MBER	:	PAGE	2	47 OF	= :	257
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Wolfe Date of Receipt Mailing Address 14009 Old Galena Rd. 2013 11 29 City State Zip Code Transaction ID: A2013-4395959 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Power Systems Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Wright Date of Receipt Mailing Address 6744 S Howell Ave 11 29 2013 City State Zip Code Transaction ID: A2013-4396285 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Treasury Manager Bus Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Paul Wroblewski Date of Receipt Mailing Address Frank Perkins Parkway 11 29 2013 City State Zip Code Transaction ID: A2013-4396355 77 Peterborough 00000 Amount of Each Receipt this Period FEC ID number of contributing C 45.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager IPSD Receipt For: Aggregate Year-to-Date ▼ Primary General 495.00 Other (specify) 95.00 SUBTOTAL of Receipts This Page (optional).....

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	FOI	R LINE	NU	IMBER	:	PAGE	2	248 OF	2	257
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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Steven Wunning Mailing Address 100 NE Adams		Date of Receipt
City Peoria	State Zip Code IL 61629	Transaction ID : A2013-4396261 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer	Occupation	416.66
Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Group President Aggregate Year-to-Date ▼ 4583.26	
Full Name (Last, First, Middle Initial) Gary Yerby Mailing Address 124 SW Adams		Date of Receipt 11 29 _2013 _
City Peoria	State Zip Code IL 61629	Transaction ID: A2013-4396674 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	25.00
Name of Employer Caterpillar Inc. Receipt For:	Occupation Engineering Manager-2	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	
Full Name (Last, First, Middle Initial) Christine Yordy		Date of Receipt
Mailing Address 100 NE Adams City	State Zip Code	11 29 2013 Transportion ID - 42042 420024
Peoria	IL 61629	Transaction ID : A2013-4396831 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	37.22
Name of Employer	Occupation	
Caterpillar Inc. Receipt For:	Communications Rep - 3	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 321.36	
SUBTOTAL of Receipts This Page (optional)		478.88
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FOR LINE NUMBER: PAGE 249 OF 257

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and State or for commercial purposes, other than using the	atements may not be sold or used by any per name and address of any political committee	rson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po	olitical Action Committee	
Full Name (Last, First, Middle Initial) Derrick York Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) General	State Zip Code IL 61629 C Occupation Director Strategic Investments Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396897 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Donald Young Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61630 C Occupation Product Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID : A2013-4396778 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Michael Young Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629 C Occupation Dir Corp. Business Developmnt Aggregate Year-to-Date ▼	Date of Receipt 11 29 2013 Transaction ID: A2013-4396810 Amount of Each Receipt this Period 100.00
SUBTOTAL of Receipts This Page (optional)	>	150.00
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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
	Statements may not be sold or used by any pe he name and address of any political committee	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Timothy Young Mailing Address 1100 Milwaukee Ave City South Milwaukee FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code WI 53172-0500 C Occupation Supply Chain Performance Mgr4 Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4396768 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Michael Zachman Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) Other (specify)	State Zip Code IL 61629 C Occupation Gnl Security Prg & Consult Dir Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 29 2013 Transaction ID: A2013-4395782 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Alexander Zaydel Mailing Address 1449 Marilyn City Ludington FEC ID number of contributing federal political committee. Name of Employer Progress Rail Services Receipt For: Primary General Other (specify)	State Zip Code MI 49431-9652 C Occupation Managing Dir International Bus Aggregate Year-to-Date ▼ 460.00	Date of Receipt 11 14 2013 Transaction ID : A2013-4522838 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)		70.00
TOTAL This Period (last page this line number	er only)	

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for each category of the Detailed Summary Page	X	11a		11b		11c		12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Alexander Zaydel Date of Receipt Mailing Address 1449 Marilyn 2013 28 City State Zip Code Transaction ID: A2013-4384420 MI 49431-9652 Ludington Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Managing Dir International Bus Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 480.00 В.

	7	
Full Name (Last, First, Middle Initial) David Zempel		Date of Receipt
Mailing Address 3535 Factoria Blvd SE		11 29 2013
City	State Zip Code	Transaction ID : A2013-4396427
Bellevue	WA 98006	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Caterpillar Inc.	District Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 550.00	
Full Name (Last, First, Middle Initial) Erik G Zentmyer		Date of Receipt
Mailian Adduses		

Full Name (Last, First, Middle Initial)

C. Erik G Zentmyer

Mailing Address 100 N.E. Adams Street

City
Peoria

FEC ID number of contributing federal political committee.

Date of Receipt

Transaction ID: A2013-43:

Amount of Each Receipt this

Aggregate Year-to-Date ▼

Occupation

Manager

Date of Receipt

11 30 2013

Transaction ID : A2013-4384865

Amount of Each Receipt this Period

50.00

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550.00

Name of Employer

Primary

Other (specify)

General

Solar Turbines

Receipt For:

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

Full Name (Last, First, Middle Initial) Jurg Zundel City State Zip Code Maiming Address 701 Waterford Way City State Zip Code Milami FL 33126 FEC ID number of contributing federal political committee. Claserpliar Inc. Receipt For: Primary General Other (specify) ▼ Full Name of Employer Cocupation Aggregate Year-to-Date ▼ Date of Receipt this Period Date of Receipt this Period Amount of Each Receipt this Period Amount of Each Receipt this Period Date of Receipt Amount of Each Receipt this Period Amount of Each Receipt this Period Date of Receipt Amount of Each Receipt this Period Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Claser State Zip Code FEC ID number of contributing Cocupation Receipt For: Primary General Other (specify) ▼ Date of Receipt this Period Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Cocupation FEC ID number of contributing federal political committee. Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Date of Receipt This Period Amount of Each Receipt this Period	NAME OF COMMITTEE (In Full)	ng the name and address of any political committee to ee Political Action Committee	Section Sectio
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SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 25										
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only 21b 27										
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NAME OF COMMITTEE (In Full)												
The Caterpillar Inc. Employee Politics	tical Action Committe	е										
Full Name (Last, First, Middle Initial)												
Re-elect McGovern Committee			Date of Disbursement									
Mailing Address PO Box 60405			11 30 2013									
City Worcester	State Zip Code MA 01606		Transaction ID : B474744									
Purpose of Disbursement	01000											
Contribution		011	Amount of Each Disbursement this Period									
Candidate Name James P McGovern		Category/ Type	-500.00									
Office Sought: House Disburser Senate President	ment For: 2014 Primary General Other (specify)	Турс	Voided: Original check dated 09/30/13									
State: MA District: 02 Full Name (Last, First, Middle Initial)												
3.			Date of Disbursement									
Mailing Address			M M / D D / Y Y Y Y									
City	State Zip Code											
Purpose of Disbursement			Amount of Each Disbursement this Perio									
Candidate Name	,	Category/ Type										
Office Sought: House Disburser	ment For: Primary General Other (specify)	,,										
Full Name (Last, First, Middle Initial)												
C.			Date of Disbursement									
Mailing Address												
City	State Zip Code											
Purpose of Disbursement			Assessed of Early Disharmon and this Davis									
Candidate Name		Category/ Type	Amount of Each Disbursement this Period									
Senate President	ment For: Primary General Other (specify)	Туре										
State: District:												
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SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 254 OF 2	57
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	Detailed Summary Page	21b		26
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NAME OF COMMITTEE (In Full)				
The Caterpillar Inc. Employee Pol	itical Action Committe	ee		
Full Name (Last, First, Middle Initial)				
A. Nathan Deal for Governor			Date of Disbursement	
Mailing Address P.O. Box 2495			11 05 2013	
City	State Zip Code		Transaction ID : B481052	
Gainesville	GA 30503		Transaction is : B+c1cc2	
Purpose of Disbursement P-2014 Governor GA		011	Amount of Each Disbursement this Period	l
Candidate Name		Category/	5000.00	٦.
Nathan Deal Office Sought: House Disburse	ement For: 2014	Туре		-
Office Sought: House Disburse Senate President	Primary General Other (specify)			
State: GA District:				
Full Name (Last, First, Middle Initial)				
B. Citizens for C.D. Davidsmeyer			Date of Disbursement	
Mailing Address P.O. Box 401			11 05 2013	
City Jacksonville	State Zip Code IL 62651		Transaction ID : B481044	
Purpose of Disbursement P-2014 State House 100 IL		011	Amount of Each Disbursement this Period	l
Candidate Name		Category/	500.00	7
C.D. Davidsmeyer		Туре	300.00	_
	ement For: 2014 Primary General Other (specify)			
Full Name (Last, First, Middle Initial)				
C. Citizens for Michael E. Hastings			Date of Disbursement	
Mailing Address P.O. Box 162			11 05 2013	
City Tinley Park	State Zip Code IL 60477		Transaction ID: B481038	
Purpose of Disbursement P-2016 State Senate 19 IL	100477	011		
Candidate Name		011	Amount of Each Disbursement this Period	<i>i</i>
Michael Hastings		Category/ Type	1000.00	1
	ement For: 2016	- 7 -		
Senate President State: IL District: 19	Primary General Other (specify) ▼			
State: IL District: 19				_
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	Full Name (Last, First, Middle Initial)													
A.	Citizens for Karen McConnaughay						Date o	f Dis	sburse	emer	nt			
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	Mailing Address P.O. Box 446						11	4	0	5		2013	3	
	City	State Zip Code												
	Batavia	IL 60510					Trans	acti	ion ID	: B4	18103	5		
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	P-2014 State Senate 33 IL		0	11			Amoun	t of	Each	Disk	ourse	ment th	is Period	
	Candidate Name		Cate	egoi	ry/				-			1	200 00	
	Karen McConnaughay		T	ype			_	-	7	_	7	- ''	000.00	
		nent For: 2014												
		Primary General												
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	Mailing Address P.O. Box 90						11)5	´ '	2013		
	,	State Zip Code					Transaction ID : B481042							
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	P-2014 State House 45 IL			011			Amoun	t of	Fach	Dieł	nursei	ment th	is Period	
	Candidate Name				_		Amount of Each Disbursement this Period						13 T CHOC	
	Dennis M Reboletti		Cate	egoi ype			500.00							
		nent For: 2014		71		1								
		Primary General												
		Other (specify) ▼												
	State: IL District: 45													
	Full Name (Last, First, Middle Initial)													
C.	Ron Sandack for House						Date o	f Dis	sburse	emer	nt			
	Matter Address 1999 N. This Co., 1999					+	M M	1	D	_	/ Y	2045		
	Mailing Address 1202 N. 75th Street. #113						11	4	0	5		2013	,	
	City	State Zip Code				+						_		
	Downers Grove	IL 60516					Trans	sact	ion ID) : B4	18104	6		
	Purpose of Disbursement			_	$\overline{}$									
	P-2014 State House 81 IL		0)11			Amoun	t of	Each	Disk	ourse	ment th	is Period	
	Candidate Name		Cate					1000.00						
	Ron Sandack Office Sought:	nent For: 2014	Т	ype		4	_	-	7	_	- 7			
		Primary General												
	President	Other (specify)												
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	for commercial purposes, other than using the name				
\setminus	NAME OF COMMITTEE (In Full)				
$ \; angle$	The Caterpillar Inc. Employee Polit	ical Action Commi	ttee		
\angle					
_	Full Name (Last, First, Middle Initial)				
Α.	Citizens for Joe Sosnowski				Date of Disbursement
	Mailing Address 2000 DL Di DL				M M / D D / Y Y Y Y Y
	Mailing Address 8628 Blue River Rd.				11 05 2013
	City	State Zip Code			
	Rockford	IL 61107			Transaction ID : B481048
	Purpose of Disbursement				
	P-2014 State House 69 IL			011	Amount of Each Disbursement this Period
	Candidate Name			ategory/	1000.00
	Joe Sosnowski Office Sought:	mont Form 0011		Туре	1000.00
		nent For: 2014 Primary General			
	President	Other (specify)			
	State: IL District: 69	Caron (opcomy)			
_	Full Name (Last, First, Middle Initial)				
В.	Citizens to Elect Andre Thapedi				Date of Disbursement
					M M / D D / Y Y Y Y
	Mailing Address 6901 S Oglesby Ave				11 05 2013
	,	State Zip Code			Transaction ID : B481051
	Chicago Purpose of Disbursement	IL 60649	T		
	P-2014 State House 32 IL			011	Amount of Each Disbursement this Period
	Candidate Name			ategory/	
	Andre M Thapedi			Type	500.00
		ment For: 2014			
		Primary General			
	President	Other (specify) ▼			
	State: IL District: 32				
_	Full Name (Last, First, Middle Initial)				D . (D)
Ċ.	Friends of Sue Rezin				Date of Disbursement
	Mailing Address PO Box 932				11 07 2013
	Walling Address FO Box 932				2013
	City	State Zip Code			Tanana salam ID. D404000
	Morris	IL 60450			Transaction ID : B481033
	Purpose of Disbursement P-2016 State Senate 38 IL				
			1	011	Amount of Each Disbursement this Period
	Candidate Name Sue Rezin			ategory/	1000.00
		nent For: 2016		Туре	
	Senate	Primary General			
	President	Other (specify)			
	State: IL District: 38	(1			
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s	UBTOTAL of Disbursements This Page (optional)				2500.00
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Any information copied from such Reports and Statements may not or for commercial purposes, other than using the name and address NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Full Name (Last, First, Middle Initial) A. Cmte to Elect Brian Bosma Mailing Address 9052 Nautical Watch Dr. City State Zi IN 44 Purpose of Disbursement P-2014 State House 88 IN Candidate Name Brian C. Bosma Office Sought: House Senate President State: IN District: 88 Full Name (Last, First, Middle Initial) B. Mailing Address	be sold or uses of any political Committee	cal committee to	7 one) 22 23 24 25 2 28a 28b 28c X 29 3 on for the purpose of soliciting contributions
Any information copied from such Reports and Statements may not or for commercial purposes, other than using the name and address NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Full Name (Last, First, Middle Initial) A. Cmte to Elect Brian Bosma Mailing Address 9052 Nautical Watch Dr. City State Zi Indianapolis IN 4i Purpose of Disbursement P-2014 State House 88 IN Candidate Name Brian C. Bosma Office Sought: House Disbursement For: 2014 State: IN District: 88 Full Name (Last, First, Middle Initial) B. Mailing Address City State Zi Purpose of Disbursement Candidate Name Office Sought: House Disbursement For: Senate Primary Primary	be sold or uses of any political Committee	ed by any personal committee to	28a 28b 28c 29 3 on for the purpose of soliciting contributions of solicit contributions from such committee. Date of Disbursement 11 05 2013 Transaction ID: B481027 Amount of Each Disbursement this Period 1500.00
or for commercial purposes, other than using the name and address NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Full Name (Last, First, Middle Initial) A. Cmte to Elect Brian Bosma Mailing Address 9052 Nautical Watch Dr. City State Zi Indianapolis IN 40 Purpose of Disbursement P-2014 State House 88 IN Candidate Name Brian C. Bosma Office Sought: House Senate President State: IN District: 88 Full Name (Last, First, Middle Initial) Mailing Address City State Zi Purpose of Disbursement Candidate Name Office Sought: House Disbursement For: Senate Primary Office Sought: Disbursement For: Senate Primary Disbursement For: Disbursement For: Senate Primary	ip Code 6236 General	eal committee to ee 011 Category/	Date of Disbursement Transaction ID: B481027 Amount of Each Disbursement this Period 1500.00 Date of Disbursement
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Full Name (Last, First, Middle Initial) A. Cmte to Elect Brian Bosma Mailing Address 9052 Nautical Watch Dr. City State Zi Indianapolis IN 4i Purpose of Disbursement P-2014 State House 88 IN Candidate Name Brian C. Bosma Office Sought: House Senate President State: IN District: 88 Full Name (Last, First, Middle Initial) Mailing Address City State Zi Purpose of Disbursement Candidate Name Office Sought: House Disbursement For: Senate Primary Disbursement For: Primary Disbursement For: Primary	ip Code 6236 General	011 Category/	Date of Disbursement M M M / DD / 2013 Transaction ID: B481027 Amount of Each Disbursement this Period 1500.00 Date of Disbursement
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