



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

May 10, 2013

MARCIA DICKSTEIN SUDOLSKY, TREASURER  
TRI-STATE MAXED OUT WOMEN  
445 PARK AVENUE 9TH FLOOR  
NEW YORK, NY 10022

**Response Due Date**  
**06/14/2013**

IDENTIFICATION NUMBER: C00488387

REFERENCE: AMENDED OCTOBER QUARTERLY REPORT (07/01/2012 - 09/30/2012),  
RECEIVED 01/23/2013

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 2 item(s):

1. Schedule B supporting Line 23 of your report discloses one or more contributions to a candidate(s) for the 2012 Primary election; however, the funds were disbursed after the election date(s) (see attached). Please note that contributions may not be designated for an election which has already occurred unless the funds are to be used to reduce a candidate committee's debts incurred during that election campaign. (11 CFR §§110.1(b)(3) and 110.2(b)(3))

If any apparently impermissible contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If the contribution(s) in question should have been designated for debt retirement, you should amend your report to indicate "debt retirement," along with the year of election.

If you have made an impermissible contribution, you must request a refund or provide a written authorization for a redesignation of the contribution pursuant to 11 CFR §110.2(b) within 60 days of the treasurer's receipt.

If the foregoing conditions for redesignations were not met within 60 days of the treasurer's receipt, your committee must obtain a refund. (11 CFR §103.3(b)(1) and (3))

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Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund or redesignation request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR §110.1(b)

Although the Commission may take further legal action regarding this impermissible activity, your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

2. Schedule B of your report (see attached) discloses one or more contributions which appear to exceed the limits set forth in the Act. 2 U.S.C. §441a(a) prohibits a multicandidate committee and its affiliates from making a contribution to a candidate for federal office in excess of \$5,000 per election.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If any contribution you made exceeds the limits, you must request a refund of the excessive amount or provide a written authorization for a redesignation of the contribution pursuant to 11 CFR §110.2(b) within 60 days of the treasurer's receipt.

If the foregoing conditions for redesignations were not met within 60 days of the treasurer's receipt, your committee must obtain a refund of the excessive amount. (11 CFR §103.3(b)(1) and (3))

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund or redesignation request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. (11 CFR §110.1(b))

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

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**Please note, you will not receive an additional notice from the Commission on this matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1169.

Sincerely,



Kevin Fortkiewicz  
Campaign Finance Analyst  
Reports Analysis Division

**Excessive, Prohibited, and Impermissible Contributions  
Tri-State Maxed Out Women (C00488387)**

**Contributions Made After Election Date**

<b>Recipient Name</b>	<b>Date</b>	<b>Amount</b>	<b>Election</b>	<b>Election (State-Date)</b>
SHELLEY ADLER	9/19/12	\$2,500.00	P2012	NJ-6/5/12
TAMMY BALDWIN	9/19/12	\$5,000.00	P2012	WI-8/14/12
SHELLEY BERKLEY	9/19/12	\$2,500.00	P2012	NV-6/12/12
LOIS G CAPPS	8/6/12	\$2,500.00	P2012	CA-6/5/12
Duckworth for Congress	8/6/12	\$2,500.00	P2012	IL-3/20/12
EHRlich FOR CONGRESS	9/19/12	\$2,500.00	P2012	FL- 8/14/12
ELIZABETH ESTY	9/19/12	\$2,500.00	P2012	CT- 8/14/12
DIANNE FEINSTEIN	9/19/12	\$1,000.00	P2012	CA-6/5/12
HEIDI HEITKAMP	9/19/12	\$2,500.00	P2012	ND-6/12/12
KATHLEEN COURTNEY HOCHUL	9/19/12	\$2,500.00	P2012	NY-6/26/12
ANN KIRKPATRICK	9/19/12	\$2,500.00	P2012	AZ-8/28/12
ANN MCLANE KUSTER	9/19/12	\$2,500.00	P2012	NH-9/11/12
CLAIRE MCCASKILL	9/19/12	\$5,000.00	P2012	MO- 8/7/12
GRACE MENG	8/6/12	\$1,000.00	P2012	NY-6/26/12
CAROL SHEA-PORTER	9/19/12	\$2,500.00	P2012	NH-9/11/12
KYRSTEN SINEMA	9/19/12	\$2,500.00	P2012	AZ-8/28/12
LOUISE M SLAUGHTER	9/19/12	\$5,000.00	P2012	NY-6/26/12
BETTY S SUTTON	8/6/12	\$2,500.00	P2012	OH-3/6/13
CHRISTIE VILSACK	8/6/12	\$2,500.00	P2012	IA- 6/5/12

**Excessive Contributions to a Committee/Candidate Committee**

<b>Recipient Name</b>	<b>Date</b>	<b>Amount</b>	<b>Election</b>	<b>Report</b>
LOUISE M SLAUGHTER	5/15/12	\$2,500.00	P2012	2012 July Quarterly
LOUISE M SLAUGHTER	6/20/12	\$2,500.00	P2012	2012 July Quarterly
LOUISE M SLAUGHTER	9/19/12	\$5,000.00	P2012	2012 October Quarterly
Berkley for Senate	6/27/11	\$2,500.00	P2012	2011 Mid-Year
BERKLEY, SHELLEY	5/31/12	\$2,500.00	P2012	2012 July Quarterly
BERKLEY, SHELLEY	9/19/12	\$2,500.00	P2012	2012 October Quarterly
BALDWIN, TAMMY	4/23/12	\$2,500.00	P2012	2012 July Quarterly
BALDWIN, TAMMY	9/21/12	\$5,000.00	P2012	2012 October Quarterly
Betty Sutton for Congress	3/5/12	\$2,500.00	P2012	2012 April Quarterly
BETTY S SUTTON	6/22/12	\$2,500.00	P2012	2012 July Quarterly
BETTY S SUTTON	8/6/12	\$2,500.00	P2012	2012 October Quarterly

**Excessive, Prohibited, and Impermissible Contributions  
Tri-State Maxed Out Women (C00488387)**

<b>Recipient Name</b>	<b>Date</b>	<b>Amount</b>	<b>Election</b>	<b>Report</b>
CAROL SHEA-PORTER	5/24/12	\$2,500.00	P2012	2012 July Quarterly
CAROL SHEA-PORTER	8/6/12	\$2,500.00	P2012	2012 October Quarterly
CAROL SHEA-PORTER	9/19/12	\$2,500.00	P2012	2012 October Quarterly
CLAIRE MCCASKILL	5/12/12	\$2,500.00	P2012	2012 July Quarterly
CLAIRE MCCASKILL	9/19/12	\$5,000.00	P2012	2012 October Quarterly
ANN MCLANE KUSTER	3/5/12	\$2,500.00	P2012	2012 July Quarterly
ANN MCLANE KUSTER	8/6/12	\$2,500.00	P2012	2012 October Quarterly
ANN MCLANE KUSTER	9/19/12	\$2,500.00	P2012	2012 October Quarterly
Kathy Hochul for Congress	2/21/12	\$2,500.00	P2012	2012 April Quarterly
KATHLEEN COURTNEY HOCHUL	6/22/12	\$2,500.00	P2012	2012 July Quarterly
KATHLEEN COURTNEY HOCHUL	9/19/12	\$2,500.00	P2012	2012 October Quarterly
Duckworth for Congress	11/8/11	\$2,500.00	P2012	2011 Year-End
Duckworth for Congress	5/24/12	\$2,500.00	P2012	2012 July Quarterly
Duckworth for Congress	8/6/12	\$2,500.00	P2012	2012 October Quarterly
HEIDI HEITKAMP	4/23/12	\$2,500.00	P2012	2012 July Quarterly
HEIDI HEITKAMP	5/12/12	\$2,500.00	P2012	2012 July Quarterly
HEIDI HEITKAMP	9/19/12	\$2,500.00	P2012	2012 October Quarterly
EHRlich FOR CONGRESS	3/30/12	\$2,500.00	P2012	2012 April Quarterly
EHRlich FOR CONGRESS	8/6/12	\$2,500.00	P2012	2012 October Quarterly
EHRlich FOR CONGRESS	9/19/12	\$2,500.00	P2012	2012 October Quarterly