

Evan Bayh Committee
850 Fort Wayne Avenue
Indianapolis, IN 46220

July 2, 2007

Secretary of the Senate
Office of Public Records
P.O. Box 5109
Alexandria, VA 22301-0109

RECEIVED SENATE
SECRETARY OF THE SENATE
07 JUL -6 PM 1:01

Dear Madam Secretary:

This letter and the attached amended report are in response to the Federal Election Commission's preliminary review of the Quarterly Report (07/1/06- 09/30/06) of the Evan Bayh Committee. The letter (copy enclosed) indicated a few questions that the Commission wanted the committee to address.

- 1.) Schedule A of your report discloses one or more contributions that appear to exceed the limits set forth in the Act.

We have issued refunds to the eleven contributors listed in the Commission's preliminary review letter. Copies of the refund checks are attached to this correspondence.

We examined all of our contributions to check for additional excessive contributions and determined that there were none. We also reviewed our procedures for processing contributions, and reinforced to staff the necessity of following the procedures at all times.

- 2.) *Commission Regulations define the term "purpose" to mean a brief statement or description of why a disbursement was made. Please amend Schedule B of your report to correct the descriptions that do not meet the requirements of the Regulations.*

An amended report with the necessary corrections to Schedule B is attached.

- 3.) Schedule B of your report discloses reimbursements to individuals for expenses other than travel and subsistence. When itemizing reimbursements to individuals for goods or services, if the payment to the original vendor aggregates in excess of \$200 in an election cycle, a memo entry including the name and address of the original vendor, as well as the date, amount and purpose of the original purchase must be provided.

An amended report with the necessary corrections to Schedule B is attached. However, there were a few occasions where invoices did not include a break down of the costs or copies of the receipts and therefore we could not memo each item that was paid for.

27020192658

July 2, 2007
Secretary of the Senate
Page 2

We believe that this letter and the amended report filed herewith address all of the concerns of the FEC. We look forward to working with them and with you in the future.

Sincerely,

A handwritten signature in cursive script, appearing to read "D.M. Charles".

Dennis M. Charles, Treasurer

27020192659



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

June 7, 2007

Dennis M. Charles, Treasurer
Evan Bayh Committee
850 Fort Wayne Avenue
Indianapolis, IN 46204

RQ-2
SECRETARY OF THE SENATE
07 JUL -6 PM 1:04

**Response Due Date:
July 9, 2007**

Identification Number: C00306860

Reference: October Quarterly Report (7/1/06 - 9/30/06)

Dear Mr. Charles:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **An adequate response must be received at the Senate Public Records Office by the response date noted above.** Additional information is needed for the following 3 items:

1. Schedule A of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached). You should examine all of your contributions to check for additional excessive contributions. The Committee's procedures for processing contributions should also be reviewed.

An individual or a political committee other than an authorized committee or qualified multi-candidate committee may not make a contribution to a candidate for federal office in excess of \$2,100 per election. An authorized committee may not make a contribution to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (2 U.S.C. § 441a(a) and (f); 11 CFR § 110.1(b), (e) and (k))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the

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clarifying information. If any contribution you received exceeds the limits, you may have to refund the excessive amount.

Excessive contributions may be retained if within sixty (60) days of receipt, the excessive portions are properly redesignated or reattributed. Guidelines for each option are provided below:

For reattributions, excessive contributions from individuals can be retained, if within sixty (60) days of receipt, the excessive amount is properly reattributed to another person. Please note that reattributions only apply to excessive contributions from individuals. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor, or (2) the committee reattributes, by presumption, the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor an opportunity to request a refund. (11 CFR § 110.1(k)(3)(ii)(B))

For redesignations, the funds can be retained if within sixty (60) days of receipt the excessive amount is properly redesignated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election, or (2) your committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B)) Please note that you cannot presumptively redesignate an excessive contribution from a multi-candidate committee. Also, a contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt of the contribution, the excessive amount must be refunded. See 11 CFR § 103.3(b)(1).

Please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/ or letters reattributing or redesignating the contributions in question. Refunds are reported on Line 20 of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR § 104.8(d)(2), (3) and (4))

The acceptance of excessive contributions is a serious problem. Again, the committee's procedures for processing contributions should be examined and corrected in order to avoid this problem. Although the Commission may take further legal action, prompt action by you to refund, redesignate, and/or reattribute of the excessive amount will be taken into consideration.

2. Commission Regulations define the term "purpose" to mean a brief statement or description of why a disbursement was made. Examples are "dinner expense," "media," "salary," "polling," "travel," "party fees," "phone banks," "travel expenses," "travel expense reimbursement," and "catering costs." Examples of election day and voter registration activity include "exit polling," "door-to-door get out the vote," "get out the vote phone calls," and "driving voters to the polls." Unacceptable descriptions, which require additional clarification, include but are not limited to "advance," "consulting," "political consulting," "commission," "contract labor," "retainer," "election day expense," "expenses," "invoice," "support," "expense reimbursement," "miscellaneous," "professional services," "get-out-the-vote," and "voter registration." (11 CFR § 104.3(b)(4)(A))

Additional clarification regarding inadequate purposes of disbursement published in the Federal Register can be found at http://www.fec.gov/law/policy/purposeofdisbursement/notice_2006-23.pdf. Please amend Schedule B of your report to correct the descriptions that do not meet the requirements of the Regulations.

3. Schedule B of your report discloses reimbursements to individuals for expenses other than travel and subsistence. Please be advised that when

27020192662

itemizing reimbursements to individuals for goods or services, if the payment to the original vendor aggregates in excess of \$200 in an election cycle, a memo entry including the name and address of the original vendor, as well as the date, amount and purpose of the original purchase must be provided. If itemization is not necessary, you must indicate so in an amendment to this report. Please correct your report to include the missing information. (11 CFR §§ 104.3(b)(4)(i) and 104.9, and Advisory Opinions 1992-1 and 1996-20)

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

A written response or an amendment to your original report(s) correcting the above problems should be filed with the Senate Public Records Office. Please contact the Senate Public Records Office at (202) 224-0322 for instructions on how and where to file and amendment. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1173.

Sincerely,



Sally R. Bacon
Senior Campaign Finance Analyst
Reports Analysis Division

Excessive and/or Prohibited Contributions
 Evan Bayh Committee (C00306860)
 October Quarterly Report (7/1/06 - 9/30/06)

P = Primary Election
 G = General Election

CONTRIBUTOR NAME		DATE	AMOUNT	ELECTION
Cowles	John, Jr.	6/30/2006	\$2,000.00	P2010
Cowles	John, Jr.	7/11/2006	\$1,500.00	P2010
Dave	Vijay	12/28/2005	\$2,100.00	P2010
Dave	Vijay	8/15/2006	\$1,000.00	P2010
Dominguez	Rogelio	12/13/2005	\$2,000.00	P2010
Dominguez	Rogelio	8/15/2006	\$1,500.00	P2010
Goldich	Stan	8/9/2006	\$3,000.00	P2010
Inskeep	Richard G.	8/19/2005	\$2,000.00	P2010
Inskeep	Richard G.	8/24/2006	\$1,000.00	P2010
Kaplan	Robert	8/19/2005	\$2,100.00	P2010
Kaplan	Robert	9/25/2006	\$1,000.00	P2010
Levin	Katherine A.	8/16/2006	\$4,000.00	P2010
Miller	Peter A.	12/15/2005	\$1,000.00	P2010
Miller	Peter A.	9/25/2006	\$1,500.00	P2010
Ronald	Mary Ann	10/25/2005	\$2,000.00	P2010
Ronald	Mary Ann	7/17/2006	\$1,000.00	P2010
Ronald	Mary Ann	9/26/2006	\$500.00	P2010
Samuelson	William C.	6/15/2006	\$2,100.00	P2010
Samuelson	William C.	8/14/2006	\$1,160.00	P2010
Simon	Rachel M.	9/14/2005	\$2,000.00	P2010
Simon	Rachel M.	7/20/2006	\$1,000.00	P2010

27020192664

EVAN BAYH COMMITTEE

2447

John Cowles

Check Number: 2447

Check Date: Jul 2, 2007

Check Amount: \$1,200.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

1,200.00

2447

EVAN BAYH COMMITTEE
850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

Memo:

PAY One Thousand Two Hundred and 00/100 Dollars

DATE

AMOUNT



Jul 2, 2007

1200.00

TO THE ORDER OF: John Cowles
155 5th Avenue South, Suite 100
Minneapolis, MN 55401-2550

John M. Charles
AUTHORIZED SIGNATURE

⑈002447⑈

EVAN BAYH COMMITTEE

2447

John Cowles

Check Number: 2447

Check Date: Jul 2, 2007

Check Amount: \$1,200.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

1,200.00

27020192665

EVAN BAYH COMMITTEE

2448

Vijay Dave

Check Number: 2448
Check Date: Jul 2, 2007

Check Amount: \$800.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

800.00

2448

EVAN BAYH COMMITTEE
850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

Memo:

PAY Eight Hundred and 00/100 Dollars

TO THE ORDER OF: Vijay Dave
10425 Spencer Court
Muncie, IN 46321

DATE: Jul 2, 2007
AMOUNT: 800.00

[Handwritten Signature]
AUTHORIZED SIGNATURE

⑈002448⑈

EVAN BAYH COMMITTEE

2448

Vijay Dave

Check Number: 2448
Check Date: Jul 2, 2007

Check Amount: \$800.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

800.00

27020192666

EVAN BAYH COMMITTEE

Rogelio Dominguez

2449

Check Number: 2449
Check Date: Jul 2, 2007

Check Amount: \$1,200.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

1,200.00

2449

EVAN BAYH COMMITTEE
850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

Memo:

PAY One Thousand Two Hundred and 00/100 Dollars

DATE

AMOUNT

Jul 2, 2007

1200.00

TO THE ORDER OF: Rogelio Dominguez
12808 MonroE Street
Crown Point, IN 46307

D. M. Charles
AUTHORIZED SIGNATURE

⑈002449⑈

EVAN BAYH COMMITTEE

2449

Rogelio Dominguez

Check Number: 2449
Check Date: Jul 2, 2007

Check Amount: \$1,200.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

1,200.00

27020192667

EVAN BAYH COMMITTEE

2450

Stan Goldich

Check Number: 2450

Check Date: Jul 2, 2007

Check Amount: \$700.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

700.00

2450

EVAN BAYH COMMITTEE
850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

Memo:

PAY Seven Hundred and 00/100 Dollars

TO THE ORDER OF: Stan Goldich
2526 Montana Avenue
SANTA MONICA, CA 90403

DATE: Jul 2, 2007
AMOUNT: 700.00

[Handwritten Signature]
AUTHORIZED SIGNATURE

⑈002450⑈

EVAN BAYH COMMITTEE

2450

Stan Goldich

Check Number: 2450

Check Date: Jul 2, 2007

Check Amount: \$700.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

700.00

27020192668

EVAN BAYH COMMITTEE

2451

Richard Inskeep

Check Number: 2451

Check Date: Jul 2, 2007

Check Amount: \$700.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

700.00

2451

EVAN BAYH COMMITTEE
850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

Memo:

PAY Seven Hundred and 00/100 Dollars

DATE

AMOUNT

Jul 2, 2007

700.00

TO THE ORDER OF: Richard Inskeep
11023 Carnoustie Lane
Fort Wayne, IN 46814

[Handwritten Signature]
AUTHORIZED SIGNATURE

⑈002451⑈

EVAN BAYH COMMITTEE

2451

Richard Inskeep

Check Number: 2451

Check Date: Jul 2, 2007

Check Amount: \$700.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

700.00

27020192669

EVAN BAYH COMMITTEE

Robert Kaplan

2452

Check Number: 2452
Check Date: Jul 2, 2007

Check Amount: \$800.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

800.00

2452

EVAN BAYH COMMITTEE
850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

Memo:

PAY Eight Hundred and 00/100 Dollars

DATE

AMOUNT

Jul 2, 2007

800.00

TO THE
ORDER
OF:

Robert Kaplan
805 Third Avenue
New York, NY 10022-7513



AUTHORIZED SIGNATURE

⑈002452⑈

EVAN BAYH COMMITTEE

Robert Kaplan

2452

Check Number: 2452
Check Date: Jul 2, 2007

Check Amount: \$800.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

800.00

27020192670

EVAN BAYH COMMITTEE

2453

Katherine Levin

Check Number: 2453

Check Date: Jul 2, 2007

Check Amount: \$1,700.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

1,700.00

2453

EVAN BAYH COMMITTEE

850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

Memo:

PAY One Thousand Seven Hundred and 00/100 Dollars

DATE

AMOUNT



Jul 2, 2007

1700.00

TO THE
ORDER
OF:

Katherine Levin
1318 W. Schubert Avenue
Chicago, IL 60614

AUTHORIZED SIGNATURE

⑈002453⑈

EVAN BAYH COMMITTEE

2453

Katherine Levin

Check Number: 2453

Check Date: Jul 2, 2007

Check Amount: \$1,700.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

1,700.00

27020192671

EVAN BAYH COMMITTEE

2454

Peter Miller

Check Number: 2454

Check Date: Jul 2, 2007

Check Amount: \$200.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

200.00

2454

EVAN BAYH COMMITTEE

850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

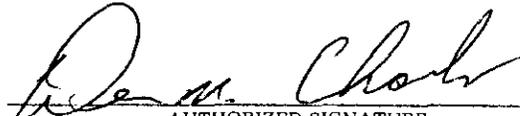
Memo:

PAY Two Hundred and 00/100 Dollars

TO THE ORDER OF: Peter Miller
9 Maywood Court
North Caldwell, NJ 07006

DATE
Jul 2, 2007

AMOUNT  Secure
Transfer
or E-DEP.
200.00


AUTHORIZED SIGNATURE MP

⑈002454⑈ ⑆ [REDACTED] ⑆

EVAN BAYH COMMITTEE

2454

Peter Miller

Check Number: 2454

Check Date: Jul 2, 2007

Check Amount: \$200.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

200.00

27020192672

EVAN BAYH COMMITTEE

2455

Mary Ann Ronald

Check Number: 2455

Check Date: Jul 2, 2007

Check Amount: \$1,200.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

1,200.00

2455

EVAN BAYH COMMITTEE

850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

Memo:

PAY One Thousand Two Hundred and 00/100 Dollars

DATE

AMOUNT

Jul 2, 2007

1200.00

TO THE ORDER OF: Mary Ann Ronald
12401 Bacall Lane
Potomac, MD 20854

[Handwritten Signature]
AUTHORIZED SIGNATURE

⑈002455⑈

EVAN BAYH COMMITTEE

2455

Mary Ann Ronald

Check Number: 2455

Check Date: Jul 2, 2007

Check Amount: \$1,200.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

1,200.00

27020192673

EVAN BAYH COMMITTEE

2457

Rachel Simon

Check Number: 2457

Check Date: Jul 2, 2007

Check Amount: \$700.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

700.00

2457

EVAN BAYH COMMITTEE

850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

Memo:

PAY Seven Hundred and 00/100 Dollars

DATE

AMOUNT



Jul 2, 2007

700.00

TO THE ORDER OF: Rachel Simon
4129 McKean Lane
Indianapolis, IN 46250

[Handwritten Signature]

AUTHORIZED SIGNATURE

⑈002457⑈ [Redacted MICR line]

EVAN BAYH COMMITTEE

2457

Rachel Simon

Check Number: 2457

Check Date: Jul 2, 2007

Check Amount: \$700.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

700.00

27020192674

EVAN BAYH COMMITTEE

2465

William Samuelson

Check Number: 2465

Check Date: Jul 3, 2007

Check Amount: \$960.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

960.00

2465

EVAN BAYH COMMITTEE

850 FORT WAYNE AVENUE
INDIANAPOLIS, IN 46204

UNION FEDERAL BANK
INDIANAPOLIS, INDIANA 16204
20-7048/2740

Memo:

PAY Nine Hundred Sixty and 00/100 Dollars

DATE

AMOUNT



Jul 3, 2007

960.00

TO THE
ORDER
OF:

William Samuelson
15 Shady Brook Lane
Belmont, MA 02478-2001

Don M. Charles
MP
AUTHORIZED SIGNATURE

⑈002465⑈

EVAN BAYH COMMITTEE

2465

William Samuelson

Check Number: 2465

Check Date: Jul 3, 2007

Check Amount: \$960.00

Item to be Paid - Description

Discount Taken

Amount Paid

Office Expense & Supplies

960.00

27020192675

United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

HAND DELIVERED 07-06-07
Date of Receipt

USPS FIRST CLASS MAIL _____
Postmark

USPS REGISTERED/CERTIFIED _____
Postmark

USPS PRIORITY MAIL _____
Postmark

DELIVERY CONFIRMATION OR SIGNATURE CONFIRMATION LABEL

USPS EXPRESS MAIL _____
Postmark

OVERNIGHT DELIVERY SERVICE:

	SHIPPING DATE	NEXT BUSINESS DAY DELIVERY
FEDERAL EXPRESS	_____	<input type="checkbox"/>
UPS	_____	<input type="checkbox"/>
DHL	_____	<input type="checkbox"/>
AIRBORNE EXPRESS	_____	<input type="checkbox"/>

RECEIVED FROM FEDERAL ELECTION COMMISSION _____
Date of Receipt

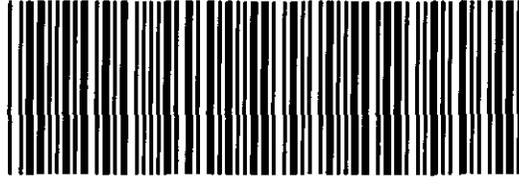
POSTMARK ILLEGIBLE NO POSTMARK

FAX _____
Date of Receipt

OTHER _____
Date of Receipt or Postmark

PREPARER RD DATE PREPARED 07-06-07

27020192676



27020192677