

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. **12FE4M5**  
The Caterpillar Inc. Employee Political Action Committee

ADDRESS (number and street) 100 N.E. Adams Street  
Peoria IL 61629-1430

Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲  
**C** C00148031 3. IS THIS REPORT  NEW (N) OR  AMENDED (A)

4. TYPE OF REPORT (Choose One)  
(a) Quarterly Reports:  
 April 15 Quarterly Report (Q1)  
 July 15 Quarterly Report (Q2)  
 October 15 Quarterly Report (Q3)  
 January 31 Year-End Report (YE)  
 July 31 Mid-Year Report (Non-election Year Only) (MY)  
 Termination Report (TER)  
(b) Monthly Report Due On:  
 Feb 20 (M2)  May 20 (M5)  Aug 20 (M8)  Nov 20 (M11) (Non-Election Year Only)  
 Mar 20 (M3)  Jun 20 (M6)  Sep 20 (M9)  Dec 20 (M12) (Non-Election Year Only)  
 Apr 20 (M4)  Jul 20 (M7)  Oct 20 (M10)  Jan 31 (YE)  
(c) 12-Day PRE-Election Report for the:  
 Primary (12P)  General (12G)  Runoff (12R)  
 Convention (12C)  Special (12S)  
Election on [MM] / [DD] / [YYYY] in the State of [ ]  
(d) 30-Day POST-Election Report for the:  
 General (30G)  Runoff (30R)  Special (30S)  
Election on [MM] / [DD] / [YYYY] in the State of [ ]

5. Covering Period [01] / [01] / [2018] through [01] / [31] / [2018]

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.  
Cordani, Benjamin, , ,  
Type or Print Name of Treasurer

Signature of Treasurer *Cordani, Benjamin, , ,* [Electronically Filed] Date [02] / [16] / [2018]

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

**The Caterpillar Inc. Employee Political Action Committee**

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2018"/>		500086.08
(b) Cash on Hand at Beginning of Reporting Period.....	500086.08	
(c) Total Receipts (from Line 19) .....	58029.34	58029.34
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	558115.42	558115.42
7. Total Disbursements (from Line 31).....	- 985.45	- 985.45
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	559100.87	559100.87
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**  
  
Federal Election Commission  
999 E Street, NW  
Washington, DC 20463  
  
Toll Free 800-424-9530  
Local 202-694-1100

DETAILED SUMMARY PAGE  
of Receipts

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 01 / 01 / 2018 To: 01 / 31 / 2018

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	16804.62	16804.62
(ii) Unitemized .....	41211.31	41211.31
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	58015.93	58015.93
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	58015.93	58015.93
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	13.41	13.41
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶	58029.34	58029.34
20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶	58029.34	58029.34

**DETAILED SUMMARY PAGE**  
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	14.55	14.55
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	14.55	14.55
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	0.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements (Including Non-Federal Donations).....	- 1000.00	- 1000.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) .....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	- 985.45	- 985.45
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	- 985.45	- 985.45

**DETAILED SUMMARY PAGE**  
of Disbursements

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Page 5

<b>III. Net Contributions/ Operating Expenditures</b>	<b>COLUMN A Total This Period</b>	<b>COLUMN B Calendar Year-to-Date</b>
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	58015.93	58015.93
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	58015.93	58015.93
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	14.55	14.55
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	14.55	14.55

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Bluth, Thomas, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 Tractor Drive  
 Building CV  
 City East Peoria State IL Zip Code 61630  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99625**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**B. Breitschwerdt, Otto, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Distribution Svcs Reg Mgr  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 221.35

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99633**  
 Amount of Each Receipt this Period  
 221.35  
 Memo Item

**C. Cole, Keri, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 400 Regency Forest Drive  
 Suite 400  
 City Cary State NC Zip Code 27518  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Sr. Business Resource Manager  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99768**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	1054.69
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Crespo, Frank, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address Illinois Route 29 at Old Galena Ro  
 PO Box 610  
 City Mossville State IL Zip Code 61552  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-100052**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**B. Duensing, James, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 2120 West End Avenue  
 P O Box 340001  
 City Nashville State TN Zip Code 37203  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Executive VP / CFO  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99967**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**C. Eastwood, Patricia, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 2120 West End Avenue  
 P O Box 340001  
 City Nashville State TN Zip Code 37203  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Senior Corporate Counsel  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 223.66

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99947**  
 Amount of Each Receipt this Period  
 223.66  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	1057.00
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 22
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Ferguson, Steven, M, ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address Illinois Route 29 at Old Galena Ro  
 PO Box 610

City Mossville	State IL	Zip Code 61552
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) General Mgr Adv Comp Mfg
---	---

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
 416.67

Date of Receipt  
 01 / 31 / 2018  
**Transaction ID : A2018-99355**

Amount of Each Receipt this Period  
 416.67

Memo Item

**B. Fetch, Bonnie, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 500 N Morton Ave

City Morton	State IL	Zip Code 61550
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Dir. Cat Parts Distribution
---	--

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
 253.27

Date of Receipt  
 01 / 31 / 2018  
**Transaction ID : A2018-99589**

Amount of Each Receipt this Period  
 253.27

Memo Item

**C. Frake, Thomas, G, ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

City Peoria	State IL	Zip Code 61629
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Vice President
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Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date ▼  
 416.67

Date of Receipt  
 01 / 31 / 2018  
**Transaction ID : A2018-99639**

Amount of Each Receipt this Period  
 416.67

Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	1086.61
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Girard, Kristin, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 325 Route 31 South (Caterpillar Dr  
 PO Box 348  
 City Aurora State IL Zip Code 60507  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) WW Product Manager  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-100238**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**B. Gorman, Gerald, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 2120 West End Avenue  
 P O Box 340001  
 City Nashville State TN Zip Code 37203  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Chief Information Officer  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99968**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**C. Halverson, Bradley, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Group President & CFO  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99538**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	1250.01
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Hassiepen, John, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 Ne Adams

City Peoria	State IL	Zip Code 61629
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Senior Corporate Counsel
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Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
231.25

Date of Receipt  

M M	/	D D	/	Y Y Y Y
01		31		2018

**Transaction ID : A2018-99530**

Amount of Each Receipt this Period  
231.25

Memo Item

**B. High, Lance, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

City Peoria	State IL	Zip Code 61629
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) CECO & Grp. General Counsel
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Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
416.67

Date of Receipt  

M M	/	D D	/	Y Y Y Y
01		31		2018

**Transaction ID : A2018-99628**

Amount of Each Receipt this Period  
416.67

Memo Item

**C. Johnson, Craig, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

City Peoria	State IL	Zip Code 61629
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Deputy General Counsel
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Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date ▼  
416.67

Date of Receipt  

M M	/	D D	/	Y Y Y Y
01		31		2018

**Transaction ID : A2018-100294**

Amount of Each Receipt this Period  
416.67

Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	1064.59
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 11 OF 22
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Johnson, Denise, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Group President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-100060**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**B. Karol, Kathryn, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address Suite 400 1425 K Street  
 City Washington State DC Zip Code 20005  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-100176**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**C. Kauk, Zachary, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-100218**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	1250.01
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Kibby, Steven, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 Ne Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Senior Corporate Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 217.86

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99457**  
 Amount of Each Receipt this Period  
 217.86  
 Memo Item

**B. Kozlowski, Patrick, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 501 SW Jefferson  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) CI Digital Retail Strategy Mgr  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 220.47

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99562**  
 Amount of Each Receipt this Period  
 220.47  
 Memo Item

**C. Lagacy, Julie, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99533**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	855.00
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 22
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. McCallen, Douglas, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 500 Corporate Center  
 City Deerfield State IL Zip Code 60015  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Rgnl/Group Financial Officer  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-100237**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**B. McCartney, Sandra, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 Tractor Drive Building CV  
 City East Peoria State IL Zip Code 61630  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Lead Human Resources Mgr  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 201.50

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99422**  
 Amount of Each Receipt this Period  
 201.50  
 Memo Item

**C. McGuire, Michael, A, ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Deputy General Counsel  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99686**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	1034.84
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 14 OF 22
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Milman, Kelsey, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

City Peoria	State IL	Zip Code 61629
----------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Deputy General Counsel
---	---

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
416.00

Date of Receipt  

M M	/	D D	/	Y Y Y Y
01		31		2018

**Transaction ID : A2018-99332**

Amount of Each Receipt this Period  
416.00

Memo Item

**B. Myers, Christopher, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address Suite 400  
1425 K Street

City Washington	State DC	Zip Code 20005
--------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Federal Govt. Affairs Director
---	---

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
284.84

Date of Receipt  

M M	/	D D	/	Y Y Y Y
01		31		2018

**Transaction ID : A2018-100172**

Amount of Each Receipt this Period  
284.84

Memo Item

**C. O'Neil, Edwin, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

City Peoria	State IL	Zip Code 61629
----------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) GM Excavator Operations
---	--

Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date ▼  
250.00

Date of Receipt  

M M	/	D D	/	Y Y Y Y
01		31		2018

**Transaction ID : A2018-99486**

Amount of Each Receipt this Period  
250.00

Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	950.84
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 15 OF 22
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Paternoga, Robert, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address Illinois Route 29 at Old Galena Ro  
 PO Box 610  
 City Mossville State IL Zip Code 61552  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) General Manager Reman  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99648**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**B. Pellette, Thomas, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Group President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99661**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**C. Petterson, Douglas, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 954 NC Highway 42 East  
 City Clayton State NC Zip Code 27527  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) General Manager BCP Loaders  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99760**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	1250.01
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 16 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Rooney, James, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Deputy General Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99270**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**B. Sage, Jason, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 400 Regency Forest Drive Suite 400  
 City Cary State NC Zip Code 27518  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Lead Human Resources Mgr  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 220.47

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99755**  
 Amount of Each Receipt this Period  
 220.47  
 Memo Item

**C. Schwarzentraub, Barbara, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Sr. Business Resource Manager  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99346**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	1053.81
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Seely, Mary, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 14009 Old Galena Rd.  
Bldg A

City Mossville	State IL	Zip Code 61552
-------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Lead Human Resources Mgr
---	---

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
221.82

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
01		31		2018

**Transaction ID : A2018-100242**

Amount of Each Receipt this Period  
221.82

Memo Item

**B. Shurman, Rodney, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 3701 State Road 26 East

City Lafayette	State IN	Zip Code 47905
-------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Product Manager
---	--

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
238.37

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
01		31		2018

**Transaction ID : A2018-99836**

Amount of Each Receipt this Period  
238.37

Memo Item

**C. Smith, Mary, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 1600 Progress Drive

City Albertville	State AL	Zip Code 35950
---------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Progress Rail Services	Occupation (for Individual) Manager
---	--

Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date ▼  
224.62

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
01		18		2018

**Transaction ID : A2018-100335**

Amount of Each Receipt this Period  
112.31

Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	572.50
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 18 OF 22
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Steiner, Kevin, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Engineering Manager-1  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99256**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**B. Stiles, Sally, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Director of Global Tax & Trade  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99579**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**C. Stratton, Mark, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams Eastfield  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) General Manager IPSD  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-100168**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	1250.01
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 19 OF 22  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Thompson, Robert, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 2120 West End Avenue  
 P O Box 340001  
 City Nashville State TN Zip Code 37203  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) President Insurance Services  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 435.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99871**  
 Amount of Each Receipt this Period  
 435.00  
 Memo Item

**B. Umpleby, Donald, , , III**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 NE Adams  
 City Peoria State IL Zip Code 61629  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Chief Executive Officer  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99243**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**C. Utley, Tana, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address Illinois Route 29 at Old Galena Ro  
 PO Box 610  
 City Mossville State IL Zip Code 61552  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 416.67

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 01 / 31 / 2018  
**Transaction ID : A2018-99484**  
 Amount of Each Receipt this Period  
 416.67  
 Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 1268.34  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Walters, Thomas, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 600 S. Second Street  
 Suite 101  
 City Springfield State IL Zip Code 62704  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) US State & Americas GGCA Dir.  
 Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date 205.00

Date of Receipt 01 / 31 / 2018  
**Transaction ID : A2018-99281**  
 Amount of Each Receipt this Period 205.00  
 Memo Item

**B. Walton, David, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 2120 West End Avenue  
 P O Box 340001  
 City Nashville State TN Zip Code 37203  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President  
 Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date 351.35

Date of Receipt 01 / 31 / 2018  
**Transaction ID : A2018-99960**  
 Amount of Each Receipt this Period 351.35  
 Memo Item

**C. Weiss, Karl, , ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 100 Tractor Drive  
 Building CV  
 City East Peoria State IL Zip Code 61630  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President  
 Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date 416.67

Date of Receipt 01 / 31 / 2018  
**Transaction ID : A2018-100233**  
 Amount of Each Receipt this Period 416.67  
 Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 973.02  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Younessi, Ramin, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 6800 Doerr Lane

City Schertz	State TX	Zip Code 78154
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Vice President
---	---

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
416.67

Date of Receipt  

M M	/	D D	/	Y Y Y Y
01		31		2018

**Transaction ID : A2018-99999**

Amount of Each Receipt this Period  
416.67

Memo Item

**B. Zmugg, Leslie, , ,**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 2120 West End Avenue  
P O Box 340001

City Nashville	State TN	Zip Code 37203
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc.	Occupation (for Individual) Deputy General Counsel
---	---

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
416.67

Date of Receipt  

M M	/	D D	/	Y Y Y Y
01		31		2018

**Transaction ID : A2018-99872**

Amount of Each Receipt this Period  
416.67

Memo Item

**C.**  
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City	State	Zip Code
------	-------	----------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual)	Occupation (for Individual)
-----------------------------------	-----------------------------

Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt  

M M	/	D D	/	Y Y Y Y
-----	---	-----	---	---------

Amount of Each Receipt this Period

Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	833.34
<b>TOTAL</b> This Period (last page this line number only).....	16804.62

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**The Caterpillar Inc. Employee Political Action Committee**

**A. Citizens for Chad Hays**

Full Name (Last, First, Middle Initial)  
Mailing Address PO Box 1852

City Danville State IL Zip Code 61832

Purpose of Disbursement P-2018 State House 104 IL

Candidate Name Hays, Chad, , ,

Office Sought:  House  Senate  President  
State: IL District: 10

Disbursement For: 2018  
 Primary  General  
 Other (specify) ▼

Date of Disbursement: 01 / 11 / 2018

FEC Identification Number: C [ ]

Transaction ID : B651559

Amount of Each Disbursement this Period: [ ] - 1000.00

Memo Item 06/30/17

**B.**

Full Name (Last, First, Middle Initial)  
Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  
 Other (specify) ▼

Date of Disbursement: [ ] / [ ] / [ ]

FEC Identification Number: C [ ]

Amount of Each Disbursement this Period: [ ]

Memo Item

**C.**

Full Name (Last, First, Middle Initial)  
Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  
 Other (specify) ▼

Date of Disbursement: [ ] / [ ] / [ ]

FEC Identification Number: C [ ]

Amount of Each Disbursement this Period: [ ]

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶ [ ] - 1000.00

**TOTAL** This Period (last page this line number only)..... ▶ [ ] - 1000.00