02/15/2002 15 : 35

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street) ▼: 399 Park Avenue 5th Floor

Check if different than previously reported. (ACC)

CITY ▲	STATE ▲	ZIPCODE ▲
New York	NY	10022

2. **FEC IDENTIFICATION NUMBER** ▼: C00127670

3. IS THIS REPORT: NEW (N) **OR** X AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report(Q1)
- July 15 Quarterly Report(Q2)
- October 15 Quarterly Report(Q3)
- X January 31 Quarterly Report(YE)
- July 31 Mid-Year Report(Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (M13)

(c) 12-Day **PRE**-Election Report for the: Primary (12P) | General (12G) | Runoff (12R) | Convention (12C) | Special (12G)

Election on ____ in the State of ____

(d) 30-Day **P**ost -Election Report for the: General (30G) | Runoff (30R) | Special (30S)

Election on ____ in the State of ____

5. Covering Period 12 01 2001 through 12 31 2001

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer: Edward S. Grieb

Signature of Treasurer: Electronically Filed by Edward S. Grieb — Date: 02 15 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only			

FEC FORM 3X
(Revised 1/2001)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name
Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period: From: 12 01 2001 To: 12 31 2001

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1 2001		119194.71
	(b) Cash on Hand at Begining of Reporting Period	137543.88	
	(c) Total Receipts (from Line 19)	11140.03	151378.11
	(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	148683.91	270572.82
7.	Total Disbursements (from Line 30)	6056.60	127945.51
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	142627.31	142627.31
9.	Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
OF RECEIPTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period: From: 12 01 2001 To: 12 31 2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	10387.46	
(ii) Unitemized	721.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii)) ▶	11108.46	149910.57
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ▶	11108.46	149910.57
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts Dividends, Interest, etc.)	31.57	1467.54
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ▶	11140.03	151378.11
20. Total Federal Receipts (subtract Line 18 from Line 19) ▶	11140.03	151378.11

DETAILED SUMMARY PAGE
of Disbursements

FEC **Form 3X** (Revised 1/2001)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	56.60	2405.51
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶	56.60	2405.51
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	6000.00	125540.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶	6056.60	127945.51
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶	6056.60	127945.51
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3)	11108.46	149910.57
33. Total Contribution Refunds (from Line 28(d))	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	11108.46	149910.57
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	56.60	2405.51
36. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶	56.60	2405.51

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 5 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
ABRAMS STANLEY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
60.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
240.00

Transaction ID: A12171

B. Full Name (Last, First, Middle Initial)
AMIN KAUSHIK
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
450.00

Transaction ID: A12174

C. Full Name (Last, First, Middle Initial)
AMIN KAUSHIK
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
500.00

Transaction ID: A12767

SUBTOTAL of Receipts This Page (optional) ▶ **160.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
APPEL FREDERICK
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Transaction ID: A12176

B. Full Name (Last, First, Middle Initial)
APPEL FREDERICK
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12789

C. Full Name (Last, First, Middle Initial)
ARNOLD WILLIAM G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
FIRST VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Transaction ID: A12178

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
ARNOLD WILLIAM G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
FIRST VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
780.00

Transaction ID: A12771

B. Full Name (Last, First, Middle Initial)
ASSELSTIN JAMES K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1250.00

Transaction ID: A12179

C. Full Name (Last, First, Middle Initial)
ASSELSTIN JAMES K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1300.00

Transaction ID: A12772

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
BARRETT RICHARD M
Mailing Address
203 MAC INTYRE LANE
City | State | Zip Code
ALLENDALE | NJ | 07401

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Date of Receipt
M M / D D / Y Y Y Y
12 / 14 / 2001

Amount of Each Receipt this Period
30.00

Transaction ID: A12180

B. Full Name (Last, First, Middle Initial)
BARRETT RICHARD M
Mailing Address
203 MAC INTYRE LANE
City | State | Zip Code
ALLENDALE | NJ | 07401

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
780.00

Date of Receipt
M M / D D / Y Y Y Y
12 / 31 / 2001

Amount of Each Receipt this Period
30.00

Transaction ID: A12773

C. Full Name (Last, First, Middle Initial)
BASULTO VINCENT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Date of Receipt
M M / D D / Y Y Y Y
12 / 14 / 2001

Amount of Each Receipt this Period
10.00

Transaction ID: A12181

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
BASULTO VINCENT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Transaction ID: A12774

B. Full Name (Last, First, Middle Initial)
BATH DAVID B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Transaction ID: A12182

C. Full Name (Last, First, Middle Initial)
BATH DAVID B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12775

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
BENSON BETH A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12183

B.
Full Name (Last, First, Middle Initial)
BENSON BETH A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12776

C.
Full Name (Last, First, Middle Initial)
BERNARD THOMAS E.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12184

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
BERNARD THOMAS E.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12777

B.
Full Name (Last, First, Middle Initial)
BRAND DAVID J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12187

C.
Full Name (Last, First, Middle Initial)
BRAND DAVID J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12780

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
BRATTON RICHARD E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

FEC ID number of contributing federal political committee.

Amount of Each Receipt this Period
10.00

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Transaction ID: A12189

B. Full Name (Last, First, Middle Initial)
BRATTON RICHARD E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

FEC ID number of contributing federal political committee.

Amount of Each Receipt this Period
10.00

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Transaction ID: A12781

C. Full Name (Last, First, Middle Initial)
BRIER BRUCE D.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

FEC ID number of contributing federal political committee.

Amount of Each Receipt this Period
25.00

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
FIRST VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
625.00

Transaction ID: A12189

SUBTOTAL of Receipts This Page (optional) ▶ **45.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
BRIER BRUCE D.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 650.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12782

B. Full Name (Last, First, Middle Initial)
BUONINCON DIANNE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12190

C. Full Name (Last, First, Middle Initial)
BUONINCON DIANNE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12783

SUBTOTAL of Receipts This Page (optional) ▶ **45.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
BUTLER SHAUN K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

FEC ID number of contributing federal political committee.

Amount of Each Receipt this Period
40.00

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Transaction ID: A12191

B. Full Name (Last, First, Middle Initial)
BUTLER SHAUN K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

FEC ID number of contributing federal political committee.

Amount of Each Receipt this Period
40.00

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1040.00

Transaction ID: A12784

C. Full Name (Last, First, Middle Initial)
BYLON LANGE F
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

FEC ID number of contributing federal political committee.

Amount of Each Receipt this Period
15.00

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
375.00

Transaction ID: A12192

SUBTOTAL of Receipts This Page (optional) ▶ **95.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
BYLON LANCE F
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12785

B.
Full Name (Last, First, Middle Initial)
CALDWELL ROBERT S.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12193

C.
Full Name (Last, First, Middle Initial)
CALDWELL ROBERT S.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12786

SUBTOTAL of Receipts This Page (optional) ▶ **45.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
CLAIBORN STEPHEN A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
865.00

Transaction ID: A12196

B. Full Name (Last, First, Middle Initial)
CLAIBORN STEPHEN A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
895.00

Transaction ID: A12789

C. Full Name (Last, First, Middle Initial)
CONNALLY MARK M
Mailing Address
2023 MORSE ST
City | State | Zip Code
HOUSTON | TX | 77019

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
225.00

Transaction ID: A12199

SUBTOTAL of Receipts This Page (optional) ▶ **85.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
CONNALLY MARK M
Mailing Address
2023 MORSE ST
City | State | Zip Code
HOUSTON | TX | 77019
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
250.00
Date of Receipt
12 31 2001
Amount of Each Receipt this Period
25.00
Transaction ID: A12792

B.
Full Name (Last, First, Middle Initial)
CONNORS KEVIN T
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1250.00
Date of Receipt
12 14 2001
Amount of Each Receipt this Period
50.00
Transaction ID: A12200

C.
Full Name (Last, First, Middle Initial)
CONNORS KEVIN T
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1300.00
Date of Receipt
12 31 2001
Amount of Each Receipt this Period
50.00
Transaction ID: A12793

SUBTOTAL of Receipts This Page (optional) ▶ **125.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
CORCORAN THOMAS P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Transaction ID: A12202

B. Full Name (Last, First, Middle Initial)
CORCORAN THOMAS P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
520.00

Transaction ID: A12795

C. Full Name (Last, First, Middle Initial)
CORNALI CLAUDIO
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Transaction ID: A12204

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
CORNALI CLAUDIO
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
780.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

Transaction ID: A12797

B. Full Name (Last, First, Middle Initial)
CORTES HECTOR A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
465.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
25.00

Transaction ID: A12205

C. Full Name (Last, First, Middle Initial)
CORTES HECTOR A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
490.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
25.00

Transaction ID: A12798

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 114
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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
COX ROBERT C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Transaction ID: A12206

B. Full Name (Last, First, Middle Initial)
COX ROBERT C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
780.00

Transaction ID: A12799

C. Full Name (Last, First, Middle Initial)
CUTLER ALLEN B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Transaction ID: A12207

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
CUTLER ALLEN B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

Transaction ID: A12800

B. Full Name (Last, First, Middle Initial)
DAVEY PAUL M.
Mailing Address
3 EAST 66TH 8B
City | State | Zip Code
NEW YORK | NY | 10021

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
475.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
40.00

Transaction ID: A12208

C. Full Name (Last, First, Middle Initial)
DE GAGLIA THOMAS
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

Transaction ID: A12209

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
DE GAGLIA THOMAS
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12801

B.
Full Name (Last, First, Middle Initial)
DE GENNAR MARK W
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12210

C.
Full Name (Last, First, Middle Initial)
DE GENNAR MARK W
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12802

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
DEAN PATRICK J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 500.00

Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12212

B.
Full Name (Last, First, Middle Initial)
DEAN PATRICK J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 520.00

Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12804

C.
Full Name (Last, First, Middle Initial)
DEGRAFF DONALD
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 250.00

Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12211

SUBTOTAL of Receipts This Page (optional) ▶ **50.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
DEGRAFF DONALD
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 260.00

Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12803

B. Full Name (Last, First, Middle Initial)
DONOHUE EDWARD B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR

Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 500.00

Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12214

C. Full Name (Last, First, Middle Initial)
DONOHUE EDWARD B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR

Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 520.00

Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12806

SUBTOTAL of Receipts This Page (optional) ▶ **50.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
DZIEDZIC STANLEY J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
46.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
322.00

Transaction ID: A12216

B. Full Name (Last, First, Middle Initial)
DZIEDZIC STANLEY J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
46.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
368.00

Transaction ID: A12808

C. Full Name (Last, First, Middle Initial)
EDWARDS PAUL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Transaction ID: A12217

SUBTOTAL of Receipts This Page (optional) ▶ **142.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
EDWARDS PAUL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12809

B. Full Name (Last, First, Middle Initial)
ELKINS JAY S
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Transaction ID: A12218

C. Full Name (Last, First, Middle Initial)
ELKINS JAY S
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
520.00

Transaction ID: A12810

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
EMMERT JAMES R
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
FIRST VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
250.00

Transaction ID: A12219

B. Full Name (Last, First, Middle Initial)
EMMERT JAMES R
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
FIRST VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
260.00

Transaction ID: A12811

C. Full Name (Last, First, Middle Initial)
EPSTEIN JONATHAN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
360.00

Transaction ID: A12220

SUBTOTAL of Receipts This Page (optional) ▶ **50.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
ERNST HERBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Transaction ID: A12221

B. Full Name (Last, First, Middle Initial)
ERNST HERBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12812

C. Full Name (Last, First, Middle Initial)
ERSOFF BRETT I
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Transaction ID: A12222

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
ERSOFF BRETT I
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
780.00

Transaction ID: A12813

B. Full Name (Last, First, Middle Initial)
FEIGELES EDWARD M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1250.00

Transaction ID: A12224

C. Full Name (Last, First, Middle Initial)
FEIGELES EDWARD M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1300.00

Transaction ID: A12815

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
FIDELIA-DAGUILLARD NADJA
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
100.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1780.00

Transaction ID: A12225

B. Full Name (Last, First, Middle Initial)
FIDELIA-DAGUILLARD NADJA
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
100.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1880.00

Transaction ID: A12816

C. Full Name (Last, First, Middle Initial)
FINNERTY THOMAS P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Transaction ID: A12226

SUBTOTAL of Receipts This Page (optional) ▶ **210.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
FINNERTY THOMAS P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Transaction ID: A12817

B. Full Name (Last, First, Middle Initial)
FLECKENSTEIN W DANSBY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
360.00

Transaction ID: A12227

C. Full Name (Last, First, Middle Initial)
FLEISCHOR KIRK D
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Transaction ID: A12228

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
FLEISCHOR KIRK D
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
780.00

Date of Receipt
M M / D D / Y Y Y Y
12 31 2001

Amount of Each Receipt this Period
30.00

Transaction ID: A12618

B. Full Name (Last, First, Middle Initial)
FORD JONATHAN G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
860.00

Date of Receipt
M M / D D / Y Y Y Y
12 14 2001

Amount of Each Receipt this Period
30.00

Transaction ID: A12229

C. Full Name (Last, First, Middle Initial)
FORTE VICTOR A.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
625.00

Date of Receipt
M M / D D / Y Y Y Y
12 14 2001

Amount of Each Receipt this Period
25.00

Transaction ID: A12230

SUBTOTAL of Receipts This Page (optional) ▶ **85.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
FORTE VICTOR A.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 650.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12819

B. Full Name (Last, First, Middle Initial)
FOX GERARD J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12231

C. Full Name (Last, First, Middle Initial)
FOX GERARD J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12820

SUBTOTAL of Receipts This Page (optional) ▶ **55.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
FRANK FREDERICK
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12233

B. Full Name (Last, First, Middle Initial)
FRANK FREDERICK
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12822

C. Full Name (Last, First, Middle Initial)
FRANK III EDWIN G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12232

SUBTOTAL of Receipts This Page (optional) ▶ **120.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 35 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
FRANKLIN EDWING
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12821

B. Full Name (Last, First, Middle Initial)
GALLAGHER BILL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12234

C. Full Name (Last, First, Middle Initial)
GALLAGHER BILL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12823

SUBTOTAL of Receipts This Page (optional) ▶ **50.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 36 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GARGANO GLORIANNE M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
375.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
15.00

Transaction ID: A12235

B. Full Name (Last, First, Middle Initial)
GARGANO GLORIANNE M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
390.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
15.00

Transaction ID: A12824

C. Full Name (Last, First, Middle Initial)
GATES WILLIAM H
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1300.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
100.00

Transaction ID: A12236

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
GATES WILLIAM H
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
100.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1400.00

Transaction ID: A12825

B.
Full Name (Last, First, Middle Initial)
GELBAND MICHAEL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Transaction ID: A12237

C.
Full Name (Last, First, Middle Initial)
GELBAND MICHAEL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12826

SUBTOTAL of Receipts This Page (optional) ▶ **200.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GENIRS-JO JEANMARIE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 250.00

Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12238

B. Full Name (Last, First, Middle Initial)
GENIRS-JO JEANMARIE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 260.00

Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12827

C. Full Name (Last, First, Middle Initial)
GIBBS JAMES H
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1250.00

Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12239

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 39 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GIBBS JAMES H
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12828

B. Full Name (Last, First, Middle Initial)
GLASS JEFFREY N
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
625.00

Transaction ID: A12240

C. Full Name (Last, First, Middle Initial)
GLASS JEFFREY N
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
650.00

Transaction ID: A12829

SUBTOTAL of Receipts This Page (optional) ▶ **100.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 40 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GODLIS LEWIS S
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Transaction ID: A12241

B. Full Name (Last, First, Middle Initial)
GODLIS LEWIS S
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Transaction ID: A12830

C. Full Name (Last, First, Middle Initial)
GOLDBERG STEVEN H
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
495.00

Transaction ID: A12242

SUBTOTAL of Receipts This Page (optional) ▶ **50.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 41 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GOLDBERG STEVEN H
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
525.00

Transaction ID: A12831

B. Full Name (Last, First, Middle Initial)
GOLDFARB DAVID
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Transaction ID: A12243

C. Full Name (Last, First, Middle Initial)
GOLDFARB DAVID
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
780.00

Transaction ID: A12832

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 42 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
GRABER JOE A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12244

B.
Full Name (Last, First, Middle Initial)
GRABER JOE A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12833

C.
Full Name (Last, First, Middle Initial)
GRAY LYNN Z.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12245

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 43 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GRAY LYNN Z.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12834

B. Full Name (Last, First, Middle Initial)
GREEN ERNEST G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12246

C. Full Name (Last, First, Middle Initial)
GREEN ERNEST G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12835

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 44 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GRIFFIN BERNARD J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
15.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
FIRST VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
375.00

Transaction ID: A12249

B. Full Name (Last, First, Middle Initial)
GRIFFIN BERNARD J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
15.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
FIRST VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
390.00

Transaction ID: A12838

C. Full Name (Last, First, Middle Initial)
GRIMM KRIS D
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Transaction ID: A12250

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 45 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GRIMM KRIS D
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
780.00

Transaction ID: A12839

B. Full Name (Last, First, Middle Initial)
GUARNIERI MICHAEL P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
15.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
375.00

Transaction ID: A12252

C. Full Name (Last, First, Middle Initial)
GUARNIERI MICHAEL P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
15.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
390.00

Transaction ID: A12841

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 46 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GUENTHER TODD C
Mailing Address
150 COLUMBUS AVE 16C
City | State | Zip Code
NEW YORK | NY | 10023
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 690.00

Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12253

B. Full Name (Last, First, Middle Initial)
GUENTHER TODD C
Mailing Address
150 COLUMBUS AVE 16C
City | State | Zip Code
NEW YORK | NY | 10023
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 710.00

Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12842

C. Full Name (Last, First, Middle Initial)
GUGLIELMO ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 500.00

Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12254

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 47 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
GUGLIELMO ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
520.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

Transaction ID: A12843

B. Full Name (Last, First, Middle Initial)
HARTMEIER MIKE A
Mailing Address
266 DENSLOW AVE
City | State | Zip Code
LOS ANGELES | CA | 90049

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
400.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

Transaction ID: A12255

C. Full Name (Last, First, Middle Initial)
HARTMEIER MIKE A
Mailing Address
266 DENSLOW AVE
City | State | Zip Code
LOS ANGELES | CA | 90049

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
450.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

Transaction ID: A12844

SUBTOTAL of Receipts This Page (optional) ▶ **120.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 48 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
HAWKINS THOMAS A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 825.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12256

B. Full Name (Last, First, Middle Initial)
HAWKINS THOMAS A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 850.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12845

C. Full Name (Last, First, Middle Initial)
HOFFMEIST PERRY C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12259

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 49 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
HOFFMEIST PERRY C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
780.00

Transaction ID: A12848

B. Full Name (Last, First, Middle Initial)
HOLDGRAFE JEFFREY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
500.00

Transaction ID: A12280

C. Full Name (Last, First, Middle Initial)
HOLDGRAFE JEFFREY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
520.00

Transaction ID: A12849

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 50 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
HOUSEWORTH COURT H
Mailing Address
136 SILVER OAK TERRACE
City | State | Zip Code
ORINDA | CA | 94563
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
750.00

Date of Receipt
12 14 2001
Amount of Each Receipt this Period
30.00
Transaction ID: A12261

B. Full Name (Last, First, Middle Initial)
HOUSEWORTH COURT H
Mailing Address
136 SILVER OAK TERRACE
City | State | Zip Code
ORINDA | CA | 94563
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
780.00

Date of Receipt
12 31 2001
Amount of Each Receipt this Period
30.00
Transaction ID: A12850

C. Full Name (Last, First, Middle Initial)
HOWARD MARK W
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date
1250.00

Date of Receipt
12 14 2001
Amount of Each Receipt this Period
50.00
Transaction ID: A12262

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 51 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
HOWARD MARK W
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12851

B. Full Name (Last, First, Middle Initial)
HOWARD STEPHEN E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Transaction ID: A12283

C. Full Name (Last, First, Middle Initial)
HOWARD STEPHEN E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Transaction ID: A12852

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 52 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
HOY ROBERT J
Mailing Address
43 WEST 21ST APT. 4
City | State | Zip Code
NEW YORK | NY | 10010
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
800.00

Date of Receipt
12 14 2001
Amount of Each Receipt this Period
100.00
Transaction ID: A12264

B. Full Name (Last, First, Middle Initial)
HYNOTE ROBERT A
Mailing Address
4459 REDWOOD ROAD
City | State | Zip Code
NAPA | CA | 94558
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1250.00

Date of Receipt
12 14 2001
Amount of Each Receipt this Period
50.00
Transaction ID: A12286

C. Full Name (Last, First, Middle Initial)
HYNOTE ROBERT A
Mailing Address
4459 REDWOOD ROAD
City | State | Zip Code
NAPA | CA | 94558
FEC ID number of contributing federal political committee.
Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date
1300.00

Date of Receipt
12 31 2001
Amount of Each Receipt this Period
50.00
Transaction ID: A12853

SUBTOTAL of Receipts This Page (optional) ▶ **200.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 53 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
IORIO JAMES L.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
250.00

Transaction ID: A12267

B. Full Name (Last, First, Middle Initial)
IORIO JAMES L.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
260.00

Transaction ID: A12854

C. Full Name (Last, First, Middle Initial)
JANULIS THEODORE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1000.00

Transaction ID: A12268

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 54 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
JANULIS THEODORE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12855

B. Full Name (Last, First, Middle Initial)
JENNINGS WILLIAM
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12269

C. Full Name (Last, First, Middle Initial)
JENNINGS WILLIAM
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12856

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 55 / 114
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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
JONES CRAIG L.
Mailing Address
137 FOREST AVE
City | State | Zip Code
GLEN RIDGE | NJ | 07028
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 375.00

Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12270

B. Full Name (Last, First, Middle Initial)
JONES CRAIG L.
Mailing Address
137 FOREST AVE
City | State | Zip Code
GLEN RIDGE | NJ | 07028
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 390.00

Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12857

C. Full Name (Last, First, Middle Initial)
JOTWANI TARUN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 360.00

Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12271

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 56 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
KANE JEANNE M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
40.00

Transaction ID: A12272

B. Full Name (Last, First, Middle Initial)
KANE JEANNE M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1040.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
40.00

Transaction ID: A12858

C. Full Name (Last, First, Middle Initial)
KELLY JOSEPH J.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

Transaction ID: A12274

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 57 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
KELLY JOSEPH J.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
260.00

Transaction ID: A12860

B. Full Name (Last, First, Middle Initial)
KIERNAN JOHN J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1250.00

Transaction ID: A12275

C. Full Name (Last, First, Middle Initial)
KIERNAN JOHN J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1300.00

Transaction ID: A12861

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 58 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
KILLIAN GARY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Transaction ID: A12276

B. Full Name (Last, First, Middle Initial)
KILLIAN GARY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
520.00

Transaction ID: A12882

C. Full Name (Last, First, Middle Initial)
KIRSCH RICHARD
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
275.00

Transaction ID: A12277

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 59 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
KITEI JONATHAN M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12278

B. Full Name (Last, First, Middle Initial)
KITEI JONATHAN M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12883

C. Full Name (Last, First, Middle Initial)
KRUEGER HARVEY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12279

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 60 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
KRUEGER HARVEY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12864

B. Full Name (Last, First, Middle Initial)
LAHEY MARK K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Transaction ID: A12280

C. Full Name (Last, First, Middle Initial)
LAHEY MARK K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1040.00

Transaction ID: A12865

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 61 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LAUCKS CRAIG S
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
80.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
720.00

Transaction ID: A12283

B. Full Name (Last, First, Middle Initial)
LAVELLE DAVID J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Transaction ID: A12284

C. Full Name (Last, First, Middle Initial)
LAVELLE DAVID J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Transaction ID: A12867

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 62 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LESSING STEPHEN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12285

B. Full Name (Last, First, Middle Initial)
LESSING STEPHEN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12868

C. Full Name (Last, First, Middle Initial)
LI RAYMOND K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12286

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 63 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
LI RAYMOND K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12869

B.
Full Name (Last, First, Middle Initial)
LIEBER ROBERT C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12287

C.
Full Name (Last, First, Middle Initial)
LIEBER ROBERT C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12870

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 64 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LIEBERBERG ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Transaction ID: A12289

B. Full Name (Last, First, Middle Initial)
LIEBERBERG ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12871

C. Full Name (Last, First, Middle Initial)
LIGHTEN WILLIAM E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Transaction ID: A12288

SUBTOTAL of Receipts This Page (optional) ▶ **120.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 65 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LIGHTEN WILLIAM E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
520.00

Transaction ID: A12872

B. Full Name (Last, First, Middle Initial)
LISTER JAMES
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
60.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1500.00

Transaction ID: A12290

C. Full Name (Last, First, Middle Initial)
LISTER JAMES
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
60.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1560.00

Transaction ID: A12873

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 66 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LOFTUS JOSEPH A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼
750.00

Date of Receipt
M M / D D / Y Y Y Y
12 / 14 / 2001

Amount of Each Receipt this Period
30.00

Transaction ID: A12291

B. Full Name (Last, First, Middle Initial)
LOFTUS JOSEPH A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼
780.00

Date of Receipt
M M / D D / Y Y Y Y
12 / 31 / 2001

Amount of Each Receipt this Period
30.00

Transaction ID: A12874

C. Full Name (Last, First, Middle Initial)
LOHUIS DAVID W
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼
1250.00

Date of Receipt
M M / D D / Y Y Y Y
12 / 14 / 2001

Amount of Each Receipt this Period
50.00

Transaction ID: A12292

SUBTOTAL of Receipts This Page (optional) ▶ **110.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 67 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
LOHUIS DAVID W
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12875

B. Full Name (Last, First, Middle Initial)
LOWELL DAWN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Transaction ID: A12293

C. Full Name (Last, First, Middle Initial)
LOWELL DAWN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
520.00

Transaction ID: A12876

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 68 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
LUPO JR FRANK J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

Transaction ID: A12294

B.
Full Name (Last, First, Middle Initial)
LUPO JR FRANK J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
520.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

Transaction ID: A12877

C.
Full Name (Last, First, Middle Initial)
MAJECTIC GUY A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
375.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
15.00

Transaction ID: A12296

SUBTOTAL of Receipts This Page (optional) ▶ **55.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 69 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
MAJECTIC GUYA
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
15.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
390.00

Transaction ID: A12879

B.
Full Name (Last, First, Middle Initial)
MALIK ANDREW J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1250.00

Transaction ID: A12297

C.
Full Name (Last, First, Middle Initial)
MALIK ANDREW J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1300.00

Transaction ID: A12880

SUBTOTAL of Receipts This Page (optional) ▶ **115.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 70 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
MALIN MARK C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
35.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
420.00

Transaction ID: A12298

B. Full Name (Last, First, Middle Initial)
MALVEY JOHN V
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Transaction ID: A12299

C. Full Name (Last, First, Middle Initial)
MALVEY JOHN V
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12881

SUBTOTAL of Receipts This Page (optional) ▶ **135.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 71 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
MARCUCCI MARK J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
250.00

Transaction ID: A12301

B. Full Name (Last, First, Middle Initial)
MARCUCCI MARK J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
260.00

Transaction ID: A12883

C. Full Name (Last, First, Middle Initial)
MARINO THOMAS N
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1250.00

Transaction ID: A12303

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 72 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
MARINO THOMAS N
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12685

B.
Full Name (Last, First, Middle Initial)
MARON MARK S
Mailing Address
1490 BIENUENEPA
City | State | Zip Code
PACIFIC PALISADES | CA | 90272

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
450.00

Transaction ID: A12304

C.
Full Name (Last, First, Middle Initial)
MARON MARK S
Mailing Address
1490 BIENUENEPA
City | State | Zip Code
PACIFIC PALISADES | CA | 90272

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Transaction ID: A12686

SUBTOTAL of Receipts This Page (optional) ▶ **150.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 73 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
MARSH LAWRENCE C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
350.00

Transaction ID: A12306

B. Full Name (Last, First, Middle Initial)
MARSH LAWRENCE C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
400.00

Transaction ID: A12887

C. Full Name (Last, First, Middle Initial)
MCCOMBIE JANICE B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
15.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
375.00

Transaction ID: A12307

SUBTOTAL of Receipts This Page (optional) ▶ **115.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 74 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
MCCOMBIE JANICE B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 390.00

Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12689

B.
Full Name (Last, First, Middle Initial)
MILVERSTE MICHAEL R
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1250.00

Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12311

C.
Full Name (Last, First, Middle Initial)
MILVERSTE MICHAEL R
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1300.00

Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12891

SUBTOTAL of Receipts This Page (optional) ▶ **115.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 75 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
MURRAY GUY J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12314

B. Full Name (Last, First, Middle Initial)
MURRAY GUY J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12894

C. Full Name (Last, First, Middle Initial)
MURRAY JR JOHN P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12313

SUBTOTAL of Receipts This Page (optional) ▶ **40.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 76 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
MURRAY JR JOHN P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
520.00

Transaction ID: A12893

B. Full Name (Last, First, Middle Initial)
NAPOLITANS FRANK A
Mailing Address
226 LINDEN AVENUE
City | State | Zip Code
WESTFIELD | NJ | 07090

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
300.00

Transaction ID: A12315

C. Full Name (Last, First, Middle Initial)
NAPOLITANS FRANK A
Mailing Address
226 LINDEN AVENUE
City | State | Zip Code
WESTFIELD | NJ | 07090

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
350.00

Transaction ID: A12895

SUBTOTAL of Receipts This Page (optional) ▶ **120.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 77 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
NASH ANTHONY A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Transaction ID: A12316

B. Full Name (Last, First, Middle Initial)
NASH ANTHONY A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Transaction ID: A12896

C. Full Name (Last, First, Middle Initial)
O'DONNELL DEIRDRE J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Transaction ID: A12319

SUBTOTAL of Receipts This Page (optional) ▶ **40.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 78 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
O'DONNELL DEIRDRE J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12899

B.
Full Name (Last, First, Middle Initial)
O'HANLON MICHAEL J.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 2400.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A12321

C.
Full Name (Last, First, Middle Initial)
ODRICH MICHAEL J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 450.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12320

SUBTOTAL of Receipts This Page (optional) ▶ **170.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 79 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
ODRICH MICHAEL J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
500.00

Transaction ID: A12900

B. Full Name (Last, First, Middle Initial)
OKIN ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
15.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
375.00

Transaction ID: A12322

C. Full Name (Last, First, Middle Initial)
OKIN ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
15.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
390.00

Transaction ID: A12901

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 80 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
PALEY MARC L
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
250.00

Transaction ID: A12323

B. Full Name (Last, First, Middle Initial)
PALEY MARC L
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
260.00

Transaction ID: A12902

C. Full Name (Last, First, Middle Initial)
PANAGEAS SOTIRIOS
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
60.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
930.00

Transaction ID: A12324

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 81 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
PANAGEAS SOTIRIOS
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 990.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 60.00
Transaction ID: A12903

B. Full Name (Last, First, Middle Initial)
PENROSE JAMES C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12325

C. Full Name (Last, First, Middle Initial)
PENROSE JAMES C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12904

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 82 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
PETERS STEPHEN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12326

B. Full Name (Last, First, Middle Initial)
PETERS STEPHEN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12905

C. Full Name (Last, First, Middle Initial)
PIA LOUIS
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12327

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 83 / 114
(check only one)

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
PIA LOUIS
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
520.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

Transaction ID: A12906

B.
Full Name (Last, First, Middle Initial)
POLIZZOTT JOSEPH
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

Transaction ID: A12328

C.
Full Name (Last, First, Middle Initial)
POLIZZOTT JOSEPH
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
520.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
20.00

Transaction ID: A12907

SUBTOTAL of Receipts This Page (optional) ▶ **60.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 84 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
PUCCIAREL JAMES V
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 461.52
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 38.46
Transaction ID: A12329

B.
Full Name (Last, First, Middle Initial)
REDMOND RICHARD R
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12330

C.
Full Name (Last, First, Middle Initial)
REDMOND RICHARD R
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12909

SUBTOTAL of Receipts This Page (optional) ▶ **78.46**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 85 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
REILLY BRIAN M.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12331

B. Full Name (Last, First, Middle Initial)
REILLY BRIAN M.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12909

C. Full Name (Last, First, Middle Initial)
REYNOLDS LISA G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12332

SUBTOTAL of Receipts This Page (optional) ▶ **50.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 86 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
REYNOLDS LISA G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12910

B. Full Name (Last, First, Middle Initial)
RILEY-MOT MARY KAY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12312

C. Full Name (Last, First, Middle Initial)
RILEY-MOT MARY KAY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12892

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 87 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
RISPOLI DOMINIC
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
385.00

Transaction ID: A12333

B. Full Name (Last, First, Middle Initial)
RISPOLI DOMINIC
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
410.00

Transaction ID: A12911

C. Full Name (Last, First, Middle Initial)
RIZZIERI GERALD A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
460.00

Transaction ID: A12334

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 88 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
RIZZO CHARLES K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Transaction ID: A12335

B. Full Name (Last, First, Middle Initial)
RIZZO CHARLES K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Transaction ID: A12912

C. Full Name (Last, First, Middle Initial)
RIZZOTTO ANTHONY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
15.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
375.00

Transaction ID: A12336

SUBTOTAL of Receipts This Page (optional) ▶ **35.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 89 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
RIZZOTTO ANTHONY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12913

B. Full Name (Last, First, Middle Initial)
ROBERTS ERIC W
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12337

C. Full Name (Last, First, Middle Initial)
ROBERTS ERIC W
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12914

SUBTOTAL of Receipts This Page (optional) ▶ **115.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 90 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
ROWLEY BETH L
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Transaction ID: A12339

B. Full Name (Last, First, Middle Initial)
ROWLEY BETH L
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1040.00

Transaction ID: A12916

C. Full Name (Last, First, Middle Initial)
RUSSO THOMAS A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Transaction ID: A12340

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 91 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
RUSSO THOMAS A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12917

B. Full Name (Last, First, Middle Initial)
RYLAND KYLE T
Mailing Address
765 BONHILL RD
City | State | Zip Code
HILLSBOROGH | CA | 94010

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
300.00

Transaction ID: A12341

C. Full Name (Last, First, Middle Initial)
RYLAND KYLE T
Mailing Address
765 BONHILL RD
City | State | Zip Code
HILLSBOROGH | CA | 94010

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
350.00

Transaction ID: A12918

SUBTOTAL of Receipts This Page (optional) ▶ **150.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 92 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
SALKO RANDI J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Transaction ID: A12343

B. Full Name (Last, First, Middle Initial)
SALKO RANDI J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
780.00

Transaction ID: A12920

C. Full Name (Last, First, Middle Initial)
SANBORN GILBERT D
Mailing Address
125 OLD HYDE RD
City | State | Zip Code
WESTON | CT | 06883

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
100.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
800.00

Transaction ID: A12344

SUBTOTAL of Receipts This Page (optional) ▶ **160.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 93 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
SANBORN GILBERT D
Mailing Address
125 OLD HYDE RD
City | State | Zip Code
WESTON | CT | 06883
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 900.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A12921

B. Full Name (Last, First, Middle Initial)
SCHWADRON DAVID A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 400.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 16.00
Transaction ID: A12347

C. Full Name (Last, First, Middle Initial)
SCHWADRON DAVID A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 416.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 16.00
Transaction ID: A12924

SUBTOTAL of Receipts This Page (optional) ▶ **132.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 94 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
SEAMAN JEFFREY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Transaction ID: A12348

B. Full Name (Last, First, Middle Initial)
SEAMAN JEFFREY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
780.00

Transaction ID: A12925

C. Full Name (Last, First, Middle Initial)
SHERR DAVID N
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
20.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Transaction ID: A12350

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 95 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
SHERR DAVID N
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12927

B. Full Name (Last, First, Middle Initial)
SMITH JAMES P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 240.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12352

C. Full Name (Last, First, Middle Initial)
SPARKS ALAN A.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12353

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 96 / 114
(check only one)

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
SPARKS ALAN A.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Transaction ID: A12929

B. Full Name (Last, First, Middle Initial)
SULLIVAN CLARE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Transaction ID: A12355

C. Full Name (Last, First, Middle Initial)
SULLIVAN CLARE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Transaction ID: A12931

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 97 / 114
(check only one)
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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
SWEENEY JOHN K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1104.55

Transaction ID: A12356

B. Full Name (Last, First, Middle Initial)
SWEENEY JOHN K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1144.55

Transaction ID: A12932

C. Full Name (Last, First, Middle Initial)
TAYLOR PETER J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Transaction ID: A12357

SUBTOTAL of Receipts This Page (optional) ▶ **90.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 98 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
TAYLOR PETER J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
260.00

Transaction ID: A12933

B. Full Name (Last, First, Middle Initial)
TEGEN DOUGLAS B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
80.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1000.00

Transaction ID: A12358

C. Full Name (Last, First, Middle Initial)
TEGEN DOUGLAS B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date
1040.00

Transaction ID: A12934

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 99 / 114
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
TEICHMAN HAROLD
Mailing Address
68 PROSPECT PLACE
City | State | Zip Code
RUTHERFORD | NJ | 07070
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12359

B. Full Name (Last, First, Middle Initial)
TEICHMAN HAROLD
Mailing Address
68 PROSPECT PLACE
City | State | Zip Code
RUTHERFORD | NJ | 07070
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12935

C. Full Name (Last, First, Middle Initial)
TEIFELD LEONARD M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12360

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) — PAGE 100 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
TEIFELD LEONARD M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt: 12 31 2001

Amount of Each Receipt this Period: 40.00

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR

Receipt For: Primary / General / Other (specify)

Aggregate Year-to-Date: 1040.00

Transaction ID: A12936

B. Full Name (Last, First, Middle Initial)
TOBIN PAUL G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt: 12 14 2001

Amount of Each Receipt this Period: 50.00

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR

Receipt For: Primary / General / Other (specify)

Aggregate Year-to-Date: 600.00

Transaction ID: A12361

C. Full Name (Last, First, Middle Initial)
TURNER FRANK P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt: 12 14 2001

Amount of Each Receipt this Period: 30.00

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE

Receipt For: Primary / General / Other (specify)

Aggregate Year-to-Date: 665.00

Transaction ID: A12364

SUBTOTAL of Receipts This Page (optional) ▶ **120.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 101 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
TURNER FRANK P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
895.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

Transaction ID: A12939

B. Full Name (Last, First, Middle Initial)
UZELAC JOSEPH G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
625.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
25.00

Transaction ID: A12385

C. Full Name (Last, First, Middle Initial)
UZELAC JOSEPH G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
650.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
25.00

Transaction ID: A12940

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
VANDERBEE JEFFREY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12366

B. Full Name (Last, First, Middle Initial)
VANDERBEE JEFFREY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12941

C. Full Name (Last, First, Middle Initial)
VOIGTMAN JON E
Mailing Address
2 OAKLAWN RD
City | State | Zip Code
SUMMIT | NJ | 07901
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 400.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12368

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 103 / 114
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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
VOIGTMAN JON E
Mailing Address
2 OAKLAWN RD
City | State | Zip Code
SUMMIT | NJ | 07901
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 450.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12943

B. Full Name (Last, First, Middle Initial)
WALKER JON P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 720.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 60.00
Transaction ID: A12389

C. Full Name (Last, First, Middle Initial)
WASHKOWIT ALAN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12370

SUBTOTAL of Receipts This Page (optional) ▶ **150.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 104 / 114
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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
WASHKOWITZ ALAN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12944

B. Full Name (Last, First, Middle Initial)
WEINSTEIN GARY S
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12371

C. Full Name (Last, First, Middle Initial)
WEINSTEIN GARY S
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12945

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 105 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
WEXLER PETER I
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
375.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
15.00

Transaction ID: A12372

B.
Full Name (Last, First, Middle Initial)
WEXLER PETER I
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
390.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
15.00

Transaction ID: A12946

C.
Full Name (Last, First, Middle Initial)
WHITE KEVIN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
10.00

Transaction ID: A12374

SUBTOTAL of Receipts This Page (optional) ▶ **40.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 106 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
WHITE KEVIN
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
10.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
260.00

Transaction ID: A12947

B. Full Name (Last, First, Middle Initial)
WHITMAN BRADLEY A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Transaction ID: A12375

C. Full Name (Last, First, Middle Initial)
WHITMAN BRADLEY A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
EXECUTIVE

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
780.00

Transaction ID: A12948

SUBTOTAL of Receipts This Page (optional) ▶ **70.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 107 / 114
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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
WILLENS ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12376

B.
Full Name (Last, First, Middle Initial)
WILLENS ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12949

C.
Full Name (Last, First, Middle Initial)
WILLOUGHB SCOTT E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General / Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12377

SUBTOTAL of Receipts This Page (optional) ▶ **95.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 108 / 114
(check only one)

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
WILLOUGHB SCOTT E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
15.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
390.00

Transaction ID: A12950

B.
Full Name (Last, First, Middle Initial)
WINCHESTE DAVID P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Transaction ID: A12378

C.
Full Name (Last, First, Middle Initial)
WINCHESTE DAVID P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.

Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1040.00

Transaction ID: A12951

SUBTOTAL of Receipts This Page (optional) ▶ **95.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 109 / 114
(check only one)

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
ZOIDIS PAUL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

Transaction ID: A12379

B.
Full Name (Last, First, Middle Initial)
ZOIDIS PAUL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
MANAGING DIRECTOR

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1300.00

Date of Receipt
12 31 2001

Amount of Each Receipt this Period
50.00

Transaction ID: A12952

C.
Full Name (Last, First, Middle Initial)
ZOREK JEFFREY A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022

FEC ID number of contributing federal political committee.

Name of Employer
LEHMAN BROTHERS HOLDINGS INC.
Occupation
SENIOR VICE PRESIDENT

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1250.00

Date of Receipt
12 14 2001

Amount of Each Receipt this Period
50.00

Transaction ID: A12380

SUBTOTAL of Receipts This Page (optional) ▶ **150.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) — PAGE 110 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
ZOREK,JEFFREY A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12953

B.
Full Name (Last, First, Middle Initial)
ZUCKMAN,JOHN S
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12381

C.
Full Name (Last, First, Middle Initial)
ZUCKMAN,JOHN S
Mailing Address
399 PARK AVENUE 5TH FLOOR
City | State | Zip Code
NEW YORK | NY | 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12954

SUBTOTAL of Receipts This Page (optional) ▶ **80.00**

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) — PAGE 111 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
ZUSY MARK L
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12382

B.
Full Name (Last, First, Middle Initial)
ZUSY MARK L
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK | State: NY | Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary / General / Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12955

C.

SUBTOTAL of Receipts This Page (optional) ▶	**100.00**
TOTAL This Period (last page this line number only) ▶	**10387.46**

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 112 / 114
(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
First Union Bank/CAP Account Dept.

Mailing Address
One First Union Center 1164

City | State | Zip Code
Charlotte | NC | 28288

FEC ID number of contributing federal political committee.

Name of Employer | Occupation

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1467.54

Date of Receipt
M M / D D / Y Y Y Y
12 31 2001

Amount of Each Receipt this Period
31.57

Transaction ID: A1849

B.

C.

SUBTOTAL of Receipts This Page (optional) ▶ **31.57**

TOTAL This Period (last page this line number only) ▶ **31.57**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

[X] 21b	[ ] 22	[ ] 23	[ ] 24	[ ] 25	[ ] 26	[ ] 27	[ ] 28a	[ ] 28b	[ ] 28c	[ ] 29

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.

Full Name (Last, First, Middle Initial)
First Union Bank of Washington

Mailing Address
One First Union Center 1164

City: Charlotte
State: NC
Zip Code: 28288

Purpose of Disbursement
O-2001 Bank Service Charge NC

Candidate Name

N/A
Category/ Type

Office Sought: House / Senate / President
State: NC District:

Disbursement For: 2001
Primary / General / X Other (specify) ▼
Not Applicable

Date of Disbursement
12 11 2001

Amount of Each Disbursement this Period
56.60

Transaction ID: B3448

B.

C.

SUBTOTAL of Disbursements This Page (optional) ▶	**56.60**
TOTAL This Period (last page this line number only) ▶	**56.60**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
Friends of Max Baucus 2002

Mailing Address
P.O. Box 586
City: Helena | State: MT | Zip Code: 59624

Purpose of Disbursement
P-2002 US Senate MT

Candidate Name
Baucus Max

24K Category/ Type

Office Sought: House / X Senate / President — State: MT District:

Disbursement For: 2002 — X Primary / General / Other (specify)

Date of Disbursement: 12 04 2001

Amount of Each Disbursement this Period: 3000.00

Transaction ID: B3446

B. Full Name (Last, First, Middle Initial)
Friends of Max Baucus 2002

Mailing Address
P.O. Box 586
City: Helena | State: MT | Zip Code: 59624

Purpose of Disbursement
G-2002 US Senate MT

Candidate Name
Baucus Max

24K Category/ Type

Office Sought: House / X Senate / President — State: MT District:

Disbursement For: 2002 — Primary / X General / Other (specify)

Date of Disbursement: 12 04 2001

Amount of Each Disbursement this Period: 2000.00

Transaction ID: B3447

C. Full Name (Last, First, Middle Initial)
Torricelli for U.S. Senate

Mailing Address
1300 Connecticut Ave. #600
City: Washington | State: DC | Zip Code: 20036

Purpose of Disbursement
G-2002 US Senate NJ

Candidate Name
Torricelli Robert

24K Category/ Type

Office Sought: House / X Senate / President — State: NJ District:

Disbursement For: 2002 — Primary / X General / Other (specify)

Date of Disbursement: 12 03 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: B3455

SUBTOTAL of Disbursements This Page (optional) ▶ **6000.00**

TOTAL This Period (last page this line number only) ▶ **6000.00**