

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

E\*TRADE Financial Corporation PAC

ADDRESS (number and street)

671 North Glebe Road

Ballston Tower

☐Check if different  
than previously  
reported. (ACC)

Arlington

VA

22203

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00356345

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☒

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE**-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post**-Election  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

05

01

2007

through

05

31

2007

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Jane Gelman

Signature of Treasurer

Electronically Filed by Jane Gelman

Date

06

15

2007

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

E\*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M		D	D		Y	Y	Y	Y
0	5		0	1		2	0	0	7

To:

M	M		D	D		Y	Y	Y	Y
0	5		3	1		2	0	0	7

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>Y Y Y Y 2007</span>		153859.73
(b) Cash on Hand at Beginning of Reporting Period .....	195645.58	
(c) Total Receipts (from Line 19) .....	9880.69	56666.54
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	205526.27	210526.27
7. Total Disbursements (from Line 31) .....	5000.00	10000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	200526.27	200526.27
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

E\*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	5	0	1	2	0	0	7

To:

M	M	D	D	Y	Y	Y	Y
0	5	3	1	2	0	0	7

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	9018.56	46572.08
(i) Itemized (use Schedule A) .....		
(ii) Unitemized .....	727.70	9501.35
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➤	9746.26	56073.43
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➤	9746.26	56073.43
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	134.43	593.11
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	9880.69	56666.54
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	9880.69	56666.54

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		5000.00	10000.00
24. Independent Expenditure (use Schedule E) .....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....		0.00	0.00
(b) Political Party Committees		0.00	0.00
(c) Other Political Committees (such as PACs) .....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....		0.00	0.00
29. Other Disbursements.....		0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....		0.00	0.00
(ii) "Levin" Share .....		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		5000.00	10000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		5000.00	10000.00

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	9746.26	56073.43
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	9746.26	56073.43
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 26

(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.**

Full Name (Last, First, Middle Initial)

E\*Trade Bank

Mailing Address PO Box 1542

City

Merrifield

State

VA

Zip Code

22110-9949

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

593.11

Date of Receipt

M M / D D / Y Y Y Y  
0 5 / 3 1 / 2 0 0 7

Transaction ID: 14246170

Amount of Each Receipt this Period

134.43

Bank Interest

**SUBTOTAL** of Receipts This Page (optional) .....

134.43

**TOTAL** This Period (last page this line number only) .....

134.43

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Alison Mittelstadt Mailing Address 671 North Glebe Rd. City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Capital Holdings Occupation Sr Director, Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1030171919003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Randall Brown Mailing Address 4005 Windward Plaza Drive City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Director, Network & Systems Admin. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1045599619003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Russell Ross Mailing Address 4500 Bohannon Drive City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Director, Corporate Info Security Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1045600019003 Amount of Each Receipt this Period 50.00 P/R Deduction (\$25.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

206.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Daniel Howe			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 440 South LaSalle			<b>Transaction ID:</b> PR1045600419003	
City State Zip Code Chicago IL 60605			Amount of Each Receipt this Period <div>269.24</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE Brokerage Holdings		Occupation EVP, Retail		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>1480.82</div>		
<b>B.</b> Full Name (Last, First, Middle Initial) Adam Townsend			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 10951 White Rock Road			<b>Transaction ID:</b> PR1045600619003	
City State Zip Code Rancho Cordova CA 95670			Amount of Each Receipt this Period <div>154.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE Financial Corp.		Occupation SVP, Investor Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>847.00</div>		
<b>C.</b> Full Name (Last, First, Middle Initial) Steve Lingeman			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Road			<b>Transaction ID:</b> PR1045600819003	
City State Zip Code Arlington VA 22203			Amount of Each Receipt this Period <div>78.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE Financial Corp		Occupation Director, Software Engineering		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>429.00</div>		

P/R Deduction (\$134.62 Bi-Weekly)

P/R Deduction (\$77.00 Bi-Weekly)

P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**501.24**

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Amit Banerjee

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
VP, Software Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

847.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045600919003

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B.** Karen Wall

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Bank

Occupation  
VP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

429.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045607619003

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C.** MaryBeth George

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Capitol Holdings

Occupation  
Director of Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

429.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045607719003

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**310.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Murphy Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities, LLC Occupation VP, Relationship Mgmt. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1055089319003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Edouard Dejoux Mailing Address 135 East 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities, LLC Occupation SVP, Global Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 847.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1067673019003 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Nicholas Utton Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corporation Occupation Chief Marketing Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2115.30		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1078579119003 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**616.60**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Roderick Mikus Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Director, Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003719003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) John Matos Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Director, Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003819003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Ardwin Droessler Mailing Address 440 South LaSalle City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE--Dempsey Occupation Director, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1088490819003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**234.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Christine Wolf Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corporation Occupation EVP, Human Resources Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 847.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1090244519003 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Paul Halpern Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Financial Corporation Occupation SVP, Bank Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 847.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911319003 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Kevin Delo Mailing Address 4500 Bohannon Drive City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Director, Retail Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1179644519003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**386.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Anthony Altobelli

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Securities LLC

Occupation  
Dir. Relationship Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

429.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR122287719003

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B.** Lea Stendahl

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Financial Corpora-  
tion

Occupation  
Director, Active Trader Mktg.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

429.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1253490119003

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C.** Craig Burton

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Financial Corpora-  
tion

Occupation  
Director, Financial Planning and Analy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

429.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1271748219003

Amount of Each Receipt this Period

78.00

P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

234.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Powell Jones Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corpora- tion Occupation Director, Metrics and Reporting Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1271748419003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) David Goldberg Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corpora- tion Occupation Director, Business Analysis Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1271748519003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Mitchell Caplan Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation CEO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2115.30		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408625119003 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

540.60

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Russell Elmer Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation General Counsel Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2115.30			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408625319003 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Arlen W. Gelbard Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation President, E*TRADE Bank Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2115.30			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408625619003 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Robert Lilien Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation President & COO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2115.30			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408626119003 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**1153.80**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Shane O. Mulron Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Brokerage Holdings Occupation EVP, Retail Finance Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1485.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408626419003 Amount of Each Receipt this Period 270.00 P/R Deduction (\$135.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Todd W. Roadman Mailing Address 10951 White Rock Road City Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation SVP, Financial P&A Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 847.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408626619003 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Connie Dotson Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Communications Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2101.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540115719003 Amount of Each Receipt this Period 382.00 P/R Deduction (\$191.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

806.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) James Bidwell			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Road			<b>Transaction ID:</b> PR540115819003	
City State Zip Code Arlington VA 22203			Amount of Each Receipt this Period <div>384.60</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*Trade Financial Corp.		Occupation Chief Risk Officer		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>2115.30</div>		
<b>B.</b> Full Name (Last, First, Middle Initial) Todd Mackay			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Road			<b>Transaction ID:</b> PR540117019003	
City State Zip Code Arlington VA 22203			Amount of Each Receipt this Period <div>268.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*Trade Financial Corp.		Occupation Mg Director, Corp. Dev.		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>1474.00</div>		
<b>C.</b> Full Name (Last, First, Middle Initial) Curtis Smith			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 1650 Union Hill Road			<b>Transaction ID:</b> PR540118519003	
City State Zip Code Alpharetta GA 30005			Amount of Each Receipt this Period <div>154.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*Trade Financial Corp.		Occupation SVP, Global Infrastructure		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>847.00</div>		

P/R Deduction (\$192.30 Bi-Weekly)

P/R Deduction (\$134.00 Bi-Weekly)

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

806.60

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Lee Thompson Mailing Address 111 Congress Avenue City Austin State TX Zip Code 78701 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, Chief Technologist Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540118819003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Jane Gelman Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, Administrative Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR673132019003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Greg Framke Mailing Address 535 Madison Ave 35th Floor City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Mg Director, CIO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1485.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168119003 Amount of Each Receipt this Period 270.00 P/R Deduction (\$135.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

426.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Kenneth Marienau Mailing Address 4005 Windward Plaza Drive City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, General Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 847.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168219003 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Matthew J. Audette Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation EVP, Corp. Controller Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1700.82		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168419003 Amount of Each Receipt this Period 309.24 P/R Deduction (\$154.62 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Michael Curcio Mailing Address 135 East 57th St. 31st Floor City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Mg Director, Global Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1480.82		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168619003 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**732.48**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)

Dennis E. Webb

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Global Asset Mgmt,  
Inc.

Occupation  
President, Capital Markets

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

847.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799168819003

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)

Robert Simmons

Mailing Address 10951 White Rock Road

City State Zip Code  
Rancho Cordova CA 95670

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
Chief Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2090.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799169019003

Amount of Each Receipt this Period

380.00

P/R Deduction (\$190.00 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)

Lance Ullom

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Global Asset Mgmt

Occupation  
EVP, Fixed Income

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

847.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR946040719003

Amount of Each Receipt this Period

154.00

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

688.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Hartley Caldwell Mailing Address 1650 Union Hill Road City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation VP, Re-Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 847.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171219003 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Matthew Geary Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Bank Occupation EVP, Risk & Credit Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1480.82			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171319003 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Eric Seasholtz Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt. Inc. Occupation VP, Mortgage Portfolio Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950172719003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

501.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Klena Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation SVP, Investing Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 847.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173019003 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Christopher Larkin Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation VP, Active Trader Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 685.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173119003 Amount of Each Receipt this Period 100.00 P/R Deduction (\$50.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) W Chris Staab Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt Occupation Director, Credit Cards Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173619003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**332.00**

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 26

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Paul Vienick Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation SVP, Prodcut Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 847.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950173719003 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Joseph Corso Mailing Address 440 S. LaSalle St. Suite 3030 City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE GVR Occupation Manager, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302019003 Amount of Each Receipt this Period 50.00 P/R Deduction (\$25.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Noel Dalzell Mailing Address 440 S. LaSalle St. City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE--GVR Occupation Director, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 429.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302119003 Amount of Each Receipt this Period 78.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶		282.00
<b>TOTAL</b> This Period (last page this line number only) ..... ▶		

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Colleen Ryan Mailing Address 440 S. LaSalle St. Suite 3030 City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE GVR Occupation Director, ETCM Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302419003 Amount of Each Receipt this Period 50.00 P/R Deduction (\$25.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Marco Pellini Mailing Address 440 South LaSalle City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE--Dempsey Occupation VP, Trading Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971304119003 Amount of Each Receipt this Period 40.00 P/R Deduction (\$20.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Michael Alpert Mailing Address 671 North Glebe Rd. City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Director, Corp Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 297.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971304419003 Amount of Each Receipt this Period 54.00 P/R Deduction (\$27.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

144.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 26

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Heather Carroll-Cox		Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Road		<b>Transaction ID:</b> PR971304519003	
City Arlington	State VA	Zip Code 22203	Amount of Each Receipt this Period <div>78.00</div>
FEC ID number of contributing federal political committee. <div>C</div>			
Name of Employer E*TRADE Bank	Occupation VP, Retail Banking Ops		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <div>429.00</div>		
		P/R Deduction (\$39.00 Bi-Weekly)	
<b>B.</b> Full Name (Last, First, Middle Initial) Dirk Wyckoff		Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Road		<b>Transaction ID:</b> PR971305019003	
City Arlington	State VA	Zip Code 22203	Amount of Each Receipt this Period <div>40.00</div>
FEC ID number of contributing federal political committee. <div>C</div>			
Name of Employer E*TRADE Bank	Occupation Director, Ass't Controller		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <div>220.00</div>		
		P/R Deduction (\$20.00 Bi-Weekly)	

**SUBTOTAL** of Receipts This Page (optional) .....

118.00

**TOTAL** This Period (last page this line number only) .....

9018.56

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 26 / 26

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Romney For President, Inc.

Mailing Address 585 Commercial Street

City  
Boston

State  
MA

Zip Code  
02109

Purpose of Disbursement  
Contribution

Candidate Name  
Mitt Romney

011  
Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☒ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Transaction ID: 14142544

Date of Disbursement

MM / DD / YY  
05 / 11 / 2007

Amount of Each Disbursement this Period

5000.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional) .....

5000.00

**TOTAL** This Period (last page this line number only) .....

5000.00