

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2013"/>	<input type="text" value="185228.90"/>	<input type="text" value="185228.90"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="161581.32"/>	
(c) Total Receipts (from Line 19)	<input type="text" value="49215.36"/>	<input type="text" value="348818.95"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="210796.68"/>	<input type="text" value="534047.85"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="15236.99"/>	<input type="text" value="338488.16"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="195559.69"/>	<input type="text" value="195559.69"/>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	46661.06	264810.71
(ii) Unitemized	2554.30	10290.78
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	49215.36	275101.49
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	72500.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	49215.36	347601.49
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	1217.46
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	49215.36	348818.95
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	49215.36	348818.95

DETAILED SUMMARY PAGE
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	736.99	2488.16
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	736.99	2488.16
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	14500.00	336000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	15236.99	338488.16
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	15236.99	338488.16

DETAILED SUMMARY PAGE
of Disbursements

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Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	49215.36	347601.49
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	49215.36	347601.49
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	736.99	2488.16
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	1217.46
38. Net Operating Expenditures (subtract Line 37 from Line 36)	736.99	1270.70

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 6 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Mr. Andy Saperstein
 Full Name (Last, First, Middle Initial)
 Mailing Address 2000 Westchester Avenue
 City State Zip Code
 Purchase NY 10577-2530
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Morgan Stanley Smith Barney Managing Director
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼
 1000.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 11 / 01 / 2013
Transaction ID : 21249023
 Amount of Each Receipt this Period
 1000.00
 Contribution

B. Mr. Robert A. Kindler
 Full Name (Last, First, Middle Initial)
 Mailing Address 44 Dogwood Lane
 City State Zip Code
 Rye NY 10580-1703
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Morgan Stanley Head of Mergers & Acquisitions
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼
 1000.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 11 / 01 / 2013
Transaction ID : 21249027
 Amount of Each Receipt this Period
 1000.00
 Contribution

C. Mr. Tom Nides
 Full Name (Last, First, Middle Initial)
 Mailing Address 4838 Rockwood Parkway, NW
 City State Zip Code
 Washington DC 20016-3249
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Morgan Stanley Chief Administrative Officer
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼
 2000.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 11 / 01 / 2013
Transaction ID : 21249029
 Amount of Each Receipt this Period
 2000.00
 Contribution

SUBTOTAL of Receipts This Page (optional).....▶	4000.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Michele A Davis
Full Name (Last, First, Middle Initial)
Mailing Address 126 Sunset Drive
City Chatham State NJ Zip Code 07928-1244
FEC ID number of contributing federal political committee. **C**
Name of Employer Morgan Stanley Occupation Managing Director
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00

Date of Receipt 11 / 04 / 2013
Transaction ID : 21251186
Amount of Each Receipt this Period 500.00
Contribution

B. Robert Casper
Full Name (Last, First, Middle Initial)
Mailing Address 4 Ridgeview Circle
City Armonk State NY Zip Code 10504-1244
FEC ID number of contributing federal political committee. **C**
Name of Employer Morgan Stanley Occupation Managing Director
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00

Date of Receipt 11 / 04 / 2013
Transaction ID : 21251500
Amount of Each Receipt this Period 250.00
Contribution

C. Michael D Ball
Full Name (Last, First, Middle Initial)
Mailing Address 11383 High Brook Court
City Sterling State VA Zip Code 20165-5131
FEC ID number of contributing federal political committee. **C**
Name of Employer BB & T Capital Markets Occupation Senior Managing Director
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00

Date of Receipt 11 / 06 / 2013
Transaction ID : 21253539
Amount of Each Receipt this Period 1000.00
Contribution

SUBTOTAL of Receipts This Page (optional).....▶ 1750.00
TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Roy H Leslie
 Full Name (Last, First, Middle Initial)
 Mailing Address 3927 Biddeford Place
 Apartment 1
 City Henrico State VA Zip Code 23233-1243
 FEC ID number of contributing federal political committee. **C**
 Name of Employer BB & T Capital Markets Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 06 / 2013
Transaction ID : 21253541
 Amount of Each Receipt this Period
 250.00
 Contribution

B. John A Gill
 Full Name (Last, First, Middle Initial)
 Mailing Address 402 Pavilion Center
 2101 Parks Avenue Suite 402
 City Virginia Beach State VA Zip Code 23451-4136
 FEC ID number of contributing federal political committee. **C**
 Name of Employer BB & T Capital Markets Occupation Senior Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 06 / 2013
Transaction ID : 21253543
 Amount of Each Receipt this Period
 250.00
 Contribution

C. Mr. Craig McKenna
 Full Name (Last, First, Middle Initial)
 Mailing Address 235 West 56th Street - #26K
 City New York State NY Zip Code 10019-4332
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Morgan Stanley Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 06 / 2013
Transaction ID : 21253645
 Amount of Each Receipt this Period
 250.00
 Contribution

SUBTOTAL of Receipts This Page (optional).....▶	750.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Mr. Thomas Tulp
 Full Name (Last, First, Middle Initial)
 Mailing Address 1221 Avenue Of The Americas
 30th Floor
 City New York State NY Zip Code 10020-1001
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Morgan Stanley Occupation Executive Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 06 / 2013
Transaction ID : 21254029
 Amount of Each Receipt this Period
 250.00
 Contribution

B. W Rufus Yates
 Full Name (Last, First, Middle Initial)
 Mailing Address 1209 Greenway Drive
 City High Point State NC Zip Code 27262-2825
 FEC ID number of contributing federal political committee. **C**
 Name of Employer BB & T Capital Markets Occupation President & CEO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 07 / 2013
Transaction ID : 21254819
 Amount of Each Receipt this Period
 2500.00
 Contribution

C. Lisa Kidd-Hunt
 Full Name (Last, First, Middle Initial)
 Mailing Address 3531 Raymoor Road
 City Kensington State MD Zip Code 20895-3127
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Charles Schwab Corporation, The Occupation Executive Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2000.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 08 / 2013
Transaction ID : 21255110
 Amount of Each Receipt this Period
 2000.00
 Contribution

SUBTOTAL of Receipts This Page (optional).....▶	4750.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 10 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Keith E Meyers
Full Name (Last, First, Middle Initial)

Mailing Address 10 Brookside Walk NE

City Atlanta State GA Zip Code 30342-2284

FEC ID number of contributing federal political committee. **C**

Name of Employer Raymond James Financial, Inc. Occupation Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 240.00

Date of Receipt 11 / 11 / 2013
Transaction ID : 21255153

Amount of Each Receipt this Period 240.00

Contribution

B. Robert Joseph Moore
Full Name (Last, First, Middle Initial)

Mailing Address PO Box 2764

City Rancho Santa Fe State CA Zip Code 92067-2764

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 2500.00

Date of Receipt 11 / 11 / 2013
Transaction ID : 21255158

Amount of Each Receipt this Period 2500.00

Contribution

C. Nathan J Gatten
Full Name (Last, First, Middle Initial)

Mailing Address 6221 Redwing Road

City Bethesda State MD Zip Code 20817-5915

FEC ID number of contributing federal political committee. **C**

Name of Employer JP Morgan Chase & Co. Occupation Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt 11 / 13 / 2013
Transaction ID : 21259816

Amount of Each Receipt this Period 1000.00

Contribution

SUBTOTAL of Receipts This Page (optional)..... ▶ 3740.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Jeffrey C Weiss
 Full Name (Last, First, Middle Initial)
 Mailing Address 5151 Holly Terrace Drive
 City Houston State TX Zip Code 77056-2125
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Raymond James Financial Services, Inc. Occupation Senior Vice President
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1000.00**

Date of Receipt **11 / 14 / 2013**
Transaction ID : 21260287
 Amount of Each Receipt this Period **1000.00**
 Contribution

B. Mr. Brock Guice
 Full Name (Last, First, Middle Initial)
 Mailing Address 2913 Leila Avenue
 City Tampa State FL Zip Code 33611-4418
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Raymond James Financial, Inc. Occupation Manager, Finance and Administration
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **500.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264453
 Amount of Each Receipt this Period **500.00**
 Contribution

C. Tracy M. Belton CFP
 Full Name (Last, First, Middle Initial)
 Mailing Address 1745 Heim Road
 City Mount Dora State FL Zip Code 32757-3617
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Raymond James Financial, Inc. Occupation Senior Vice President/Investments
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264456
 Amount of Each Receipt this Period **250.00**
 Contribution

SUBTOTAL of Receipts This Page (optional).....	1750.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)
A. Michael J Caruso

Mailing Address 12 Shadowbrook Drive

City State Zip Code
Colts Neck NJ 07722-2204

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Credit Suisse (USA), Inc Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
400.00

Date of Receipt
M M / D D / Y Y Y Y Y
11 / 18 / 2013
Transaction ID : 21264612

Amount of Each Receipt this Period
400.00

Contribution

Full Name (Last, First, Middle Initial)
B. Eric B Miller

Mailing Address 10 Overlook Road

City State Zip Code
Scarsdale NY 10583-3012

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Credit Suisse (USA), Inc Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
M M / D D / Y Y Y Y Y
11 / 18 / 2013
Transaction ID : 21264614

Amount of Each Receipt this Period
1000.00

Contribution

Full Name (Last, First, Middle Initial)
C. Michael J Paliotta

Mailing Address 65 Turkey Hill Road South

City State Zip Code
Westport CT 06880-5522

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Credit Suisse (USA), Inc Financial Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
M M / D D / Y Y Y Y Y
11 / 18 / 2013
Transaction ID : 21264616

Amount of Each Receipt this Period
1000.00

Contribution

SUBTOTAL of Receipts This Page (optional)..... ▶ 2400.00

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Joseph Seidel
Full Name (Last, First, Middle Initial)

Mailing Address 8058 Glendale Road

City Chevy Chase State MD Zip Code 20815-5901

FEC ID number of contributing federal political committee. **C**

Name of Employer Credit Suisse (USA), Inc Occupation Head of Lobbying Operations

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt **11 / 18 / 2013**

Transaction ID : 21264617

Amount of Each Receipt this Period 250.00

Contribution

B. Mr. Thomas Prevost
Full Name (Last, First, Middle Initial)

Mailing Address One Madison Avenue
Head of Americas Region Tax Dept.

City New York State NY Zip Code 10010-3604

FEC ID number of contributing federal political committee. **C**

Name of Employer Credit Suisse (USA), Inc Occupation Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt **11 / 18 / 2013**

Transaction ID : 21264618

Amount of Each Receipt this Period 300.00

Contribution

C. Mr. Vincent Albanese
Full Name (Last, First, Middle Initial)

Mailing Address 11 Madison Avenue
4th floor

City New York State NY Zip Code 10010-3643

FEC ID number of contributing federal political committee. **C**

Name of Employer Credit Suisse (USA), Inc Occupation Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt **11 / 18 / 2013**

Transaction ID : 21264620

Amount of Each Receipt this Period 250.00

Contribution

SUBTOTAL of Receipts This Page (optional)..... ▶ 800.00

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Mr. Mahesh Swaminathan
Full Name (Last, First, Middle Initial)

Mailing Address 11 Madison Avenue

City New York State NY Zip Code 10010-3643

FEC ID number of contributing federal political committee. **C**

Name of Employer Credit Suisse (USA), Inc Occupation Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 11 / 18 / 2013
Transaction ID : 21264621

Amount of Each Receipt this Period 250.00

Contribution

B. Mr. Jay Kim
Full Name (Last, First, Middle Initial)

Mailing Address 200 Park Avenue

City New York State NY Zip Code 10166-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Credit Suisse (USA), Inc Occupation Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 11 / 18 / 2013
Transaction ID : 21264622

Amount of Each Receipt this Period 250.00

Contribution

C. Stephen Gray
Full Name (Last, First, Middle Initial)

Mailing Address 20 Lawrence Road

City Madison State NJ Zip Code 07940-2800

FEC ID number of contributing federal political committee. **C**

Name of Employer Credit Suisse (USA), Inc Occupation Head of Prime Services Asia-Pacific

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 11 / 18 / 2013
Transaction ID : 21264624

Amount of Each Receipt this Period 250.00

Contribution

SUBTOTAL of Receipts This Page (optional)..... ▶ 750.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 15 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms. Louise Guarneri
 Full Name (Last, First, Middle Initial)
 Mailing Address One Madison Avenue
 Legal and Compliance Department, 9
 City New York State NY Zip Code 10010-3604
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Credit Suisse (USA), Inc Occupation Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264625
 Amount of Each Receipt this Period **250.00**
 Contribution

B. Mr. Anthony Blasi
 Full Name (Last, First, Middle Initial)
 Mailing Address 11 Madison Avenue
 Fourth Floor
 City New York State NY Zip Code 10010-3643
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Credit Suisse (USA), Inc Occupation Managing Director, Liability Managemen
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264633
 Amount of Each Receipt this Period **250.00**
 Contribution

C. Brian Chin
 Full Name (Last, First, Middle Initial)
 Mailing Address 277 Park Ave 11th Fl
 City New York State NY Zip Code 10172-0003
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Credit Suisse (USA), Inc Occupation Head of Securitized Products
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **500.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264635
 Amount of Each Receipt this Period **500.00**
 Contribution

SUBTOTAL of Receipts This Page (optional)..... **1000.00**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 16 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Bernard W Moody
 Full Name (Last, First, Middle Initial)
 Mailing Address 171 Pear Tree Point Road
 City Darien State CT Zip Code 06820-5820
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Credit Suisse (USA), Inc Occupation Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1000.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264637
 Amount of Each Receipt this Period **1000.00**
 Contribution

B. Darlene Pasquill
 Full Name (Last, First, Middle Initial)
 Mailing Address 151 East 85th Street Apt. 5F
 City New York State NY Zip Code 10028-8100
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Credit Suisse (USA), Inc Occupation Institutional Equity Sales and Sales M
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1000.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264638
 Amount of Each Receipt this Period **1000.00**
 Contribution

C. Mr. Michael Meyers
 Full Name (Last, First, Middle Initial)
 Mailing Address 110 East 59th Street
 City New York State NY Zip Code 10022-1306
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Credit Suisse (USA), Inc Occupation Managing Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1000.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264639
 Amount of Each Receipt this Period **1000.00**
 Contribution

SUBTOTAL of Receipts This Page (optional)..... **3000.00**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms. Candice Koederitz
 Full Name (Last, First, Middle Initial)
 Mailing Address 1585 Broadway
 City New York State NY Zip Code 10036-8200
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Morgan Stanley Occupation Managing Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264640
 Amount of Each Receipt this Period **250.00**
 Contribution

B. Shelley S O'Connor
 Full Name (Last, First, Middle Initial)
 Mailing Address 42 Old West Mountain Road
 City Ridgefield State CT Zip Code 06877-3027
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Morgan Stanley Occupation Managing Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1000.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264642
 Amount of Each Receipt this Period **1000.00**
 Contribution

C. Edmond N Moriarty
 Full Name (Last, First, Middle Initial)
 Mailing Address 6 Sherwood Farm Road
 City Far Hills State NJ Zip Code 07931-2585
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Morgan Stanley Occupation Managing Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1000.00**

Date of Receipt **11 / 18 / 2013**
Transaction ID : 21264643
 Amount of Each Receipt this Period **1000.00**
 Contribution

SUBTOTAL of Receipts This Page (optional)..... **2250.00**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 18 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Mr. Kenneth E. Bentsen Jr.		Date of Receipt M M / D D / Y Y Y Y Y 11 / 13 / 2013 Transaction ID : 21264652
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 192.35
City Washington	State DC Zip Code 20005-4269	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 4424.05
Name of Employer Securities Industry and Financial Mark	Occupation President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Ms. Lisa Bleier		Date of Receipt M M / D D / Y Y Y Y Y 11 / 13 / 2013 Transaction ID : 21264653
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 19.23
City Washington	State DC Zip Code 20005-4269	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 442.29
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Ms. Kyle Brandon		Date of Receipt M M / D D / Y Y Y Y Y 11 / 13 / 2013 Transaction ID : 21264654
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 96.15
City New York	State NY Zip Code 10271-3599	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 2211.45
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director, DOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	307.73
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 19 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms Kim Chamberlain
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271-3599
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel, State Gov
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 885.50

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 13 / 2013
Transaction ID : 21264655
 Amount of Each Receipt this Period
 38.50

B. Mr Salvatore Chiarelli
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271-3599
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 269.22

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 13 / 2013
Transaction ID : 21264656
 Amount of Each Receipt this Period
 19.23

C. David L Cohen
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271-3599
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 731.50

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 13 / 2013
Transaction ID : 21264657
 Amount of Each Receipt this Period
 38.50

SUBTOTAL of Receipts This Page (optional)..... ▶ 96.23
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms. Cheryl Crispen
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW
 City Washington State DC Zip Code 20005-4213
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Executive Vice President, Communicatio
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2211.45

Date of Receipt 11 / 13 / 2013
Transaction ID : 21264658
 Amount of Each Receipt this Period 96.15

B. Ms. Bradley Gaskins Edgell
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW 8th Floor
 City Washington State DC Zip Code 20005-4269
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 885.50

Date of Receipt 11 / 13 / 2013
Transaction ID : 21264659
 Amount of Each Receipt this Period 38.50

C. Tracy Eichler
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway 35th Floor
 City New York State NY Zip Code 10271-3599
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 442.29

Date of Receipt 11 / 13 / 2013
Transaction ID : 21264660
 Amount of Each Receipt this Period 19.23

SUBTOTAL of Receipts This Page (optional)..... ▶ 153.88
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms. Lisa Fues
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
442.29

Date of Receipt
11 / 13 / 2013
Transaction ID : 21264661

Amount of Each Receipt this Period
19.23

B. Marin E. Gibson
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1610.00

Date of Receipt
11 / 13 / 2013
Transaction ID : 21264662

Amount of Each Receipt this Period
70.00

C. Mr. Carter McDowell
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Senior Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
4134.68

Date of Receipt
11 / 13 / 2013
Transaction ID : 21264663

Amount of Each Receipt this Period
288.46

SUBTOTAL of Receipts This Page (optional)..... ▶ 377.69

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 22 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Thomas T Shoup
 Full Name (Last, First, Middle Initial)
 Mailing Address 312 Lake Forrest Lane NE
 City Atlanta State GA Zip Code 30342-3214
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Raymond James Financial, Inc. Occupation Senior V.P., Investments
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 350.00

Date of Receipt 11 / 19 / 2013
Transaction ID : 21265468
 Amount of Each Receipt this Period 100.00
 Contribution

B. Mr. Norman Nelson
 Full Name (Last, First, Middle Initial)
 Mailing Address 1300 Wyngate Road
 City Wynnewood State PA Zip Code 19096-2455
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Raymond James Financial, Inc. Occupation Sr. VP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 350.00

Date of Receipt 11 / 20 / 2013
Transaction ID : 21267757
 Amount of Each Receipt this Period 250.00
 Contribution

C. Mr. John W. Houston
 Full Name (Last, First, Middle Initial)
 Mailing Address 546 14th Avenue NE
 City St. Petersburg State FL Zip Code 33701-1315
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Raymond James Financial, Inc. Occupation SVP, Managing Director, FID
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2500.00

Date of Receipt 11 / 20 / 2013
Transaction ID : 21267761
 Amount of Each Receipt this Period 2500.00
 Contribution

SUBTOTAL of Receipts This Page (optional)..... ▶ 2850.00
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 23 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Mr. William E. Hardy		Date of Receipt
Mailing Address 9 Roslyn Road		<input type="text" value="11"/> / <input type="text" value="20"/> / <input type="text" value="2013"/>
City	State	Zip Code
Richmond	VA	23226-1609
FEC ID number of contributing federal political committee.		Transaction ID : 21267763
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="1000.00"/>
Name of Employer	Occupation	Contribution
BB & T Capital Markets	Senior Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="1000.00"/>	

Full Name (Last, First, Middle Initial) B. Mr. Todd D. Knickerbocker		Date of Receipt
Mailing Address 17386 Stonebrook Drive		<input type="text" value="11"/> / <input type="text" value="22"/> / <input type="text" value="2013"/>
City	State	Zip Code
Northville	MI	48168-4325
FEC ID number of contributing federal political committee.		Transaction ID : 21271003
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="250.00"/>
Name of Employer	Occupation	Contribution
Raymond James Financial, Inc.	Senior Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="250.00"/>	

Full Name (Last, First, Middle Initial) C. Mr. Michael White		Date of Receipt
Mailing Address 880 Carillon Parkway		<input type="text" value="11"/> / <input type="text" value="22"/> / <input type="text" value="2013"/>
City	State	Zip Code
Saint Petersburg	FL	33716-1102
FEC ID number of contributing federal political committee.		Transaction ID : 21271005
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="250.00"/>
Name of Employer	Occupation	Contribution
Raymond James Financial, Inc.	Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="250.00"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="1500.00"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 24 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Mr. Thomas Uhler
Full Name (Last, First, Middle Initial)

Mailing Address 9426 Yucca Court

City Sanibel State FL Zip Code 33957-4224

FEC ID number of contributing federal political committee. **C**

Name of Employer Raymond James Financial, Inc. Occupation Branch Manager

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt 11 / 22 / 2013
Transaction ID : 21272221

Amount of Each Receipt this Period 500.00

Contribution

B. Charles C Curtis
Full Name (Last, First, Middle Initial)

Mailing Address 1513 S Bay Villa Place

City Tampa State FL Zip Code 33629-4702

FEC ID number of contributing federal political committee. **C**

Name of Employer Raymond James Financial, Inc. Occupation Regional Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 11 / 22 / 2013
Transaction ID : 21272227

Amount of Each Receipt this Period 250.00

Contribution

C. Mr. Thomas A. James
Full Name (Last, First, Middle Initial)

Mailing Address 880 Carillon Parkway

City Saint Petersburg State FL Zip Code 33716-1102

FEC ID number of contributing federal political committee. **C**

Name of Employer Raymond James Financial, Inc. Occupation Chairman of the Board and CEO

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 11 / 22 / 2013
Transaction ID : 21272228

Amount of Each Receipt this Period 5000.00

Contribution

SUBTOTAL of Receipts This Page (optional).....▶	5750.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 25 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms. Darlene H. Hybels
 Full Name (Last, First, Middle Initial)
 Mailing Address 4008 E. Hillendale Drive
 City Kalamazoo State MI Zip Code 49008-4107
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Raymond James Financial, Inc. Occupation Certified Financial Planner
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1500.00

Date of Receipt 11 / 22 / 2013
Transaction ID : 21272230
 Amount of Each Receipt this Period 1000.00
 Contribution

B. Paul Burd
 Full Name (Last, First, Middle Initial)
 Mailing Address 1 Burton Hills Blvd Suite 300
 City Nashville State TN Zip Code 37215-6104
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Raymond James Financial Services, Inc. Occupation Financial Advisor
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt 11 / 22 / 2013
Transaction ID : 21272231
 Amount of Each Receipt this Period 250.00
 Contribution

C. Mr. Thomas D. Harrington
 Full Name (Last, First, Middle Initial)
 Mailing Address 8654 Buttonwood Lane
 City Pinellas Park State FL Zip Code 33782-4319
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Raymond James Financial, Inc. Occupation Regional Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt 11 / 22 / 2013
Transaction ID : 21272245
 Amount of Each Receipt this Period 500.00
 Contribution

SUBTOTAL of Receipts This Page (optional).....▶	1750.00
TOTAL This Period (last page this line number only).....▶	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 26 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. David A. Sutton			Date of Receipt M M / D D / Y Y Y Y Y 11 / 22 / 2013 Transaction ID : 21272246
Mailing Address 415 15th Avenue NE			Amount of Each Receipt this Period 250.00
City Saint Petersburg	State FL	Zip Code 33704-4704	
FEC ID number of contributing federal political committee. C			Contribution
Name of Employer Raymond James Financial, Inc.	Occupation Senior Vice President		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00		

Full Name (Last, First, Middle Initial) B. Mr. Chris Doughty			Date of Receipt M M / D D / Y Y Y Y Y 11 / 25 / 2013 Transaction ID : 21272506
Mailing Address 12501 N St Anne Court			Amount of Each Receipt this Period 250.00
City Mequon	State WI	Zip Code 53092-2231	
FEC ID number of contributing federal political committee. C			Contribution
Name of Employer Raymond James Financial, Inc.	Occupation CFP		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00		

Full Name (Last, First, Middle Initial) C. Mr. Bruce E. Ploshay			Date of Receipt M M / D D / Y Y Y Y Y 11 / 29 / 2013 Transaction ID : 21278622
Mailing Address 402 Spring Mill Lane			Amount of Each Receipt this Period 500.00
City Indianapolis	State IN	Zip Code 46260-3527	
FEC ID number of contributing federal political committee. C			Contribution
Name of Employer Raymond James Financial, Inc.	Occupation Senior Vice President/Investments		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00		

SUBTOTAL of Receipts This Page (optional).....▶	1000.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 27 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Mr. Kenneth E. Bentsen Jr.		Date of Receipt 11 / 27 / 2013 Transaction ID : 21295755
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 192.35
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 4616.40	

Full Name (Last, First, Middle Initial) B. Ms. Lisa Bleier		Date of Receipt 11 / 27 / 2013 Transaction ID : 21295756
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 19.23
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 461.52	

Full Name (Last, First, Middle Initial) C. Ms. Kyle Brandon		Date of Receipt 11 / 27 / 2013 Transaction ID : 21295757
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 96.15
City New York	State NY	Zip Code 10271-3599
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director, DOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2307.60	

SUBTOTAL of Receipts This Page (optional).....▶	307.73
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 28 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Ms Kim Chamberlain		Date of Receipt M M / D D / Y Y Y Y Y 11 / 27 / 2013 Transaction ID : 21295758
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 38.50
City New York	State NY Zip Code 10271-3599	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 924.00
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director & Counsel, State Gov	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Mr Salvatore Chiarelli		Date of Receipt M M / D D / Y Y Y Y Y 11 / 27 / 2013 Transaction ID : 21295759
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 19.23
City New York	State NY Zip Code 10271-3599	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 288.45
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. David L Cohen		Date of Receipt M M / D D / Y Y Y Y Y 11 / 27 / 2013 Transaction ID : 21295760
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 38.50
City New York	State NY Zip Code 10271-3599	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 770.00
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	96.23
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 29 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms. Cheryl Crispen
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW
 City Washington State DC Zip Code 20005-4213
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Executive Vice President, Communicatio
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2307.60

Date of Receipt 11 / 27 / 2013
Transaction ID : 21295761
 Amount of Each Receipt this Period 96.15

B. Ms. Bradley Gaskins Edgell
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW 8th Floor
 City Washington State DC Zip Code 20005-4269
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 924.00

Date of Receipt 11 / 27 / 2013
Transaction ID : 21295762
 Amount of Each Receipt this Period 38.50

C. Tracy Eichler
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway 35th Floor
 City New York State NY Zip Code 10271-3599
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 461.52

Date of Receipt 11 / 27 / 2013
Transaction ID : 21295763
 Amount of Each Receipt this Period 19.23

SUBTOTAL of Receipts This Page (optional)..... ► 153.88
TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 30 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms. Lisa Fues
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW
 8th Floor
 City Washington State DC Zip Code 20005-4269
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Vice President
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **461.52**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 11 / 27 / 2013
Transaction ID : 21295764
 Amount of Each Receipt this Period
19.23

B. Marin E. Gibson
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271-3599
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1680.00**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 11 / 27 / 2013
Transaction ID : 21295765
 Amount of Each Receipt this Period
70.00

C. Mr. Carter McDowell
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW
 8th Floor
 City Washington State DC Zip Code 20005-4269
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Senior Managing Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **4423.14**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 11 / 27 / 2013
Transaction ID : 21295766
 Amount of Each Receipt this Period
288.46

SUBTOTAL of Receipts This Page (optional).....	377.69
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 31 OF 36
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
R. Stratford Shields

Mailing Address 845 W Belden Avenue

City Chicago State IL Zip Code 60614-3240

FEC ID number of contributing federal political committee. **C**

Name of Employer Morgan Stanley Occupation Division Chair

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
 5000.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 11 / 15 / 2013
Transaction ID : 21319450

Amount of Each Receipt this Period
 5000.00

Contribution

B. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt
 M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

C. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt
 M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).....▶	5000.00
TOTAL This Period (last page this line number only).....▶	46661.06

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement
Credit Card Fees

Category/
Type

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement

/ /

Transaction ID : 21319224

Amount of Each Disbursement this Period

Credit Card Fees

Full Name (Last, First, Middle Initial)

B. PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement
Credit Card Fees

Category/
Type

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement

/ /

Transaction ID : 21319228

Amount of Each Disbursement this Period

Credit Card Fees

Full Name (Last, First, Middle Initial)

C. PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement
Bank Fees

Category/
Type

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement

/ /

Transaction ID : 21319235

Amount of Each Disbursement this Period

Bank Fees

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Friends Of Roy Blunt

Mailing Address PO Box 410444

City Kansas City State MO Zip Code 64141

Purpose of Disbursement Contribution

011

Candidate Name

Sen. Roy Blunt

Category/Type

Office Sought: House Senate President

Disbursement For: 2016 Primary General Other (specify) ▼

State: MO District:

Date of Disbursement

MM / DD / YYYY
11 / 04 / 2013

Transaction ID : 21251611

Amount of Each Disbursement this Period

2000.00

Contribution

Full Name (Last, First, Middle Initial)

B. Graves For Congress

Mailing Address 2345 Grand, Suite 2400

City Kansas City State MO Zip Code 64108

Purpose of Disbursement Contribution

011

Candidate Name

Rep. Samuel B. Graves Jr.

Category/Type

Office Sought: House Senate President

Disbursement For: 2014 Primary General Other (specify) ▼

State: MO District: 06

Date of Disbursement

MM / DD / YYYY
11 / 04 / 2013

Transaction ID : 21251612

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

C. Montanans For Tester

Mailing Address PO Box 3171

City Billings State MT Zip Code 59103

Purpose of Disbursement Contribution

011

Candidate Name

Sen. Jon Tester

Category/Type

Office Sought: House Senate President

Disbursement For: 2018 Primary General Other (specify) ▼

State: MT District:

Date of Disbursement

MM / DD / YYYY
11 / 04 / 2013

Transaction ID : 21254953

Amount of Each Disbursement this Period

1500.00

Contribution

SUBTOTAL of Disbursements This Page (optional)..... ▶

4500.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Crowley For Congress

Mailing Address 84-56 Grand Avenue

City Elmhurst State NY Zip Code 11373

Purpose of Disbursement
Contribution

011

Candidate Name

Rep. Joseph Crowley

Category/
Type

Office Sought: House
 Senate
 President
State: NY District: 07

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
11 / 21 / 2013

Transaction ID : 21268160

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

B. Jim Himes For Congress

Mailing Address 857 Post Road, #312

City Fairfield State CT Zip Code 06824

Purpose of Disbursement
Contribution

011

Candidate Name

Rep. James A. Himes

Category/
Type

Office Sought: House
 Senate
 President
State: CT District: 04

Disbursement For: 2014
 Primary General
 Other (specify) ▼
2014 Convention

Date of Disbursement

MM / DD / YYYY
11 / 21 / 2013

Transaction ID : 21268161

Amount of Each Disbursement this Period

2000.00

Contribution

Full Name (Last, First, Middle Initial)

C. Rothfus For Congress

Mailing Address PO Box 435

City Sewickley State PA Zip Code 15143

Purpose of Disbursement
Contribution

011

Candidate Name

Rep. Keith Rothfus

Category/
Type

Office Sought: House
 Senate
 President
State: PA District: 12

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
11 / 21 / 2013

Transaction ID : 21268162

Amount of Each Disbursement this Period

1000.00

Contribution

SUBTOTAL of Disbursements This Page (optional)..... ▶

4000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Scott Garrett for Congress

Mailing Address P.O. Box 905

City Newton State NJ Zip Code 07860

Purpose of Disbursement Contribution

011

Candidate Name

Rep. Scott Garrett

Category/Type

Office Sought: House Senate President

Disbursement For: 2014 Primary General Other (specify) ▼

State: NJ District: 05

Date of Disbursement

MM / DD / YYYY
11 / 21 / 2013

Transaction ID : 21268163

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

B. Richard E Neal For Congress Committee

Mailing Address 76 Magnolia Terrace

City Springfield State MA Zip Code 01108

Purpose of Disbursement Contribution

011

Candidate Name

Rep. Richard E. Neal

Category/Type

Office Sought: House Senate President

Disbursement For: 2014 Primary General Other (specify) ▼

State: MA District: 02

Date of Disbursement

MM / DD / YYYY
11 / 21 / 2013

Transaction ID : 21268164

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

C. John Carney For Congress

Mailing Address PO Box 2162

City Wilmington State DE Zip Code 19899

Purpose of Disbursement Contribution

011

Candidate Name

Rep. John C. Carney Jr.

Category/Type

Office Sought: House Senate President

Disbursement For: 2014 Primary General Other (specify) ▼

State: DE District: 00

Date of Disbursement

MM / DD / YYYY
11 / 21 / 2013

Transaction ID : 21268165

Amount of Each Disbursement this Period

1000.00

Contribution

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

14500.00