10/12/2001 10 : 55

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

Auction Markets Political Action Committee of the Chicago Board of Trade

ADDRESS (number and street) ▼ 1455 Pennsylvania Avenue, N.W.

Check if different than previously reported. (ACC)

Washington | DC | 20004 –

2. **FEC IDENTIFICATION NUMBER** ▼ C00059832

CITY ▲ | STATE ▲ | ZIPCODE ▲

3. IS THIS REPORT X NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	X Oct 20 (M10)	Jan 31 (M13)

(c) 12-Day **PRE**-Election Report for the: Primary (12P) | General (12G) | Runoff (12R) | Convention (12C) | Special (12S)

Election on _____ in the State of _____

(d) 30-Day **P**ost -Election Report for the: General (30G) | Runoff (30R) | Special (30S)

Election on _____ in the State of _____

5. Covering Period 09 01 2001 through 09 30 2001

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Glen M. Johnson

Signature of Treasurer Electronically Filed by Mr. Glen M. Johnson Date 10 12 2001

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only			**FEC FORM 3X** (Revised 1/2001)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name
Auction Markets Political Action Committee of the Chicago Board of Trade

Report Covering the Period: From: 09 01 2001 To: 09 30 2001

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2001		135634.90
(b) Cash on Hand at Begining of Reporting Period	80932.28	
(c) Total Receipts (from Line 19)	436.43	43919.23
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	81368.71	179554.13
7. Total Disbursements (from Line 30)	16.55	98201.97
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	81352.16	81352.16
9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-420-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
OF RECEIPTS

Write or Type Committee Name

Auction Markets Political Action Committee of the Chicago Board of Trade

Report Covering the Period: From: 09 01 2001 To: 09 30 2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	200.00	
(ii) Unitemized	0.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶	200.00	40000.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶	200.00	40000.00
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	1000.00
17. Other Federal Receipts Dividends, Interest, etc.)	236.43	2919.23
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶	436.43	43919.23
20. Total Federal Receipts (subtract Line 16 from Line 19) ..... ▶	436.43	43919.23

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Revised 1/2001)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	16.55	253.67
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶	16.55	253.67
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	0.00	95000.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	0.00
29. Other Disbursements	0.00	2948.30
30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶	16.55	98201.97
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶	16.55	98201.97
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3)	200.00	40000.00
33. Total Contribution Refunds (from Line 28(d))	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	200.00	40000.00
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	16.55	253.67
36. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶	16.55	253.67

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 5 / 7

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

A.
Full Name (Last, First, Middle Initial): Mr. Stephen Anthony Anichini
Mailing Address: 28984 Noblic Knoll Court
City: Ivanhoe State: IL Zip Code: 60060
FEC ID number of contributing federal political committee.
Name of Employer: Self
Occupation: Commodities Trader
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 450.00
Date of Receipt: 09 18 2001
Amount of Each Receipt this Period: 50.00
Manual Deduction
Transaction ID: R1114

B.
Full Name (Last, First, Middle Initial): Mr. Bernard P. Carey Sr.
Mailing Address: 356 E. 1500N
City: Chesterton State: IN Zip Code: 46304
FEC ID number of contributing federal political committee.
Name of Employer: Self
Occupation: Trader
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1400.00
Date of Receipt: 09 18 2001
Amount of Each Receipt this Period: 100.00
Manual Deduction
Transaction ID: R1112

C.
Full Name (Last, First, Middle Initial): Mr. Hollis J. Griffin
Mailing Address: 70 E Cedar St
City: Chicago State: IL Zip Code: 60611-1135
FEC ID number of contributing federal political committee.
Name of Employer: Self
Occupation: Trader
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 450.00
Date of Receipt: 09 18 2001
Amount of Each Receipt this Period: 50.00
Manual Deduction
Transaction ID: R1113

SUBTOTAL of Receipts This Page (optional) ..... ▶ **200.00**

TOTAL This Period (last page this line number only) ..... ▶ **200.00**

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 6 / 7

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

A.

Full Name (Last, First, Middle Initial)
Bank of America

Mailing Address
231 South LaSalle Street

City	State	Zip Code
Chicago	IL	60697

FEC ID number of contributing federal political committee.

Name of Employer Interest	Occupation

Receipt For: 2002
Primary X General
Other (specify) ▼

Aggregate Year-to-Date ▼
2919.23

Date of Receipt
09 28 2001

Amount of Each Receipt this Period
236.43

Cash

Transaction ID: R1115

B.

C.

SUBTOTAL of Receipts This Page (optional) ▶	**236.43**
TOTAL This Period (last page this line number only) ▶	**236.43**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

[X] 21b [ ] 22 [ ] 23 [ ] 24 [ ] 25
[ ] 26 [ ] 27 [ ] 28a [ ] 28b [ ] 28c [ ] 29

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

A.

Full Name (Last, First, Middle Initial)
Bank of America

Mailing Address
231 South LaSalle Street

City: Chicago
State: IL
Zip Code: 60697

Purpose of Disbursement
Bank Charges

Candidate Name

24K
Category/ Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
09 01 2001

Amount of Each Disbursement this Period
16.55

Bank Charges

Transaction ID: D17191

B.

C.

SUBTOTAL of Disbursements This Page (optional) ..... ▶	**16.55**
TOTAL This Period (last page this line number only) ..... ▶	**16.55**