01/21/2002 15 : 19

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street) ▼ 399 Park Avenue 5th Floor

X Check if different than previously reported. (ACC)

New York | NY | 10022 –

2. **FEC IDENTIFICATION NUMBER** ▼ — CITY ▲ — STATE ▲ — ZIPCODE ▲

C00127670

3. IS THIS REPORT — X NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report(Q1)
- July 15 Quarterly Report(Q2)
- October 15 Quarterly Report(Q3)
- X January 31 Quarterly Report(YE)
- July 31 Mid-Year Report(Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (M13)

(c) 12-Day **PRE**-Election Report for the: Primary (12P) — General (12G) — Runoff (12R) — Convention (12C) — Special (12S)

Election on _____ in the State of _____

(d) 30-Day **P**ost -Election Report for the: General (30G) — Runoff (30R) — Special (30S)

Election on _____ in the State of _____

5. Covering Period 12 01 2001 through 12 31 2001

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Edward S. Grieb

Signature of Treasurer Electronically Filed by Edward S. Grieb — Date 01 21 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only			FEC FORM 3X (Revised 1/2001)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name
Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period: From: 12 01 2001 To: 12 31 2001

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2001		119194.71
(b) Cash on Hand at Begining of Reporting Period	137543.88	
(c) Total Receipts (from Line 19)	11140.03	151378.11
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	148683.91	270572.82
7. Total Disbursements (from Line 30)	5056.60	126945.51
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	143627.31	143627.31
9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-420-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Revised 1/2001)

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period: From: 12 01 2001 To: 12 31 2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	10387.46	
(ii) Unitemized	721.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶	11108.46	149910.57
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶	11108.46	149910.57
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts Dividends, Interest, etc.)	31.57	1467.54
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶	11140.03	151378.11
20. Total Federal Receipts (subtract Line 18 from Line 19) ..... ▶	11140.03	151378.11

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Revised 1/2001)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	56.60	2405.51
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶	56.60	2405.51
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	5000.00	124540.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶	5056.60	126945.51
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶	5056.60	126945.51

III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3)	11108.46	149910.57
33. Total Contribution Refunds (from Line 28(d))	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	11108.46	149910.57
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	56.60	2405.51
36. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶	56.60	2405.51

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 5 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): ABRAMS STANLEY
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 240.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 80.00
Transaction ID: A12171

B.
Full Name (Last, First, Middle Initial): AMIN KAUSHIK
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 450.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12174

C.
Full Name (Last, First, Middle Initial): AMIN KAUSHIK
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12767

SUBTOTAL of Receipts This Page (optional) ..... ▶ **160.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 6 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): APPEL FREDERICK
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12176

B.
Full Name (Last, First, Middle Initial): APPEL FREDERICK
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12789

C.
Full Name (Last, First, Middle Initial): ARNOLD WILLIAM G
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12178

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 7 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): ARNOLD WILLIAM G
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12771

B.
Full Name (Last, First, Middle Initial): ASSELSTIN JAMES K
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12179

C.
Full Name (Last, First, Middle Initial): ASSELSTIN JAMES K
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12772

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 8 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): BARRETT RICHARD M
Mailing Address: 203 MAC INTYRE LANE
City: ALLENDALE State: NJ Zip Code: 07401
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12180

B.
Full Name (Last, First, Middle Initial): BARRETT RICHARD M
Mailing Address: 203 MAC INTYRE LANE
City: ALLENDALE State: NJ Zip Code: 07401
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12773

C.
Full Name (Last, First, Middle Initial): BASULTO VINCENT
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12181

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 9 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
BASULTO VINCENT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12774

B.
Full Name (Last, First, Middle Initial)
BATH DAVID B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12182

C.
Full Name (Last, First, Middle Initial)
BATH DAVID B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12775

SUBTOTAL of Receipts This Page (optional) ..... ▶ **110.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 10 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
BENSON BETH A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12183

B.
Full Name (Last, First, Middle Initial)
BENSON BETH A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12776

C.
Full Name (Last, First, Middle Initial)
BERNARD THOMAS E.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12184

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 11 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): BERNARD THOMAS E.
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12777

B.
Full Name (Last, First, Middle Initial): BRAND DAVID J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12187

C.
Full Name (Last, First, Middle Initial): BRAND DAVID J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12780

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 12 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
BRATTON RICHARD E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12189

B.
Full Name (Last, First, Middle Initial)
BRATTON RICHARD E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12781

C.
Full Name (Last, First, Middle Initial)
BRIER BRUCE D.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 625.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12189

SUBTOTAL of Receipts This Page (optional) ..... ▶ 45.00

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 13 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): BRIER BRUCE D.
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 850.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12782

B.
Full Name (Last, First, Middle Initial): BUONINCON DIANNE
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12190

C.
Full Name (Last, First, Middle Initial): BUONINCON DIANNE
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12783

SUBTOTAL of Receipts This Page (optional) ..... ▶ **45.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 14 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): BUTLER SHAUN K
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12191

B.
Full Name (Last, First, Middle Initial): BUTLER SHAUN K
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12784

C.
Full Name (Last, First, Middle Initial): BYLON LANCE F
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12192

SUBTOTAL of Receipts This Page (optional) ..... ▶ **95.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 15 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): BYLON LANCE F
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12785

B.
Full Name (Last, First, Middle Initial): CALDWELL ROBERT S.
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12193

C.
Full Name (Last, First, Middle Initial): CALDWELL ROBERT S.
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12786

SUBTOTAL of Receipts This Page (optional) ..... ▶ **45.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 16 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
CLAIBORN STEPHEN A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 865.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12196

B.
Full Name (Last, First, Middle Initial)
CLAIBORN STEPHEN A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 895.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12789

C.
Full Name (Last, First, Middle Initial)
CONNALLY MARK M
Mailing Address
2023 MORSE ST
City: HOUSTON State: TX Zip Code: 77019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 225.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12199

SUBTOTAL of Receipts This Page (optional) ..... ▶ **85.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 17 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): CONNALLY MARK M
Mailing Address: 2023 MORSE ST
City: HOUSTON State: TX Zip Code: 77019
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12792

B.
Full Name (Last, First, Middle Initial): CONNORS KEVIN T
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12200

C.
Full Name (Last, First, Middle Initial): CONNORS KEVIN T
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12793

SUBTOTAL of Receipts This Page (optional) ..... ▶ **125.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 18 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): CORCORAN THOMAS P
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12202

B.
Full Name (Last, First, Middle Initial): CORCORAN THOMAS P
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12795

C.
Full Name (Last, First, Middle Initial): CORNALI CLAUDIO
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12204

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 19 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): CORNALI CLAUDIO
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12797

B.
Full Name (Last, First, Middle Initial): CORTES HECTOR A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 465.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12205

C.
Full Name (Last, First, Middle Initial): CORTES HECTOR A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 490.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12798

SUBTOTAL of Receipts This Page (optional) ..... ▶ **80.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 20 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): COX ROBERT C
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12206

B.
Full Name (Last, First, Middle Initial): COX ROBERT C
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12799

C.
Full Name (Last, First, Middle Initial): CUTLER ALLEN B
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12207

SUBTOTAL of Receipts This Page (optional) ..... ▶ **110.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 21 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): CUTLER ALLEN B
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12800

B.
Full Name (Last, First, Middle Initial): DAVEY PAUL M.
Mailing Address: 3 EAST 66TH 8B
City: NEW YORK State: NY Zip Code: 10021
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 475.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12208

C.
Full Name (Last, First, Middle Initial): DE GAGLIA THOMAS
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12209

SUBTOTAL of Receipts This Page (optional) ..... ▶ **110.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 22 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): DE GAGLIA THOMAS
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12801

B.
Full Name (Last, First, Middle Initial): DE GENNAR MARK W
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12210

C.
Full Name (Last, First, Middle Initial): DE GENNAR MARK W
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12802

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 23 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): DEAN PATRICK J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12212

B.
Full Name (Last, First, Middle Initial): DEAN PATRICK J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12804

C.
Full Name (Last, First, Middle Initial): DEGRAFF DONALD
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12211

SUBTOTAL of Receipts This Page (optional) ..... ▶ **50.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 24 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
DEGRAFF DONALD
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12803

B.
Full Name (Last, First, Middle Initial)
DONOHUE EDWARD B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12214

C.
Full Name (Last, First, Middle Initial)
DONOHUE EDWARD B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12806

SUBTOTAL of Receipts This Page (optional) ..... ▶ **50.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 25 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
DZIEDZIC STANLEY J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 322.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 46.00
Transaction ID: A12216

B.
Full Name (Last, First, Middle Initial)
DZIEDZIC STANLEY J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 368.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 46.00
Transaction ID: A12808

C.
Full Name (Last, First, Middle Initial)
EDWARDS PAUL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12217

SUBTOTAL of Receipts This Page (optional) ..... ▶ **142.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): EDWARDS PAUL
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12809

B.
Full Name (Last, First, Middle Initial): ELKINS JAY S
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12218

C.
Full Name (Last, First, Middle Initial): ELKINS JAY S
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12810

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): EMMERT JAMES R
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12219

B.
Full Name (Last, First, Middle Initial): EMMERT JAMES R
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12811

C.
Full Name (Last, First, Middle Initial): EPSTEIN JONATHAN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 360.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12220

SUBTOTAL of Receipts This Page (optional) ..... ▶ **50.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
ERNST HERBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12221

B.
Full Name (Last, First, Middle Initial)
ERNST HERBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12812

C.
Full Name (Last, First, Middle Initial)
ERSOFF BRETT I
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12222

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): ERSOFF BRETT I
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12813

B.
Full Name (Last, First, Middle Initial): FEIGELES EDWARD M
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12224

C.
Full Name (Last, First, Middle Initial): FEIGELES EDWARD M
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12815

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
FIDELIA-DAGUILLARD NADJA
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1780.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A12225

B.
Full Name (Last, First, Middle Initial)
FIDELIA-DAGUILLARD NADJA
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1880.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A12816

C.
Full Name (Last, First, Middle Initial)
FINNERTY THOMAS P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12226

SUBTOTAL of Receipts This Page (optional) ..... ▶ **210.00**

TOTAL This Period (last page this line number only) ..... ▶

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Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
FINNERTY THOMAS P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12817

B.
Full Name (Last, First, Middle Initial)
FLECKENSTEIN W DANSBY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 360.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12227

C.
Full Name (Last, First, Middle Initial)
FLEISCHOR KIRK D
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12228

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

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ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
FLEISCHOR KIRK D

Mailing Address
399 PARK AVENUE 5TH FLOOR

City: NEW YORK State: NY Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 780.00

Date of Receipt: 12 31 2001

Amount of Each Receipt this Period: 30.00

Transaction ID: A12818

B. Full Name (Last, First, Middle Initial)
FORD JONATHAN G

Mailing Address
399 PARK AVENUE 5TH FLOOR

City: NEW YORK State: NY Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 860.00

Date of Receipt: 12 14 2001

Amount of Each Receipt this Period: 30.00

Transaction ID: A12229

C. Full Name (Last, First, Middle Initial)
FORTE VICTOR A.

Mailing Address
399 PARK AVENUE 5TH FLOOR

City: NEW YORK State: NY Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 625.00

Date of Receipt: 12 14 2001

Amount of Each Receipt this Period: 25.00

Transaction ID: A12230

SUBTOTAL of Receipts This Page (optional) ..... ▶ **85.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
FORTE VICTOR A.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 850.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12819

B.
Full Name (Last, First, Middle Initial)
FOX GERARD J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12231

C.
Full Name (Last, First, Middle Initial)
FOX GERARD J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12820

SUBTOTAL of Receipts This Page (optional) ..... ▶ **55.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
FRANK FREDERICK
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12233

B.
Full Name (Last, First, Middle Initial)
FRANK FREDERICK
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12822

C.
Full Name (Last, First, Middle Initial)
FRANK III EDWIN G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12232

SUBTOTAL of Receipts This Page (optional) ..... ▶ **120.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
FRANK III EDWING
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12821

B.
Full Name (Last, First, Middle Initial)
GALLAGHER BILL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12234

C.
Full Name (Last, First, Middle Initial)
GALLAGHER BILL
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12823

SUBTOTAL of Receipts This Page (optional) ..... ▶ **50.00**

TOTAL This Period (last page this line number only) ..... ▶

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
GARGANO GLORIANNE M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12235

B.
Full Name (Last, First, Middle Initial)
GARGANO GLORIANNE M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12824

C.
Full Name (Last, First, Middle Initial)
GATES WILLIAM H
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A12236

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

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ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GATES WILLIAM H
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1400.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A12825

B.
Full Name (Last, First, Middle Initial): GELBAND MICHAEL
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12237

C.
Full Name (Last, First, Middle Initial): GELBAND MICHAEL
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12826

SUBTOTAL of Receipts This Page (optional) ..... ▶ **200.00**

TOTAL This Period (last page this line number only) ..... ▶

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
GENIRS-JO JEANMARIE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12238

B.
Full Name (Last, First, Middle Initial)
GENIRS-JO JEANMARIE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12827

C.
Full Name (Last, First, Middle Initial)
GIBBS JAMES H
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12239

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GIBBS JAMES H
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12828

B.
Full Name (Last, First, Middle Initial): GLASS JEFFREY N
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 625.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12240

C.
Full Name (Last, First, Middle Initial): GLASS JEFFREY N
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 650.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12829

SUBTOTAL of Receipts This Page (optional) ..... ▶ **100.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 40 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GODLIS LEWIS S
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12241

B.
Full Name (Last, First, Middle Initial): GODLIS LEWIS S
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12830

C.
Full Name (Last, First, Middle Initial): GOLDBERG STEVEN H
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 495.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12242

SUBTOTAL of Receipts This Page (optional) ..... ▶ **50.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 41 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GOLDBERG STEVEN H
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 525.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12831

B.
Full Name (Last, First, Middle Initial): GOLDFARB DAVID
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12243

C.
Full Name (Last, First, Middle Initial): GOLDFARB DAVID
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12832

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 42 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GRABER JOE A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12244

B.
Full Name (Last, First, Middle Initial): GRABER JOE A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12833

C.
Full Name (Last, First, Middle Initial): GRAY LYNN Z.
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12245

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 43 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GRAY LYNN Z.
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12834

B.
Full Name (Last, First, Middle Initial): GREEN ERNEST G
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12246

C.
Full Name (Last, First, Middle Initial): GREEN ERNEST G
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12835

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 44 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GRIFFIN BERNARD J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12249

B.
Full Name (Last, First, Middle Initial): GRIFFIN BERNARD J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12838

C.
Full Name (Last, First, Middle Initial): GRIMM KRIS D
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12250

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 45 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
GRIMM KRIS D
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12839

B.
Full Name (Last, First, Middle Initial)
GUARNIERI MICHAEL P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12252

C.
Full Name (Last, First, Middle Initial)
GUARNIERI MICHAEL P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12841

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 46 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GUENTHER TODD C
Mailing Address: 150 COLUMBUS AVE 16C
City: NEW YORK State: NY Zip Code: 10023
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 690.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12253

B.
Full Name (Last, First, Middle Initial): GUENTHER TODD C
Mailing Address: 150 COLUMBUS AVE 16C
City: NEW YORK State: NY Zip Code: 10023
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 710.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12842

C.
Full Name (Last, First, Middle Initial): GUGLIELMO ROBERT
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12254

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 47 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GUGLIELMO ROBERT
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12843

B.
Full Name (Last, First, Middle Initial): HARTMEIER MIKE A
Mailing Address: 266 DENSLOW AVE
City: LOS ANGELES State: CA Zip Code: 90049
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 400.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12255

C.
Full Name (Last, First, Middle Initial): HARTMEIER MIKE A
Mailing Address: 266 DENSLOW AVE
City: LOS ANGELES State: CA Zip Code: 90049
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 450.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12844

SUBTOTAL of Receipts This Page (optional) ..... ▶ **120.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 48 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
HAWKINS THOMAS A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 825.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12256

B.
Full Name (Last, First, Middle Initial)
HAWKINS THOMAS A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 850.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12845

C.
Full Name (Last, First, Middle Initial)
HOFFMEIST PERRY C
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12259

SUBTOTAL of Receipts This Page (optional) ..... ▶ **80.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): HOFFMEIST PERRY C
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12848

B.
Full Name (Last, First, Middle Initial): HOLDGRAFE JEFFREY M
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12280

C.
Full Name (Last, First, Middle Initial): HOLDGRAFE JEFFREY M
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12849

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): HOUSEWORTH COURT H
Mailing Address: 136 SILVER OAK TERRACE
City: ORINDA State: CA Zip Code: 94563
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12261

B.
Full Name (Last, First, Middle Initial): HOUSEWORTH COURT H
Mailing Address: 136 SILVER OAK TERRACE
City: ORINDA State: CA Zip Code: 94563
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12850

C.
Full Name (Last, First, Middle Initial): HOWARD MARK W
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12262

SUBTOTAL of Receipts This Page (optional) ..... ▶ **110.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): HOWARD MARK W
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12851

B.
Full Name (Last, First, Middle Initial): HOWARD STEPHEN E
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12283

C.
Full Name (Last, First, Middle Initial): HOWARD STEPHEN E
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12852

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 52 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): HOY ROBERT J
Mailing Address: 43 WEST 21ST APT. 4
City: NEW YORK State: NY Zip Code: 10010
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 800.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A12264

B.
Full Name (Last, First, Middle Initial): HYNOTE ROBERT A
Mailing Address: 4459 REDWOOD ROAD
City: NAPA State: CA Zip Code: 94558
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12286

C.
Full Name (Last, First, Middle Initial): HYNOTE ROBERT A
Mailing Address: 4459 REDWOOD ROAD
City: NAPA State: CA Zip Code: 94558
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12853

SUBTOTAL of Receipts This Page (optional) ..... ▶ **200.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 53 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
IORIO JAMES L.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12267

B.
Full Name (Last, First, Middle Initial)
IORIO JAMES L.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12854

C.
Full Name (Last, First, Middle Initial)
JANULIS THEODORE
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12268

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 54 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): JANULIS THEODORE
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12855

B.
Full Name (Last, First, Middle Initial): JENNINGS WILLIAM
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12289

C.
Full Name (Last, First, Middle Initial): JENNINGS WILLIAM
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12856

SUBTOTAL of Receipts This Page (optional) ..... ▶ **140.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 55 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): JONES CRAIG L.
Mailing Address: 137 FOREST AVE
City: GLEN RIDGE State: NJ Zip Code: 07028
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12270

B.
Full Name (Last, First, Middle Initial): JONES CRAIG L.
Mailing Address: 137 FOREST AVE
City: GLEN RIDGE State: NJ Zip Code: 07028
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12857

C.
Full Name (Last, First, Middle Initial): JOTWANI TARUN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 360.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12271

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 56 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
KANE JEANNE M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12272

B.
Full Name (Last, First, Middle Initial)
KANE JEANNE M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12858

C.
Full Name (Last, First, Middle Initial)
KELLY JOSEPH J.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12274

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 57 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): KELLY JOSEPH J.
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12860

B.
Full Name (Last, First, Middle Initial): KIERNAN JOHN J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12275

C.
Full Name (Last, First, Middle Initial): KIERNAN JOHN J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12861

SUBTOTAL of Receipts This Page (optional) ..... ▶ **110.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
KILLIAN GARY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12276

B.
Full Name (Last, First, Middle Initial)
KILLIAN GARY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12862

C.
Full Name (Last, First, Middle Initial)
KIRSCH RICHARD
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 275.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12277

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 59 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
KITEI JONATHAN M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12278

B.
Full Name (Last, First, Middle Initial)
KITEI JONATHAN M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12863

C.
Full Name (Last, First, Middle Initial)
KRUEGER HARVEY M
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12279

SUBTOTAL of Receipts This Page (optional) ..... ▶ **110.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 60 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): KRUEGER HARVEY M
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12864

B.
Full Name (Last, First, Middle Initial): LAHEY MARK K
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12280

C.
Full Name (Last, First, Middle Initial): LAHEY MARK K
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12865

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 61 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): LAUCKS CRAIG S
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 720.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 80.00
Transaction ID: A12283

B.
Full Name (Last, First, Middle Initial): LAVELLE DAVID J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12284

C.
Full Name (Last, First, Middle Initial): LAVELLE DAVID J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12867

SUBTOTAL of Receipts This Page (optional) ..... ▶ **80.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): LESSING STEPHEN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12285

B.
Full Name (Last, First, Middle Initial): LESSING STEPHEN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12868

C.
Full Name (Last, First, Middle Initial): LI RAYMOND K
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12286

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): LI RAYMOND K
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12869

B.
Full Name (Last, First, Middle Initial): LIEBER ROBERT C
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12287

C.
Full Name (Last, First, Middle Initial): LIEBER ROBERT C
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12870

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
LIEBERBERG ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12289

B.
Full Name (Last, First, Middle Initial)
LIEBERBERG ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12871

C.
Full Name (Last, First, Middle Initial)
LIGHTEN WILLIAM E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12289

SUBTOTAL of Receipts This Page (optional) ..... ▶ **120.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
LIGHTEN WILLIAM E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12872

B.
Full Name (Last, First, Middle Initial)
LISTER JAMES
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 60.00
Transaction ID: A12290

C.
Full Name (Last, First, Middle Initial)
LISTER JAMES
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1560.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 60.00
Transaction ID: A12873

SUBTOTAL of Receipts This Page (optional) ..... ▶ **140.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): LOFTUS JOSEPH A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12291

B.
Full Name (Last, First, Middle Initial): LOFTUS JOSEPH A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12874

C.
Full Name (Last, First, Middle Initial): LOHUIS DAVID W
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12292

SUBTOTAL of Receipts This Page (optional) ..... ▶ **110.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 67 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): LOHUIS DAVID W
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12875

B.
Full Name (Last, First, Middle Initial): LOWELL DAWN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12293

C.
Full Name (Last, First, Middle Initial): LOWELL DAWN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12876

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
LUPO JR FRANK J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12294

B.
Full Name (Last, First, Middle Initial)
LUPO JR FRANK J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12877

C.
Full Name (Last, First, Middle Initial)
MAJECTIC GUY A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12296

SUBTOTAL of Receipts This Page (optional) ..... ▶ **55.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
MAJECTIC GUYA
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12879

B.
Full Name (Last, First, Middle Initial)
MALIK ANDREW J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12297

C.
Full Name (Last, First, Middle Initial)
MALIK ANDREW J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12880

SUBTOTAL of Receipts This Page (optional) ..... ▶ **115.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): MALIN MARK C
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 420.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 35.00
Transaction ID: A12299

B.
Full Name (Last, First, Middle Initial): MALVEY JOHN V
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12299

C.
Full Name (Last, First, Middle Initial): MALVEY JOHN V
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12881

SUBTOTAL of Receipts This Page (optional) ..... ▶ **135.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): MARCUCCI MARK J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12301

B.
Full Name (Last, First, Middle Initial): MARCUCCI MARK J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12883

C.
Full Name (Last, First, Middle Initial): MARINO THOMAS N
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12303

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): MARINO THOMAS N
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12885

B.
Full Name (Last, First, Middle Initial): MARON MARK S
Mailing Address: 1490 BIENUENEPA
City: PACIFIC PALISADES State: CA Zip Code: 90272
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 450.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12304

C.
Full Name (Last, First, Middle Initial): MARON MARK S
Mailing Address: 1490 BIENUENEPA
City: PACIFIC PALISADES State: CA Zip Code: 90272
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12886

SUBTOTAL of Receipts This Page (optional) ..... ▶ **150.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one) PAGE 73 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): MARSH LAWRENCE C
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 350.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12306

B.
Full Name (Last, First, Middle Initial): MARSH LAWRENCE C
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 400.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12887

C.
Full Name (Last, First, Middle Initial): MCCOMBIE JANICE B
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12307

SUBTOTAL of Receipts This Page (optional) ..... ▶ **115.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
MCCOMBIE JANICE B
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12889

B.
Full Name (Last, First, Middle Initial)
MILVERSTE MICHAEL R
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12311

C.
Full Name (Last, First, Middle Initial)
MILVERSTE MICHAEL R
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12891

SUBTOTAL of Receipts This Page (optional) ..... ▶ **115.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): MURRAY GUY J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12314

B.
Full Name (Last, First, Middle Initial): MURRAY GUY J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12894

C.
Full Name (Last, First, Middle Initial): MURRAY JR JOHN P
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12313

SUBTOTAL of Receipts This Page (optional) ..... ▶ **40.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 76 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): MURRAY JR JOHN P
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12893

B.
Full Name (Last, First, Middle Initial): NAPOLITANS FRANK A
Mailing Address: 226 LINDEN AVENUE
City: WESTFIELD State: NJ Zip Code: 07090
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 300.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12315

C.
Full Name (Last, First, Middle Initial): NAPOLITANS FRANK A
Mailing Address: 226 LINDEN AVENUE
City: WESTFIELD State: NJ Zip Code: 07090
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 350.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12895

SUBTOTAL of Receipts This Page (optional) ..... ▶ **120.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 77 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): NASH ANTHONY A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12316

B.
Full Name (Last, First, Middle Initial): NASH ANTHONY A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12896

C.
Full Name (Last, First, Middle Initial): O'DONNELL DEIRDRE J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12319

SUBTOTAL of Receipts This Page (optional) ..... ▶ **40.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 78 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): O'DONNELL DEIRDRE J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12899

B.
Full Name (Last, First, Middle Initial): O'HANLON MICHAEL J.
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 2400.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A12321

C.
Full Name (Last, First, Middle Initial): ODRICH MICHAEL J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 450.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12320

SUBTOTAL of Receipts This Page (optional) ..... ▶ **170.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 79 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
ODRICH MICHAEL J
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12900

B.
Full Name (Last, First, Middle Initial)
OKIN ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12322

C.
Full Name (Last, First, Middle Initial)
OKIN ROBERT
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12901

SUBTOTAL of Receipts This Page (optional) ..... ▶ **80.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
PALEY MARC L
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12323

B.
Full Name (Last, First, Middle Initial)
PALEY MARC L
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12902

C.
Full Name (Last, First, Middle Initial)
PANAGEAS SOTIRIOS
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 930.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 60.00
Transaction ID: A12324

SUBTOTAL of Receipts This Page (optional) ..... ▶ **80.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 81 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): PANAGEAS SOTIRIOS
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 990.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 60.00
Transaction ID: A12903

B.
Full Name (Last, First, Middle Initial): PENROSE JAMES C
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12325

C.
Full Name (Last, First, Middle Initial): PENROSE JAMES C
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12904

SUBTOTAL of Receipts This Page (optional) ..... ▶ **140.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): PETERS STEPHEN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12326

B.
Full Name (Last, First, Middle Initial): PETERS STEPHEN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12905

C.
Full Name (Last, First, Middle Initial): PIA LOUIS
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12327

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one) PAGE 83 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): PIA LOUIS
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12906

B.
Full Name (Last, First, Middle Initial): POLIZZOTT JOSEPH
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12328

C.
Full Name (Last, First, Middle Initial): POLIZZOTT JOSEPH
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12907

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 84 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): PUCCIAREL JAMES V
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 461.52
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 38.46
Transaction ID: A12329

B.
Full Name (Last, First, Middle Initial): REDMOND RICHARD R
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12330

C.
Full Name (Last, First, Middle Initial): REDMOND RICHARD R
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12909

SUBTOTAL of Receipts This Page (optional) ..... ▶ **78.46**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 85 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
REILLY BRIAN M.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12331

B.
Full Name (Last, First, Middle Initial)
REILLY BRIAN M.
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12909

C.
Full Name (Last, First, Middle Initial)
REYNOLDS LISA G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12332

SUBTOTAL of Receipts This Page (optional) ..... ▶ **50.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
REYNOLDS LISA G
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12910

B.
Full Name (Last, First, Middle Initial)
RILEY-MOT MARY KAY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12312

C.
Full Name (Last, First, Middle Initial)
RILEY-MOT MARY KAY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12892

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
RISPOLI DOMINIC
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 385.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12333

B.
Full Name (Last, First, Middle Initial)
RISPOLI DOMINIC
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 410.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12911

C.
Full Name (Last, First, Middle Initial)
RIZZIERI GERALD A
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 480.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12334

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
RIZZO CHARLES K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12335

B.
Full Name (Last, First, Middle Initial)
RIZZO CHARLES K
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12912

C.
Full Name (Last, First, Middle Initial)
RIZZOTTO ANTHONY
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12336

SUBTOTAL of Receipts This Page (optional) ..... ▶ **35.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): RIZZOTTO ANTHONY
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12913

B.
Full Name (Last, First, Middle Initial): ROBERTS ERIC W
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12337

C.
Full Name (Last, First, Middle Initial): ROBERTS ERIC W
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12914

SUBTOTAL of Receipts This Page (optional) ..... ▶ **115.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): ROWLEY BETH L
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12339

B.
Full Name (Last, First, Middle Initial): ROWLEY BETH L
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12916

C.
Full Name (Last, First, Middle Initial): RUSSO THOMAS A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12340

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 91 / 114

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): RUSSO THOMAS A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12917

B.
Full Name (Last, First, Middle Initial): RYLAND KYLE T
Mailing Address: 765 BONHILL RD
City: HILLSBOROGH State: CA Zip Code: 94010
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 300.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12341

C.
Full Name (Last, First, Middle Initial): RYLAND KYLE T
Mailing Address: 765 BONHILL RD
City: HILLSBOROGH State: CA Zip Code: 94010
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 350.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12918

SUBTOTAL of Receipts This Page (optional) ..... ▶ **150.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): SALKO RANDI J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12343

B.
Full Name (Last, First, Middle Initial): SALKO RANDI J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12920

C.
Full Name (Last, First, Middle Initial): SANBORN GILBERT D
Mailing Address: 125 OLD HYDE RD
City: WESTON State: CT Zip Code: 06883
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 800.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A12344

SUBTOTAL of Receipts This Page (optional) ..... ▶ **160.00**

TOTAL This Period (last page this line number only) ..... ▶

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ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): SANBORN GILBERT D
Mailing Address: 125 OLD HYDE RD
City: WESTON State: CT Zip Code: 06883
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 900.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A12921

B.
Full Name (Last, First, Middle Initial): SCHWADRON DAVID A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 400.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 16.00
Transaction ID: A12347

C.
Full Name (Last, First, Middle Initial): SCHWADRON DAVID A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 416.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 16.00
Transaction ID: A12924

SUBTOTAL of Receipts This Page (optional) ..... ▶ **132.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): SEAMAN JEFFREY M
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12348

B.
Full Name (Last, First, Middle Initial): SEAMAN JEFFREY M
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12925

C.
Full Name (Last, First, Middle Initial): SHERR DAVID N
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12350

SUBTOTAL of Receipts This Page (optional) ..... ▶ **80.00**

TOTAL This Period (last page this line number only) ..... ▶

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ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): SHERR DAVID N
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 520.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12927

B.
Full Name (Last, First, Middle Initial): SMITH JAMES P
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 240.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A12352

C.
Full Name (Last, First, Middle Initial): SPARKS ALAN A.
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12353

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

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ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): SPARKS ALAN A.
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12929

B.
Full Name (Last, First, Middle Initial): SULLIVAN CLARE
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12355

C.
Full Name (Last, First, Middle Initial): SULLIVAN CLARE
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12931

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): SWEENEY JOHN K
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1104.55
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12356

B.
Full Name (Last, First, Middle Initial): SWEENEY JOHN K
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1144.55
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12932

C.
Full Name (Last, First, Middle Initial): TAYLOR PETER J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12357

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): TAYLOR PETER J
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12933

B.
Full Name (Last, First, Middle Initial): TEGEN DOUGLAS B
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 80.00
Transaction ID: A12358

C.
Full Name (Last, First, Middle Initial): TEGEN DOUGLAS B
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12934

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

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ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): TEICHMAN HAROLD
Mailing Address: 68 PROSPECT PLACE
City: RUTHERFORD State: NJ Zip Code: 07070
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12359

B.
Full Name (Last, First, Middle Initial): TEICHMAN HAROLD
Mailing Address: 68 PROSPECT PLACE
City: RUTHERFORD State: NJ Zip Code: 07070
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12935

C.
Full Name (Last, First, Middle Initial): TEIFELD LEONARD M
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12360

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): TEIFELD LEONARD M
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12936

B.
Full Name (Last, First, Middle Initial): TOBIN PAUL G
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 600.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12361

C.
Full Name (Last, First, Middle Initial): TURNER FRANK P
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 665.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12364

SUBTOTAL of Receipts This Page (optional) ..... ▶ **120.00**

TOTAL This Period (last page this line number only) ..... ▶

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ITEMIZED RECEIPTS

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): TURNER FRANK P
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 895.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12939

B.
Full Name (Last, First, Middle Initial): UZELAC JOSEPH G
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 625.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12385

C.
Full Name (Last, First, Middle Initial): UZELAC JOSEPH G
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 650.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A12940

SUBTOTAL of Receipts This Page (optional) ..... ▶ **80.00**

TOTAL This Period (last page this line number only) ..... ▶

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): VANDERBEE JEFFREY
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12366

B.
Full Name (Last, First, Middle Initial): VANDERBEE JEFFREY
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12941

C.
Full Name (Last, First, Middle Initial): VOIGTMAN JON E
Mailing Address: 2 OAKLAWN RD
City: SUMMIT State: NJ Zip Code: 07901
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 400.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12368

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
VOIGTMAN JON E

Mailing Address
2 OAKLAWN RD

City: SUMMIT State: NJ Zip Code: 07901

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 12 31 2001

Amount of Each Receipt this Period: 50.00

Transaction ID: A12943

B. Full Name (Last, First, Middle Initial)
WALKER JON P

Mailing Address
399 PARK AVENUE 5TH FLOOR

City: NEW YORK State: NY Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 720.00

Date of Receipt: 12 14 2001

Amount of Each Receipt this Period: 60.00

Transaction ID: A12369

C. Full Name (Last, First, Middle Initial)
WASHKOWIT ALAN

Mailing Address
399 PARK AVENUE 5TH FLOOR

City: NEW YORK State: NY Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 12 14 2001

Amount of Each Receipt this Period: 40.00

Transaction ID: A12370

SUBTOTAL of Receipts This Page (optional) ..... ▶ **150.00**

TOTAL This Period (last page this line number only) ..... ▶

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ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): WASHKOWIT ALAN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12944

B.
Full Name (Last, First, Middle Initial): WEINSTEIN GARY S
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12371

C.
Full Name (Last, First, Middle Initial): WEINSTEIN GARY S
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12945

SUBTOTAL of Receipts This Page (optional) ..... ▶ **140.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): WEXLER PETER I
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12372

B.
Full Name (Last, First, Middle Initial): WEXLER PETER I
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12946

C.
Full Name (Last, First, Middle Initial): WHITE KEVIN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12374

SUBTOTAL of Receipts This Page (optional) ..... ▶ **40.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): WHITE KEVIN
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 260.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 10.00
Transaction ID: A12947

B.
Full Name (Last, First, Middle Initial): WHITMAN BRADLEY A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 750.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12375

C.
Full Name (Last, First, Middle Initial): WHITMAN BRADLEY A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 780.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A12948

SUBTOTAL of Receipts This Page (optional) ..... ▶ **70.00**

TOTAL This Period (last page this line number only) ..... ▶

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): WILLENS ROBERT
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12376

B.
Full Name (Last, First, Middle Initial): WILLENS ROBERT
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12949

C.
Full Name (Last, First, Middle Initial): WILLOUGHB SCOTT E
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12377

SUBTOTAL of Receipts This Page (optional) ..... ▶ **95.00**

TOTAL This Period (last page this line number only) ..... ▶

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
WILLOUGHB SCOTT E
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12950

B.
Full Name (Last, First, Middle Initial)
WINCHESTE DAVID P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1000.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12378

C.
Full Name (Last, First, Middle Initial)
WINCHESTE DAVID P
Mailing Address
399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1040.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A12951

SUBTOTAL of Receipts This Page (optional) ..... ▶ **95.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
ZOIDIS PAUL

Mailing Address
399 PARK AVENUE 5TH FLOOR

City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1250.00

Date of Receipt: 12 14 2001

Amount of Each Receipt this Period: 50.00

Transaction ID: A12379

B. Full Name (Last, First, Middle Initial)
ZOIDIS PAUL

Mailing Address
399 PARK AVENUE 5TH FLOOR

City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1300.00

Date of Receipt: 12 31 2001

Amount of Each Receipt this Period: 50.00

Transaction ID: A12952

C. Full Name (Last, First, Middle Initial)
ZOREK JEFFREY A

Mailing Address
399 PARK AVENUE 5TH FLOOR

City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1250.00

Date of Receipt: 12 14 2001

Amount of Each Receipt this Period: 50.00

Transaction ID: A12380

SUBTOTAL of Receipts This Page (optional) ..... ▶ **150.00**

TOTAL This Period (last page this line number only) ..... ▶

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): ZOREK JEFFREY A
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1300.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A12953

B.
Full Name (Last, First, Middle Initial): ZUCKMAN JOHN S
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 375.00
Date of Receipt: 12 14 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12381

C.
Full Name (Last, First, Middle Initial): ZUCKMAN JOHN S
Mailing Address: 399 PARK AVENUE 5TH FLOOR
City: NEW YORK State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 390.00
Date of Receipt: 12 31 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A12954

SUBTOTAL of Receipts This Page (optional) ..... ▶ **80.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
ZUSY MARK L

Mailing Address
399 PARK AVENUE 5TH FLOOR

City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Date of Receipt: 12 14 2001

Amount of Each Receipt this Period: 50.00

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1250.00

Transaction ID: A12382

B.
Full Name (Last, First, Middle Initial)
ZUSY MARK L

Mailing Address
399 PARK AVENUE 5TH FLOOR

City: NEW YORK | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Date of Receipt: 12 31 2001

Amount of Each Receipt this Period: 50.00

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1300.00

Transaction ID: A12955

C.

SUBTOTAL of Receipts This Page (optional) ..... ▶ **100.00**

TOTAL This Period (last page this line number only) ..... ▶ **10387.46**

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 112 / 114

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
First Union Bank/CAP Account Dept.

Mailing Address
One First Union Center 1164

City: Charlotte
State: NC
Zip Code: 28288

FEC ID number of contributing federal political committee.

Name of Employer

Occupation

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1467.54

Date of Receipt
M M D D Y Y Y Y
12 31 2001

Amount of Each Receipt this Period
31.57

Transaction ID: A1949

B.

C.

SUBTOTAL of Receipts This Page (optional) ..... ▶	**31.57**
TOTAL This Period (last page this line number only) ..... ▶	**31.57**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

[X] 21b [ ] 22 [ ] 23 [ ] 24 [ ] 25
[ ] 26 [ ] 27 [ ] 28a [ ] 28b [ ] 28c [ ] 29

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.

Full Name (Last, First, Middle Initial)
First Union Bank of Washington

Mailing Address
One First Union Center 1164

City: Charlotte
State: NC
Zip Code: 28288

Purpose of Disbursement
O-2001 Bank Service Charge NC

Candidate Name

N/A
Category/ Type

Office Sought: House / Senate / President
State: NC District:

Disbursement For: 2001
Primary / General
X Other (specify) ▼
Not Applicable

Date of Disbursement
12 11 2001

Amount of Each Disbursement this Period
56.60

Transaction ID: B3448

B.

C.

SUBTOTAL of Disbursements This Page (optional) ..... ▶	**56.60**
TOTAL This Period (last page this line number only) ..... ▶	**56.60**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
Friends of Max Baucus 2002

Mailing Address
P.O. Box 586
City: Helena
State: MT
Zip Code: 59624

Purpose of Disbursement
P-2002 US Senate MT

Candidate Name
Baucus Max

24K
Category/ Type

Office Sought: House / X Senate / President
State: MT District:

Disbursement For: 2002
X Primary / General / Other (specify)

Date of Disbursement
12 04 2001

Amount of Each Disbursement this Period
3000.00

Transaction ID: B3446

B.
Full Name (Last, First, Middle Initial)
Friends of Max Baucus 2002

Mailing Address
P.O. Box 586
City: Helena
State: MT
Zip Code: 59624

Purpose of Disbursement
G-2002 US Senate MT

Candidate Name
Baucus Max

24K
Category/ Type

Office Sought: House / X Senate / President
State: MT District:

Disbursement For: 2002
Primary / X General / Other (specify)

Date of Disbursement
12 04 2001

Amount of Each Disbursement this Period
2000.00

Transaction ID: B3447

C.

SUBTOTAL of Disbursements This Page (optional) ..... ▶ **5000.00**

TOTAL This Period (last page this line number only) ..... ▶ **5000.00**