REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

1. NAME OF COMMITTEE (in full)
Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street) ☐ Check if different than previously reported
200 Vesey Street 8th Floor

2. FEC IDENTIFICATION NUMBER
C00127670

CITY, STATE, and ZIP CODE
New York NY 10285

3. ☒ This committee has qualified as a multi-candidate committee (see FEC Form 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

☐ July 31 Mid-Year Report (Non-election Year Only)

☐ Termination report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☒ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (election type)
election on _____ in the State of _____

☐ Thirtieth day report following the General Election
on _____ in the State of _____

(b) Is this Report an Amendment ☐ YES ☒ NO

SUMMARY 5. Covering Period 03/01/2001 through 03/31/2001	**COLUMN A** **This Period**	**COLUMN B** **Calendar Year-to-Date**
6. (a) Cash on Hand, January 1, 2001		115194.71
(b) Cash on Hand at Beginning of Reporting Period	88458.65	
(c) Total Receipts (from line 19)	13833.41	52976.79
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	102292.06	152171.50
7. Total Disbursements (from line 30)	21746.44	71625.88
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	80545.62	80545.62
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct, and complete.

Type or Print Name of Treasurer
Electronically Filed by Edward Grieb

Signature of Treasurer

Date
04/13/2001

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/98)

4-00173

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
(PAGE 2, FEC FORM 3X)

(revised 1/1/91)

NAME OF COMMITTEE
Action Fund of Lehman Brothers Holdings Inc.

REPORT COVERING PERIOD
FROM 03/01/2001 TO: 03/31/2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year	
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	9169.30	9409.30	11.a.i.
ii. Unitemized	4432.46	22762.63	11.a.ii.
iii. Total (add i and ii)»	13601.76	32171.93	11.a.iii.
b. Political Party Committees	0.00	0.00	11.b.
c. Other Political Committees (such as PACs)	0.00	0.00	11.c.
d. Total Contributions (add a iii, b and c)»	13601.76	32171.93	11.d.
12. Transfers From Affiliated/Other Party Committees	0.00	0.00	12.
13. All Loans Received	0.00	0.00	13.
14. Loan Repayments Received	0.00	0.00	14.
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	0.00	0.00	15.
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	0.00	16.
17. Other Federal Receipts (Dividends, Interest, etc.)	231.65	804.86	17.
18. Transfers From Nonfederal Account for Joint Activity	0.00	0.00	18.
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18)»	13833.41	32976.79	19.
20. Total Federal Receipts (subtract line 18 from line 19)»	13833.41	32976.79	20.
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share	0.00	0.00	21.a.i.
ii. Non-Federal Share	0.00	0.00	21.a.ii.
b. Other Federal Operating Expenditures	246.44	1585.88	21.b.
c. Total Operating Expenditures (add a i, a ii, and b)»	246.44	1585.88	21.c.
22. Transfers to Affiliated/Other Party Committees	0.00	0.00	22.
23. Contributions to Federal Candidates/Committees and Other Political Committees	21500.00	70040.00	23.
24. Independent Expenditures (use Schedule E)	0.00	0.00	24.
25. Coordinated Expenditures Made by Party Committees (2 U.S.C 441a(d)) (use Sch. F)	0.00	0.00	25.
26. Loan Repayments Made	0.00	0.00	26.
27. Loans Made	0.00	0.00	27.
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	0.00	0.00	28.a.
b. Political Party Committees	0.00	0.00	28.b.
c. Other Political Committees (such as PACs)	0.00	0.00	28.c.
d. Total Contributions Refunds (add a, b, and c)»	0.00	0.00	28.d.
29. Other Disbursements	0.00	0.00	29.
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29)»	21746.44	71625.88	30.
31. Total Federal Disbursements (subtract line 21 a II from line 30)»	21746.44	71625.88	31.
III. Net Contributions / Operating Expenditures			
32. Total Contributions (other than loans) (from line 11d)	13601.76	32171.93	32.
33. Total Contribution Refunds (from line 28d)	0.00	0.00	33.
34. Net Contributions (other than loans) (subtract line 33 from 32)	13601.76	32171.93	34.
35. Total Federal Operating Expenditures (add 21 a I and 21 b)»	246.44	1585.88	35.
36. Offsets to Operating Expendifures (from line 15)	0.00	0.00	36.
37. Net Operating Expenditures (subtract line 36 from 35)»	246.44	1585.88	37.

SCHEDULE A — ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
APPEL FREDERICK 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
APPEL FREDERICK 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
ARNOLD WILLIAM G 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: FIRST VICE PRESIDENT Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
ARNOLD WILLIAM G 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: FIRST VICE PRESIDENT Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
ASSELSTIN JAMES K 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
ASSELSTIN JAMES K 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
BARRETT RICHARD M 203 MAC INTYRE LANE ALLENDALE NJ 07401 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00

SUBTOTALS of Receipts This Page (Optional) .....

TOTALS This Period (last page this line number only) .....

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BARRETT RICHARD M 203 MAC INTYRE LANE ALLENDALE NJ 07401 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
BATH DAVID B 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
BATH DAVID B 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
BRECK EDWARD B 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 231.00	03/15/2001	77.00
BRECK EDWARD B 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 269.50	03/31/2001	38.50
BUTLER SHAUN K 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00
BUTLER SHAUN K 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00

SUBTOTALS of Receipts This Page (Optional) .....

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CALISE RANALD 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00
CALISE RANALD 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00
CONNORS KEVIN T 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
CONNORS KEVIN T 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
CORNALI CLAUDIO 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
CORNALI CLAUDIO 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
COX ROBERT C 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00

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CUTLER ALLEN B 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
CUTLER ALLEN B 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
EDWARDS PAUL 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
EDWARDS PAUL 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
ERNST HERBERT 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
ERNST HERBERT 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00

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SCHEDULE A **ITEMIZED RECEIPTS**

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Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
EROFF BRETT I 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
EROFF BRETT I 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
FEIGELES EDWARD M 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
FEIGELES EDWARD M 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
FIDELIA-DAGUILLARD NADJA 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: VICE PRESIDENT Aggregate Year-to-Date > $ 360.00	03/15/2001	120.00
FIDELIA-DAGUILLARD NADJA 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: VICE PRESIDENT Aggregate Year-to-Date > $ 420.00	03/31/2001	60.00
FLEISCHOR KIRK D 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00

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FLEISCHOR KIRK D 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
FRANK FREDERICK 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
FRANK FREDERICK 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
GATES WILLIAM H 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
GELBAND MICHAEL 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
GELBAND MICHAEL 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
GIBBS JAMES H 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00

SUBTOTALS of Receipts This Page (Optional) .....

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Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
GIBBS JAMES H 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
GOLDFARB DAVID 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
GOLDFARB DAVID 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
GRABER JOE A 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
GRABER JOE A 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
GRAY LYNN Z. 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
GRAY LYNN Z. 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00

SUBTOTALS of Receipts This Page (Optional) .....

TOTALS This Period (last page this line number only) .....

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	10 / 26 FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
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Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
GREEN ERNEST G 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
GREEN ERNEST G 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
GRIMM KRIS D 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
GRIMM KRIS D 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
GUENTHER TODD C 150 COLUMBUS AVE 16C NEW YORK NY 10023 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS HOLDINGS INC Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
GUENTHER TODD C 150 COLUMBUS AVE 16C NEW YORK NY 10023 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS HOLDINGS INC Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
HOFFMEIST PERRY C 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: VICE PRESIDENT Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00

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SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	11 / 26 FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
HOFFMEIST PERRY C 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: VICE PRESIDENT Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
HOUSEWORTH COURT H 136 SILVER OAK TERRACE ORINDA CA 94563 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
HOUSEWORTH COURT H 136 SILVER OAK TERRACE ORINDA CA 94563 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
HOWARD MARK W 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
HOWARD MARK W 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
HYNOTE ROBERT A 4459 REDWOOD ROAD NAPA CA 94558 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
HYNOTE ROBERT A 4459 REDWOOD ROAD NAPA CA 94558 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00

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SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	12 / 26 FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
JANULIS THEODORE 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00
JANULIS THEODORE 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00
JENNINGS WILLIAM 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
JENNINGS WILLIAM 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
KANE JEANNE M 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00
KANE JEANNE M 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00
KIERNAN JOHN J 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
KIERNAN JOHN J 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
KITEI JONATHAN M 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	80.00
KITEI JONATHAN M 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
KRUEGER HARVEY M 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
KRUEGER HARVEY M 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
LAHEY MARK K 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00
LAHEY MARK K 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00

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SCHEDULE A — ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
LESSING STEPHEN 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
LESSING STEPHEN 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
LI RAYMOND K 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: FIRST VICE PRESIDENT Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
LI RAYMOND K 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: FIRST VICE PRESIDENT Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
LIEBERBER ROBERT 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
LIEBERBER ROBERT 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
LISTER JAMES 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: VICE PRESIDENT Aggregate Year-to-Date > $ 360.00	03/15/2001	120.00

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
LISTER JAMES 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: VICE PRESIDENT Aggregate Year-to-Date > $ 420.00	03/31/2001	60.00
LOFTUS JOSEPH A 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
LOFTUS JOSEPH A 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
LOHUIS DAVID W 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
LOHUIS DAVID W 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
MALIK ANDREW J 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
MALIK ANDREW J 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
MALVEY JOHN V 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
MALVEY JOHN V 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
MARINO THOMAS N 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
MARINO THOMAS N 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
MILVERSTE MICHAEL R 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
MILVERSTE MICHAEL R 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
O'HANLON MICHAEL J. 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
PANAGEAS SOTIRIOS 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: VICE PRESIDENT Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
PANAGEAS SOTIRIOS 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: VICE PRESIDENT Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
PENROSE JAMES C 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00
PENROSE JAMES C 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00
RILEY-MOT MARY KAY 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: FIRST VICE PRESIDENT Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
RILEY-MOT MARY KAY 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: FIRST VICE PRESIDENT Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
ROBERTS ERIC W 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00

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Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
ROBERTS ERIC W 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
ROWLEY BETH L 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 240.00	03/15/2001	185.35
ROWLEY BETH L 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00
RUSSO THOMAS A 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
RUSSO THOMAS A 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
SALKO RANDI J 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SR VICE PRESIDENT Aggregate Year-to-Date > $ 180.00	03/15/2001	80.00
SALKO RANDI J 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SR VICE PRESIDENT Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
SEAMAN JEFFREY M 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
SEAMAN JEFFREY M 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
SPARKS ALAN A. 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
SPARKS ALAN A. 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
SWEENEY JOHN K 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 276.90	03/15/2001	92.30
SWEENEY JOHN K 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 323.05	03/31/2001	46.15
TEGEN DOUGLAS B 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 240.00	03/15/2001	60.00

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
TEGEN DOUGLAS B 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00
TEIFELD LEONARD M 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00
TEIFELD LEONARD M 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00
THOMAS BERNARD E. 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
THOMAS BERNARD E. 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
VANDERBEE JEFFREY 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00
VANDERBEE JEFFREY 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00

SUBTOTALS of Receipts This Page (Optional) .....

TOTALS This Period (last page this line number only) .....

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER **11A1**

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
WASHKOWIT ALAN 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00
WASHKOWIT ALAN 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00
WEINSTEIN GARY S 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
WEINSTEIN GARY S 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
WHITMAN BRADLEY A 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 180.00	03/15/2001	60.00
WHITMAN BRADLEY A 200 VESEY AMX TWR-WFC FL. 8 NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: EXECUTIVE Aggregate Year-to-Date > $ 210.00	03/31/2001	30.00
WILLENS ROBERT 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00

SUBTOTALS of Receipts This Page (Optional) .....

TOTALS This Period (last page this line number only) .....

SCHEDULE A — ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
WILLENS ROBERT 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00
WINCHESTE DAVID P 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 240.00	03/15/2001	80.00
WINCHESTE DAVID P 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 280.00	03/31/2001	40.00
ZOIDIS PAUL 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
ZOIDIS PAUL 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: MANAGING DIRECTOR Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00
ZOREK JEFFREY A 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
ZOREK JEFFREY A 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Name of Employer: LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00

SUBTOTALS of Receipts This Page (Optional) .....

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SCHEDULE A — ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
ZUSY MARK L 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 300.00	03/15/2001	100.00
ZUSY MARK L 200 VESEY ST. 27TH FLOOR NEW YORK NY 10285 Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	LEHMAN BROTHERS Occupation: SENIOR VICE PRESIDENT Aggregate Year-to-Date > $ 350.00	03/31/2001	50.00

SUBTOTALS of Receipts This Page (Optional)	
TOTALS This Period (last page this line number only)	**9169.30**

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	24 / 26 FOR LINE NUMBER 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
First Union Bank of Washington One First Union Center 1164 Charlotte NC 28288		03/30/2001	231.65
Receipt For: ☐ Primary ☐ General ☐ Other (specify) :	Occupation		
	Aggregate Year-to-Date > 5 604.88		

SUBTOTALS of Receipts This Page (Optional)	
TOTALS This Period (last page this line number only)	**231.65**

SCHEDULE B	ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	25 / 26 FOR LINE NUMBER 23

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Enzi for US Senate P.O. Box 2775 Cody WY 82414	Contribution made to Non-affiliated (Senate - WY -) Disbursement for: [X] Primary [ ] General [ ] Other (specify) :	03/07/2001	1000.00
Natl Rep Sen Cmte (NRSC) 425 Second St. NE Washington DC 20002	Contribution made to Non-affiliated (- DC -) Disbursement for: [ ] Primary [ ] General [X] Other (specify) : Not Applicable	03/12/2001	15000.00
Friends of Carolyn McCarthy 151 Linden Rd. Mineola NY 11501	Contribution made to Non-affiliated (House - NY - 4) Disbursement for: [X] Primary [ ] General [ ] Other (specify) :	03/12/2001	1000.00
Castle Campaign Fund P.O. Box 133 Wilmington DE 19899	Contribution made to Non-affiliated (House - DE - 1) Disbursement for: [X] Primary [ ] General [ ] Other (specify) :	03/12/2001	500.00
Cmte Re-Elect Velazquez 12329 Needlepine Terrace Silver Spring MD 20904	Contribution made to Non-affiliated (House - NY - 12) Disbursement for: [X] Primary [ ] General [ ] Other (specify) :	03/12/2001	1000.00
Anna Eshoo for Congress 555 Capitol Mal #1425 Sacramento CA 95814	Contribution made to Non-affiliated (House - CA - 14) Disbursement for: [X] Primary [ ] General [ ] Other (specify) :	03/14/2001	500.00
Tim Johnson for South Dakota P.O. Box 1859 Sioux Falls SD 57101	Contribution made to Non-affiliated (Senate - SD -) Disbursement for: [X] Primary [ ] General [ ] Other (specify) :	03/27/2001	1000.00
Friends of Phil Gramm P.O. Box 601329 Dallas TX 75360	Contribution made to Non-affiliated (Senate - TX -) Disbursement for: [X] Primary [ ] General [ ] Other (specify) :	03/27/2001	1000.00
Richard E. Neal for Congress Cmte 76 Magnolia Terrace Springfield MA 01108	Contribution made to Non-affiliated (House - MA - 2) Disbursement for: [X] Primary [ ] General [ ] Other (specify) :	03/27/2001	500.00

SUBTOTALS of Disbursements This Page (Optional)	
TOTALS This Period (last page this line number only)	**21500.00**

SCHEDULE B	ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	26 / 26 FOR LINE NUMBER 21b

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Postmaster of Alexandria 2226 Duke Street Alexandria VA 22314	Administrative Expense (- VA -) Disbursement for: ☐ Primary ☐ General ☒ Other (specify) : Not Applicable	03/14/2001	200.00

SUBTOTALS of Disbursements This Page (Optional)	
TOTALS This Period (last page this line number only)	**200.00**