

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

RECEIVED  
FEB 11 2003  
2002 JUN 10 12 4 05  
Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines.  
**12FE4M5**  
The Caterpillar Employee Political Action Committee

ADDRESS (number and street) **100 N.E. Adams**  
City **Peoria** State **IL** ZIP CODE **61629-1480**  
Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER **CD0148031**  
3. IS THIS REPORT  NEW (N) OR  AMENDED (A)

4. TYPE OF REPORT (Choose One)  
(a) Quarterly Reports:  
 April 15 Quarterly Report (Q1)  
 July 15 Quarterly Report (Q2)  
 October 15 Quarterly Report (Q3)  
 January 31 Year-End Report (YE)  
 July 31 Mid-Year Report (Non-election Year Only) (MY)  
 Termination Report (TER)  
(b) Monthly Report Due On:  
 Feb 20 (M2)  May 20 (M5)  Aug 20 (M8)  Nov 20 (M11) (Non-Election Year Only)  
 Mar 20 (M3)  Jun 20 (M6)  Sep 20 (M9)  Dec 20 (M12) (Non-Election Year Only)  
 Apr 20 (M4)  Jul 20 (M7)  Oct 20 (M10)  Jan 31 (YE)  
(c) 12-Day PRE-Election Report for the:  
 Primary (12P)  General (12G)  Runoff (12R)  
 Conventions (12C)  Special (12S)  
Election on \_\_\_\_\_ In the State of \_\_\_\_\_  
(d) 30-Day POST-Election Report for the:  
 General (30G)  Runoff (30R)  Special (30S)  
Election on \_\_\_\_\_ In the State of \_\_\_\_\_

5. Covering Period **11 28 2000** through **12 31 2000**

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer **Gary Vest**  
Signature of Treasurer *Gary Vest* Date **06 03 2002**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Revised 1/01)

Page 2

Write or Type Committee Name

The Calendar Employee Political Action Committee

Report Covering the Period: From: 11/28/2000 To: 12/31/2000

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2000		\$84,709.84
(b) Cash on Hand at Beginning of Reporting Period	\$18,838.16	
(c) Total Receipts (from Line 19)	\$4,067.81	\$165,826.13
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$22,906.97	\$250,535.97
7. Total Disbursements (from Line 30)	\$0.00	\$227,629.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$22,906.97	\$22,906.97
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**  
  
 Federal Election Commission  
 999 E Street, NW  
 Washington, DC 20463  
  
 Toll Free 800-424-9530  
 Local 202-694-1100

**DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Revised 1/01)

Page 3

Name or Type of Committee

The Caterpillar Employee Political Action Committee

Report Covering the Period: From: 11 28 2000 To: 12 31 2000

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
<b>11. Contributions (other than loans) From:</b>		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A) .....	\$1,959.00	
(ii) Unitemized .....	\$2,087.00	
(ii) TOTAL (add Lines 11(a)(i) and (ii)) .....	\$4,026.00	\$163,949.25
(b) Political Party Committees .....	\$0.00	\$0.00
(c) Other Political Committees (such as PACs) .....	\$0.00	\$0.00
(d) Total Contributions (add Lines 11(a)(ii), (b), and (c)) (Carry Totals to Line 32, page 4) .....	\$4,026.00	\$163,949.25
<b>12. Transfers From Affiliated/Other Party Committees .....</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>13. All Loans Received .....</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>14. Loan Repayments Received .....</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4) .....</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>16. Refunds of Contributions Made to Federal Candidates and Other Political Committees .....</b>	<b>\$0.00</b>	<b>\$1,000.00</b>
<b>17. Other Federal Receipts (Dividends, interest, etc.) .....</b>	<b>\$41.81</b>	<b>\$276.88</b>
<b>18. Transfers from Nonfederal Account for Joint Activity .....</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) .....</b>	<b>\$4,067.81</b>	<b>\$165,226.13</b>
<b>20. Total Federal Receipts (subtract Line 18 from Line 19) .....</b>	<b>\$4,067.81</b>	<b>\$165,226.13</b>

**DETAILED SUMMARY PAGE**  
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	\$0.00	\$0.00
(ii) Non-Federal Share	\$0.00	\$0.00
(b) Other Federal Operating Expenditures	\$0.00	\$0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	\$0.00	\$0.00
22. Transfers to Affiliated/Other Party Committees	\$0.00	\$0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	\$0.00	\$140,500.00
24. Independent Expenditures (use Schedule E)	\$0.00	\$0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. §441a(d)) (use Schedule F)	\$0.00	\$0.00
26. Loan Repayments Made	\$0.00	\$0.00
27. Loans Made	\$0.00	\$0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	\$0.00	\$0.00
(b) Political Party Committees	\$0.00	\$0.00
(c) Other Political Committees (such as PACs)	\$0.00	\$0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	\$0.00	\$0.00
29. Other Disbursements	\$0.00	\$87,129.00
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29)	\$0.00	\$227,629.00
31. Total Federal Disbursements (subtract Line 21(a)(i) from Line 30)	\$0.00	\$227,629.00
<b>III. Net Contributions/Operating Expenditures</b>		
32. Total Contributions (other than loans) (from Line 11(d), page 3)	\$4,026.00	\$163,949.25
33. Total Contribution Refunds (from Line 28(d))	\$0.00	\$0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	\$4,026.00	\$163,949.25
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	\$0.00	\$0.00
36. Offsets to Operating Expenditures (from Line 15, page 3)	\$0.00	\$0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35)	\$0.00	\$0.00

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:		PAGE 1 OF 8	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
13	14	15	16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**The Caterpillar Employee Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. William Boddow</b>		Date of Receipt <b>12 14 2000</b>
Mailing Address <b>Bldg. AB 1425 Caterpillar Inc</b>		Amount of Each Receipt this Period <b>\$600.00</b>
City <b>Peoria</b>	State Zip Code <b>IL 61629</b>	
FEC ID number of contributing federal political committee. <b>C</b>		Aggregate Year-to-Date <b>\$600.00</b>
Name of Employer <b>Caterpillar, Inc.</b>	Occupation <b>Energy Systems Manager</b>	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) <b>B. Shirley Shell</b>		Date of Receipt <b>01 01 2001</b>
Mailing Address <b>138 Woodland Dr</b>		Amount of Each Receipt this Period <b>\$100.00</b>
City <b>Fountain Inn</b>	State Zip Code <b>SC 29044</b>	
FEC ID number of contributing federal political committee. <b>C</b>		P/R Deduction (\$100.00 Monthly)
Name of Employer <b>Caterpillar, Inc.</b>	Occupation <b>Warehouse Associate</b>	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date <b>\$600.00</b>	

Full Name (Last, First, Middle Initial) <b>C. K. D. Dennis</b>		Date of Receipt <b>01 01 2001</b>
Mailing Address <b>3197 Camino De Las Piedras</b>		Amount of Each Receipt this Period <b>\$60.00</b>
City <b>El Cajon</b>	State Zip Code <b>CA 92019</b>	
FEC ID number of contributing federal political committee. <b>C</b>		P/R Deduction (\$30.00 Monthly)
Name of Employer <b>Caterpillar Inc - Solar</b>	Occupation <b>Caterpillar Solar Manager</b>	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date <b>\$360.00</b>	

SUBTOTAL of Receipts This Page (optional) ▶

**\$760.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)**

**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)		PAGE 2 OF 8	
<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c
<input type="checkbox"/>	15	<input type="checkbox"/>	14	<input type="checkbox"/>	12
<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	16
<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Employee Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. T. S. Jaksa</b>		Date of Receipt <b>10/20/2011</b>
Mailing Address <b>1411 Walnut Creek Drive</b>		Amount of Each Receipt this Period <b>\$40.00</b>
City <b>Encinitas</b>	State <b>CA</b>	
Zip Code <b>92024</b>	FEC ID number of contributing federal political committee <b>C</b>	P/R Deduction (\$20.00 Monthly)
Name of Employer <b>Caterpillar Inc - Solar</b>	Occupation <b>Caterpillar Solar Manager</b>	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <b>\$240.00</b>	

Full Name (Last, First, Middle Initial) <b>B. Gregory Barr</b>		Date of Receipt <b>03/01/2011</b>
Mailing Address <b>13302 Bronco Way</b>		Amount of Each Receipt this Period <b>\$50.00</b>
City <b>Poway</b>	State <b>CA</b>	
Zip Code <b>92064</b>	FEC ID number of contributing federal political committee <b>C</b>	P/R Deduction (\$25.00 Monthly)
Name of Employer <b>Caterpillar, Inc.</b>	Occupation <b>Vice President, Intl Operations</b>	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <b>\$300.00</b>	

Full Name (Last, First, Middle Initial) <b>C. Thomas Bluth</b>		Date of Receipt <b>10/20/2011</b>
Mailing Address <b>10201 Ventana Ct</b>		Amount of Each Receipt this Period <b>\$40.00</b>
City <b>Charlotte</b>	State <b>NC</b>	
Zip Code <b>28277</b>	FEC ID number of contributing federal political committee <b>C</b>	P/R Deduction (\$20.00 Monthly)
Name of Employer <b>Caterpillar, Inc.</b>	Occupation <b>District Manager</b>	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <b>\$220.00</b>	

SUBTOTAL of Receipts This Page (optional) .....	<b>\$130.00</b>
TOTAL This Period (last page this form number only) .....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:		PAGE 3 OF 8	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17	<input type="checkbox"/> 18	<input type="checkbox"/> 19	<input type="checkbox"/> 20

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Employee Political Action Committee**

**A. David Esbeck**

Full Name (Last, First, Middle Initial)  
David Esbeck

Mailing Address  
14083 Caminito Vistana  
City: San Diego State: CA Zip Code: 92130

FEC ID number of contributing federal political committee: **C**

Name of Employer: Caterpillar, Inc. Occupation: Vice President, Engineering

Receipt For:  Primary  General  Other (specify)

Aggregate Year-to-Date: **\$600.00**

Date of Receipt: 03/15/2011

Amount of Each Receipt this Period: **\$100.00**

P/R Deduction (\$50.00 Monthly)

**B. Ruth Vonk**

Full Name (Last, First, Middle Initial)  
Ruth Vonk

Mailing Address  
6312 Kickapoo Edwards Road  
City: Edwards State: IL Zip Code: 61528

FEC ID number of contributing federal political committee: **C**

Name of Employer: Caterpillar, Inc. Occupation: Invoice Coding Processor

Receipt For:  Primary  General  Other (specify)

Aggregate Year-to-Date: **\$300.00**

Date of Receipt: 03/15/2011

Amount of Each Receipt this Period: **\$50.00**

P/R Deduction (\$25.00 Monthly)

**C. John Butte**

Full Name (Last, First, Middle Initial)  
John Butte

Mailing Address  
11917 N. Deerfield Dr.  
City: Dunlap State: IL Zip Code: 61525

FEC ID number of contributing federal political committee: **C**

Name of Employer: Caterpillar, Inc. Occupation: Parts Products Program Manager

Receipt For:  Primary  General  Other (specify)

Aggregate Year-to-Date: **\$260.00**

Date of Receipt: 03/15/2011

Amount of Each Receipt this Period: **\$40.00**

P/R Deduction (\$20.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) **\$190.00**

**TOTAL** This Period (last page this line number only)

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 4 OF 8
<input checked="" type="checkbox"/> 10a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Employee Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. Paul Knollmaier</b>		Date of Receipt 01/01/2013
Mailing Address 248 Windsor Terrace Drive City: <b>Nashville</b> State: <b>TN</b> Zip Code: <b>37221</b>		Amount of Each Receipt this Period <b>\$60.00</b>
FEC ID number of contributing federal political committee <b>C</b>	Name of Employer <b>Caterpillar, Inc.</b>	P/R Deduction (\$20.00 Monthly)
Occupation <b>President, Cat Redist Svcs Inc</b>	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date <b>\$280.00</b>

Full Name (Last, First, Middle Initial) <b>B. Walter Mazzel</b>		Date of Receipt 01/01/2013
Mailing Address 1503 Sarazen Court Plantation City: <b>Laredo</b> State: <b>TX</b> Zip Code: <b>78045</b>		Amount of Each Receipt this Period <b>\$120.00</b>
FEC ID number of contributing federal political committee <b>C</b>	Name of Employer <b>Caterpillar, Inc.</b>	P/R Deduction (\$20.00 Monthly)
Occupation <b>Facility Manager</b>	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date <b>\$240.00</b>

Full Name (Last, First, Middle Initial) <b>C. D Palmer</b>		Date of Receipt 01/01/2013
Mailing Address 11150 Southridge Way City: <b>San Diego</b> State: <b>CA</b> Zip Code: <b>92131</b>		Amount of Each Receipt this Period <b>\$40.00</b>
FEC ID number of contributing federal political committee <b>C</b>	Name of Employer <b>Caterpillar Inc. - Solar</b>	P/R Deduction (\$20.00 Monthly)
Occupation <b>Caterpillar Solar Manager</b>	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date <b>\$240.00</b>

SUBTOTAL of Receipts This Page (optional)	<b>\$220.00</b>
TOTAL This Period (last page has line number only)	

2013-01-01 10:00:00 AM



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:	PAGE	5 OF	8
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)  
**The Caterpillar Employee Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. John A. Porter</b>		Date of Receipt <b>01/01/2010</b>
Mailing Address <b>671 ALAMEDA BLVD</b>		Amount of Each Receipt this Period <b>\$100.00</b>
City <b>CORONADO</b>	State Zip Code <b>CA 92118</b>	
FEC ID number of contributing federal political committee <b>C</b>		P/R Deduction (\$0.00 Monthly)
Name of Employer <b>Caterpillar Inc.</b>	Occupation <b>VP POWER SYSTEMS</b>	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <b>\$600.00</b>	

Full Name (Last, First, Middle Initial) <b>B. Robin Moacham</b>		Date of Receipt <b>01/01/2010</b>
Mailing Address <b>Po Box 348</b>		Amount of Each Receipt this Period <b>\$40.00</b>
City <b>Battle Ground</b>	State Zip Code <b>IN 47920</b>	
FEC ID number of contributing federal political committee <b>C</b>		P/R Deduction (\$20.00 Monthly)
Name of Employer <b>Caterpillar, Inc.</b>	Occupation <b>Warehouse Associate</b>	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <b>\$240.00</b>	

Full Name (Last, First, Middle Initial) <b>C. RICHARD Rhein</b>		Date of Receipt <b>01/01/2010</b>
Mailing Address <b>323 PALM BOULEVARD</b>		Amount of Each Receipt this Period <b>\$60.00</b>
City <b>WESTON</b>	State Zip Code <b>FL 33328</b>	
FEC ID number of contributing federal political committee <b>C</b>		P/R Deduction (\$30.00 Monthly)
Name of Employer <b>Caterpillar Inc.</b>	Occupation <b>PERFORMANCE SUPPORT MANAGER</b>	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <b>\$390.00</b>	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<b>\$200.00</b>
<b>TOTAL</b> This Period (last page this line number only) .....	

**SCHEDULE A (FEC Form 3X)**

**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 8

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for other financial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) <b>A. Cecilia Scott Stanfel</b>		Date of Receipt 12/15/2011
Mailing Address 87 Spinnaker Way City: Coronado Cays State: CA Zip Code: 92118		Amount of Each Receipt this Period <b>\$60.00</b>
FEC ID number of contributing federal political committee <b>C</b>	Name of Employer: Caterpillar, Inc. Occupation: Chief Financial Officer	P/R Deduction (\$30.00 Monthly)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <b>\$360.00</b>	

Full Name (Last, First, Middle Initial) <b>B. S Turnbull</b>		Date of Receipt 12/15/2011
Mailing Address 5135 Edsworth St. City: San Diego State: CA Zip Code: 92110		Amount of Each Receipt this Period <b>\$80.00</b>
FEC ID number of contributing federal political committee <b>C</b>	Name of Employer: Caterpillar Inc. - Solar Occupation: Caterpillar Solar Manager	P/R Deduction (\$40.00 Monthly)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <b>\$480.00</b>	

Full Name (Last, First, Middle Initial) <b>C. Donald Umpleby III</b>		Date of Receipt 12/15/2011
Mailing Address 5971 Germaine Ln City: La Jolla State: CA Zip Code: 92037		Amount of Each Receipt this Period <b>\$44.00</b>
FEC ID number of contributing federal political committee <b>C</b>	Name of Employer: Caterpillar, Inc. Occupation: Vice President, Customer Svcs	P/R Deduction (\$22.00 Monthly)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <b>\$264.00</b>	

SUBTOTAL of Receipts This Page (optional) **\$184.00**

TOTAL This Period (last page this line number only)



SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 8

(check only one)

Grid for line numbers 11a-17 with checkboxes

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Form A: Donor information including name (J Steinberger), address (3540 Via Verdada, Eacondido, CA 92029), employer (Caterpillar Inc. - Solar), and receipt amount (\$240.00).

Form A: Receipt details including date (03/01/2010), amount (\$40.00), and P/R Deduction (\$20.00 Monthly).

Form B: Donor information fields for a second entry.

Form B: Receipt details fields for a second entry.

Form C: Donor information fields for a third entry.

Form C: Receipt details fields for a third entry.

Summary table with rows for SUBTOTAL of Receipts This Page (optional) at \$40.00 and TOTAL This Period (last page this line number only) at \$1,959.00.

Vertical text on the left margin: 2010 RELEASE UNDER E.O. 13526

**SCHEDULE A (FEC Form 3X)**

**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 3 OF 3
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input checked="" type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in full)  
**The Caterpillar Employee Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. First Bank</b>		Date of Receipt <b>11 30 2000</b>
Mailing Address 240 SW Jefferson St.		Amount of Each Receipt This Period <b>\$17.38</b>
City Peoria	State IL	
FEC ID number of contributing federal political committee. <b>C</b>		Aggregate Year-to-Date <b>\$1,852.45</b>
Name of Employer	Occupation	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) <b>B. First Bank</b>		Date of Receipt <b>12 29 2000</b>
Mailing Address 240 SW Jefferson St.		Amount of Each Receipt this Period <b>\$24.43</b>
City Peoria	State IL	
FEC ID number of contributing federal political committee. <b>C</b>		Aggregate Year-to-Date <b>\$1,876.88</b>
Name of Employer	Occupation	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) <b>C.</b>		Date of Receipt
Mailing Address		Amount of Each Receipt this Period
City	State	
FEC ID number of contributing federal political committee. <b>C</b>		Aggregate Year-to-Date
Name of Employer	Occupation	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

<b>SUBTOTAL</b> of Receipts This Page (optional)	<b>\$41.81</b>
<b>TOTAL</b> This Period (last page this line number only)	<b>\$41.81</b>

20001229 1130 1738 2443

FEC File Validator

Version 4.00.14

Developed for the Federal Election Commission  
by KIC Technologies, Inc. 1986-2000

--- Identification Section -----

Committee ID: C00149031  
Committee Name: The Caterpillar Employee Political Action Committee  
Filing Type: PXXA  
From/Through: 20001128 - 20001231

Software/Ver#: Vocus PAC Management / Ver# 3.00.066

--- Results Section -----

>>----> FEC data file PASSED validation! <----<<<

--- Summary Page Totals Section -----

Cover/Summary Page Totals for Form: PXX

Line No.	Column A	Column B
6A 2000		84,709.64
6B	18,819.16	
6C	4,067.81	165,826.13
6D	22,906.97	250,535.97
7	0.00	227,629.00
8	22,906.97	22,906.97
9	0.00	
10	0.00	
11A1	1,559.00	40,695.50
11A2	2,067.60	133,853.75
11A3	4,026.00	163,949.25
11B	0.00	0.00
11C	0.00	0.00
11D	4,026.00	163,949.25
12	0.00	0.00
13	0.00	0.00
14	0.00	0.00
15	0.00	0.00
16	0.00	1,000.00
17	43.81	876.88
18	0.00	0.00
19	4,067.81	165,826.13
20	4,067.81	165,826.13
21A1	0.00	0.00
21A2	0.00	0.00
21B	0.00	0.00
21C	0.00	0.00
22	0.00	0.00
23	0.00	149,500.00
24	0.00	0.00
25	0.00	0.00
26	0.00	0.00
27	0.00	0.00
28A	0.00	0.00
28B	0.00	0.00
28C	0.00	0.00
28D	0.00	0.00
29	0.00	97,129.00
30	0.00	227,629.00
31	0.00	227,629.00
32	4,026.00	163,949.25
33	0.00	0.00
34	4,026.00	163,949.25
35	0.00	0.00
36	0.00	0.00
37	0.00	0.00

Federal Election Commission

## ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

<input checked="" type="checkbox"/> Hand Delivered	Date of Receipt <i>6-14-07</i>
<input type="checkbox"/> First Class Mail	POSTMARKED
<input type="checkbox"/> Registered/Certified Mail	POSTMARKED (R/C)
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/> Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/> Other (Specify):	Postmarked <hr/> and/or Date of Receipt
<input type="checkbox"/> Electronic Filing	
<i>[Signature]</i>	<i>6-12-07</i>
PREPARER	DATE PREPARED