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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	r Other Than An Auth	orized Committee	Office Use Only
1. NAME OF TY COMMITTEE (in full)	PE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
The Caterpillar Inc. Emp	loyee Political Action	n Committee	
ADDRESS (number and street)	100 N.E. Adams Street		
Check if different			
than previously reported. (ACC)	Peoria		IL 61629-1430 – L L L L L L L L L L L L L L L L L L
2. FEC IDENTIFICATION NUM	BER ▼ CITY	′▲	STATE ▲ ZIP CODE ▲
C C00148031	3. IS	THIS NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M:	(Non-Election Year Only)
(a) Quarterly Reports:		20 (M3) Jun 20 (M6	(Non-Election Year Only)
April 15 Quarterly Report (Q1)		20 (M4) Jul 20 (M7)	Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (Q2)	PRE-Election	Primary (12P)	x General (12G) Runoff (12R)
October 15 Quarterly Report (Q3)	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (YE)	Election	on 11 03	in the State of IL
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Election	on//	in the State of
5. Covering Period 10	01 2020	through 10	14 2020
I certify that I have examined this		my knowledge and belief it is	true, correct and complete.
Type or Print Name of Treasurer	James, Danielle, , ,		
Signature of Treasurer	Danielle, , ,	[Electronically Filed]	Date 10 / 21 / 2020
NOTE: Submission of false, erroneon	us, or incomplete information	may subject the person signing	this Report to the penalties of 52 U.S.C. § 30109
Office Use Only			FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

10 01 2020 10 14 2020 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 432423.22 January 1. 2020 (b) Cash on Hand at 125061.28 Beginning of Reporting Period..... 1280.51 572767.22 Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 1005190.44 126341.79 6(a) and 6(c) for Column B)..... 44300.00 923148.65 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 82041.79

(subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on

Schedule C and/or Schedule D)

10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)

	Ī		Ι	Ι	-	Ι	Ī	0.00	
_	+	-	÷	÷	-	+	÷	0.00	i

82041.79

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

		COLUMBIA	COLUMN B		
I. Receipts		I. Receipts COLUMN A Total This Period			
. Co	ntributions (other than loans) From:				
(a)	Individuals/Persons Other				
	Than Political Committees				
	(i) Itemized (use Schedule A)	1073.68	402198.43		
	(ii) Unitemized	206.83	170512.01		
	(iii) TOTAL (add Lines 11(a)(i) and (ii)▶	1280.51	572710.44		
(1-)	Pullified Party Conscillance	0.00	0.00		
(b)	Political Party Committees Other Political Committees	0.00			
(0)	(such as PACs)	0.00	0.00		
(d)	Total Contributions (add Lines	45 45	45 45 45		
(~)	11(a)(iii), (b), and (c)) (Carry				
	Totals to Line 33, page 5)	1280.51	572710.44		
. Tra	nsfers From Affiliated/Other	7			
	ty Committees	0.00	0.00		
. All	Loans Received	0.00	0.00		
	- Boomer Book of	0.00	0.00		
	an Repayments Received	0.00	0.00		
	sets To Operating Expenditures				
	funds, Rebates, etc.)	0.00	0.00		
	trry Totals to Line 37, page 5)	0.00	0.00		
	runds of Contributions Made Federal Candidates and Other				
	itical Committees	0.00	0.00		
	per Federal Receipts	0.00	0.00		
	vidends, Interest, etc.)	0.00	56.78		
	nsfers from Non-Federal and Levin Funds	0.00	30.76		
	Non-Federal Account				
(ω)	(from Schedule H3)	0.00	0.00		
	(36/1646/1/16)	4 4	0.00		
(b)	Levin Funds (from Schedule H5)	0.00	0.00		
			7 7 7 7		
	Total Transfers (add 18(a) and 18(b))	0.00	0.00		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements		COLUMN A Total This Period	COLUMN B		
Operating Expenditures: Allegated Enders (A)		iotai iilis Fellou	Calendar Year-to-Date		
(a) Allocated Federal/Non Activity (from Schedu					
(i) Federal Share		0.00	0.00		
	F				
(ii) Non-Federal Sha		0.00	0.00		
(b) Other Federal Opera		0.00	148.65		
Expenditures(c) Total Operating Expe		0.00	146.03		
(c) Total Operating Expe (add 21(a)(i), (a)(ii), a		0.00	148.65		
Transfers to Affiliated/Other	1 11	0.00	7		
Committees		0.00	0.00		
Contributions to Federal Candidates/Comn	nittoes	4 4 4	4 4		
and Other Political Comm	ittees	25000.00	545000.00		
Independent Expenditures					
(use Schedule E) Coordinated Party Expend	ditures	0.00	0.00		
(52 U.S.C. § 30116(d))		000			
(use Schedule F)		0.00	0.00		
Loop Donovmente Made		0.00			
Loan Repayments Made		0.00	0.00		
Loans Made		0.00	0.00		
Refunds of Contributions	To:	0.00	4		
(a) Individuals/Persons C Than Political Comm		0.00	1750.00		
		3.00	7 7 7		
(b) Political Party Comm	ittees	0.00	0.00		
(c) Other Political Comm	ittees	4 4			
(such as PACs)		0.00	0.00		
(d) Total Contribution Re	funds				
(add Lines 28(a), (b)	, and (c))	0.00	1750.00		
Other Disbursements (Inc	ludina				
Non-Federal Donations)	•	19300.00	376250.00		
,		4 4	4 4		
Federal Election Activity (
(a) Allocated Federal Ele	ection Activity				
(from Schedule H6)					
(i) Federal Share		0.00	0.00		
(ii) "Levin" Share		0.00	0.00		
(b) Federal Election Activ		0.00	0.00		
Entirely With Federal		0.00	0.00		
(c) Total Federal Election		7 7 7	7 7 7		
Lines 30(a)(i), 30(a)(i	i) and 30(b))	0.00	0.00		
		45 45	4 4		
Total Disbursements (add	Lines 21(c), 22,				
23, 24, 25, 26, 27, 28(d),	29 and 30(c))	44300.00	923148.65		
		4 4	4 4		
Total Federal Disburseme					
(subtract Line 21(a)(ii) and					
from Line 31)	•••••••••••••••••••••••••••••••••••••••	44300.00	923148.65		

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 05/2016) III. Net Contributions/

Operating Expenditures

33. Total Contributions (other than loans)

35. Net Contributions (other than loans)

36. Total Federal Operating Expenditures

37. Offsets to Operating Expenditures

38. Net Operating Expenditures

34. Total Contribution Refunds

of Disbursements

Page 5 **COLUMN A** COLUMN B **Total This Period** Calendar Year-to-Date 1280.51 572710.44 (from Line 11(d), page 3) 0.00 1750.00 (from Line 28(d))..... 570960.44 1280.51 (subtract Line 34 from Line 33) 0.00 148.65 (add Line 21(a)(i) and Line 21(b))▶ 0.00 0.00 (from Line 15, page 3)..... 0.00 148.65 (subtract Line 37 from Line 36)

21 FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 2020 City Zip Code State Transaction ID: A2020-2308072 TX Northlake 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing C 41.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 856.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Michael, , , Date of Receipt Mailing Address 7015 Ray Nash Dr Nw 10 2020 City State Zip Code Transaction ID: A2020-2309056 WA Gig Harbor 98335-5923 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bethune, Deborah, , , Date of Receipt Mailing Address 1499 Byron Ave. 80 2020 City State Zip Code Transaction ID: A2020-2309053 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 116.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

21 FOR LINE NUMBER: PAGE 7 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 2020 City Zip Code State Transaction ID: A2020-2309060 VA Roanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing C 40.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 842.59 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Creech, Paul, , , Date of Receipt Mailing Address 111 Alewine Drive 10 2020 City State Zip Code Transaction ID: A2020-2309049 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 80 2020 City State Zip Code Transaction ID: A2020-2308068 IN **New Castle** 47362 Amount of Each Receipt this Period FEC ID number of contributing C 36.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 750.89 Other (specify) 97.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Primary

Other (specify)

General

ITEMIZED RECEIPTS

SCHEDULE A (FEC Form 3X) 21 FOR LINE NUMBER: PAGE 8 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davis, Ryan, , , Date of Receipt Mailing Address 715 Shasta Drive 2020 City Zip Code State Transaction ID: A2020-2309045 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Opers Wheel Axle Bearings **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 10 2020 City State Zip Code Transaction ID : A2020-2309058 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing 71.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1477.29 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ewing, Charles, , , Date of Receipt Mailing Address 1667 Chalone Court 80 2020 City State Zip Code Transaction ID: A2020-2309065 IN Crown Point 46307 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼

500.00

21 FOR LINE NUMBER: PAGE 9 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flaherty, Douglas, , , Date of Receipt Mailing Address 4508 Starlite Ln 2020 City Zip Code State Transaction ID: A2020-2309051 KY Louisville 40291 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Garman, Scott, , , Date of Receipt Mailing Address 3971 S Chana Rd 10 2020 City State Zip Code Transaction ID: A2020-2309072 IL Chana 61015-9770 Amount of Each Receipt this Period FEC ID number of contributing 41.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 855.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 80 2020 City State Zip Code Transaction ID: A2020-2309069 TX Fort Worth 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2125.00 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

186.56

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 2020 City Zip Code State Transaction ID: A2020-2309044 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 200.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP/CMO LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 4199.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lawley, Jimmy, , , Date of Receipt Mailing Address 208 Gwindale Rd 10 2020 City State Zip Code Transaction ID : A2020-2309047 AL Gadsden 35901-5616 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Lenz, Martha, , , Date of Receipt Mailing Address 1708 Wild Rose Ct 80 2020 City State Zip Code Transaction ID: A2020-2308075 IL Naperville 60565 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 237.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lester, Phillip, , , Date of Receipt Mailing Address 904 Ron Ave. 2020 City Zip Code State Transaction ID: A2020-2309061 AL Boaz 35956 Amount of Each Receipt this Period FEC ID number of contributing C 17.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McCaslin, James, , , Date of Receipt Mailing Address 1540 Newton Pass 10 2020 City State Zip Code Transaction ID: A2020-2309071 **Broadview Hts** OH 44147-3631 Amount of Each Receipt this Period FEC ID number of contributing 35.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 214.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Monteleone, Arnold, , , Date of Receipt Mailing Address 1000 Morningside Cir 80 2020 City State Zip Code Transaction ID: A2020-2309048 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 78.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mosteller, Phillip, , , Date of Receipt Mailing Address 802 Sandhill Sage St 2020 City Zip Code State Transaction ID: A2020-2309050 NV Henderson 89052-2984 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Russell, Mark, , , Date of Receipt Mailing Address 1595 Northridge Trace 10 2020 City State Zip Code Transaction ID: A2020-2309043 AL Albertville 35951 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP ETS Administration Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 80 2020 City State Zip Code Transaction ID: A2020-2309054 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2552.31 Other (specify) 162.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

21 FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2020 80 City Zip Code State Transaction ID: A2020-2309042 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 79.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1631.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 79.18 SUBTOTAL of Receipts This Page (optional)..... 1073.68 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)	Lloo conorat	o ochodulo(o)	FOR LINE N		PAGE 14 OF 21	
ITEMIZED DISBURSEMENTS	for each cate	e schedule(s) egory of the	(check only	one) 22 🗶 23	26 27	
	Detailed Sur	nmary Page	28a	28b 28c	29 30b	
Any information copied from such Reports and Stater	ments may not	be sold or used	by any perso	n for the purpose of s	soliciting contributions	
or for commercial purposes, other than using the nar	ne and address	of any political	committee to	solicit contributions fro	om such committee.	
NAME OF COMMITTEE (In Full)		0 144				
The Caterpillar Inc. Employee Politics	tical Action	Committee	Э			
Full Name (Last, First, Middle Initial)						
A. Barry Moore for Congress				Date of Disburseme	ent	
Mailing Address 561 Country RD.623				10 / D D	2020	
Mailing Address 501 Oddnity N.D.025				10 12	2020	
,		ip Code		FEC Identification N	Number	
Enterprise Purpose of Disbursement	AL :	36330		C C00720375		
Contribution			011		. P777500	
Candidate Name			Category/	Transaction ID Amount of Each Dis	sbursement this Period	
Moore, Barry, , , Office Sought: W House Disburse			Type		5000.00	
Office Sought: House Disburse Senate	ment For: 202	∪ x General		7	0000.00	
President	Other (specify)			Memo Item		
State: AL District: 02				L Wellio Relli		
Full Name (Last, First, Middle Initial)				Date of Disburseme	ont	
Ben Sasse for US Senate Inc.				M M / D D / Y Y Y		
Mailing Address 700 R St Unit 83978				10 12	2020	
O'tr.	04-4-	i- 0- d-				
City Lincoln		ip Code 68501		FEC Identification N	lumber	
Purpose of Disbursement				C C00547976		
Contribution Candidate Name			011	Transaction ID	: B777588	
Sasse, Ben, , ,			Category/ Type	Amount of Each Disbursement this Per		
	ment For: 202	0	1,700		2500.00	
x Senate		✗ General				
State: NE District:	Other (specify))		Memo Item		
Full Name (Last, First, Middle Initial)						
C. Kustoff for Congress				Date of Disburseme	ent	
				M M / D D	/ Y Y Y Y Y	
Mailing Address 1661 Aaron Brenner Drive Suite 30	00			10 12	2020	
City	State Z	ip Code		FEC Identification N	Jumber	
Memphis Purpose of Disbursement	TN	38120				
Contribution			011	C C00614826	D777504	
Candidate Name			Category/	Transaction ID Amount of Each Dis	sbursement this Period	
Kustoff, David, , ,			Type		1000.00	
Office Sought: House Disburser Senate	ment For: 2020 Primary	0 🗶 General		7	1000.00	
President	Other (specify)	• •		Memo Item		
State: TN District: 08				Memo item		
SUPTOTAL of Dishuragements This Dage (entire 1)					8500.00	
SUBTOTAL of Disbursements This Page (optional)			···········•	7	333.00	
TOTAL This Period (last page this line number only))			1		

	EMIZED DISBURSEMENTS	for each of	rate schedule(s) category of the Summary Page	FOR LINE N (check only 21b 28a	
	y information copied from such Reports and Statem for commercial purposes, other than using the nam				
\rangle	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit	ical Action	on Committe	e	
۹.	Full Name (Last, First, Middle Initial) Mann for Congress Mailing Address 27 Crestview Dr.				Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	Salina Purpose of Disbursement Contribution Candidate Name Mann, Tracey, R, , Office Sought: House Disbursen	State KS nent For: 2	Zip Code 67401	011 Category/ Type	FEC Identification Number C C00460659 Transaction ID : B777582 Amount of Each Disbursement this Period 5000.00
	State: KS District: 01	Other (spec			Memo Item
Full Name (Last, First, Middle Initial) Mike Rogers for Congress Mailing Address 123 East 13th Street					Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City Anniston Purpose of Disbursement Contribution Candidate Name Rogers, Mike, , ,	State AL	Zip Code 36201	011 Category/ Type	FEC Identification Number C C00367862 Transaction ID : B777585 Amount of Each Disbursement this Period
	Office Sought: House Disbursen	nent For: 2 Primary Other (spec	020 x General ify)	Турс	2500.00 Memo Item
С.	Full Name (Last, First, Middle Initial) Richmond for Congress Mailing Address 909 Poydras Street Suite 1825				Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	New Orleans Purpose of Disbursement Contribution Candidate Name Richmond, Cedric, , , Office Sought: March M	nent For: 2	✗ General	011 Category/ Type	FEC Identification Number C C00451336 Transaction ID: B777583 Amount of Each Disbursement this Period 3000.00
	State: LA District: 02	Other (spec	y) ▼		Memo Item
	UBTOTAL of Disbursements This Page (optional)				10500.00
Т	OTAL This Period (last page this line number only).				

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SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 16 OF 2			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only one)			
	Detailed Summary Page	21b 22 X 23 26 27 28a 28b 28c 29 30b			
Any information copied from such Paparta and States	ente may not be sold or year	d by any person for the purpose of soliciting contributions			
		I committee to solicit contributions from such committee.			
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Polit	ical Action Committe	e			
Full Name (Last, First, Middle Initial)		Date of Dielement			
A. Robert Aderholt for Congress		Date of Disbursement			
Mailing Address P.O. Box 1158		10 12 2020			
,	State Zip Code	FEC Identification Number			
Haleyville	AL 35565				
Purpose of Disbursement Contribution		O11 C C00313247			
Candidate Name		Transaction ID : B777587 Category/ Amount of Each Disbursement this Perio			
Aderholt, Robert, B, ,		Type			
Office Sought: House Disbursen	nent For: 2020	1000.00			
	Primary General				
State: AL District: 04	Other (specify) ▼	Memo Item			
Full Name (Last, First, Middle Initial)					
B. Tuberville for Senate Inc.		Date of Disbursement			
		M = M / D = D / Y = Y = Y			
Mailing Address PO Box 3071		10 12 2020			
,	State Zip Code AL 36831	FEC Identification Number			
Auburn Purpose of Disbursement	AL 36831	C C00701672			
Contribution		011			
Candidate Name		Transaction ID : B777586 Category/ Amount of Each Disbursement this Perio			
Tuberville, Thomas, , ,		Type			
	nent For: 2020	5000.00			
	Primary General Other (specify)				
State: AL District:	Caron (openity)	Memo Item			
Full Name (Last, First, Middle Initial)		_			
C.		Date of Disbursement			
Mailing Address		M M / D D / Y Y Y			
Maining / Mai 000					
City	State Zip Code	FEC Identification Number			
Purpose of Disbursement					
i dipose of Dispursement		C			
Candidate Name		Category/ Amount of Each Disbursement this Perio			
		Type Type			
Office Sought: House Disbursen					
	Primary General				
State: District:	Other (specify) ▼	Memo Item			
otato. District.					
SUBTOTAL of Disbursements This Page (optional)		6000.00			
ago (opiiolia)					
TOTAL This Period (last page this line number only)		25000.00			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE NUMBER: PAGE 17 OF 2 (check only one)		
	for each category of the Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b	
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full)	addition of any point		The second secon	
The Caterpillar Inc. Employee Politi	ical Action Committ	ee		
Full Name (Last, First, Middle Initial)			Data of Bisham	
A. Georgia House Republican Trust In	nc		Date of Disbursement	
Mailing Address P.O. Box 430	10 12 2020			
-	State Zip Code GA 30655		FEC Identification Number	
Purpose of Disbursement	30000		C	
State Party Cmte		011	Transaction ID : B777575	
Candidate Name		Category/	Amount of Each Disbursement this Period	
		Туре	500.00	
	nent For: 2020		500.00	
	Primary General Other (specify) ▼			
State: District:	Not Applicable	e	Memo Item	
Full Name (Last, First, Middle Initial)				
B. Beach for Senate			Date of Disbursement	
Mailing Address 3100 Brierfield Road			10 12 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
City	State Zip Code			
*	GA 30004		FEC Identification Number	
Purpose of Disbursement G-2020 State Senate 21 GA		011	C Transaction ID - P777777	
Candidate Name		Category/	Transaction ID: B777577 Amount of Each Disbursement this Period	
Beach, Brandon, , ,		Type		
	nent For: 2020		1000.00	
	Primary General			
State: GA District: 21	Other (specify)		Memo Item	
Full Name (Last, First, Middle Initial)				
C. The Committee to Elect Houston G	aines		Date of Disbursement	
Mailing Address P.O Box 1203			10 12 2020	
City	State Zip Code		FEC Identification Number	
	GA 30603			
Purpose of Disbursement G-2020 State House 117 GA		044	C	
Candidate Name		011	Transaction ID : B777573	
Gaines, Houston, , ,		Category/ Type	Amount of Each Disbursement this Period	
	nent For: 2020	, ypc	800.00	
<u></u>	Primary General		4 4	
President	Other (specify) ▼		Memo Item	
State: GA District: 11			Wienie Rein	
SUBTOTAL of Disbursements This Page (optional)			2300.00	
TOTAL This Period (last page this line number only).				

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE N (check only 21b 28a	
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	ical Action Committe	ee	
Full Name (Last, First, Middle Initial) A. Tyler Harper for Georgia Mailing Address P.O. Box 798			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
,	State Zip Code GA 31774		FEC Identification Number
x Senate	nent For: 2020 Primary General Other (specify) ▼	011 Category/ Type	Transaction ID: B777574 Amount of Each Disbursement this Period 1000.00 Memo Item
Full Name (Last, First, Middle Initial) B. Committee to Elect Butch Miller Mailing Address P.O. Box 7475			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
,	State Zip Code GA 30502	011 Category/ Type	FEC Identification Number C Transaction ID: B777579 Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For: 2020 Primary	7,7,7	1000.00 Memo Item
Full Name (Last, First, Middle Initial) C. Jeff Mullis Campaign Cmte Mailing Address 212 English Avenue			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Chickamauga Purpose of Disbursement G-2020 State Senate 53 GA Candidate Name Mullis, Jeff, , , Office Sought: House Disbursem	State Zip Code GA 30707	O11 Category/ Type	FEC Identification Number C Transaction ID: B777580 Amount of Each Disbursement this Period 500.00
	Primary		Memo Item
SUBTOTAL of Disbursements This Page (optional)		······ •	2500.00
TOTAL This Period (last page this line number only).		>	

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE (check only 21b 28a	
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politics	ne and address of any politic	al committee to	
Full Name (Last, First, Middle Initial) A. Friends of Blake Tillery Mailing Address 404 Durden Street	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
Vidalia Purpose of Disbursement G-2020 State Senate 19 GA Candidate Name Tillery, Blake, , , Office Sought: House Disbursem	State Zip Code 30474 ment For: 2020 Primary General Other (specify)	011 Category/ Type	FEC Identification Number C Transaction ID: B777567 Amount of Each Disbursement this Period 1000.00 Memo Item
Springfield Purpose of Disbursement State Party Cmte Candidate Name Office Sought: House Disbursem Senate	State Zip Code IL 62708 nent For: 2020 Primary General Other (specify) Not Applicable	011 Category/ Type	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Champaign Purpose of Disbursement G-2020 State Senate 52 IL Candidate Name Bennett, Scott, , , Office Sought: House Disbursem x Senate President	State Zip Code 1L 61824 nent For: 2020 Primary X General Other (specify) ▼	011 Category/ Type	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
			3000.00

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE (check only 21b 28a	•
Any information copied from such Reports and Statem or for commercial purposes, other than using the name NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politics	e and address of any politic	al committee to	
Full Name (Last, First, Middle Initial) A. Friends of Tim Butler Mailing Address 1638 N. 5th St.	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
Springfield Purpose of Disbursement G-2020 State House 87 IL Candidate Name Butler, Tim, , , Office Sought:	State Zip Code 62702 The nent For: 2020 Primary X General Other (specify) Zip Code 62702	011 Category/ Type	FEC Identification Number C Transaction ID: B777568 Amount of Each Disbursement this Period 1000.00 Memo Item
Full Name (Last, First, Middle Initial) B. Citizens for Laura M. Murphy Mailing Address P.O. Box 13			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Des Plaines Purpose of Disbursement G-2020 State Senate 28 IL Candidate Name Murphy, Laura, , , Office Sought: House Disbursem	State Zip Code IL 60016 ment For: 2020 Primary General Other (specify)	011 Category/ Type	FEC Identification Number C Transaction ID: B777570 Amount of Each Disbursement this Period 500.00 Memo Item
Full Name (Last, First, Middle Initial) C. Keith Sommer Campaign Committee Mailing Address 376 Heritage Dr.	ee		Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Mackinaw Purpose of Disbursement G-2020 State House 88 IL Candidate Name Sommer, Keith, , , Office Sought: Mackinaw	State Zip Code 61755 nent For: 2020 Primary X General Other (specify) ▼	011 Category/ Type	FEC Identification Number C Transaction ID: B777569 Amount of Each Disbursement this Period 500.00 Memo Item
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only).			2000.00

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 21 OF 21	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny		
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b	
Any information copied from such Reports and Statem	nents may not be sold or us			
or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)				
The Caterpillar Inc. Employee Politi	ical Action Committ	tee		
/ Full Name (Lock First Middle Initial)				
Full Name (Last, First, Middle Initial) A. Friends of Ryan Spain			Date of Disbursement	
Therias of Ryan Opain			M M / D D / Y Y Y Y	
Mailing Address PO Box 1575			10 12 2020	
City S Peoria	State Zip Code IL 61655		FEC Identification Number	
Purpose of Disbursement	01000		C	
G-2020 State House 73 IL		011	Transaction ID : B777581	
Candidate Name		Category/	Amount of Each Disbursement this Period	
Spain, Ryan, , ,		Type	2522.22	
	nent For: 2020		2500.00	
	Primary			
State: IL District: 73	Cirici (opcomy)		Memo Item	
Full Name (Last, First, Middle Initial)				
B. Donny Lambeth for NC House			Date of Disbursement	
Mailing Address 4627 S Main St.			10 12 2020	
City	State Zip Code			
-	NC 27127		FEC Identification Number	
Purpose of Disbursement			C	
G-2020 State House 75 NC		011	Transaction ID : B777576	
Candidate Name		Category/	Amount of Each Disbursement this Period	
Lambeth, Donny, , , Office Sought: Mouse Disbursem	nent For: 2020	Туре	2000.00	
	Primary General		4 4	
President	Other (specify)		Memo Item	
State: NC District: 75			Wellio Itelli	
Full Name (Last, First, Middle Initial)				
C. Doug Burgum for North Dakota			Date of Disbursement	
Mailing Address 1533 7th St S.			10 12 2020	
1000 141 000.				
City	State Zip Code		FEC Identification Number	
Fargo Purpose of Disbursement	ND 58103			
G-2020 Governor ND		011	C	
Candidate Name			Transaction ID : B777566 Amount of Each Disbursement this Period	
Burgum, Doug, , ,		Category/ Type	Amount of Each Disbursement this Feriod	
	nent For: 2020		5000.00	
	Primary General			
State: ND District:	Other (specify) ▼		Memo Item	
otate. ND District.				
SUBTOTAL of Disbursements This Page (optional)			9500.00	
The state of the s			7 7 7	
TOTAL This Period (last page this line number only).			19300.00	