

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

RECEIVED
FEC 1/30/02

2002 JUN 14 P 4 06

Office Use Only

1. NAME OF COMMITTEE (if fed)

USE FEC MAILING LABEL OR TYPE OR PRINT

Examples: If typing, type over the lines.

12FE4M5

The Caterpillar Employee Political Action Committee

ADDRESS (number and street)

100 N.E. Adams

Check if different than previously reported. (ACC)

Peoria

IL

61629

1430

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

C: 000148031

3. IS THIS REPORT

NEW

(N)

OR

AMENDED

(A)

4. TYPE OF REPORT (Choose One)

(b) Monthly Report Due On:

Feb 20 (M2)
 Mar 20 (M3)
 Apr 20 (M4)

May 20 (M5)
 Jun 20 (M6)
 Jul 20 (M7)

Aug 20 (M8)
 Sep 20 (M9)
 Oct 20 (M10)

Nov 20 (M11) (Non-Election Year Only)
 Dec 20 (M12) (Non-Election Year Only)
 Jan 31 (YE)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)
 July 15 Quarterly Report (Q2)
 October 15 Quarterly Report (Q3)
 January 31 Year-End Report (YE)
 July 31 Mid-Year Report (Non-election Year Only) (MY)
 Termination Report (TER)

(c) 12-Day PRE-Election Report for the:

Primary (12P)
 Convention (12C)

General (12G)
 Special (12S)

Runoff (12R)

Election on

11

07

2000

In the State of

(d) 30-Day POST-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

In the State of

5. Covering Period

2001

2000

through

10

18

2000

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Gary Vest

Signature of Treasurer

Date

06

09

2002

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3X (Revised 1/01)

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Revised 1/01)

Page 2

Write or Type Committee Name

The Calendar Employee Political Action Committee

Report Covering the Period:

From:

10 01 2000

To:

10 18 2000

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2000		\$84,709.84
(b) Cash on Hand at Beginning of Reporting Period	\$5,008.50	
(c) Total Receipts (from Line 19)	\$296.50	\$135,721.18
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$5,302.00	\$220,431.00
7. Total Disbursements (from Line 30)	\$0.00	\$215,129.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$5,302.00	\$5,302.00
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$0.00	
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Revised 1/01)

Page 3

Write or Type Committee Name

The Caterpillar Employee Political Action Committee

Report Covering the Period: From: 10 01 2000 To: 10 18 2000

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	\$30.00	
(ii) Unitemized	\$265.50	
(iii) TOTAL (add Lines 11(a)(i) and (ii)	\$295.50	\$133,918.25
(b) Political Party Committees	\$0.00	\$0.00
(c) Other Political Committees (such as PACs)	\$0.00	\$0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 32, page 4)	\$295.50	\$133,918.25
12. Transfers From Affiliated/Other Party Committees	\$0.00	\$0.00
13. All Loans Received	\$0.00	\$0.00
14. Loan Repayments Received	\$0.00	\$0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 38, page 4)	\$0.00	\$0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	\$0.00	\$1,000.00
17. Other Federal Receipts (Dividends, Interest, etc.)	\$0.00	\$802.91
18. Transfers from Nonfederal Account for Joint Activity	\$0.00	\$0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18)	\$295.50	\$135,721.16
20. Total Federal Receipts (subtract Line 16 from Line 19)	\$295.50	\$135,721.16

DETAILED SUMMARY PAGE
of Disbursements

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Page 4

ii. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	\$0.00	\$0.00
(ii) Non-Federal Share	\$0.00	\$0.00
(b) Other Federal Operating Expenditures	\$0.00	\$0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	\$0.00	\$0.00
22. Transfers to Affiliated/Other Party Committees	\$0.00	\$0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	\$0.00	\$138,500.00
24. Independent Expenditures (use Schedule E)	\$0.00	\$0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. §441a(d)) (use Schedule F)	\$0.00	\$0.00
26. Loan Repayments Made	\$0.00	\$0.00
27. Loans Made	\$0.00	\$0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	\$0.00	\$0.00
(b) Political Party Committees	\$0.00	\$0.00
(c) Other Political Committees (such as PACs)	\$0.00	\$0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	\$0.00	\$0.00
29. Other Disbursements	\$0.00	\$78,629.00
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29)	\$0.00	\$215,129.00
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30)	\$0.00	\$215,129.00
iii. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) (from Line 11(d), page 3)	\$295.50	\$133,918.25
33. Total Contribution Refunds (from Line 28(d))	\$0.00	\$0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	\$295.50	\$133,918.25
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	\$0.00	\$0.00
36. Offsets to Operating Expenditures (from Line 15, page 3)	\$0.00	\$0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35)	\$0.00	\$0.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 1 OF 1	
(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) A. RICHARD Rhein		Date of Receipt
Mailing Address 323 PALM BOULEVARD		
City WESTON	State FL	Zip Code 33326
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period \$30.00
Name of Employer Caterpillar Inc.	Occupation PERFORMANCE SUPPORT MANAGER	P/R Deduction (\$30.00 Monthly)
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ \$300.00	

Full Name (Last, First, Middle Initial) B.		Date of Receipt
Mailing Address		
City	State	Zip Code
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period
Name of Employer	Occupation	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	

Full Name (Last, First, Middle Initial) C.		Date of Receipt
Mailing Address		
City	State	Zip Code
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period
Name of Employer	Occupation	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	

SUBTOTAL of Receipts This Page (optional)	\$30.00
TOTAL This Period (last page this line number only)	\$30.00

FEC File Validator

Version 4.00.14

Developed for the Federal Election Commission
by NIC Technologies, Inc. 1996-2000

==== Identification Section =====

Committee ID: C00148031
Committee Name: The Caterpillar Employee Political Action Committee
Filing Type: F3XN
From/Through: 20001001 - 20001019

Software/Ver#: Focus PAC Management / Ver# 3.00.066

==== Results Section =====

>>>----> FRC data file passed validation. <-----<<<
Alert: 60001 warnings and/or potential missing information encountered

==== Summary Page Totals Section =====

Cover/Summary Page Totals for Form: F3X

Line No.	Column A	Column B
6A 2000		54,703.84
6B	5,005.50	
6C	295.50	135,721.16
6D	5,302.00	224,431.00
7	0.00	215,129.00
8	5,302.00	5,302.00
9	0.00	
10	0.00	
11A1	30.00	27,609.50
11A2	295.50	106,308.75
11A3	295.50	133,918.25
11B	0.00	0.00
11C	0.00	0.00
11D	295.50	133,918.25
12	0.00	0.00
13	0.00	0.00
14	0.00	0.00
15	0.00	0.00
16	0.00	1,000.00
17	0.00	\$02.91
18	0.00	0.00
19	295.50	135,721.16
20	295.50	135,721.16
21A1	0.00	0.00
21A2	0.00	0.00
21B	0.00	0.00
21C	0.00	0.00
22	0.00	0.00
23	0.00	136,503.00
24	0.00	0.00
25	0.00	0.00
26	0.00	0.00
27	0.00	0.00
28A	0.00	0.00
28B	0.00	0.00
28C	0.00	3.90
28D	0.00	0.00
29	0.00	78,629.00
30	0.00	215,129.00
31	0.00	215,129.00
32	295.50	133,918.25
33	0.00	0.00
34	295.50	133,918.25
35	0.00	0.00

36	0.00	0.60
37	0.00	0.00

*** Errors & Warnings Section ****

Validation Errors & Warnings

ERROR (Level 16, 12, 8) Messages...

No Errors

WARNING (Level 4) Messages...

Form(Item): P32N
Field Name: #014 Type of Report: Election State Code
Warning Conditionally Required field is Empty

2025-10-27 10:00:00 AM

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

<input checked="" type="checkbox"/> Hand Delivered	Date of Receipt 6-21-07
<input type="checkbox"/> First Class Mail	POSTMARKED
<input type="checkbox"/> Registered/Certified Mail	POSTMARKED (R/C)
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/> Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/> Other (Specify):	Postmarked and/or Date of Receipt
<input type="checkbox"/> Electronic Filing	
<i>Set</i> PREPARER	6-21-07 DATE PREPARED

(E/2004)

2007年6月21日 10:21:07 AM