Image# 13941615610 PAGE 1 / 149

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

| | | | | | | | | | Offi | ice Use Only | |
|-----|-----------------------|--------------------------------------------------------|----------------|------------------------------|----------------------|-------------------------------|----------------------|-----------|--------------|-------------------|---------------------------------------------|
| 1. | NAME OF COMMITTE | EE (in full) | TYPE OR | PRINT ▼ | | mple: If typi r the lines. | ng, type | 12FE4 | 4M5 | | |
| Т | he Cater | oillar Inc. Er | mployee | Political A | Action Cor | nmittee | | | | | 1 |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| ΑD | DRESS (num | ber and street) | 100 N.E. | Adams Stree | et | | | | | | |
| ř | Check | if different | | | | | | | | | |
| ŀ | than p | reviously ed. (ACC) | Peoria | | | | | IL | 6 | 51629-1430 | - |
| 2. | FEC IDEN | TIFICATION N | UMBER ▼ | | CITY ▲ | | 5 | STATE A | | ZIP CO | DE 🛦 |
| | C coo | 148031 | | | 3. IS THIS REPORT | | NEW (N) OR | | AMENE (A) | DED | |
| 4. | TYPE OF (Choose Or | REPORT | (b) Mor Rep | oort | Feb 20 (M2) | | May 20 (M5) | | Aug 20 (l | M8) | Nov 20 (M11) (Non-Election Year Only) |
| | (a) Quarte | rly Reports: | Due | e On: | Mar 20 (M3) | | Jun 20 (M6) | × | Sep 20 (I | M9) | Dec 20 (M12) (Non-Election Year Only) |
| | ☐ At | oril 15 | | | Apr 20 (M4) | | Jul 20 (M7) | | Oct 20 (N | И10) | Jan 31 (YE) |
| | | uarterly Report (| Q1) (c) | 12-Day | П | Primary (12F | P) | Ger | neral (12G |) | Runoff (12R) |
| | U Ju | ıly 15 uarterly Report (| Q2) | PRE-Election Report for t | | Convention (| (12C) | Spe | cial (12S) | | |
| | | ctober 15 uarterly Report (| Q3) | | | , | | | (-, | | |
| | Ja Ye | anuary 31 ear-End Report (| YE) | E | Election on | M = M / | D D / | Y Y Y | Y | in the State o | of |
| | R | ıly 31 Mid-Year eport (Non-electi ear Only) (MY) | on (d) | 30-Day POST-Elect | | General (300 | G) | Run | off (30R) | | Special (30S) |
| | | ermination Repor ER) | t | Report for t | ne: | M = M / | D = D / | Y = Y = Y | Y | in the | |
| | (. | | | E | Election on | | | | | State o | of |
| 5. | Covering P | eriod 0 | M / D 01 | | 013 | through | M M M | 31 | D / Y | 2013 | |
| Lce | ertify that I h | ave examined t | his Report a | and to the be | est of my kno | wledge and | belief it is tru | e. correc | et and cor | mplete. | |
| | • | ame of Treasur | · | C. Morrison | | moago ana | | | | | |
| | | | | | | | | | M M / | D D / | Y Y Y |
| Sig | nature of Tre | easurer Mic | hael C. Morris | on | | [Electronicall | y Filed] D | ate | 09 | 19 | 2013 |
| NO | TE: Submissi | on of false, erro | neous, or inc | omplete infor | mation may su | bject the per | son signing th | is Report | t to the pe | enalties of 2 l | U.S.C. §437g. |
| | Office | | | | | - | | | | EC FOR | |
| | Use Only | | | | | | | | ' | Rev. 12/2 | |

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 08 01 2013 To: 08 31 2013

| | | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|-----|--------------------------------------------------------------------------------------------------|-------------------------|-----------------------------------|
| 6. | (a) Cash on Hand January 1, 2013 | | 140972.23 |
| | (b) Cash on Hand at Beginning of Reporting Period | 324139.82 | |
| | (c) Total Receipts (from Line 19) | 53013.45 | 428017.18 |
| | (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 377153.27 | 568989.41 |
| 7. | Total Disbursements (from Line 31) | 3511.90 | 195348.04 |
| 8. | Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 373641.37 | 373641.37 |
| 9. | Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. | Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

| I. Receipts | COLUMN A | COLUMN B Calendar Year-to-Date | | | | | | | |
|--------------------------------------------------------------------------|-----------------------------------------|-----------------------------------|--|--|--|--|--|--|--|
| | Total Tills Pellou | | | | | | | | |
| Contributions (other than loans) From: (a) Individuals/Persons Other | | | | | | | | | |
| Than Political Committees | | | | | | | | | |
| (i) Itemized (use Schedule A) | 40338.70 | 255780.54 | | | | | | | |
| (i) iternized (use Schedule A) | 7 | | | | | | | | |
| (ii) Unitemized | 12671.92 | 169203.40 | | | | | | | |
| (iii) TOTAL (add | , III. (III.) | | | | | | | | |
| Lines 11(a)(i) and (ii) | 53010.62 | 424983.94 | | | | | | | |
| 2.1100 11(4)(1) 4.110 (1) | | | | | | | | | |
| (b) Political Party Committees | 0.00 | 0.00 | | | | | | | |
| (c) Other Political Committees | | | | | | | | | |
| (such as PACs) | 0.00 | 1000.00 | | | | | | | |
| (d) Total Contributions (add Lines | | | | | | | | | |
| 11(a)(iii), (b), and (c)) (Carry | | | | | | | | | |
| Totals to Line 33, page 5)▶ | 53010.62 | 425983.94 | | | | | | | |
| 2. Transfers From Affiliated/Other | | | | | | | | | |
| Party Committees | 0.00 | 0.00 | | | | | | | |
| - | | | | | | | | | |
| 3. All Loans Received | 0.00 | 0.00 | | | | | | | |
| | , , , , , , , , , , , , , , , , , , , , | | | | | | | | |
| 1. Loan Repayments Received | 0.00 | 0.00 | | | | | | | |
| 5. Offsets To Operating Expenditures | 7 | 7 | | | | | | | |
| (Refunds, Rebates, etc.) | | | | | | | | | |
| (Carry Totals to Line 37, page 5) | 0.00 | 0.00 | | | | | | | |
| 6. Refunds of Contributions Made | 7 | 7 | | | | | | | |
| to Federal Candidates and Other | | | | | | | | | |
| Political Committees | 0.00 | 2000.00 | | | | | | | |
| 7. Other Federal Receipts | | | | | | | | | |
| (Dividends, Interest, etc.) | 2.83 | 33.24 | | | | | | | |
| 3. Transfers from Non-Federal and Levin Funds | | | | | | | | | |
| (a) Non-Federal Account | | | | | | | | | |
| (from Schedule H3) | 0.00 | 0.00 | | | | | | | |
| _ | | | | | | | | | |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 | | | | | | | |
| | | | | | | | | | |
| (c) Total Transfers (add 18(a) and 18(b)) | 0.00 | 0.00 | | | | | | | |
| 9. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶ | 53013.45 | 428017.18 | | | | | | | |
|). Total Federal Receipts | | | | | | | | | |
| (subtract Line 18(c) from Line 19) ▶ | 53013.45 | 428017.18 | | | | | | | |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| | II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|----|----------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------|
| 1. | Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | Caronida Tour to Buto |
| | (i) Federal Share | 0.00 | 0.00 |
| | (ii) Non Fodous! Chaus | 0.00 | 0.00 |
| | (ii) Non-Federal Share(b) Other Federal Operating | 0.00 | 0.00 |
| | Expenditures | 11.90 | 348.04 |
| | (c) Total Operating Expenditures | 11.00 | 249.04 |
| , | (add 21(a)(i), (a)(ii), and (b))▶ Transfers to Affiliated/Other Party | 11.90 | 348.04 |
| • | Committees | 0.00 | 0.00 |
| 3. | Contributions to Federal Candidates/Committees and Other Political Committees | -1000.00 | 164500.00 |
| | Independent Expenditures | , , , , , , , , , , , , , , , , , , , , | |
| | (use Schedule E) Coordinated Party Expenditures | 0.00 | 0.00 |
| | (2 U.S.C. §441a(d)) (use Schedule F) | 0.00 | 0.00 |
| i. | Loan Repayments Made | 0.00 | 0.00 |
| | Loans MadeRefunds of Contributions To: | 0.00 | 0.00 |
| | (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| | (b) Political Party Committees | 0.00 | 0.00 |
| | (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| | (d) Total Contribution Refunds | | |
| | (add Lines 28(a), (b), and (c))▶ | 0.00 | 0.00 |
| 9. | Other Disbursements | 4500.00 | 30500.00 |
| | Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity | | |
| | (from Schedule H6) (i) Federal Share | 0.00 | 0.00 |
| | (ii) "Levin" Share | 0.00 | 0.00 |
| | (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| | (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶ | 0.00 | 0.00 |
| | Total Disbursements (add Lines 21(c), 22, | | |
| | 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) | 3511.90 | 195348.04 |
| | Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) | | |
| | from Line 31) | 3511.90 | 195348.04 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|----------------------------------------------------------------------------|-------------------------------|-----------------------------------|
| 3. Total Contributions (other than loans) (from Line 11(d), page 3) | 53010.62 | 425983.94 |
| 4. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 5. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 53010.62 | 425983.94 |
| 6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 11.90 | 348.04 |
| . Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| Net Operating Expenditures (subtract Line 37 from Line 36) | 11.90 | 348.04 |

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: 97 'A = G7 9 @ G5 B9 CI G'H9 LH F9 @ 5 H9 8 'HC '5 'F9 DC FHZ G7 < 98 I @ 'C F' + H9 A + N5 H+ C B

Form/Schedule: F3XN Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 7 OF 149 Use separate schedule(s)

| TEMIZED RECEIPTS | for each category of the Detailed Summary Page | X 11a |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and S or for commercial purposes, other than using the | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F | Political Action Committee | |
| Full Name (Last, First, Middle Initial) Brian Abbott Mailing Address Karl-Rapp-Strasse 1 City Wackersdorf FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code ZZ 92442 C Occupation Product Manager Aggregate Year-to-Date ▼ | Date of Receipt 08 30 2013 Transaction ID: A2013-3858287 Amount of Each Receipt this Period 94.37 |
| Full Name (Last, First, Middle Initial) Kent Adams Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code TN 37203 C Occupation Vice President Aggregate Year-to-Date ▼ 3135.74 | Date of Receipt 08 30 2013 Transaction ID : A2013-3858618 Amount of Each Receipt this Period 466.08 |
| Full Name (Last, First, Middle Initial) Ian Aguirre Mailing Address Building H Dock 13 City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61552 C Occupation Sr Engineering Proj Team Leadr Aggregate Year-to-Date ▼ 859.87 | Date of Receipt 08 30 2013 Transaction ID: A2013-3858385 Amount of Each Receipt this Period 109.97 |
| SUBTOTAL of Receipts This Page (optional) | | 670.42 |
| TOTAL This Period (last page this line number | only) | |

| | FOR LINE NUMBER: | PAGE 8 OF |
|------------------------------------------------|------------------|-----------|
| Use separate schedule(s) | (check only one) | |
| for each category of the Detailed Summary Page | X 11a 11b | 11c 12 |
| | 13 14 | 15 16 |

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| Any information copied from such Reports a or for commercial purposes, other than usin | and Statements may not be sold or used by any peng the name and address of any political committee | rson for the purpose of soliciting contributions to solicit contributions from such committee. |
|-------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | ee Political Action Committee | |
| Full Name (Last, First, Middle Initial) Jay Alexander | | Date of Receipt |
| Mailing Address 369 Ann Street | | 08 30 2013 |
| City | State Zip Code | Transaction ID : A2013-3858593 |
| Brisbane | ZZ 00000 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 100.00 |
| Name of Employer | Occupation | |
| Caterpillar Inc. | Manager Commercial Mining | |
| Receipt For: | Aggregate Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 800.00 | |
| Full Name (Last, First, Middle Initial) Michael Allen | , | Date of Receipt |
| Mailing Address 27Th & Pershing Rd | | 08 30 2013 |
| City | State Zip Code | Transaction ID : A2013-3858513 |
| Decatur | IL 62526 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 64.79 |
| Name of Employer | Occupation | |
| Caterpillar Inc. | Logistics Manager 5 | |
| Receipt For: | Aggregate Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 500.26 | |
| Full Name (Last, First, Middle Initial) Robert Allen | I | Date of Receipt |
| Mailing Address 2120 West End Avenue | | 08 30 2013 |
| City | State Zip Code | Transaction ID : A2013-3858574 |
| Nashville | TN 37203 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer | Occupation | |
| Caterpillar Inc. | Global Residual Consultant | |
| Receipt For: | Aggregate Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 350.00 | |
| SUBTOTAL of Receipts This Page (option | al) | 214.79 |
| | <u> </u> | |
| OTAL This Period (last page this line nur | mper only) | |

| | FOR LIN | E NU | : | PAGE | : | 9 | OF | |
|------------------------------------------------|----------|--------|-----|------|-----|---|----|--|
| Use separate schedule(s) | (check o | nly or | ne) | | | | | |
| for each category of the Detailed Summary Page | X 11a | | 11b | | 11c | | 12 | |
| | 13 | | 14 | | 15 | | 16 | |

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mathew Anderson Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3859368 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Anderson Date of Receipt Mailing Address 4716 86th Avenue NW 08 80 2013 City State Zip Code Transaction ID: A2013-3858206 WA Gig Harbor 98335-6129 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager I, Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Anderson Date of Receipt Mailing Address 4716 86th Avenue NW 80 22 2013 City Zip Code State Transaction ID: A2013-3858234

425.00

98335-6129

WA

Occupation

Manager I, Signal Engineering

Aggregate Year-to-Date ▼

С

25.00

Amount of Each Receipt this Period

Gig Harbor

Receipt For:

FEC ID number of contributing

General

federal political committee.

Name of Employer

Primary

Progress Rail Services

Other (specify)

| | FOF | LINE | NU | MBER | : | PAGE | · 1 | 10 OF | 149 |
|---------------------------------------------------|------|---------|----|------|---|------|-----|-------|-----|
| Use separate schedule(s) | (che | ck only | or | ne) | | | | | |
| for each category of the Detailed Summary Page | X | 11a | | 11b | | 11c | | 12 | |
| | | 13 | | 14 | | 15 | | 16 | 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Janet Arnold Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3859072 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 164.50 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Marketing Talent Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1316.00 Other (specify) Full Name (Last, First, Middle Initial) B. Chris Arvin Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3858549 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Global Org. Development Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) Full Name (Last, First, Middle Initial) c. David Augustine Date of Receipt Mailing Address 501 SW Jefferson 30 80 2013 City State Zip Code Transaction ID: A2013-3858993 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼

400.00

| | _ | _ | | | | | 2 | 49.5 | 0 |
|---|---|---|---|---|---|---|---|-------|---|
| | | | 7 | | - | 7 | | -(11) | |
| Г | | | | | | | 7 | | |
| L | - | - | 7 | - | - | 7 | - | (H) | |

Primary

Other (specify)

General

SUBTOTAL of Receipts This Page (optional).....

| | FOF | R LINE | NU | IMBER | : | PAGE | € 1 | 11 OF | - | 149 |
|---------------------------------------------------|------|---------|----|-------|---|------|-----|-------|---|-----|
| Use separate schedule(s) | (che | ck only | or | ne) | | | | | | |
| for each category of the Detailed Summary Page | × | 11a | | 11b | | 11c | | 12 | | |
| | | 13 | | 14 | | 15 | | 16 | | 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Carlos Aylwin Date of Receipt Mailing Address 9250 Sky Park Court 2013 31 City State Zip Code Transaction ID: A2013-3859472 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name (Last, First, Middle Initial) B. Douglas Bailey Date of Receipt Mailing Address 100 N.E. Adams St 80 30 2013 City State Zip Code Transaction ID: A2013-3858596 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 28.90 federal political committee. Name of Employer Occupation Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 228.35 Other (specify) Full Name (Last, First, Middle Initial) c. Mark Bainbridge Date of Receipt Mailing Address Route De Frontenex 76 30 80 2013 Zip Code City State Transaction ID: A2013-3859182 77 Geneva 6 00000 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee.

| TOTAL This Period (last page this line number only) | SUBTOTAL of Receipts This Page (optional) | | | 7 | | Ξ | 7 | _ | 16 | 8.90 |) |
|-----------------------------------------------------|-----------------------------------------------------|---|---|---|---|---|---|---|----|------|---|
| | TOTAL This Period (last page this line number only) | _ | _ | 7 | _ | _ | 7 | _ | _ | _ | |

600.00

Occupation

Vice President CFSC

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

Caterpillar Inc. Receipt For:

FOR LINE NUMBER: PAGE 12 OF 149 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mike Balkom Date of Receipt Mailing Address 8565 Old Marsh Way 08 2013 City State Zip Code Transaction ID: A2013-3858190 Montgomery AL 36117-5176 Amount of Each Receipt this Period FEC ID number of contributing C 45.69 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager, Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 731.04 Other (specify) Full Name (Last, First, Middle Initial) B. Mike Balkom Date of Receipt Mailing Address 8565 Old Marsh Way 08 22 2013 City State Zip Code Transaction ID: A2013-3858218 AL Montgomery 36117-5176 Amount of Each Receipt this Period FEC ID number of contributing 45.69 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager, Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 776.73 Other (specify) Full Name (Last, First, Middle Initial) c. William Ball Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858897 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 45.59 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir Corp Off of Bus Practices Receipt For: Aggregate Year-to-Date ▼ Primary General 364.72 Other (specify) 136.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF 149 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Chester BankesJr Jr. Date of Receipt Mailing Address 3701 State Road 26 East 30 2013 City Zip Code State Transaction ID: A2013-3858874 Lafayette IN 47905 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Plant Engrg Superintendent Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher Barber Date of Receipt Mailing Address 100 Tractor Drive 08 30 2013 City State Zip Code Transaction ID: A2013-3858343 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 43.21 federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 402.61 Other (specify) Full Name (Last, First, Middle Initial) c. Alice Barbour Date of Receipt Mailing Address 501 SW Jefferson 30 80 2013 City State Zip Code Transaction ID: A2013-3858862 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 60.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Assistant Dean Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 138.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

SCHEDULE A (FEC Form 3X)

| | FOF | LINE | NU | MBER | : | PAGE | • | 14 OF | 14 | ţ |
|------------------------------------------------|------|---------|----|-------------|---|------|---|-------|----|---|
| Use separate schedule(s) | (che | ck only | or | ne) | | | | | | |
| for each category of the Detailed Summary Page | X | 11a | | 11b | | 11c | | 12 | | |
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Bartelme Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2013 City State Zip Code Transaction ID: A2013-3858522 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 88.48 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 842.07 Other (specify) Full Name (Last, First, Middle Initial) **B.** George Beasley Date of Receipt Mailing Address 100 Ne Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3858368 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Chaille Becker Date of Receipt Mailing Address 12301 Old Galena Road 30 80 2013 City State Zip Code Transaction ID: A2013-3858315 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. IT Dir. Busn. Transformations Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 188.48 SUBTOTAL of Receipts This Page (optional).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 15 OF 149

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| | ny information copied from such Reports and S for commercial purposes, other than using the | | | | |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F | Political A | ction Committee | | |
| Α. | Mailing Address Regency Forest II | 01.11 | 7. 0.4 | 08 | 30 2013 |
| | City Cary | State NC | Zip Code 27511 | | t of Each Pagaint this Pariod |
| | FEC ID number of contributing federal political committee. | С | | Amount | t of Each Receipt this Period 475.00 |
| | Name of Employer | Occupation | | | |
| | Caterpillar Inc. | Vice Presid | ent | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 3100.00 | | |
| В. | Full Name (Last, First, Middle Initial) Robert Beneke | | | Date of | f Receipt |
| | Mailing Address SBS Tower 14F | | | 08 | 30 2013 |
| | City Setagaya-ku | State ZZ | Zip Code 15800 | | action ID : A2013-3859204 t of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | С | | | 50.00 |
| | Name of Employer Caterpillar Inc. | Occupation Regional Ma | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | |
| | Primary General Other (specify) ▼ | | 400.00 | | |
| - С. | Full Name (Last, First, Middle Initial) Craig Berger | | | Date of | f Receipt |
| | Mailing Address Route 29 & Old Galena Road | | | 08 | 30 2013 |
| | City | State IL | Zip Code 61552 | | saction ID : A2013-3858798 |
| | Mossville | IL | 61552 | Amount | t of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | | 50.00 |
| | Name of Employer | Occupation | | | |
| | Caterpillar Inc. Receipt For: | | Service Engineer | | |
| | Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 400.00 | | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Dean Beutel Date of Receipt Mailing Address 12301 Old Galena Road 30 2013 City State Zip Code Transaction ID: A2013-3858628 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation CPS Americas and GFM Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Biltimier Date of Receipt Mailing Address 100 N.E. Adams St 80 30 2013 City State Zip Code Transaction ID: A2013-3858567 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify)

Full Name (Last, First, Middle Initial) c. James Blass Date of Receipt Mailing Address 14009 Old Galena Rd. 30 80 2013 City State Zip Code Transaction ID: A2013-3858849 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 124.06 federal political committee. Name of Employer Occupation **TSD Director** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mary Blichmann Date of Receipt Mailing Address 3701 State Road 26 East 30 2013 City State Zip Code Transaction ID: A2013-3858665 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation NPI Program Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Gary Blood Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3859021 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 74.78 federal political committee. Name of Employer Occupation Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 598.24 Other (specify) Full Name (Last, First, Middle Initial) c. Michele Blubaugh Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858925 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 52.85 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Tech Team Leader** Receipt For: Aggregate Year-to-Date ▼ Primary General 422.80 Other (specify) 177.63 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mary Blum Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3859351 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 78.96 federal political committee. Name of Employer Occupation Exec Assist to Chairman & CEO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 617.46 Other (specify) Full Name (Last, First, Middle Initial) B. J. Blumenshine Date of Receipt Mailing Address 2120 West End Avenue 80 30 2013 City State Zip Code Transaction ID: A2013-3859045 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing C 140.21 federal political committee. Name of Employer Occupation

| | Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ | Deputy General Counsel Aggregate Year-to-Date ▼ 1096.00 | |
|-----|--------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------|
| C. | Full Name (Last, First, Middle Initial) Thomas Bluth Mailing Address 100 NE Adams City | State Zip Code | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. | IL 61629 C Occupation Vice President | Transaction ID: A2013-3859166 Amount of Each Receipt this Period 416.66 |
| | Receipt For: Primary Other (specify) | Aggregate Year-to-Date ▼ 3333.28 | |
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| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee | Political Action Committee | |
| Full Name (Last, First, Middle Initial) Mark Bohl Mailing Address 500 N Morton Ave City | State Zip Code | Date of Receipt 08 30 2013 |
| Morton FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ | Occupation Distribution Logistics Analyst Aggregate Year-to-Date 653.26 | Transaction ID : A2013-3859341 Amount of Each Receipt this Period 82.37 |
| Full Name (Last, First, Middle Initial) 3. Jeffrey Bowman Mailing Address 100 NE Adams City | State Zip Code | Date of Receipt 08 30 2013 Transaction ID : A2013-3859405 |
| Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. | C Occupation eChannel Commercial Manager | Amount of Each Receipt this Period 50.00 |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 400.00 | |
| Full Name (Last, First, Middle Initial) David Bozeman Mailing Address 100 NE Adams City | State Zip Code | Date of Receipt 08 30 2013 Transaction ID : A2013-3859428 |
| Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: □ Primary □ General Other (specify) ▼ | Occupation Senior Vice President Aggregate Year-to-Date ▼ 400.00 | Amount of Each Receipt this Period 50.00 |
| SUBTOTAL of Receipts This Page (optional) | | 182.37 |
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FOR LINE NUMBER: PAGE 20 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Walter Bradbury Date of Receipt Mailing Address 12301 Old Galena Road 30 2013 City State Zip Code Transaction ID: A2013-3858834 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 74.52 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 596.16 Other (specify) Full Name (Last, First, Middle Initial) B. Eric Braun Date of Receipt Mailing Address Frank Perkins Parkway 08 30 2013 City State Zip Code Transaction ID: A2013-3859293 ZZ Peterborough 00000 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) Full Name (Last, First, Middle Initial) c. Nicholas Brazis Date of Receipt Mailing Address 600 W Washington St 30 80 2013 City State Zip Code Transaction ID: A2013-3859363 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 44.85 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 458.10 Other (specify) 154.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Otto Breitschwerdt Date of Receipt Mailing Address Rua Ema Taner de Andrade792 30 2013 City Zip Code State Transaction ID: A2013-3859176 ZZ SanJuan 36063 Amount of Each Receipt this Period FEC ID number of contributing 182.86 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1426.97 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin Brennan Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3859106 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 96.86 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 765.04 Other (specify) Full Name (Last, First, Middle Initial) c. Mark Brown Date of Receipt Mailing Address P.O. Box 80108 30 80 2013 Zip Code City State Transaction ID: A2013-3859320 GA Athens 30608 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Mach 1 Deployment Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 329.72 SUBTOTAL of Receipts This Page (optional).....

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NAME OF COMMITTEE (In Full)

| The Caterpillar Inc. Employee F | Political Action Committee | |
|------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------|
| Full Name (Last, First, Middle Initial) Kevin Bruch | | Date of Receipt |
| Mailing Address 3701 State Road 26 East | | 08 30 2013 |
| City Lafayette | State Zip Code IN 47905 | Transaction ID : A2013-3858988 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 30.00 |
| Name of Employer Caterpillar Inc. | Occupation Engineering Manager-3 | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) James Buda | | Date of Receipt |
| Mailing Address 100 NE Adams | | 08 30 / Y = Y = Y = Y |
| City | State Zip Code IL 61629 | Transaction ID : A2013-3858848 |
| Peoria | IL 61629 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 100.00 |
| Name of Employer Caterpillar Inc. | Occupation Exec. VP Law & Public Policy | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 800.00 | |
| Full Name (Last, First, Middle Initial) C. Paul Budzinski | | Date of Receipt |
| Mailing Address 501 SW Jefferson | | 08 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City Peoria | State Zip Code IL 61629 | Transaction ID : A2013-3859350 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | 31.28 |
| Name of Employer | Occupation | |
| Caterpillar Inc. | Business Process Analyst III | |
| Receipt For: Primary General | Aggregate Year-to-Date ▼ | |
| Other (specify) | 247.06 | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew Bulfer Date of Receipt Mailing Address 812 W. Washington St 30 2013 City State Zip Code Transaction ID: A2013-3859308 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 55.57 federal political committee. Name of Employer Occupation Senior IT Analyst Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 394.09 Other (specify) Full Name (Last, First, Middle Initial) B. Steven Bullard Date of Receipt Mailing Address 2000 Hoff Road 08 30 2013 City State Zip Code Transaction ID: A2013-3858339 TN Dyersburg 38024 Amount of Each Receipt this Period FEC ID number of contributing 57.75 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 456.12 Other (specify) Full Name (Last, First, Middle Initial) c. Nathaniel Buncher Date of Receipt Mailing Address 3408 Wild Prairie Lane 80 80 2013 City State Zip Code Transaction ID: A2013-3858208 IL Geneva 60134-3963 Amount of Each Receipt this Period FEC ID number of contributing C 43.26 federal political committee. Name of Employer Occupation VP, Global Aftermarket Parts Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 692.16 Other (specify) 156.58

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FOR LINE NUMBER: PAGE 24 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Nathaniel Buncher Date of Receipt Mailing Address 3408 Wild Prairie Lane 2013 City State Zip Code Transaction ID: A2013-3858236 Geneva IL 60134-3963 Amount of Each Receipt this Period FEC ID number of contributing C 43.26 federal political committee. Name of Employer Occupation VP, Global Aftermarket Parts **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 735.42 Other (specify) Full Name (Last, First, Middle Initial) B. Laurie Burgett Date of Receipt Mailing Address 9250 Sky Park Court 80 31 2013 City State Zip Code Transaction ID: A2013-3859504 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Richard Burritt Date of Receipt Mailing Address 500 N Morton Ave 30 80 2013 City State Zip Code Transaction ID: A2013-3858796 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 196.76 federal political committee. Name of Employer Occupation Caterpillar Inc. **Technology Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1574.08 Other (specify) 340.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Bynum Date of Receipt Mailing Address 2120 West End Avenue 30 2013 City State Zip Code Transaction ID: A2013-3859248 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 146.32 federal political committee. Name of Employer Occupation Caterpillar Inc. Cat Insurance Marketing Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1161.95 Other (specify) Full Name (Last, First, Middle Initial) B. Amy Campbell Date of Receipt Mailing Address 812 W. Washington St 08 30 2013 City State Zip Code Transaction ID: A2013-3859143 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Marci Carballido Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858668 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee.

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400.00

Occupation

Lead Human Resource Mgr

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

Caterpillar Inc.

Receipt For:

Other (specify)

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Carlberg Date of Receipt Mailing Address Building H Dock 13 30 2013 City State Zip Code Transaction ID: A2013-3858885 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 171.02 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1327.96 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Carney III Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3859383 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General

Full Name (Last, First, Middle Initial) c. John Carpenter Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858833 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary

400.00

320.00

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Other (specify)

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| for each category of the Detailed Summary Page | X | 11a | | 11b | | 11c | | 12 | | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Carr Date of Receipt Mailing Address 12301 Old Galena Road 30 2013 City State Zip Code Transaction ID: A2013-3859092 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 233.58 federal political committee. Name of Employer Occupation Sr. Business Resource Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1854.90 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Castle Date of Receipt Mailing Address 14009 Old Galena Rd. 80 30 2013 City Zip Code State Transaction ID: A2013-3858990 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 65.28 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Tech Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General

| Full Name (Last, First, Middle Initial) Christopher Chadwick | | Date of Receipt |
|--------------------------------------------------------------|---------------------------|------------------------------------|
| Mailing Address 300 Hamilton Blvd. | | 08 30 _ 2013 _ |
| City | State Zip Code | Transaction ID : A2013-3858299 |
| Peoria | IL 61629-3800 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer | Occupation | - |
| Caterpillar Inc. | OEM Business Unit Manager | |
| Receipt For: | Aggregate Year-to-Date ▼ | 1 |
| Primary General | 55 5 | |

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400.00

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FOR LINE NUMBER: PAGE 28 OF 149 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Xiao Chen Date of Receipt Mailing Address No.5. Xin Qing South Road 30 2013 City Zip Code State Transaction ID: A2013-3858501 ZZ Wuxi 21402 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Paul Clark Date of Receipt Mailing Address 14009 Old Galena Rd. 08 30 2013 City State Zip Code Transaction ID: A2013-3858832 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Governmental Industry Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Patrick Conley Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3859118 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. 6 Sigma Strategy Champion Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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| for each category of the Detailed Summary Page | X 11a 11 | b 11 | 1c | 12 | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Coon Date of Receipt Mailing Address The Phoenix Building 30 2013 City State Zip Code Transaction ID: A2013-3859193 ZZ Solihull 00000 Amount of Each Receipt this Period FEC ID number of contributing C 86.05 federal political committee. Name of Employer Occupation **CPF Managing Director** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 683.36 Other (specify) Full Name (Last, First, Middle Initial) B. Jananne Copeland Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3858976 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Chief Accounting Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Benjamin Cordani Date of Receipt Mailing Address 6744 S Howell Ave 30 80 2013 City Zip Code State Transaction ID: A2013-3858388 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 186.05

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kristen Covey Date of Receipt Mailing Address 7 Tractor Road 30 2013 City State Zip Code Transaction ID: A2013-3858427 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Regional Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Dale Cox Date of Receipt Mailing Address Route 29 & Old Galena Road 08 30 2013 City State Zip Code Transaction ID: A2013-3859004 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify)

Full Name (Last, First, Middle Initial) c. Paul Creech Date of Receipt Mailing Address 111 Alewine Drive 80 80 2013 City State Zip Code Transaction ID: A2013-3858193 AL Boaz 35957-5037 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Railcar Sales & Leasing Progress Rail Services Receipt For:

Aggregate Year-to-Date ▼

120.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

320.00

Primary

Other (specify)

General

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paul Creech Date of Receipt Mailing Address 111 Alewine Drive 2013 22 City State Zip Code Transaction ID: A2013-3858221 Boaz AL 35957-5037 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Railcar Sales & Leasing **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa Creger Date of Receipt Mailing Address Attn: Administrative Assistant PO Box 348 08 01 2013 City State Zip Code Transaction ID: A2013-3866724 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa Creger Date of Receipt Mailing Address Attn: Administrative Assistant PO Box 348 80 01 2013 City State Zip Code Transaction ID: A2013-3866722 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 32 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Lisa Creger Date of Receipt Mailing Address Attn: Administrative Assistant PO Box 348 01 2013 City State Zip Code Transaction ID: A2013-3866723 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa Creger Date of Receipt Mailing Address Attn: Administrative Assistant PO Box 348 08 02 2013 City State Zip Code Transaction ID: A2013-3859096 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa Creger Date of Receipt Mailing Address Attn: Administrative Assistant PO Box 348 80 16 2013 City State Zip Code Transaction ID: A2013-3859097 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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| | Statements may not be sold or used by any perse name and address of any political committee to | |
|--------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee I | Political Action Committee | |
| Full Name (Last, First, Middle Initial) Christopher Curfman Mailing Address 300 Hamilton Blvd. | | Date of Receipt |
| City Peoria | State Zip Code IL 61629-3800 | 08 30 2013 Transaction ID : A2013-3859196 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 400.00 |
| Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ | Occupation Vice President Aggregate Year-to-Date ▼ 2300.00 | |
| Full Name (Last, First, Middle Initial) Teresa Cushing Mailing Address 14009 Old Galena Rd. | | Date of Receipt 08 30 2013 |
| City Mossville | State Zip Code IL 61552 | Transaction ID : A2013-3858728 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Engineering Manager-2 | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 400.00 | |
| Full Name (Last, First, Middle Initial) David Damerell | | Date of Receipt |
| Mailing Address 100 NE Adams | 7. 0. 1 | 08 30 2013 |
| City Peoria | State Zip Code IL 61629 | Transaction ID : A2013-3858914 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer Caterpillar Inc. | Occupation Engineering Service Suppt Mgr | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 400.00 | |
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SCHEDULE A (FEC Form 3X)

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| | Statements may not be sold or used by any per the name and address of any political committees | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee | Political Action Committee | |
| Full Name (Last, First, Middle Initial) Tracy Daugherty Mailing Address Route 29 & Old Galena Roa City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61552 C Occupation Special Assignment Aggregate Year-to-Date 400.00 | Date of Receipt 08 30 2013 Transaction ID: A2013-3858701 Amount of Each Receipt this Period 50.00 |
| Full Name (Last, First, Middle Initial) Janet Davidson Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) General | State Zip Code IL 61629 C Occupation Import/Export Services Supv Aggregate Year-to-Date ▼ 286.88 | Date of Receipt 08 30 2013 Transaction ID : A2013-3859402 Amount of Each Receipt this Period 36.70 |
| Full Name (Last, First, Middle Initial) Ryan Davis Mailing Address 715 Shasta Drive City Albertville FEC ID number of contributing federal political committee. Name of Employer Progress Rail Services Receipt For: Primary General Other (specify) Other (specify) | State Zip Code AL 35950-5803 C Occupation VP, Opers Wheel Axle Bearings Aggregate Year-to-Date ▼ 320.00 | Date of Receipt 08 08 2013 Transaction ID: A2013-3858186 Amount of Each Receipt this Period 20.00 |
| SUBTOTAL of Receipts This Page (optional) | | 106.70 |
| TOTAL This Period (last page this line number | er only) | |

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| for each category of the Detailed Summary Page | × | 11a | | 11b | | 11c | | 12 | | | |
| ,g. | | 13 | | 14 | | 15 | | 16 | 1 1· | | |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Ryan Davis Date of Receipt Mailing Address 715 Shasta Drive 2013 22 City State Zip Code Transaction ID: A2013-3858214 Albertville AL 35950-5803 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Opers Wheel Axle Bearings **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name (Last, First, Middle Initial) B. David DeFreitas Date of Receipt Mailing Address 12301 Old Galena Road 80 30 2013 City State Zip Code Transaction ID: A2013-3858308 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Dennis Date of Receipt Mailing Address 600 W Washington St 30 80 2013 City State Zip Code Transaction ID: A2013-3859048 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 68.68 federal political committee. Name of Employer Occupation Labor Relations Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 545.42 Other (specify)

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Shankar Desai Date of Receipt Mailing Address 501 SW Jefferson 30 2013 City State Zip Code Transaction ID: A2013-3859165 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 68.92 federal political committee. Name of Employer Occupation Caterpillar Inc. Strategy & Architecture Dir. Receipt For: Aggregate Year-to-Date ▼ Primary General 666.99 Other (specify) Full Name (Last, First, Middle Initial) B. Brian Devine Date of Receipt Mailing Address 500 N. Morton Ave 08 30 2013 City State Zip Code Transaction ID: A2013-3858672 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 87.92 federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 690.79 Other (specify) Full Name (Last, First, Middle Initial) c. Roger DeVore Date of Receipt Mailing Address 14009 Old Galena Rd. 30 80 2013 City State Zip Code Transaction ID: A2013-3858734 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 70.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 560.00 Other (specify) 226.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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| Any information copied from such Re or for commercial purposes, other that | eports and Statements may not be sold or used by any pers an using the name and address of any political committee to | son for the purpose of soliciting contributions o solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) | | |
| , , | ployee Political Action Committee | |
| Full Name (Last, First, Middle Initi A. Michael DeWalt | ial) | Date of Receipt |
| Mailing Address 100 NE Adams | | 08 30 2013 |
| City | State Zip Code | Transaction ID : A2013-3858307 |
| Peoria | IL 61629 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer | Occupation | 1 |
| Caterpillar Inc. | Corporate Controller | |
| Receipt For: | Aggregate Year-to-Date ▼ | 1 |
| Primary General | 1.99.29ato 10at to Dato ₹ | |
| Other (specify) ▼ | 300.00 | |
| Full Name (Last, First, Middle Initi 3. Douglas Dickerson | ial) | Date of Receipt |
| Mailing Address 100 Tractor Drive | | M M / D D / Y Y Y Y |
| 5 Serves 100 Haciol Dilve | | 08 30 _2013 _ |
| City | State Zip Code | Transaction ID : A2013-3859259 |
| East Peoria | IL 61630 | Amount of Each Receipt this Period |
| FEC ID number of contributing | | |
| federal political committee. | C | 31.23 |
| Name of Employer | Occupation | 1 |
| Caterpillar Inc. | Group Manager 1 | |
| Receipt For: | Aggregate Year-to-Date ▼ |] |
| Primary General | | |
| Other (specify) ▼ | 279.51 | |
| Full Name (Last, First, Middle Initi | ial) | Date of Receipt |
| Mailing Address 6744 S Howell Av | ve | 08 30 2013 |
| City | State Zip Code | Transaction ID : A2013-3858288 |
| Oak Creek | WI 53154 | Amount of Each Receipt this Period |
| FEC ID number of contributing | | |
| federal political committee. | C | 50.00 |
| Name of Employer | Occupation | 1 |
| Caterpillar Inc. | Industry Relations Director | |
| Receipt For: | Aggregate Year-to-Date ▼ | |
| Primary General | | |
| Other (specify) ▼ | 400.00 | |
| SUBTOTAL of Receipts This Page | (optional) | 131.23 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kevin Doglio Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3859066 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation **Business Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Suzette Doligale Date of Receipt Mailing Address 16106 Kensington Ave 08 80 2013 City State Zip Code Transaction ID: A2013-3858275 IL **Tinley Park** 60477-6720 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Suzette Doligale Date of Receipt Mailing Address 16106 Kensington Ave 80 22 2013 City State Zip Code Transaction ID: A2013-3858274 IL Tinley Park 60477-6720 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional).....

| | FOR LINE NUMBER: | PAGE | : 39 OF | 149 |
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| for each category of the Detailed Summary Page | X 11a 11b | 11c [| 12 | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Thomas Doolittle Date of Receipt Mailing Address 2120 West End Avenue 30 2013 City Zip Code State Transaction ID: A2013-3858742 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Nola Douglas Date of Receipt Mailing Address 2120 West End Avenue 08 30 2013 City State Zip Code Transaction ID: A2013-3858569 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 73.51 federal political committee. Name of Employer Occupation Caterpillar Inc. N. A. Financial Accounting Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 577.58 Other (specify) Full Name (Last, First, Middle Initial) c. James Duensing Date of Receipt Mailing Address 2120 West End Avenue 30 80 2013 City Zip Code State Transaction ID: A2013-3858884 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 130.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Executive VP / CFO Receipt For: Aggregate Year-to-Date ▼ Primary General 780.00 Other (specify) 253.51 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jerome Duggan Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3859190 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 111.29 federal political committee. Name of Employer Occupation **Business Resource Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1146.01 Other (specify) Full Name (Last, First, Middle Initial) **B.** Harold Dulaney Date of Receipt Mailing Address 100 NE Adams 80 30 2013 City State Zip Code Transaction ID: A2013-3859133 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) C.

| Full Name (Last, First, Middle Initial) Michael Duncan | | Date of Receipt |
|------------------------------------------------------------|--------------------------|------------------------------------|
| Mailing Address Attn: Administrative Assistar | nt | M = M / D = D / Y = Y = Y |
| PO Box 348 | | 08 30 2013 |
| City | State Zip Code | Transaction ID : A2013-3859011 |
| Aurora | IL 60507 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer | Occupation | |
| Caterpillar Inc. | Sales Manager | |
| Receipt For: | Aggregate Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 400.00 | |
| Other (specify) ▼ | 400.00 | |

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| | FOR LINE NUMBER: | PAGE 41 OF 14 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F | Political Action Committee | |
|------------------------------------------------------------------------------------------|------------------------------------|--------------------------------------------------------------------|
| Full Name (Last, First, Middle Initial) Shannon Duvendack | | Date of Receipt |
| Mailing Address 12301 Old Galena Road | | 08 30 2013 |
| City Mossville | State Zip Code IL 61552 | Transaction ID : A2013-3858452 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 66.73 |
| Name of Employer Caterpillar Inc. | Occupation Sr. Procurement Manager | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 663.69 | |
| Full Name (Last, First, Middle Initial) Debra Duzinskas | | Date of Receipt |
| Mailing Address 12301 Old Galena Road | | 08 30 2013 |
| City Mossville | State Zip Code IL 61552 | Transaction ID : A2013-3859148 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 45.00 |
| Name of Employer Caterpillar Inc. | Occupation Reman BU Mgr - Mining | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 360.00 | |
| Full Name (Last, First, Middle Initial) C. Patricia Eastwood | | Date of Receipt |
| Mailing Address 2120 West End Avenue | | 08 30 _ 2013 _ |
| City Nashville | State Zip Code TN 37203 | Transaction ID : A2013-3858379 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer | Occupation | |
| Caterpillar Inc. | Senior Corporate Counsel | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 400.00 | |
| SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number | > | 161.73 |

| | FOR LINE NUMBER: | PAGE 42 OF 1 | 49 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Eddy Date of Receipt Mailing Address 1720 Kingsbury 30 2013 City State Zip Code Transaction ID: A2013-3859270 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 57.07 federal political committee. Name of Employer Occupation Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 449.18 Other (specify) Full Name (Last, First, Middle Initial) B. Anthony Edmonds Date of Receipt Mailing Address 7 Tractor Road 08 30 2013 City State Zip Code Transaction ID: A2013-3859333 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lori Edwards Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3859252 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General

400.00

FEC Schedule A (Form 3X) Rev. 02/2003

Other (specify)

SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 43 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Evans Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2013 City Zip Code State Transaction ID: A2013-3858632 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 47.24 federal political committee. Name of Employer Occupation **Business Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 377.92 Other (specify) Full Name (Last, First, Middle Initial) B. Andrew Ferguson Date of Receipt Mailing Address 501 SW Jefferson 08 30 2013 City State Zip Code Transaction ID: A2013-3858661 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 79.74 federal political committee. Name of Employer Occupation Caterpillar Inc. C. I. & Dlr. Lrng. Dept. Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 637.92 Other (specify) Full Name (Last, First, Middle Initial) c. Gregory Ferguson Date of Receipt Mailing Address PO Box 504 30 80 2013 City State Zip Code Transaction ID: A2013-3858530 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing 114.90 С federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 927.79 Other (specify) 241.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Bonnie Fetch Date of Receipt Mailing Address Building H Dock 13 30 2013 City State Zip Code Transaction ID: A2013-3859120 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 203.54 federal political committee. Name of Employer Occupation Caterpillar Inc. Business Unit Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1580.47 Other (specify) Full Name (Last, First, Middle Initial) B. Joel Feucht Date of Receipt Mailing Address 12301 Old Galena Road 80 30 2013 City State Zip Code Transaction ID: A2013-3859023 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 713.68 Other (specify) Full Name (Last, First, Middle Initial) c. Steven Fisher Date of Receipt Mailing Address 12301 Old Galena Road 30 80 2013 City Zip Code State Transaction ID: A2013-3858875 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 512.50 federal political committee. Name of Employer Occupation

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3999.41

Vice President

Aggregate Year-to-Date ▼

Caterpillar Inc. Receipt For:

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mark Flannery Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3859257 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 67.12 federal political committee. Name of Employer Occupation Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 528.29 Other (specify) Full Name (Last, First, Middle Initial) **B.** Gregory Folley Date of Receipt Mailing Address 12301 Old Galena Road 08 30 2013 City State Zip Code Transaction ID: A2013-3858781 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 3333.28 Other (specify) Full Name (Last, First, Middle Initial) c. David Frederick Date of Receipt Mailing Address 812 W. Washington St 30 80 2013 City State Zip Code Transaction ID: A2013-3858891 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. IS Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify)

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513.78

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SCHEDULE A (FEC Form 3X)

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149 ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Alan Frese Date of Receipt Mailing Address 6744 S Howell Ave 30 2013 City Zip Code State Transaction ID: A2013-3858838 WI Oak Creek 53154 Amount of Each Receipt this Period FEC ID number of contributing C 234.72 federal political committee. Name of Employer Occupation Caterpillar Inc. Solutions & Technology Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 1753.59 Other (specify) Full Name (Last, First, Middle Initial) B. David Frohberg Date of Receipt Mailing Address 3701 State Road 26 East 08 30 2013 City State Zip Code Transaction ID: A2013-3859030 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Richard Frow Date of Receipt Mailing Address 12301 Old Galena Road 30 80 2013 City State Zip Code Transaction ID: A2013-3858811 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Global Technical Team Leader Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) 324.72 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Fuelberth Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2013 City State Zip Code Transaction ID: A2013-3858794 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 112.64 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 891.25 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Gaddis Date of Receipt Mailing Address 2120 West End Avenue 08 30 2013 City State Zip Code Transaction ID: A2013-3858965 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 58.40 federal political committee. Name of Employer Occupation Caterpillar Inc. Risk Management Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 467.20 Other (specify) Full Name (Last, First, Middle Initial) c. Paul Gaeto Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858621 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir. Perform & Group/Reg HR Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 271.04 SUBTOTAL of Receipts This Page (optional).....

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| Detailed Summary Page | 2 | 11a | | 11b | | 11c | | 12 | | _ |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Philip Gardner Date of Receipt Mailing Address 100 Tractor Drive 30 2013 City State Zip Code Transaction ID: A2013-3858935 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 93.13 federal political committee. Name of Employer Occupation Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 728.44 Other (specify) Full Name (Last, First, Middle Initial) B. Scott Garman Date of Receipt Mailing Address 110 S Marion St # 605 08 80 2013 City State Zip Code Transaction ID: A2013-3858272 Oak Park IL 60302-2877 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 425.00 Other (specify) Full Name (Last, First, Middle Initial) c. Scott Garman Date of Receipt Mailing Address 110 S Marion St # 605 80 22 2013 City State Zip Code Transaction ID: A2013-3858271 IL Oak Park 60302-2877 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation ElectroMotive Diesel Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 143.13 SUBTOTAL of Receipts This Page (optional).....

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| | FOR LINE NUMBER: | PAGE | 49 OF | 149 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Donna Gatza Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858354 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 44.05 federal political committee. Name of Employer Occupation 6 Sigma Black Belt-2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 328.72 Other (specify) Full Name (Last, First, Middle Initial) **B.** Gregory Gauger Date of Receipt Mailing Address Route 29 & Old Galena Road 08 30 2013 City State Zip Code Transaction ID: A2013-3858847 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 190.57 federal political committee. Name of Employer Occupation Caterpillar Inc. General Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1524.56 Other (specify) Full Name (Last, First, Middle Initial) c. Orville Geick Date of Receipt Mailing Address 100 Tractor Drive 30 80 2013 City State Zip Code Transaction ID: A2013-3858959 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Performance Mgr4 Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 284.62 SUBTOTAL of Receipts This Page (optional).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 50 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Justin Gibbons Date of Receipt Mailing Address Suite 750 30 2013 City Zip Code State Transaction ID: A2013-3858377 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 52.58 federal political committee. Name of Employer Occupation Caterpillar Inc. Washington Operations Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 420.64 Other (specify) Full Name (Last, First, Middle Initial) B. Jonathan Ginzel Date of Receipt Mailing Address 12301 Old Galena Road 80 30 2013 City State Zip Code Transaction ID: A2013-3858766 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 98.64 federal political committee. Name of Employer Occupation Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 774.90 Other (specify) Full Name (Last, First, Middle Initial) **c.** Regg Glawe Date of Receipt Mailing Address Route 29 & Old Galena Road 30 80 2013 City State Zip Code Transaction ID: A2013-3859098 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 55.28 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 442.24 Other (specify) 206.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 51 OF 149

| ITEMIZED RECEIPTS | for each category of the Detailed Summary Page | (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P | olitical Action Committee | |
| Full Name (Last, First, Middle Initial) Christopher Glynn Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61629 C Occupation Special Project Director Aggregate Year-to-Date ▼ | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) B. Pankaj Goel Mailing Address 812 W. Washington St | | Date of Receipt |
| City East Peoria FEC ID number of contributing federal political committee. Name of Employer | State Zip Code IL 61630 C Occupation | 08 30 2013 Transaction ID : A2013-3858419 Amount of Each Receipt this Period 50.00 |
| Caterpillar Inc. Receipt For: Primary General Other (specify) | IT Dir. Busn. Transformations Aggregate Year-to-Date ▼ 350.00 | |
| Full Name (Last, First, Middle Initial) C. Terry Goff Mailing Address Route 29 & Old Galena Road | | Date of Receipt |
| City Mossville | State Zip Code IL 61552 | Transaction ID : A2013-3859192 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. Name of Employer | Occupation | 79.13 |
| Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ | Dir Emissions Regs & Conform Aggregate Year-to-Date ▼ 633.04 | |
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FOR LINE NUMBER: PAGE 52 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Brian Goodell Date of Receipt Mailing Address 9250 Sky Park Court 2013 31 City State Zip Code Transaction ID: A2013-3859517 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Edward Goodrich Date of Receipt Mailing Address 2120 West End Avenue 08 30 2013 City State Zip Code Transaction ID: A2013-3859024 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 157.38 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 1259.04 Other (specify) Full Name (Last, First, Middle Initial) c. Gerald Gorman Date of Receipt Mailing Address 2120 West End Avenue 30 80 2013 City Zip Code State Transaction ID: A2013-3858934 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 210.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Chief Information Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 1680.00 Other (specify) 417.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

federal political committee.

| | FOR LINE NUMBER: PAG | E 53 OF 149 | | | | | | | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Stephen Gosselin Date of Receipt Mailing Address 501 SW Jefferson 30 2013 City State Zip Code Transaction ID: A2013-3858860 61629 IL Peoria Amount of Each Receipt this Period FEC ID number of contributing C 422.63 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 3309.54 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel Gove Date of Receipt Mailing Address 12301 Old Galena Road 80 30 2013 City State Zip Code Transaction ID: A2013-3858944 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 59.16

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|------------------------------------------------------------|---------------------------------|------------------------------------|
| Name of Employer | Occupation | - |
| Caterpillar Inc. | Global Process Manager | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 473.28 | |
| Full Name (Last, First, Middle Initial) C. Steven Graham | | Date of Receipt |
| Mailing Address 100 NE Adams | | 08 30 2013 |
| City | State Zip Code | Transaction ID : A2013-3858293 |
| Peoria | IL 61629 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | 50.00 |
| Name of Employer | Occupation | - |
| Caterpillar Inc. | Dir Strategy & Business Dev | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 400.00 | |
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| for each category of the Detailed Summary Page | × | 11a | | 11b | | 11c | | 12 | | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kenneth Gray Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858846 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 196.35 federal political committee. Name of Employer Occupation WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1166.19 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Grgurich Date of Receipt Mailing Address 812 W. Washington St 08 30 2013 City State Zip Code Transaction ID: A2013-3859395 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 74.03 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Process Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 552.53 Other (specify) Full Name (Last, First, Middle Initial) c. Oren Griffin Date of Receipt Mailing Address 3701 State Road 26 East 30 80 2013 City Zip Code State Transaction ID: A2013-3858337 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 81.18 С federal political committee. Name of Employer Occupation Caterpillar Inc. Technology Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 630.96 Other (specify) 351.56 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 55 OF 149 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Gryl Date of Receipt Mailing Address 500 N Morton Ave 30 2013 City State Zip Code Transaction ID: A2013-3858681 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 87.31 federal political committee. Name of Employer Occupation Caterpillar Service Parts Mgr. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 690.83 Other (specify) Full Name (Last, First, Middle Initial) B. Steven Guse Date of Receipt Mailing Address 100 NE Adams 80 30 2013 City State Zip Code Transaction ID: A2013-3858825 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 255.12 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1985.50 Other (specify) Full Name (Last, First, Middle Initial) c. Margaret Gutgesell Date of Receipt Mailing Address 812 W. Washington St 30 80 2013 City State Zip Code Transaction ID: A2013-3858937 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 69.38 С federal political committee. Name of Employer Occupation Caterpillar Inc. IS Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General 555.04 Other (specify) 411.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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| Any information copied from such Reports and State or for commercial purposes, other than using the | | rson for the purpose of soliciting contributions |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Action Committee | |
| Full Name (Last, First, Middle Initial) John Hall Mailing Address 2-8 Hopkinson Street City Burnie FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) General | State Zip Code ZZ 00000 C Occupation Engineering Manager-2 Aggregate Year-to-Date ▼ 388.96 | Date of Receipt 08 30 2013 Transaction ID : A2013-3858375 Amount of Each Receipt this Period 48.62 |
| Full Name (Last, First, Middle Initial) James Halloran Mailing Address 980 Ninth Street City Sacramento FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code CA 95814 C Occupation California Reg Affairs Mgr Aggregate Year-to-Date ▼ 400.00 | Date of Receipt 08 30 2013 Transaction ID : A2013-3859111 Amount of Each Receipt this Period 50.00 |
| Full Name (Last, First, Middle Initial) Bradley Halverson Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61629 C Occupation Group President & CFO Aggregate Year-to-Date ▼ 2682.36 | Date of Receipt 08 30 2013 Transaction ID : A2013-3859009 Amount of Each Receipt this Period 579.42 |
| SUBTOTAL of Receipts This Page (optional) | • | 678.04 |
| TOTAL This Period (last page this line number of | nly) | |

SCHEDULE A (FEC Form 3X)

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| TEMIZED RECEIPTS | for each category of the Detailed Summary Page | (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Any information copied from such Reports and Statements or for commercial purposes, other than using the name ar | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political | Action Committee | |
| Pagaint For: | Zip Code 60525 attion Chief Ops. Officer-EMD ate Year-to-Date ▼ | Date of Receipt 08 30 2013 Transaction ID: A2013-3859027 Amount of Each Receipt this Period 280.36 |
| Full Name (Last, First, Middle Initial) Mark Hanback Mailing Address 12301 Old Galena Road City State Mossville IL FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ Aggreg | | Date of Receipt 08 30 2013 Transaction ID: A2013-3858928 Amount of Each Receipt this Period 181.60 |
| Possint For: | 61630 | Date of Receipt 08 30 2013 Transaction ID: A2013-3858436 Amount of Each Receipt this Period 31.51 |
| SUBTOTAL of Receipts This Page (optional) | ······•• | 493.47 |

FOR LINE NUMBER: PAGE 58 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew Hart Date of Receipt Mailing Address 1557 Eagle Drive 08 2013 08 City State Zip Code Transaction ID: A2013-3858200 KY Ashland 41102-8988 Amount of Each Receipt this Period FEC ID number of contributing C 25.22 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Sales & Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 403.52 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Hart Date of Receipt Mailing Address 1557 Eagle Drive 80 22 2013 City State Zip Code Transaction ID: A2013-3858228 KY Ashland 41102-8988 Amount of Each Receipt this Period FEC ID number of contributing 25.22 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Sales & Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 428.74 Other (specify) Full Name (Last, First, Middle Initial) c. Sylvia Hasinger Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858390 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Group/Regional HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 100.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kimberly S Hauer Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3859167 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 833.34 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) Full Name (Last, First, Middle Initial) B. Dennis Hausman Date of Receipt Mailing Address Route 29 & Old Galena Road 08 30 2013 City State Zip Code Transaction ID: A2013-3858819 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Martin Haycraft Date of Receipt Mailing Address 208 Woodmere Dr. 80 80 2013 City State Zip Code Transaction ID: A2013-3858185 AL Albertville 35950-2690 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP/CMO, LRS Sales Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General

320.00

Other (specify)

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Martin Haycraft Date of Receipt Mailing Address 208 Woodmere Dr. 2013 22 City State Zip Code Transaction ID: A2013-3858213 Albertville AL 35950-2690 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation VP/CMO, LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name (Last, First, Middle Initial) B. Katherine Hays Date of Receipt Mailing Address Suite 750 08 30 2013 City State Zip Code Transaction ID: A2013-3859440 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Brent Heider Date of Receipt Mailing Address 8201 N. University St. 30 80 2013 City State Zip Code Transaction ID: A2013-3859418 IL Peoria 61615 Amount of Each Receipt this Period FEC ID number of contributing C 59.81 federal political committee. Name of Employer Occupation Caterpillar Inc. Service Operations Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 470.92 Other (specify) 179.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Paul Heidfeld Date of Receipt Mailing Address 812 W. Washington St 30 2013 City State Zip Code Transaction ID: A2013-3858991 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Portfolio Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert Heitzman Date of Receipt Mailing Address 3701 State Road 26 East 08 30 2013 City State Zip Code Transaction ID: A2013-3858927 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Hekel Date of Receipt Mailing Address 1100 Milwaukee Ave 30 80 2013 City Zip Code State Transaction ID: A2013-3858706 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 123.43 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 1149.27 Other (specify) 203.43 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gary Hendrickson Date of Receipt Mailing Address 500/76 Moo 3 Tambol Tasit 30 2013 City Zip Code State Transaction ID: A2013-3858401 ZZ Rayong 21140 Amount of Each Receipt this Period FEC ID number of contributing C 124.46 federal political committee. Name of Employer Occupation Caterpillar Inc. Logistics Center Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 979.60 Other (specify) Full Name (Last, First, Middle Initial) B. Gwenne Henricks Date of Receipt Mailing Address 14009 Old Galena Rd. 80 30 2013 City State Zip Code Transaction ID: A2013-3858912 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 3333.36 Other (specify)

Full Name (Last, First, Middle Initial) c. David Herbert Date of Receipt Mailing Address 300 Hamilton Blvd. 30 80 2013 City State Zip Code Transaction ID: A2013-3858301 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jason Herman Date of Receipt Mailing Address 27Th & Pershing Rd 30 2013 City State Zip Code Transaction ID: A2013-3859338 Decatur IL 62525 Amount of Each Receipt this Period FEC ID number of contributing C 67.64 federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 665.23 Other (specify) Full Name (Last, First, Middle Initial) B. Gerald Herrman Date of Receipt Mailing Address 501 SW Jefferson 08 30 2013 City State Zip Code Transaction ID: A2013-3858352 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Logistics Consulting Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) c. Roy Heussner Date of Receipt Mailing Address 9401 85Th Avenue North 30 80 2013 City Zip Code State Transaction ID: A2013-3859017 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing 69.61 С federal political committee.

167.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

556.88

Occupation

Operations Manager

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

Caterpillar Inc.

Receipt For:

| | FOR LINE NUMBER: PAGI | E 64 OF 14 |
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NAME OF COMMITTEE (In Full)

| $ \rangle$ | The Caterpillar Inc. Employee | Political Action Committee | |
|-------------|------------------------------------------------------------|---------------------------------------|------------------------------------|
| Α. | Full Name (Last, First, Middle Initial) ThomasA Hickerson | | Date of Receipt |
| | Mailing Address 9250 Sky Park Court | | 08 31 2013 |
| | City | State Zip Code | Transaction ID : A2013-3859460 |
| | San Diego | CA 92123-4302 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 40.00 |
| | Name of Employer | Occupation | |
| | Solar Turbines | Manager | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 320.00 | |
| — В. | Full Name (Last, First, Middle Initial) Shelley Hickman | | Date of Receipt |
| | Mailing Address 1100 Milwaukee Ave | | 08 30 2013 |
| | City | State Zip Code | Transaction ID : A2013-3859442 |
| | South Milwaukee | WI 53172-0500 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 50.00 |
| | Name of Employer Caterpillar Inc. | Occupation CPS BU Deployment Champion | |
| | Receipt For: | Aggregate Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 400.00 | |
| | Full Name (Last, First, Middle Initial) Lance High | | Date of Receipt |
| | Mailing Address 7 Tractor Road | | 08 30 2013 |
| | City | State Zip Code | Transaction ID : A2013-3859171 |
| | Singapore | ZZ 62796 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 100.00 |
| | Name of Employer | Occupation | |
| | Caterpillar Inc. | Deputy General Counsel | |
| | Receipt For: | Aggregate Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 800.00 | |
| H | | er only) | 190.00 |

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Hinrichsen Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858769 IL 61629 Peoria Amount of Each Receipt this Period FEC ID number of contributing C 69.32 federal political committee. Name of Employer Occupation Marketing Division Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 554.56 Other (specify) Full Name (Last, First, Middle Initial) B. Rex Hockaday Date of Receipt Mailing Address 6744 S Howell Ave 80 30 2013 City State Zip Code Transaction ID: A2013-3858583 Oak Creek WI 53154 Amount of Each Receipt this Period

| | FEC ID number of contributing federal political committee. | C | 100.00 |
|------------|------------------------------------------------------------|-------------------------------------|------------------------------------|
| | Name of Employer Caterpillar Inc. Receipt For: | Occupation Senior Corporate Counsel | |
| | Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 800.00 | |
| <u>с</u> . | Full Name (Last, First, Middle Initial) Barbara Hodel | | Date of Receipt |
| | Mailing Address 500 N Morton Ave | | 08 30 2013 |
| | City | State Zip Code | Transaction ID : A2013-3858631 |
| | Morton | IL 61550 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 88.06 |
| | Name of Employer | Occupation | |
| | Caterpillar Inc. | Dir. Cat Parts Distribution | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 704.48 | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Susan Hodges Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858553 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Communications Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Douglas Hoerr Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3858433 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. William Hohulin Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858917 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sally Holland Date of Receipt Mailing Address 2120 West End Avenue 30 2013 City State Zip Code Transaction ID: A2013-3858649 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 43.69 federal political committee. Name of Employer Occupation Portfolio Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 349.52 Other (specify) Full Name (Last, First, Middle Initial) B. James Hollowell Date of Receipt Mailing Address 21 Barrington Circle 08 80 2013 City State Zip Code Transaction ID: A2013-3858207 KY 42003-8895 Paducah Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name (Last, First, Middle Initial) c. James Hollowell Date of Receipt Mailing Address 21 Barrington Circle 22 80 2013 City State Zip Code Transaction ID: A2013-3858235 KY Paducah 42003-8895 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Progress Rail Services Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 510.00 Other (specify) 103.69 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Horton Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2013 City State Zip Code Transaction ID: A2013-3859063 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Dennis Huber Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3858771 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) c. Randall Huber Date of Receipt Mailing Address 14009 Old Galena Rd. 30 80 2013 City State Zip Code Transaction ID: A2013-3858864 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 59.81 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 478.48 Other (specify)

234.81

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Russell Hunter Date of Receipt Mailing Address 1720 Kingsbury 30 2013 City State Zip Code Transaction ID: A2013-3859422 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Master Black Belt Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Walter Hupe Date of Receipt Mailing Address 27Th & Pershing Rd 08 30 2013 City State Zip Code Transaction ID: A2013-3858865 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) c. Ronald Ingram Date of Receipt Mailing Address 27Th & Pershing Rd 30 80 2013 City State Zip Code Transaction ID: A2013-3858411 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Bradley Johnson Date of Receipt Mailing Address KM 8.5 Presa De La Amistad 30 2013 City State Zip Code Transaction ID: A2013-3858330 ZZ Acuna 26220 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Denise Johnson Date of Receipt Mailing Address 100 Tractor Drive 08 30 2013 City State Zip Code Transaction ID: A2013-3859439 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 3000.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Scott Johnson Date of Receipt Mailing Address 100 Tractor Drive 30 80 2013 City State Zip Code Transaction ID: A2013-3858290 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 600.00 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) William Johnson Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858823 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 79.16 federal political committee. Name of Employer Occupation Marketing Division Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 626.35 Other (specify) Full Name (Last, First, Middle Initial) B. Don Jones Date of Receipt Mailing Address 500 N Morton Ave 80 30 2013 City State Zip Code Transaction ID: A2013-3859031 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. GM Global Parts Supply Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Pamela Just Date of Receipt Mailing Address 1710 Walnut Street 80 80 2013 City State Zip Code Transaction ID: A2013-3858187 AL Albertville 35950-2853 Amount of Each Receipt this Period FEC ID number of contributing C 24.75 federal political committee. Name of Employer Occupation Controller, Business Unit Progress Rail Services

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Pamela Just Date of Receipt Mailing Address 1710 Walnut Street 2013 22 City State Zip Code Transaction ID: A2013-3858215 ΑL 35950-2853 Albertville Amount of Each Receipt this Period FEC ID number of contributing C 24.75 federal political committee. Name of Employer Occupation **Progress Rail Services** Controller, Business Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 420.75 Other (specify) Full Name (Last, First, Middle Initial) B. David Kacynski Date of Receipt Mailing Address 2120 West End Avenue 80 30 2013 City Zip Code State Transaction ID: A2013-3858926 Nashville TN 37203 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General

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|------------------------------------------------------------|------------------------------|------------------------------------|
| Full Name (Last, First, Middle Initial) John Kaiser | | Date of Receipt |
| Mailing Address 12301 Old Galena Road | | 08 30 2013 |
| City | State Zip Code | Transaction ID : A2013-3858537 |
| Mossville | IL 61552 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 100.00 |
| Name of Employer | Occupation | |
| Caterpillar Inc. | Regional Site Purchasing Mgr | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kathryn Karol Date of Receipt Mailing Address Suite 750 30 2013 City Zip Code State Transaction ID: A2013-3859449 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing C 555.56 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2777.80 Other (specify) Full Name (Last, First, Middle Initial) B. Zachary Kauk Date of Receipt Mailing Address 7 Tractor Road 80 30 2013 City State Zip Code Transaction ID: A2013-3858670 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing 182.30 federal political committee. Name of Employer Occupation Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1439.89 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew Keeley Date of Receipt Mailing Address Attn: Administrative Assistant 30 PO Box 348 80 2013 Zip Code City State Transaction ID: A2013-3858715 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing C 66.85 federal political committee. Name of Employer Occupation Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 534.80 Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Colleen Keough Date of Receipt Mailing Address 501 SW Jefferson 30 2013 City State Zip Code Transaction ID: A2013-3858699 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Senior IT Supervisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) В

| В. | Full Name (Last, First, Middle Initial) Mohammed Khan | | Date of Receipt |
|----|---------------------------------------------------------------|----------------------------------|-------------------------------------------|
| | Mailing Address Route 29 & Old Galena Road | | 08 30 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City | State Zip Code | Transaction ID : A2013-3859136 |
| | Mossville | IL 61552 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 75.00 |
| | Name of Employer Caterpillar Inc. | Occupation NPI Program Manager | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 600.00 | |
| С. | Full Name (Last, First, Middle Initial) Farzin Khorasanizadeh | | Date of Receipt |
| | Mailing Address 501 SW Jefferson | | 08 30 2013 |
| | City | State Zip Code | Transaction ID : A2013-3859046 |
| | Peoria | IL 61629 | Amount of Each Receipt this Period |

C

Occupation

Customer & Industry Suppt Mgr

Aggregate Year-to-Date ▼

41.67

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federal political committee.

Name of Employer

Caterpillar Inc.
Receipt For:

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Kibby Date of Receipt Mailing Address 17th Floor China Life Tower 30 2013 City Zip Code State Transaction ID: A2013-3858792 ZZ Beijing 10002 Amount of Each Receipt this Period FEC ID number of contributing C 102.64 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 802.34 Other (specify) Full Name (Last, First, Middle Initial) B. Russell Kirkpatrick Date of Receipt Mailing Address Route 29 & Old Galena Road 08 30 2013 City State Zip Code Transaction ID: A2013-3859393 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 27.81 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Service Analyst** Receipt For: Aggregate Year-to-Date ▼ Primary General 219.63 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Klabunde Date of Receipt Mailing Address 1029 Baldwin Lane 22 80 2013 City State Zip Code Transaction ID: A2013-3858232 IL Oak Park 60302-4445 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Name of Employer Occupation Progress Rail Services Director, Locomotive Services Receipt For: Aggregate Year-to-Date ▼ Primary General 212.50 Other (specify) 142.95 SUBTOTAL of Receipts This Page (optional).....

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| | nd Statements may not be sold or used by any person the name and address of any political committee to | |
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| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political Action Committee | |
| Full Name (Last, First, Middle Initial) Wayne Klopfenstein Mailing Address Building H Dock 13 City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61552 C Occupation Engineering Manager-2 Aggregate Year-to-Date ▼ 340.16 | Date of Receipt 08 30 2013 Transaction ID: A2013-3858805 Amount of Each Receipt this Period 25.00 |
| Full Name (Last, First, Middle Initial) Wesley Knepp Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61629 C Occupation Sr Labor Relations Consultant Aggregate Year-to-Date ▼ 982.91 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Paul Knollmaier Mailing Address 701 Waterford Way City Miami FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code FL 33126 C Occupation Prod Support & Sales Ops Mgr Aggregate Year-to-Date ▼ 854.80 | Date of Receipt 08 30 2013 Transaction ID: A2013-3858477 Amount of Each Receipt this Period 106.85 |
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SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Craig Kroeger Date of Receipt Mailing Address 12301 Old Galena Road 30 2013 City State Zip Code Transaction ID: A2013-3858857 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Large High Spd Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Randy Krotowski Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3859445 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 3000.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mark Kumpf Date of Receipt Mailing Address 500 N Morton Ave 30 80 2013 City State Zip Code Transaction ID: A2013-3858571 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 59.77 federal political committee. Name of Employer Occupation Caterpillar Inc. **GM Parts Supply Chain Strateg** Receipt For: Aggregate Year-to-Date ▼ Primary General 478.16 Other (specify) 599.77 SUBTOTAL of Receipts This Page (optional).....

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| NAME OF COMMITTEE (Ir | n Full) | | | |
| , | • | l Action Committee | | |
| Full Name (Last, First, Mido | dle Initial) | | Date of Receipt | |
| Mailing Address 100 NE Ad | lams | | 08 30 2013 | |
| City | State | e Zip Code | Transaction ID : A2013-3859000 | |
| Peoria | IL | 61629 | Amount of Each Receipt this Period | |
| FEC ID number of contribu federal political committee. | ting | | 454.55 | |
| Name of Employer | Occupa | ation | | |
| Caterpillar Inc. | Vice Pr | resident | | |
| Receipt For: | Aggred | gate Year-to-Date ▼ | | |
| Primary Ger | neral | | | |
| Other (specify) ▼ | | 3251.9 | 93 | |
| Full Name (Last, First, Mide B. William Lane | dle Initial) | | Date of Receipt | |
| Mailing Address Suite 750 | | | M M / D D / Y Y Y Y | |
| J G G G G G G G G G G G G G G G G G G G | | | 08 30 _2013 _ | |
| City | State | e Zip Code | Transaction ID : A2013-3858903 | |
| Washington | DC | 20006 | Amount of Each Receipt this Period | |
| FEC ID number of contribu federal political committee. | ting | | 108.46 | |
| Name of Employer | Occupa | ation | | |
| Caterpillar Inc. | · | r Governmental Affairs | | |
| Receipt For: | | gate Year-to-Date ▼ | | |
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| Other (specify) ▼ | | 861.4 | 47 | |
| Full Name (Last, First, Mide | dle Initial) | | Date of Receipt | |
| Mailing Address 2-8 Hopkir | nson Street | | M M / D D / Y Y Y Y | |
| 5 2 0 1 lopkii | | | 08 30 2013 | |
| City | State | • | Transaction ID : A2013-3858758 | |
| Burnie | ZZ | 00000 | Amount of Each Receipt this Period | |
| FEC ID number of contribu federal political committee. | ting | | 139.98 | |
| Name of Employer | Occupa | ation | | |
| Caterpillar Inc. | Busine | ess Resource Manager | | |
| Receipt For: | | gate Year-to-Date ▼ | | |
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| Other (specify) ▼ | | 1084.0 | 08 | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Stephen Larson Date of Receipt Mailing Address 500 N Morton Ave 30 2013 City State Zip Code Transaction ID: A2013-3858861 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3333.28 Other (specify) Full Name (Last, First, Middle Initial) B. Bryan Lavezzi Date of Receipt Mailing Address 12301 Old Galena Road 08 30 2013 City State Zip Code Transaction ID: A2013-3859082 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 64.50 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 512.19 Other (specify) Full Name (Last, First, Middle Initial) c. Sean Leuba Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858784 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir Corp. Business Developmnt Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gary LeVar Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858746 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Industry Region Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Stuart Levenick Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3859208 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼ Primary General 3333.28 Other (specify) Full Name (Last, First, Middle Initial) c. Teresa Lewis Date of Receipt Mailing Address 12301 Old Galena Road 30 80 2013 City State Zip Code Transaction ID: A2013-3859175 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) 481.66 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 81 OF 149 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Monique Liard Date of Receipt Mailing Address Carl-Benz-Strasse 1 30 2013 City State Zip Code Transaction ID: A2013-3859385 ZZ Mannheim 00000 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Brent Lindaman Date of Receipt Mailing Address 100 Tractor Drive 80 30 2013 City State Zip Code Transaction ID: A2013-3859321 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kenneth Lindblom Date of Receipt Mailing Address Attn: Administrative Assistant 30 PO Box 348 80 2013 City State Zip Code Transaction ID: A2013-3859016 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Donald Mahannah Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858895 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Mark Manning Date of Receipt Mailing Address 7 Tractor Road 08 30 2013 City State Zip Code Transaction ID: A2013-3858956 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Josh Maguet Date of Receipt Mailing Address 4-H Park Road 30 80 2013 City State Zip Code Transaction ID: A2013-3858727 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify)

FEC Schedule A (Form 3X) Rev. 02/2003

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9 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Deborah Massengill Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2013 City State Zip Code Transaction ID: A2013-3858494 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.94 federal political committee. Name of Employer Occupation Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 476.36 Other (specify) Full Name (Last, First, Middle Initial) B. Paul Mathes Date of Receipt Mailing Address 111 Southchase Blvd 08 30 2013 City State Zip Code Transaction ID: A2013-3859064 SC Fountain Inn 29644 Amount of Each Receipt this Period FEC ID number of contributing 86.81 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 683.35 Other (specify) Full Name (Last, First, Middle Initial) c. James Matteo Date of Receipt Mailing Address 1106 4 Shimizu 30 80 2013 City State Zip Code Transaction ID: A2013-3859200 77 Akashi 67486 Amount of Each Receipt this Period FEC ID number of contributing C 107.53 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 853.91 Other (specify) 255.28 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jason Mattox Date of Receipt Mailing Address 560 Rehoboth Road 30 2013 City State Zip Code Transaction ID: A2013-3858365 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 28.04 federal political committee. Name of Employer Occupation Sr. Designer/Modeler Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 209.00 Other (specify) Full Name (Last, First, Middle Initial) B. Douglas McCallen Date of Receipt Mailing Address Regency Forest II 08 30 2013 City State Zip Code Transaction ID: A2013-3858295 NC Cary 27511 Amount of Each Receipt this Period FEC ID number of contributing 252.27 federal political committee. Name of Employer Occupation Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 1982.10 Other (specify) Full Name (Last, First, Middle Initial) c. Sandra McCartney Date of Receipt Mailing Address Route De Frontenex 76 30 80 2013 City State Zip Code Transaction ID: A2013-3858736 77 Geneva 6 00000 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 380.31 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 86 OF 149 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Scott McDougall Date of Receipt Mailing Address 100 S. L. White Blvd. 30 2013 City Zip Code State Transaction ID: A2013-3858515 GA La Grange 30241 Amount of Each Receipt this Period FEC ID number of contributing C 118.86 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General 918.02 Other (specify) Full Name (Last, First, Middle Initial) B. Paul McKneight Date of Receipt Mailing Address Attn: Administrative Assistant PO Box 348 80 30 2013 City State Zip Code Transaction ID: A2013-3858952 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Performance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Karen McMullen Date of Receipt Mailing Address 600 W Washington St 30 80 2013 City State Zip Code Transaction ID: A2013-3858627 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 68.47 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 541.76 Other (specify) 237.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) David Mehmen Date of Receipt Mailing Address 27Th & Pershing Rd 08 30 2013 City State Zip Code Transaction ID: A2013-3859276 Decatur IL 62525 Amount of Each Receipt this Period FEC ID number of contributing C 57.21 federal political committee. Name of Employer Occupation Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 550.01 Other (specify) Full Name (Last, First, Middle Initial) B. Charles Menke Date of Receipt Mailing Address State Rt. 116 E. 08 30 2013 City State Zip Code Transaction ID: A2013-3858709 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing C 45.70 federal political committee. Name of Employer Occupation Caterpillar Inc. Machine Development Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 359.06 Other (specify) Full Name (Last, First, Middle Initial) c. Chris Mettler Date of Receipt Mailing Address 6744 S Howell Ave 30 80 2013

400.00

Zip Code

53154

Business Resource Manager

Aggregate Year-to-Date ▼

State

WI

С

Occupation

50.00

Transaction ID: A2013-3859152

Amount of Each Receipt this Period

City

Oak Creek

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc.

Receipt For:

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| | tatements may not be sold or used by any personanne and address of any political committee to | |
|-----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F | Political Action Committee | |
| Full Name (Last, First, Middle Initial) Donald Meyers Mailing Address 2400 M. 4 5 140 | | Date of Receipt |
| Mailing Address 2120 West End Avenue | | 08 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City Nashville | State Zip Code TN 37203 | Transaction ID : A2013-3859158 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 84.00 |
| Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ | Occupation Senior Corporate Counsel Aggregate Year-to-Date ▼ 672.00 | |
| Full Name (Last, First, Middle Initial) Sonya Miles Mailing Address 100 NE Adams | | Date of Receipt |
| City Peoria | State Zip Code IL 61629 | 08 30 2013 Transaction ID : A2013-3858579 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. Name of Employer | Occupation | 50.00 |
| Caterpillar Inc. | Lead Human Resources Mgr | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 400.00 | |
| Full Name (Last, First, Middle Initial) C. Mary Miller | | Date of Receipt |
| Mailing Address 14 Tractor Road | | 08 30 _ 2013 _ |
| City Singapore | State Zip Code ZZ 62797 | Transaction ID : A2013-3859154 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ | Occupation Asia-Pacific Tax Manager Aggregate Year-to-Date ▼ 400.00 | |
| SUBTOTAL of Receipts This Page (optional) | > | 184.00 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Miller Date of Receipt Mailing Address 501 SW Jefferson 30 2013 City State Zip Code Transaction ID: A2013-3859156 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product & Tech Training Supv Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Eric Minder Date of Receipt Mailing Address 100 Tractor Drive 08 30 2013 City State Zip Code Transaction ID: A2013-3859278 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 109.41 federal political committee. Name of Employer Occupation Caterpillar Inc. EHS Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 831.46 Other (specify) Full Name (Last, First, Middle Initial) c. Kathleen Mock Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858702 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 259.41 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Patrick Mohrman Date of Receipt Mailing Address 12301 Old Galena Road 30 2013 City State Zip Code Transaction ID: A2013-3858296 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 70.39 federal political committee. Name of Employer Occupation Customer Services Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 520.57 Other (specify) Full Name (Last, First, Middle Initial) B. Arnold Monteleone Date of Receipt Mailing Address 1000 Moringside Cir 08 80 2013 City State Zip Code Transaction ID: A2013-3858189 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Arnold Monteleone Date of Receipt Mailing Address 1000 Moringside Cir 22 80 2013 City State Zip Code Transaction ID: A2013-3858217 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation VP, Trackwork Division Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 425.00 Other (specify) 120.39 SUBTOTAL of Receipts This Page (optional).....

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| | | | | erson for the purpose of soliciting contributions to solicit contributions from such committee. | | | |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee | Political A | ction Committee | | | | |
| | Full Name (Last, First, Middle Initial) Djuana Montgomery Mailing Address Avenue des Etats Unis City Gosselies FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General | State ZZ C Occupation Senior IT S Aggregate | | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | |
| — В. | Other (specify) ▼ Full Name (Last, First, Middle Initial) Erec Montgomery | L | 998.95 | Date of Receipt | | | |
| υ. | Mailing Address Avenue des Etats Unis City | State | Zip Code | 08 30 2013 Transaction ID : A2013-3858558 | | | |
| | Gosselies | | | | | | |
| | FEC ID number of contributing federal political committee. | C | | 144.16 | | | |
| | Name of Employer Caterpillar Inc. | Occupation Info Techno | ology Manager-II | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1144.79 | | | | |
| <u>с</u> . | Full Name (Last, First, Middle Initial) Randall Mooberry | 1 | | Date of Receipt | | | |
| | Mailing Address State Rt. 116 E. | | | 08 30 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | |
| | City East Peoria | State IL | Zip Code 61611 | Transaction ID : A2013-3858947 Amount of Each Receipt this Period | | | |
| | FEC ID number of contributing federal political committee. | С | | 73.35 | | | |
| | Name of Employer | Occupation | | | | | |
| | Caterpillar Inc. | Engineering | g Manager-2 | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 582.48 | | | | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Martin Mooney Date of Receipt Mailing Address 27Th & Pershing Rd 30 2013 City State Zip Code Transaction ID: A2013-3858457 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Facilities Engineering Mgr 1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey Moore Date of Receipt Mailing Address Route 29 & Old Galena Road 08 30 2013 City State Zip Code Transaction ID: A2013-3858657 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 83.38 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 651.63 Other (specify) Full Name (Last, First, Middle Initial) c. Phillip Moore Date of Receipt Mailing Address Precision Sealing Products 30 80 2013 City Zip Code State Transaction ID: A2013-3858486 NC Franklin 28734 Amount of Each Receipt this Period FEC ID number of contributing 113.32 С federal political committee. Name of Employer Occupation Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 844.53 Other (specify) 296.70 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Richard Moore Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858966 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 155.16 federal political committee. Name of Employer Occupation **Director Investor Relations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1473.71 Other (specify) Full Name (Last, First, Middle Initial) B. Barbra Moran Date of Receipt Mailing Address 100 Ne Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3858521 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 101.33 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 796.15 Other (specify) Full Name (Last, First, Middle Initial) c. Eric Morr Date of Receipt Mailing Address 27Th & Pershing Rd 30 80 2013 Zip Code City State Transaction ID: A2013-3858524 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 117.55 federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 872.92 Other (specify)

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Alec Morris Date of Receipt Mailing Address 500 N. Morton Ave. 30 2013 City State Zip Code Transaction ID: A2013-3858597 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 42.65 federal political committee. Name of Employer Occupation 6 Sigma Black Belt-2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 335.68 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Morrison Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3859410 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Director U.S. Domestic Tax Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Mosley Date of Receipt Mailing Address 5350 Hunter Road 30 80 2013 City State Zip Code Transaction ID: A2013-3858799 GA Atlanta 30336-2321 Amount of Each Receipt this Period FEC ID number of contributing 65.54 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Technical Steward** Receipt For: Aggregate Year-to-Date ▼ Primary General 518.59 Other (specify) 158.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Sherri Mowbray Date of Receipt Mailing Address 501 SW Jefferson 30 2013 City State Zip Code Transaction ID: A2013-3858438 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 77.76 federal political committee. Name of Employer Occupation **Business Resource Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 612.03 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher Myers Date of Receipt Mailing Address Suite 750 80 30 2013 City State Zip Code Transaction ID: A2013-3859348 Washington DC 20006 Amount of Each Receipt this Period FEC ID number of contributing 131.73 federal political committee. Name of Employer Occupation Caterpillar Inc. Mgr. Federal Gov. Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.73 Other (specify) Full Name (Last, First, Middle Initial) c. Bhaskar Nagaranthal Date of Receipt Mailing Address PO Box 504 2013

| City Joliet | State Zip Code IL 60436 | Transaction ID : A2013-3858744 Amount of Each Receipt this Period |
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| FEC ID number of contributing federal political committee. | C | 50 |
| Name of Employer | Occupation | |
| Caterpillar Inc. | Supply Chain Manager 4 | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 400.00 | |

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| Any information copied from such Reports and S or for commercial purposes, other than using the | Statements may not be sold or used by any pee name and address of any political committee | erson for the purpose of soliciting contributions |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F | Political Action Committee | |
| Full Name (Last, First, Middle Initial) Randy Nash Mailing Address 100 Tractor Drive City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61630 C Occupation Engineering Technical Steward Aggregate Year-to-Date ▼ 564.43 | Date of Receipt 08 30 2013 Transaction ID: A2013-3858996 Amount of Each Receipt this Period 71.84 |
| Full Name (Last, First, Middle Initial) Bruce Nelson Mailing Address 9401 85Th Avenue North City Brooklyn Park FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code MN 55445 C Occupation Buyer C Aggregate Year-to-Date ▼ | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Walter Neubauer Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61629 C Occupation Competitive Analysis Manager Aggregate Year-to-Date ▼ 320.00 | Date of Receipt 08 30 2013 Transaction ID: A2013-3859177 Amount of Each Receipt this Period 40.00 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Chau Nguyen Date of Receipt Mailing Address Attn: Administrative Assistant PO Box 348 30 2013 City State Zip Code Transaction ID: A2013-3859018 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing C 70.04 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 695.33 Other (specify) Full Name (Last, First, Middle Initial) **B.** Robert Niemeier Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3858289 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 82.64 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 650.32 Other (specify) Full Name (Last, First, Middle Initial) c. KennethK Nolen Date of Receipt Mailing Address 9250 Sky Park Court 80 31 2013 City State Zip Code Transaction ID: A2013-3859453 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee.

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Occupation Vice President

Aggregate Year-to-Date ▼

Name of Employer

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kurt Norris Date of Receipt Mailing Address Attn: Administrative Assistant PO Box 348 30 2013 City State Zip Code Transaction ID: A2013-3858894 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 86.86 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 638.81 Other (specify) Full Name (Last, First, Middle Initial) B. Deborah O'Fallon Date of Receipt Mailing Address 12301 Old Galena Road 08 30 2013 City State Zip Code Transaction ID: A2013-3859386 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Category Purchasing Manager B Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify)

Full Name (Last, First, Middle Initial) c. Douglas Oberhelman Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858777 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 0.00 federal political committee. Name of Employer Occupation Caterpillar Inc. CEO Chairman of the Board Receipt For: Aggregate Year-to-Date ▼ Primary General

5000.00

136.86

Other (specify)

SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Patricia OConnell Date of Receipt Mailing Address 27Th & Pershing Rd 30 2013 City State Zip Code Transaction ID: A2013-3858962 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bradley Olson Date of Receipt Mailing Address 13105 Northwest Freeway 80 30 2013 City State Zip Code Transaction ID: A2013-3858916 TX Houston 77040 Amount of Each Receipt this Period FEC ID number of contributing 88.12 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 699.83 Other (specify) Full Name (Last, First, Middle Initial) c. Edwin ONeilJr Date of Receipt Mailing Address 500 N Morton Ave 30 80 2013

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902.50

Zip Code

61550

Mfg. Logistics & Transp. Mgr.

Aggregate Year-to-Date ▼

State

IL

C

Occupation

114.86

Transaction ID: A2013-3858813

Amount of Each Receipt this Period

City

Morton

FEC ID number of contributing

General

federal political committee.

Other (specify)

Name of Employer

Primary

Caterpillar Inc.

Receipt For:

FOR LINE NUMBER: PAGE 100 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Ouart Date of Receipt Mailing Address 100 Tractor Drive 30 2013 City State Zip Code Transaction ID: A2013-3859169 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 62.32 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 490.49 Other (specify) Full Name (Last, First, Middle Initial) B. Sue Papenhause Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3859115 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Demand Mgr & Mktg Svcs Supv Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) c. Leslie Paulson Date of Receipt Mailing Address 501 SW Jefferson 30 80 2013 City State Zip Code Transaction ID: A2013-3858622 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Tech Info Solutions Dept Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 192.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Randy Pedigo Date of Receipt Mailing Address 751 International Dr 30 2013 City State Zip Code Transaction ID: A2013-3859391 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Group Manager 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas Pellette Date of Receipt Mailing Address 2200 Pacific Hwy 08 30 2013 City State Zip Code Transaction ID: A2013-3859230 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 625.00 federal political committee. Name of Employer Occupation Solar Turbines Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew Pendergrass Date of Receipt Mailing Address 300 Hamilton Blvd. 30 80 2013 City State Zip Code Transaction ID: A2013-3858363 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Talent Development Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 705.00 SUBTOTAL of Receipts This Page (optional).....

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| | nd Statements may not be sold or used by any pers | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political Action Committee | |
| Full Name (Last, First, Middle Initial) Douglas Petterson Mailing Address P O Box 999 City Clayton FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code NC 27528 C Occupation General Manager Aggregate Year-to-Date ▼ 300.00 | Date of Receipt 08 30 2013 Transaction ID: A2013-3858741 Amount of Each Receipt this Period 50.00 |
| Full Name (Last, First, Middle Initial) Brian Pfautsch Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61552 C Occupation Reman BU Mgr - Engine Systems Aggregate Year-to-Date ▼ 400.00 | Date of Receipt M |
| Full Name (Last, First, Middle Initial) Todd Philbee Mailing Address 2120 West End Avenue City Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code TN 37203 C Occupation Mktg Center of Excellence Mgr Aggregate Year-to-Date ▼ 706.57 | Date of Receipt 08 30 2013 Transaction ID : A2013-3859213 Amount of Each Receipt this Period 89.93 |
| SUBTOTAL of Receipts This Page (optional | | 189.93 |
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|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F | Political Action Committee | |
| Full Name (Last, First, Middle Initial) Robert Pierce Mailing Address 12301 Old Galena Road | Date of Receipt 08 30 2013 | |
| City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: □ Primary □ General □ Other (specify) ▼ | State Zip Code IL 61552 C Occupation Supply Chain Performance Mgr 3 Aggregate Year-to-Date ▼ 555.45 | Transaction ID: A2013-3858676 Amount of Each Receipt this Period 72.22 |
| Full Name (Last, First, Middle Initial) Melissa Pladers Mailing Address 27Th & Pershing Rd City Decatur FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. | State Zip Code IL 62526 C Occupation Business Analyst II | Date of Receipt 08 30 2013 Transaction ID: A2013-3858591 Amount of Each Receipt this Period 35.00 |
| Receipt For: Primary General Other (specify) | Business Analyst II Aggregate Year-to-Date ▼ 280.00 | |
| Full Name (Last, First, Middle Initial) Joseph Poole Mailing Address 3535 Factoria Blvd. SE City Bellevue FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code WA 98006 C Occupation Area Manager Aggregate Year-to-Date ▼ 1097.73 | Date of Receipt 08 30 2013 Transaction ID: A2013-3858535 Amount of Each Receipt this Period 139.95 |
| SUBTOTAL of Receipts This Page (optional) | <u> </u> | 247.17 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

| \angle | | | |
|----------------|------------------------------------------------------------|--------------------------|-------------------------------------------|
| Α. | Full Name (Last, First, Middle Initial) Robert Powell | | Date of Receipt |
| | Mailing Address 10830 Hwy 174 | 08 082013 | |
| | City | State Zip Code | Transaction ID : A2013-3858202 |
| | Odenville | AL 35120 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 20.00 |
| | Name of Employer | Occupation | |
| | Progress Rail Services | VP and Controller | |
| | Receipt For: | Aggregate Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 320.00 | |
| В. | Full Name (Last, First, Middle Initial) Robert Powell | | Date of Receipt |
| | Mailing Address 10830 Hwy 174 | | 08 22 / 2013 |
| | City | State Zip Code | Transaction ID : A2013-3858230 |
| | Odenville | AL 35120 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 20.00 |
| | Name of Employer | Occupation | |
| | Progress Rail Services | VP and Controller | |
| | Receipt For: | Aggregate Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 340.00 | |
| С . | Full Name (Last, First, Middle Initial) Marc Proud | | Date of Receipt |
| | Mailing Address 12301 Old Galena Road | | 08 30 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City | State Zip Code | Transaction ID : A2013-3859160 |
| | Mossville | IL 61552 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 76.62 |
| | Name of Employer | Occupation | |
| | Caterpillar Inc. | Product Service Engineer | |
| | Receipt For: | Aggregate Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | 605.31 | |
| 5 | SUBTOTAL of Receipts This Page (optional) | > | 116.62 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James QuiggJr Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2013 City State Zip Code Transaction ID : A2013-3858660 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 104.37 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 825.84 Other (specify) Full Name (Last, First, Middle Initial) B. Ennodio Ramos Date of Receipt Mailing Address Frank Perkins Parkway 08 30 2013 City State Zip Code Transaction ID: A2013-3859227 Peterborough ZZ 00000 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Director IPS Mktg & Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 1600.00 Other (specify) Full Name (Last, First, Middle Initial) c. Edward Rapp Date of Receipt Mailing Address 7 Tractor Road 30 80 2013 City State Zip Code Transaction ID: A2013-3858291 77 Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General 3333.28 Other (specify) 721.03 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Anthony Rassi Date of Receipt Mailing Address 7 Tractor Road 30 2013 City Zip Code State Transaction ID: A2013-3859260 ZZ Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Purchasing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Davis Reese Date of Receipt Mailing Address Route De Frontenex 76 08 30 2013 City State Zip Code Transaction ID: A2013-3858424 ZZ Geneva 6 00000 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) c. Eric Reiners Date of Receipt Mailing Address 14009 Old Galena Rd. 30 80 2013 City State Zip Code Transaction ID: A2013-3858689 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify)

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FEC Schedule A (Form 3X) Rev. 02/2003

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Reinhart Date of Receipt Mailing Address 27Th & Pershing Rd 30 2013 City State Zip Code Transaction ID: A2013-3859070 Decatur IL 62526 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Engineering Manager-1 Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Reinsma Date of Receipt Mailing Address Western Canada District 08 30 2013 City State Zip Code Transaction ID: A2013-3859198 ZZ Edmonton 00000 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Mining Regional Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Warren Reneau Date of Receipt Mailing Address 1100 Milwaukee Ave 30 80 2013 City Zip Code State Transaction ID: A2013-3859014 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 72.66 С federal political committee. Name of Employer Occupation Caterpillar Inc. NPI Mfg Strategy Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 697.05 Other (specify) 162.66 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gary Ringenberg Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858859 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 91.59 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 724.77 Other (specify) Full Name (Last, First, Middle Initial) B. James Rink Date of Receipt Mailing Address PO Box 504 08 30 2013 City State Zip Code Transaction ID: A2013-3859005 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. William Roberts Date of Receipt Mailing Address 7 Tractor Road 30 80 2013 City State Zip Code Transaction ID: A2013-3858748 77 Singapore 62796 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Industry Region Manager** Receipt For:

191.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

400.00

Aggregate Year-to-Date ▼

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Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) AdamJ Robinson Date of Receipt Mailing Address 9250 Sky Park Court 31 2013 City State Zip Code Transaction ID: A2013-3859510 CA San Diego 92123-4302 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name (Last, First, Middle Initial) B. David Roeder Date of Receipt Mailing Address 371 Whiteoak Road 08 80 2013 City State Zip Code Transaction ID: A2013-3858194 Albertville ΑL 35950-9331 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** SVP Eng & Track Serv Bus Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name (Last, First, Middle Initial) c. David Roeder Date of Receipt Mailing Address 371 Whiteoak Road 22 80 2013 City State Zip Code Transaction ID: A2013-3858222 AL Albertville 35950-9331 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation SVP Eng & Track Serv Bus Unit Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Heather Roeschley Date of Receipt Mailing Address 812 W. Washington St 30 2013 City State Zip Code Transaction ID: A2013-3859343 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Org. Development Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Roethler Date of Receipt Mailing Address 501 SW Jefferson 08 30 2013 City State Zip Code Transaction ID: A2013-3858669 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 81.21 federal political committee. Name of Employer Occupation Caterpillar Inc. TIS Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 642.63 Other (specify) Full Name (Last, First, Middle Initial) c. William Rohner Date of Receipt Mailing Address 300 Hamilton Blvd. 30 80 2013 City State Zip Code Transaction ID: A2013-3859207 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 519.89 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 4084.84 Other (specify) 651.10 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jeffery Rohr Date of Receipt Mailing Address 107 South Chase Blvd 30 2013 City State Zip Code Transaction ID: A2013-3858474 SC Fountain Inn 29644 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** James Rooney Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3858502 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1600.00 Other (specify) Full Name (Last, First, Middle Initial) c. Glenn Ross Date of Receipt Mailing Address 812 W. Washington St 30 80 2013 City State Zip Code Transaction ID: A2013-3858836 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 49.82 federal political committee. Name of Employer Occupation Caterpillar Inc. IT Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 398.56 Other (specify) 284.82 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 112 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Rous Date of Receipt Mailing Address 500 N. Morton Ave 30 2013 City State Zip Code Transaction ID: A2013-3858898 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 63.86 federal political committee. Name of Employer Occupation Caterpillar Inc. Inventory & Logistics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 510.88 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas Ruffner Date of Receipt Mailing Address 12301 Old Galena Road 80 30 2013 City State Zip Code Transaction ID: A2013-3858588 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.93 federal political committee. Name of Employer Occupation Caterpillar Inc. Technology Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 402.28 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas Russell Date of Receipt Mailing Address 6627 Butler Oaks Ct. 80 80 2013 City State Zip Code Transaction ID: A2013-3858199 TX Spring 77389-2921 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Progress Rail Services Director, Business Development Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 164.79 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 113 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Thomas Russell Date of Receipt Mailing Address 6627 Butler Oaks Ct. 2013 22 City State Zip Code Transaction ID: A2013-3858227 TX Spring 77389-2921 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Director, Business Development Receipt For: Aggregate Year-to-Date ▼ Primary General 850.00 Other (specify) Full Name (Last, First, Middle Initial) B. Brian Sage Date of Receipt Mailing Address 12301 Old Galena Road 08 30 2013 City State Zip Code Transaction ID: A2013-3859423 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 99.96 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 773.91 Other (specify) Full Name (Last, First, Middle Initial) c. Jason Sage Date of Receipt Mailing Address 12301 Old Galena Road 30 80 2013 City State Zip Code Transaction ID: A2013-3858573 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 199.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew Sager Date of Receipt Mailing Address 12301 Old Galena Road 30 2013 City State Zip Code Transaction ID: A2013-3858730 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name (Last, First, Middle Initial) B. Genda Saletzki Date of Receipt Mailing Address 501 SW Jefferson 08 30 2013 City State Zip Code Transaction ID: A2013-3858963 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 143.65 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1136.63 Other (specify) Full Name (Last, First, Middle Initial) c. Evelyn Savage Date of Receipt Mailing Address 2471 S. Broad Street 80 80 2013 City State Zip Code Transaction ID: A2013-3858198 AL Albertville 35950-8809 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP/COO Loco & Railcar Service Progress Rail Services Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) 203.65 SUBTOTAL of Receipts This Page (optional).....

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FOR LINE NUMBER: PAGE 115 OF 149 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Evelyn Savage Date of Receipt Mailing Address 2471 S. Broad Street 2013 22 City State Zip Code Transaction ID: A2013-3858226 35950-8809 Albertville AL Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation VP/COO Loco & Railcar Service **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jack Schebo Date of Receipt Mailing Address 1325 Wellington Way 08 80 2013 City State Zip Code Transaction ID: A2013-3858191 MO Liberty 64068-3221 Amount of Each Receipt this Period FEC ID number of contributing 30.59 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 489.44 Other (specify) Full Name (Last, First, Middle Initial) c. Jack Schebo Date of Receipt Mailing Address 1325 Wellington Way 80 22 2013 City State Zip Code Transaction ID: A2013-3858219 MO Liberty 64068-3221 Amount of Each Receipt this Period FEC ID number of contributing 30.59 С federal political committee. Name of Employer Occupation Progress Rail Services Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 520.03 Other (specify) 81.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) A. Gary Schwartzkopf Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2013 City State Zip Code Transaction ID: A2013-3858951 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Name of Employer Occupation **Engineering Manager-3** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Edward Scott Date of Receipt Mailing Address 100 NE Adams 80 30 2013 City State Zip Code Transaction ID: A2013-3858964 IL 61629 Peoria Amount of Each Receipt this Period FEC ID number of contributing 274.77 federal political committee.

| Caterpillar Inc. Receipt For: Primary General Other (specify) | Corporate Treasurer Aggregate Year-to-Date ▼ 2162.64 | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| Full Name (Last, First, Middle Initial) CeciliaK Scott-Stanfel Mailing Address 9250 Sky Park Court City San Diego FEC ID number of contributing federal political committee. Name of Employer Solar Turbines Receipt For: Primary General Other (specify) | State Zip Code CA 92123-4302 C Occupation Chief Financial Officer Aggregate Year-to-Date ▼ 320.00 | Date of Receipt 08 31 2013 Transaction ID: A2013-3859515 Amount of Each Receipt this Period 40.00 |
| SUBTOTAL of Receipts This Page (optional) | | 354.77 |

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Mary Seely Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858298 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) B. Phillip Shankwitz Date of Receipt Mailing Address 27Th & Pershing Rd 08 30 2013 City State Zip Code Transaction ID: A2013-3858821 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 121.39 federal political committee. Name of Employer Occupation Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1198.22 Other (specify)

Full Name (Last, First, Middle Initial) c. David Shannon Date of Receipt Mailing Address 111 Southchase Blvd 30 80 2013 Zip Code City State Transaction ID: A2013-3858347 SC Fountain Inn 29644 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

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271.39

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|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee | Political Action Committee | |
| Full Name (Last, First, Middle Initial) Brad Shapland Mailing Address 812 W. Washington St | | Date of Receipt M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y |
| City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: □ Primary □ General □ Other (specify) ▼ | State Zip Code IL 61630 C Occupation IT Manager Aggregate Year-to-Date ▼ 535.97 | Transaction ID : A2013-3858662 Amount of Each Receipt this Period 69.65 |
| Full Name (Last, First, Middle Initial) Robert Sharp Mailing Address 501 Cardinal Drive City Corinth FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) | State Zip Code MS 38834 C Occupation Manufacturing Project Engr 1 Aggregate Year-to-Date ▼ 579.20 | Date of Receipt 08 30 2013 Transaction ID: A2013-3859144 Amount of Each Receipt this Period 73.33 |
| Full Name (Last, First, Middle Initial) Scott Shepherd Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) | State Zip Code IL 61552 C Occupation Director of Purchasing Aggregate Year-to-Date ▼ 600.00 | Date of Receipt 08 30 2013 Transaction ID : A2013-3859446 Amount of Each Receipt this Period 75.00 |
| SUBTOTAL of Receipts This Page (optional) | > | 217.98 |
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SCHEDULE A (FEC Form 3X)

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| ITEMIZED RECEIPTS | for each category of the Detailed Summary Page | (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F | Political Action Committee | |
| Full Name (Last, First, Middle Initial) A. Barry Shook Mailing Address 812 W. Washington St City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61630 C Occupation Solution Lead I Aggregate Year-to-Date ▼ | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) B. Paula Shook Mailing Address 812 W. Washington St | | Date of Receipt |
| City East Peoria FEC ID number of contributing federal political committee. | State Zip Code IL 61630 | 7 Transaction ID : A2013-3858774 Amount of Each Receipt this Period 169.89 |
| Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ | Occupation Info Technology Manager-III Aggregate Year-to-Date ▼ 1250.22 | |
| Full Name (Last, First, Middle Initial) C. Rodney Shurman Mailing Address 560 Rehoboth Road | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City Griffin FEC ID number of contributing federal political committee. | State Zip Code GA 30224 | Transaction ID : A2013-3858667 Amount of Each Receipt this Period 151.62 |
| Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ | Occupation Facility Manager Aggregate Year-to-Date ▼ 1469.76 | |
| SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number | | 363.17 |

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| for each category of the Detailed Summary Page | > | 11a | | 11b | | 11c | | 12 | | | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) James Sibley Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2013 City State Zip Code Transaction ID: A2013-3858902 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 200.19 federal political committee. Name of Employer Occupation Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1584.00 Other (specify) Full Name (Last, First, Middle Initial) B. Chad Siebenaler Date of Receipt Mailing Address 600 W Washington St 80 30 2013 City State Zip Code Transaction ID: A2013-3858481 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 280 00 C.

| Other (specify) | 250.00 | |
|------------------------------------------------------------|---------------------------------|------------------------------------|
| Full Name (Last, First, Middle Initial) Thomas Simmons | | Date of Receipt |
| Mailing Address 12301 Old Galena Road | | 08 30 2013 |
| City | State Zip Code | Transaction ID : A2013-3858607 |
| Mossville | IL 61552 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 40.00 |
| Name of Employer | Occupation | |
| Caterpillar Inc. | Quality Manager 3 | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 320.00 | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Dennis Skarvan Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858466 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 214.04 federal political committee. Name of Employer Occupation Deputy General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2117.18 Other (specify) Full Name (Last, First, Middle Initial) B. Maureen Sluga Date of Receipt Mailing Address 560 Rehoboth Road 08 30 2013 City State Zip Code Transaction ID: A2013-3858765 Griffin GA 30224 Amount of Each Receipt this Period FEC ID number of contributing 64.75 federal political committee. Name of Employer Occupation Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 480.83 Other (specify) Full Name (Last, First, Middle Initial) c. Adam Smith Date of Receipt Mailing Address 6600 River Road 30 80 2013 Zip Code City State Transaction ID: A2013-3858764 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) H. Smith Date of Receipt Mailing Address 424 W. 6th Avenue 08 2013 City Zip Code State Transaction ID: A2013-3858201 WV Huntington 25701-1722 Amount of Each Receipt this Period FEC ID number of contributing C 38.06 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Recycling Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 608.96 Other (specify) Full Name (Last, First, Middle Initial) B. H. Smith Date of Receipt Mailing Address 424 W. 6th Avenue 08 22 2013 City State Zip Code Transaction ID: A2013-3858229 WV Huntington 25701-1722 Amount of Each Receipt this Period FEC ID number of contributing 38.06 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Recycling Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 647.02 Other (specify) Full Name (Last, First, Middle Initial) c. Ronald Smith Date of Receipt Mailing Address 3701 State Road 26 East 30 80 2013 City State Zip Code Transaction ID: A2013-3858712 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 40.00

116.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

320.00

С

Occupation

Engineering Manager-1

Aggregate Year-to-Date ▼

federal political committee.

Other (specify)

General

Name of Employer

Primary

Caterpillar Inc.

Receipt For:

FOR LINE NUMBER: PAGE 123 OF 149 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jose Soriano Date of Receipt Mailing Address 701 Lonesome Trail 08 2013 City State Zip Code Transaction ID: A2013-3858203 TX Haslet 76052-5130 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation VP, Intermodal Operation **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jose Soriano Date of Receipt Mailing Address 701 Lonesome Trail 80 22 2013 City State Zip Code Transaction ID: A2013-3858231 TX Haslet 76052-5130 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** VP, Intermodal Operation Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Kristine Spencer Date of Receipt Mailing Address Rodovia Luiz De Queiroz Km 157 30 80 2013 City State Zip Code Transaction ID: A2013-3858889 77 Piracicaba 13420 Amount of Each Receipt this Period FEC ID number of contributing 85.96 С federal political committee. Name of Employer Occupation Caterpillar Inc. Info Technology Manager-III Receipt For: Aggregate Year-to-Date ▼ Primary General 678.95 Other (specify) 125.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Matthew Spitznagle Date of Receipt Mailing Address 560 Rehoboth Road 30 2013 City State Zip Code Transaction ID: A2013-3859107 GΑ 30224 Griffin Amount of Each Receipt this Period FEC ID number of contributing C 33.64 federal political committee. Name of Employer Occupation Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.33 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Sposato Date of Receipt Mailing Address 100 Ne Adams 80 30 2013 City Zip Code State Transaction ID: A2013-3858451 IL 61629 Peoria Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Name of Employer Occupation C.

| Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ | Deputy General Counsel Aggregate Year-to-Date ▼ 432.08 | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| Full Name (Last, First, Middle Initial) Laine Spruiell Mailing Address 313 Copeland Dr. City Boaz FEC ID number of contributing federal political committee. Name of Employer Progress Rail Services Receipt For: Primary General Other (specify) | State Zip Code AL 35956-5449 C Occupation Controller, International Aggregate Year-to-Date ▼ 320.00 | Date of Receipt 08 08 2013 Transaction ID: A2013-3858195 Amount of Each Receipt this Period 20.00 |
| | r only) | 78.64 |

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Laine Spruiell Date of Receipt Mailing Address 313 Copeland Dr. 2013 22 City State Zip Code Transaction ID: A2013-3858223 Boaz AL 35956-5449 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Controller, International Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Stanbary Date of Receipt Mailing Address 100 Tractor Drive 08 30 2013 City State Zip Code Transaction ID: A2013-3858733 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing 59.04 federal political committee. Name of Employer Occupation Caterpillar Inc. **Employee Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 433.93 Other (specify) Full Name (Last, First, Middle Initial) c. James Stanley Date of Receipt Mailing Address Route 29 & Old Galena Road 30 80 2013 City State Zip Code Transaction ID: A2013-3859339 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 86.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. **Quality Supervisor 5** Receipt For: Aggregate Year-to-Date ▼ Primary General 676.87 Other (specify) 165.04 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Frank Starke Date of Receipt Mailing Address 3701 State Road 26 East 30 2013 City State Zip Code Transaction ID: A2013-3859229 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 95.87 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 758.56 Other (specify) Full Name (Last, First, Middle Initial) B. James Starr Date of Receipt Mailing Address 300 Hamilton Blvd. 08 30 2013 City State Zip Code Transaction ID: A2013-3858511 IL Peoria 61629-3800 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Jeffrey Steele Date of Receipt Mailing Address 3501 South FM Hwy 1417 30 80 2013 City Zip Code State Transaction ID: A2013-3859447 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 68.72 federal political committee. Name of Employer Occupation Caterpillar Inc. Material Req Plng Supv 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 546.10 Other (specify) 214.59 SUBTOTAL of Receipts This Page (optional).....

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|-------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|--------------------------------------------|
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political Action Committee | |
| Full Name (Last, First, Middle Initial) Brad Stevenson Mailing Address 100 NE Adams City | State Zip Code | Date of Receipt M |
| Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: | Occupation EHS Manager I Aggregate Year-to-Date ▼ | Amount of Each Receipt this Period |
| Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) | 908.29 | |
| Address 100 NE Adams City | State Zip Code | Date of Receipt M |
| Peoria FEC ID number of contributing federal political committee. | IL 61629 | Amount of Each Receipt this Period 244.31 |
| Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼ | Occupation Dir. of Tax & Trade Operations Aggregate Year-to-Date ▼ 1504.22 | |
| Full Name (Last, First, Middle Initial) Brian Stone Mailing Address 1100 Milwaukee Ave City | State Zip Code | Date of Receipt M |
| South Milwaukee FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General | WI 53172-0500 C Occupation Group Manager 3 Aggregate Year-to-Date ▼ | Amount of Each Receipt this Period 74.63 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Janet Storms Date of Receipt Mailing Address 501 SW Jefferson 30 2013 City State Zip Code Transaction ID: A2013-3858986 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 57.88 federal political committee. Name of Employer Occupation Caterpillar Inc. Change Management Coach Receipt For: Aggregate Year-to-Date ▼ Primary General 560.75 Other (specify) Full Name (Last, First, Middle Initial) B. Mark Stratton Date of Receipt Mailing Address Avenida Transformacion #545 08 30 2013 City State Zip Code Transaction ID: A2013-3859032 ZZ Nuevo Laredo 88275 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert Strong Date of Receipt Mailing Address Rodovia Luiz De Queiroz Km 157 30 80 2013 City State Zip Code Transaction ID: A2013-3858747 77 Piracicaba 13420 Amount of Each Receipt this Period FEC ID number of contributing 192.23 С federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 1506.37 Other (specify) 300.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Xiaobo Sun Date of Receipt Mailing Address No.5. Xin Qing South Road 30 2013 City State Zip Code Transaction ID: A2013-3859406 ZZ Wuxi 21402 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation T&SD Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel Sundell Date of Receipt Mailing Address Route 29 & Old Galena Road 80 30 2013 City State Zip Code Transaction ID: A2013-3859010 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 107.53 federal political committee. Name of Employer Occupation Caterpillar Inc. Power Systems Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 853.91 Other (specify) Full Name (Last, First, Middle Initial) c. Lynn Sutton Date of Receipt Mailing Address 100 Tractor Drive 30 80 2013 City State Zip Code Transaction ID: A2013-3858974 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 134.36 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1004.70 Other (specify)

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Swanson Date of Receipt Mailing Address 501 SW Jefferson 30 2013 City State Zip Code Transaction ID: A2013-3858720 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 57.23 federal political committee. Name of Employer Occupation Senior IT Supervisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 438.02 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew Taphorn Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3859306 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 98.26 federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 769.31 Other (specify) Full Name (Last, First, Middle Initial) c. Larry Tate Date of Receipt Mailing Address 27Th & Pershing Rd 30 80 2013 Zip Code City State Transaction ID: A2013-3858919 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 500.00 federal political committee. Name of Employer Occupation

655.49 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

3000.00

Product Manager

Aggregate Year-to-Date ▼

Caterpillar Inc. Receipt For:

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Daniel Terpstra Date of Receipt Mailing Address 500 N Morton Ave 30 2013 City State Zip Code Transaction ID: A2013-3858685 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Special Assignment Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin Thieneman Date of Receipt Mailing Address 100 S. L. White Blvd. 80 30 2013 City Zip Code State Transaction ID: A2013-3858384 La Grange GΑ 30241 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify)

| C. | Full Name (Last, First, Middle Initial) Mark Thompson | | Date of Receipt |
|----|------------------------------------------------------------|-------------------------------|------------------------------------|
| | Mailing Address 100 NE Adams | | 08 30 2013 |
| | City | State Zip Code | Transaction ID : A2013-3858658 |
| | Peoria | IL 61629 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 50.00 |
| | Name of Employer | Occupation | |
| | Caterpillar Inc. | Sr. Business Resource Manager | |
| | Receipt For: | Aggregate Year-to-Date ▼ | |

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NAME OF COMMITTEE (In Full)

| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee | Political Action Committee | |
|--------------------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------|
| Full Name (Last, First, Middle Initial) Robert Thompson | | Date of Receipt |
| Mailing Address 2120 West End Avenue | | 08 30 <u>2013</u> |
| City Nashville | State Zip Code TN 37203 | Transaction ID : A2013-3859195 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 325.00 |
| Name of Employer Caterpillar Inc. | Occupation President Insurance Services | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 2600.00 | |
| Full Name (Last, First, Middle Initial) Steven Tillotson | | Date of Receipt |
| Mailing Address 12301 Old Galena Road | | 08 30 2013 |
| City Mossville | State Zip Code IL 61552 | Transaction ID : A2013-3858700 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 150.11 |
| Name of Employer Caterpillar Inc. | Occupation CPGS Projects Manager | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 1083.78 | |
| Full Name (Last, First, Middle Initial) Bradley Tilly | | Date of Receipt |
| Mailing Address 300 Hamilton Blvd. | | 08 30 2013 |
| City Peoria | State Zip Code IL 61629-3800 | Transaction ID : A2013-3858370 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 56.50 |
| Name of Employer Caterpillar Inc. Receipt For: Primary General | Occupation Manager Commercial Mining Aggregate Year-to-Date ▼ | |
| Other (specify) ▼ SUBTOTAL of Receipts This Page (optional). | 520.00 | 531.61 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Cindy Tinsley Date of Receipt Mailing Address 2120 West End Avenue 30 2013 City State Zip Code Transaction ID: A2013-3858738 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Special Accounts Spt Mgr II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Charles Tobias Date of Receipt Mailing Address Golden Lough Villa 130 Ji Chang Lu 08 30 2013 City State Zip Code Transaction ID: A2013-3858713 ZZ Suzhou 21502 Amount of Each Receipt this Period FEC ID number of contributing 76.25 federal political committee. Name of Employer Occupation Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 603.31 Other (specify) Full Name (Last, First, Middle Initial) c. Susan Toher Date of Receipt

Mailing Address North West Industrial Estate 30 80 2013 City State Zip Code Transaction ID: A2013-3858442 77 Peterlee 00000 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Supply Chain Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify)

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256.25

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Andrew Trent Date of Receipt Mailing Address 17th Floor China Life Tower 30 2013 City Zip Code State Transaction ID: A2013-3858435 ZZ Beijing 10002 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey Tull Date of Receipt Mailing Address 3701 State Road 26 East 08 30 2013 City State Zip Code Transaction ID: A2013-3859077 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 42.53 federal political committee. Name of Employer Occupation Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 396.56 Other (specify) Full Name (Last, First, Middle Initial) c. Charles Turpen Date of Receipt Mailing Address 100 Tractor Drive 30 80 2013 City State Zip Code Transaction ID: A2013-3859132 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 132.78 federal political committee. Name of Employer Occupation Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 1023.42 Other (specify) 205.31 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Tyson Date of Receipt Mailing Address 13105 Northwest Freeway 30 2013 City State Zip Code Transaction ID: A2013-3858581 TX Houston 77040 Amount of Each Receipt this Period FEC ID number of contributing C 72.03 federal political committee. Name of Employer Occupation Technical Support Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 572.04 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Umholtz Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3859414 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 43.39 federal political committee. Name of Employer Occupation Caterpillar Inc. Paralegal II Receipt For: Aggregate Year-to-Date ▼ Primary General 341.80 Other (specify) Full Name (Last, First, Middle Initial) c. Donald Umpleby III Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858469 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 625.00 federal political committee. Name of Employer Occupation **Group President** Caterpillar Inc. Receipt For:

740.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

2500.00

Aggregate Year-to-Date ▼

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Michael Unes Date of Receipt Mailing Address 300 Hamilton Blvd. 30 2013 City State Zip Code Transaction ID: A2013-3858881 61629-3800 IL Peoria Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Mining Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Tana Utley Date of Receipt Mailing Address Frank Perkins Parkway 80 30 2013 City Zip Code State Transaction ID: A2013-3858910 Peterborough ZZ 00000 Amount of Each Receipt this Period FEC ID number of contributing 454.55 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For:

| Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 3598.85 | |
|----------------------------------------------------------------------------------------------------|----------------------------------|------------------------------------------------------------------------|
| Full Name (Last, First, Middle Initial) C. Darren Vandermolen Mailing Address Prestige Solitaire | | Date of Receipt M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y |
| City | State Zip Code | Transaction ID : A2013-3858533 |
| Bangalore | ZZ 56002 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer | Occupation | |
| Caterpillar Inc. | Info Technology Manager-III | |
| Receipt For: Primary General Other (specify) ■ | Aggregate Year-to-Date ▼ 400.00 | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Joseph VanWassenhove Date of Receipt Mailing Address 501 SW Jefferson 30 2013 City State Zip Code Transaction ID: A2013-3858772 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 87.17 federal political committee. Name of Employer Occupation Parts Pricing Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 684.88 Other (specify) Full Name (Last, First, Middle Initial) B. Brandon Velde Date of Receipt Mailing Address 500 N. Morton Ave. 80 30 2013 City State Zip Code Transaction ID: A2013-3858484 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 26.02 federal political committee. Name of Employer Occupation Caterpillar Inc. Sr Technology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 204.77 Other (specify) Full Name (Last, First, Middle Initial) c. Iris Villela Date of Receipt Mailing Address 9250 Sky Park Court M M / D D / 013

| | | 08 | 31 | 2013 |
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| City | State Zip Code | Transac | tion ID : A20 | 13-3859475 |
| San Diego | CA 92123-4302 | Amount of | Each Receip | ot this Period |
| FEC ID number of contributing federal political committee. | C | | , | 60 |
| Name of Employer | Occupation | | | |
| Solar Turbines | Caterpillar Solar Manager | | | |
| Receipt For: | Aggregate Year-to-Date ▼ | | | |
| Primary General Other (specify) ▼ | 480.00 | | | |

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Carl Volz Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3859114 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing 46.05 federal political committee. Name of Employer Occupation Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 457.19 Other (specify) Full Name (Last, First, Middle Initial) B. Charles Wahlstrom Date of Receipt Mailing Address 14009 Old Galena Rd. 08 30 2013 City State Zip Code Transaction ID: A2013-3858333 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 84.39 federal political committee. Name of Employer Occupation Caterpillar Inc. Tech Specialist-Tech Reg Comp Receipt For: Aggregate Year-to-Date ▼ Primary General 664.20 Other (specify) Full Name (Last, First, Middle Initial) **c.** Reid Waitt Date of Receipt Mailing Address 5000 Wormack Rd 30 80 2013 Zip Code City State Transaction ID: A2013-3859035 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing 232.65 С federal political committee. Name of Employer Occupation Caterpillar Inc. **GM** Compact Construction Equip Receipt For: Aggregate Year-to-Date ▼ Primary General 1806.51 Other (specify)

363.09

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John Walenta Date of Receipt Mailing Address 100 Tractor Drive 30 2013 City State Zip Code Transaction ID: A2013-3858868 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 68.46 federal political committee. Name of Employer Occupation Caterpillar Inc. **Factory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 653.78 Other (specify) Full Name (Last, First, Middle Initial) **B.** Thomas Walters Date of Receipt Mailing Address 100 NE Adams 08 30 2013 City State Zip Code Transaction ID: A2013-3858510 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 140.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1120.00 Other (specify) Full Name (Last, First, Middle Initial) c. David Walton Date of Receipt Mailing Address 100 NE Adams 30 80 2013 City State Zip Code Transaction ID: A2013-3858648 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 211.56 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 1653.72 Other (specify) 420.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Ward Date of Receipt Mailing Address 12301 Old Galena Road 30 2013 City State Zip Code Transaction ID: A2013-3859416 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Director Supply Chain Planning Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) B. Karl Weiss Date of Receipt Mailing Address Attn: Administrative Assistant PO Box 348 80 30 2013 City State Zip Code Transaction ID: A2013-3858286 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name (Last, First, Middle Initial) c. Douglas Weston Date of Receipt Mailing Address 12301 Old Galena Road 30 80 2013 City Zip Code State Transaction ID: A2013-3858968 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee.

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| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politica | al Action Committee | |
| Possint For: | 53154 | Date of Receipt 08 30 2013 Transaction ID : A2013-3858723 Amount of Each Receipt this Period 50.00 |
| Caterpillar Inc. Portfo | te Zip Code 61630 Dation lio Manager egate Year-to-Date ▼ 518.02 | Date of Receipt 08 30 2013 Transaction ID: A2013-3858985 Amount of Each Receipt this Period 53.60 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Laurence Wilken Date of Receipt Mailing Address 100 Ne Adams 30 2013 City State Zip Code Transaction ID: A2013-3859228 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Martin Willi Date of Receipt Mailing Address 14009 Old Galena Rd. 80 30 2013 City State Zip Code Transaction ID: A2013-3858942 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 72.86 federal political committee. Name of Employer Occupation Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 578.59 Other (specify) Full Name (Last, First, Middle Initial) **c.** Timothy Williams Date of Receipt Mailing Address 100 NE Adams 30 80 2013 Zip Code City State Transaction ID: A2013-3859384 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 78.81 federal political committee. Name of Employer Occupation Director Global Security Svcs Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General

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| Any information copied from such Reports and or for commercial purposes, other than using the | Statements may not be sold or used by any perse name and address of any political committee to | son for the purpose of soliciting contributions o solicit contributions from such committee. |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee | Political Action Committee | |
| Full Name (Last, First, Middle Initial) Timothy Williams Mailing Address 100 Tractor Drive | | Date of Receipt |
| City East Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: □ Primary □ General □ Other (specify) ▼ | State Zip Code IL 61630 C Occupation Supply Chain Manager 5 Aggregate Year-to-Date ▼ 280.00 | Transaction ID : A2013-3859084 Amount of Each Receipt this Period 35.00 |
| Full Name (Last, First, Middle Initial) Christopher Winkel Mailing Address 500 N Morton Ave City Morton FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61550 C Occupation 6 Sigma Strategy Champion Aggregate Year-to-Date ▼ 419.28 | Date of Receipt 08 30 2013 Transaction ID: A2013-3858905 Amount of Each Receipt this Period 52.41 |
| Full Name (Last, First, Middle Initial) David Wisley Mailing Address 12301 Old Galena Road City Mossville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) | State Zip Code IL 61552 C Occupation Sr Engineering Specialist-Des Aggregate Year-to-Date ▼ 774.21 | Date of Receipt 08 30 2013 Transaction ID : A2013-3859371 Amount of Each Receipt this Period 104.82 |
| SUBTOTAL of Receipts This Page (optional) | > | 192.23 |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kelly Wojda Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858630 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Director of Talent Attraction Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Patricia Wright Date of Receipt Mailing Address PO Box 504 08 30 2013 City State Zip Code Transaction ID: A2013-3858531 IL Joliet 60436 Amount of Each Receipt this Period FEC ID number of contributing C 65.82 federal political committee. Name of Employer Occupation Caterpillar Inc. Master Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 530.93 Other (specify) Full Name (Last, First, Middle Initial) c. Paul Wroblewski Date of Receipt Mailing Address Frank Perkins Parkway 30 80 2013 City State Zip Code Transaction ID: A2013-3858872 77 Peterborough 00000 Amount of Each Receipt this Period FEC ID number of contributing C 45.00 federal political committee. Name of Employer Occupation Caterpillar Inc. General Manager IPSD Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 140.82 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Steven Wunning Date of Receipt Mailing Address 100 NE Adams 30 2013 City State Zip Code Transaction ID: A2013-3858779 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Group President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3333.28 Other (specify) Full Name (Last, First, Middle Initial) **B.** Christine Yordy Date of Receipt Mailing Address 100 NE Adams 80 30 2013 City Zip Code State Transaction ID: A2013-3859367 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 26.63 federal political committee. Name of Employer Occupation Caterpillar Inc. Communications Rep - 2 Receipt For: Aggregate Year-to-Date ▼ Primary General

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| C. | Full Name (Last, First, Middle Initial) Michael Young Mailing Address 100 NE Adams | | Date of Receipt M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y |
| | City | State Zip Code | Transaction ID : A2013-3859346 |
| | Peoria | IL 61629 | Amount of Each Receipt this Period |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Alexander Zaydel Date of Receipt Mailing Address 1449 Marilyn 08 2013 City Zip Code State Transaction ID: A2013-3858205 Ludington MI 49431-9652 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Managing Dir International Bus Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name (Last, First, Middle Initial) B. Alexander Zaydel Date of Receipt Mailing Address 1449 Marilyn 08 22 2013 City State Zip Code Transaction ID: A2013-3858233 MI Ludington 49431-9652 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Managing Dir International Bus Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name (Last, First, Middle Initial) c. David Zempel Date of Receipt Mailing Address 3535 Factoria Blvd SE 30 80 2013 City Zip Code State Transaction ID: A2013-3858945 WA Bellevue 98006 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Erik Zentmyer Date of Receipt Mailing Address 100 N.E. Adams Street 2013 31 City State Zip Code Transaction ID: A2013-3859518 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Occupation Solar Turbines Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... 40338.70 TOTAL This Period (last page this line number only).....

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| or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF CAMINTITEE (in Plui) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Friends Of Farr Maling Address P.O. Box 122 City State Zip Code CA 93842 Purpose of Disbursement Contribution Candidate Name Category Type Office Sought: House President State: District: 20 Full Name (Last, First, Middle Initial) Maling Address City State Zip Code Purpose of Disbursement Candidate Name Category Office Sought: House President State: Disbursement Candidate Name Category Office Sought: House Primary General Primary General Other (specify) ▼ Date of Disbursement this Perio Category Type Office Sought: House Primary General Other (specify) ▼ Amount of Each Disbursement this Perio Category Type Office Sought: House Primary General Other (specify) ▼ Amount of Each Disbursement this Perio Category Type Office Sought: Primary General Other (specify) ▼ Amount of Each Disbursement Candidate Name Category Office Sought: Primary General Other (specify) ▼ Amount of Each Disbursement Amount of Each Disbursement Date of Disbursement this Perio Category Amount of Each Disbursement this Perio Category Type Office Sought: Primary General Date of Disbursement this Perio Category Type Office Sought: Primary General Date of Disbursement this Perio Category Type Office Sought: Primary General Date of Disbursement this Perio Category Type Office Sought: Primary General Date of Disbursement this Perio Category Type Office Sought: Primary General Date of Disbursement this Perio Date of Disbursement this | | | | | | | | | |
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| Mailing Address P.O. Box 122 City State Zip Code CA 93942 Furpose of Disbursement Contribution Candidate Name Sam Farr Office Sought: | rilenas Ot Farr | | | | | | | | |
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