REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

APR 15 2 02 PM '98

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
National Association of Small Business Investment Companies Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
666 11th Street NW #750

CITY, STATE and ZIP CODE
Washington, DC 20001

2. FEC IDENTIFICATION NUMBER
C00109991

3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M) X

4. TYPE OF REPORT

(a) ☒ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

☐ July 31 Mid Year Report (Non-election Year Only)

☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ 12-Day Pre-Election Report for the _____ (Type of Election) election on _____ in the State of _____

☐ 30-Day Post-Election Report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 01/01/98 through 03/31/98		
6. (a) Cash on Hand January 1, 1998		$ 6381.03
(b) Cash on Hand at Beginning of Reporting Period	$ 6381.03	
(c) Total Receipts (from Line 19)	$ 29,450.00	$ 29,450.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 35,831.03	$ 35,831.03
7. Total Disbursements (from Line 30)	$ 17,000.00	$ 17,000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 18,381.03	$ 18,381.03
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Lee W. Mercer

Signature of Treasurer
Lee W Mercer

Date
15 April 1998

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

FE7AN047

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE
National Association of Small Business Investment Companies Political Action Committee

REPORT COVERING PERIOD FROM 01/01/98 TO: 03/31/98

	COLUMN A Total This Period	COLUMN B Calendar Year	
I. Receipts			
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	28,450.00	28,450.00	11(a)(i)
ii. Unitemized			11(a)(ii)
iii. Total (add i and ii) ➤	28,450.00	28,450.00	11(a)(iii)
b. Political Party Committees			11(b)
c. Other Political Committees (such as PACs)	1,000.00	1,000.00	11(c)
d. Total Contributions (add a iii, b and c) ➤	29,450.00	29,450.00	11(d)
12. Transfers From Affiliated/Other Party Committees			12
13. All Loans Received			13
14. Loan Repayments Received			14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			16
17. Other Federal Receipts (Dividends, Interest, etc.)			17
18. Transfers from Nonfederal Account for Joint Activity			18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	29,450.00	29,450.00	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤			20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share			21(a)(i)
ii. Non-Federal Share			21(a)(ii)
b. Other Federal Operating Expenditures			21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤			21(c)
22. Transfers to Affiliated/Other Party Committees			22
23. Contributions to Federal Candidates/Committees and Other Political Committees	17,000.00	17,000.00	23
24. Independent Expenditures (use Schedule E)			24
25. Coordinated Expenditures Made by Party Committees [2 U.S.C. 441a(d)] (use Schedule F)			25
26. Loan Repayments Made			26
27. Loans Made			27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees			28(a)
b. Political Party Committees			28(b)
c. Other Political Committees (such as PACs)			28(c)
d. Total Contribution Refunds (add a, b and c) ➤			28(d)
29. Other Disbursements			29
30. Total Disbursements [add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29] ➤	17,000.00	17,000.00	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	17,000.00	17,000.00	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	29,450.00	29,450.00	32
33. Total Contribution Refunds (from line 28d)			33
34. Net Contributions (other than loans)(subtract line 33 from 32)	29,450.00	29,450.00	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤			35
36. Offsets to Operating Expenditures (from line 15)			36
37. Net Operating Expenditures (subtract line 36 from 35) ➤			37

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 15

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Knute Albrecht 950 West Valley Rd Wayne, PA 19087 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Argosy Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date $ $500.00	02/26/98	$500.00
B. Bruce Terker 950 West Valley Rd. Wayne, PA 19087 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Argosy Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date $ $500.00	02/26/98	$500.00
C. John Kirwin III 950 West Valley Rd. Wayne, PA 19087 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Argosy Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	02/26/98	$250.00
D. Kirk Griswold 950 West Valley Rd. Wayne PA 19087 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Argosy Investment Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	02/26/98	$250.0
E. Edward C. McCarthy P.O. Box 21962 Greensboro, NC 27420 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Blue Ridge Investors Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00	02/16/98	$1,000.00
F. Patrick F. Hamner 12900 Preston Rd. #700 Dallas, TX 75230 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restricitons	Capital Southwest Venture Corp. Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	02/23/98	$250.00
G. Patrick F. Hamner 12900 Preston Rd. #700 Dallas, TX 75 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Capital Southwest Venture Corp. Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 500.00	03/18/98	$250.00

SUBTOTAL of Receipts This Page (optional)	$3,000.00
TOTAL This Period (last page this line number only)	

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 9

FOR LINE NUMBER 19

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Keith R. Fox 10 East 53rd St. New York, NY 10022 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Exeter Venture Lenders, LP Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 500.00	01/13/98	$500.00
B. Timothy Bradley 10 East 53rd St. New York, NY 10022 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Exeter Equity Partners LP Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 1,000.00	02/23/98	$1,000.00
C. Richard Klaffky 100 Pearl Street Hartford, CT 06103 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	First New England Capital, LP Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 500.00	03/18/98	$500.00
D. John L. Ritter 100 Pearl Street Hartford, CT 06103 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	First New England Capital, LP Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 250.00	03/18/98	$250.00
E. Monro B. Lanier III 200 West Side Square #100 Huntsville, AL 35801 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Hickory Venture Capital Corp. Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 100.00	03/19/98	$100.00
F. William Reisler 233 West 47th St. Kansas City, MO 64112 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Kansas City Equity Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 1,000.00	02/19/98	$1000.00
G. David Schulte 233 West 47th St. Kansas City, MO 64112 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Kansas City Equity Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 100.00	02/19/98	$100.00

SUBTOTAL of Receipts This Page (optional) $3,450.00

TOTAL This Period (last page this line number only) ..... -

FE7AN047

SCHEDULE A **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: 19

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Thomas Palmer, 233 West 47th St., Kansas City, MO 64112	Kansas City Equity Partners / Investment Co. Exec.	02/19/98	$100.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 100.00		
B. Thomas Blackburn, 6700 Antioch Plaza, #460, Overland Park, KS 66204	Kansas Venture Capital Inc. / Investment Co. Exec.	02/20/98	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 500.00		
C. John S. Dalton, 6700 Antioch Plaza, #460, Overland Park, KS 66204	Kansas Venture Capital Inc. / Investment Co. Exec.	02/20/98	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 500.00		
D. Marshall D. Parker, 6700 Antioch Plaza, #460, Overland Park, KS 66204	Kansas Venture Capital Inc. / Investment Co. Exec.	02/20/98	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 500.00		
E. Wayne Clevenger, 466 Southern Blvd., Chatham, NJ 07928	MidMark Capital, LP / Investment Co. Exec.	02/11/98	$300.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 300.00		
F. Raymond R. Rafferty Jr., 259 Radnor-Chester Rd., Radnor, PA 19087	Meridian Venture Partners / Investment Co. Exec.	03/04/98	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 1,000.00		
G. Robert E. Brown, 259 Radnor-Chester Rd., Radnor, PA 19087	Meridian Venture Partners / Invsetment Co. Exec.	03/04/98	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 1,000.00		

SUBTOTAL of Receipts This Page (optional) $3,900.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE A **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Bernard B. Markey 259 Radnor-Chester Rd. Radnor, PA 19087 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Meridian Venture Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00	03/04/98	$1,000.00
B. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Joseph Hawke 259 Radnor-Chester Rd. Radnor, PA 19087 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Meridian Venture Partners Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 250.00	03/04/98	$250.00
C. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Lee W. Mercer 666 11th St. NW Washington, DC 20001 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	National Association of Small Business Investment Companies Occupation: Association Exec. Aggregate Year-to-Date $ 1,000.00	02/24/98	$1,000.00
D. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Jeanette D. Paschal 666 11th St. NW Washington, DC 20001 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	National Association of Small Business Investment Companies Occupation: Association Exec. Aggregate Year-to-Date $ 250.00	02/24/98	$250.00
E. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Jamie G. Blake 666 11th St. NW Washington, DC 20001 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	National Association of Small Business Investment Companies Occupation: Association Exec. Aggregate Year-to-Date $ 50.00	03/11/98	$50.00
F. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
NationsBank Corp. Political Action Committee 100 Tryon Street Charlotte NC 28255 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	NationsBank Corp. Occupation: Political Action Cmt. Aggregate Year-to-Date $ 1,000.00	02/23/98	$1,000.00
G. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
David Coit 70 Center Street Portland, ME 04101 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	North Atlantic Venture Fund, II, LP Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 750.00	03/11/98	$750.00

SUBTOTAL of Receipts This Page (optional) $4,300.00

TOTAL This Period (last page this line number only) .....

FE7AN047

SCHEDULE A **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Albert Coffrin, 70 Center Street, Portland, ME 04101	North Atlantic Venture Fund, II, LP / Investment Co. Exec.	03/11/98	$250.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $250.00		
B. Gregory Peters, 70 Center Street, Portland, ME 04101	North Atlantic Venture Fund II, LP / Investment Co. Exec.	03/11/98	$250.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 250.00		
C. C. Walter Dick, 60 State St, Boston, MA 02109	Pioneer Ventures, LP / Investment Co. Exec.	03/03/98	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 1,000.00		
D. Frank Polestra, 60 State St., Boston, MA 02109	Pioneer Ventures, LP / Investment Co. Exec.	03/03/98	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 1,000.00		
E. Leigh Michl, 60 State St., Boston, MA 02109	Pioneer Ventures, LP / Investment Co. Exec.	03/03/98	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 500.00		
F. Christopher Lynch, 60 State St., Boston, MA 02109	Pioneer VEntures, LP / Investment Co. Exec.	03/03/98	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 500.00		
G. Wally Lennox, 180 North Michigan Ave., Chicago, IL 60601	Polestar Capital, Inc. / Investment Co. Exec.	03/16/98	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Aggregate Year-to-Date $ 1,000.00		

SUBTOTAL of Receipts This Page (optional) $4,500.00

TOTAL This Period (last page this line number only) .....

FE7A(Rev7)

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 6 OF 9

FOR LINE NUMBER: 19

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NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
A. J. Oliver Maggard 505 Park Ave. #1700 New York, NY 10022	Regent Capital Partners, LP	02/16/98	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 500.00		
B. James A. Parsons 36 Grove St. New Canaan, CT 06840	RFE Investment Partners, LP	03/11/98	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00		
C. Michael Foster 36 Grove St. New Canaan, CT 06840	RFE Investment Partners LP	03/11/98	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00		
D. Howard Landis 36 Grove St. New Canaan, CT 06840	RFE Investment Partners, LP	03/11/98	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00		
E. Andrew Wagner 36 Grove St. New Canaan, CT 06840	RFE Investment Partners, LP	03/11/98	$500.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 500.00		
F. Robert Williams 36 Grove St. New Canaan, CT 06840	RFE Investment Partners, LP	03/16/98	$1,000.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 1,000.00		
G. Donald Juricic 36 Grove St. New Canaan, CT 06840	RFE Investment Partners, LP	03/16/98	$100.00
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 100.00		

SUBTOTAL of Receipts This Page (optional) $5,100.00

TOTAL This Period (last page this line number only)

FE7AN047

SCHEDULE A

ITEMIZED RECEIPTS

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FOR LINE NUMBER 19

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NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Dean Davis 36 Grove St. New Canaan, CT 06840 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	RFE Investment Partners, LP Occupation: Investment CO. Exec. Aggregate Year-to-Date $ 500.00	03/30/98	$500.00
Murray R. Wilson 221 East 4th St. #2250 Cincinnati, OH 45202 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	River Cities Capital Fund,LP Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 100.00	03/18/98	$100.00
J. Eric Lenning 221 East 4th St. #2250 Cincinnati, OH 45202 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	River Cities Capital Fund, LP Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 100.00	03/18/98	$100.00
Glen Mayfield 221 East 4th St. #2250 Cincinnati, OH 45202 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	River Cities Capital Fund, LP Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 200.00	03/18/98	$200.00
Edwin T. Robinson 221 East 4th St. #2250 Cincinnati, OH 45202 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	River Cities Capital Fund, LP Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 200.00	03/18/98	$200.00
Frederick Kieser 221 East 4th St. #2250 Cincinnati, OH 45202 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	River Cities Capital Fund, LP Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 50.00	03/18/98	$50.00
Harvey Granat 175 Great Neck Rd. Great Neck, NY 11021 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Sterling/Carl Marks Capital, Inc. Occupation: Investment Co. Exec. Aggregate Year-to-Date $ 300.00	03/18/98	$300.00

SUBTOTAL of Receipts This Page (optional) $1,450.00

TOTAL This Period (last page this line number only)

FE7AN047

SCHEDULE A

ITEMIZED RECEIPTS

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FOR LINE NUMBER 19

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NAME OF COMMITTEE (In Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
A. Harvey Rosenblatt, 175 Great Neck Rd., Great Neck, NY 11021	Sterling/Carl Marks Capital, Inc.	03/18/98	$300.00
Occupation: Investment Co. Exec.	Aggregate Year-to-Date: $ 300.00		
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions			
B. Marvin Tepper, 175 Great Neck Rd., Great Neck, NY 11021	Sterling/Carl Marks Capital, Inc.	03/18/98	$300.00
Occupation: Investment Co. Exec.	Aggregate Year-to-Date: $ 300.00		
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions			
C. Saul Katz, 175 Great Neck Rd., Great Neck, NY 11021	Sterling/Carl Marks Capital, Inc.	03/18/98	$300.00
Occupation: Investment CO. Exec.	Aggregate Year-to-Date: $ 300.00		
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions			
D. Michael Katz, 175 Great Neck Rd., Great Neck, NY 11021	Sterling/Carl Marks Capital, Inc.	03/18/98	$300.00
Occupation: Invsetment Co. Exec.	Aggregate Year-to-Date: $ 300.00		
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions			
E. Philip Wachtler, 175 Great Neck Rd., Great Neck, NY 11021	Sterling/Carl Marks Capital, Inc.	03/18/98	$300.00
Occupation: Investment Co. Exec.	Aggregate Year-to-Date: $ 300.00		
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions			
F. Jeffrey Birnberg, 201 Lower Notch Rd., Little Falls, NJ 07424	Teppan Zee Capital Corp.	02/03/98	$250.00
Occupation: Investment Co. Exec.	Aggregate Year-to-Date: $ 250.00		
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions			
G. Paul Kelley, 101 Main St., Cambridge MA 02142	Zero Stage Capital Co.	03/02/98	$1,000.00
Occupation: Investment Co. Exec.	Aggregate Year-to-Date: $ 1,000.00		
Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions			

SUBTOTAL of Receipts This Page (optional) $2,750.00

TOTAL This Period (last page this line number only) .....

FE3AN047

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 9 OF 9

FOR LINE NUMBER 19

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Stan Fung 101 Main St. Cambridge, MA 02142 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Zero Stage Capital Co. Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 500.00	03/02/98	$500.00
B. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Brian Johnson 101 Main St. Cambridge, MA 02142 Receipt For: ☐ Primary ☐ General ☐ Other (specify): no restrictions	Zero Stage Capital Co. Occupation: Investment Co. Exec. Aggregate Year-to-Date > $ 500.00	03/02/98	$500.00
C. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
D. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
E. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
F. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		
G. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Aggregate Year-to-Date > $		

SUBTOTAL of Receipts This Page (optional)	$1,000.00
TOTAL This Period (last page this line number only)	$29,450.00

FE7AN047

SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 2

FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Missourians for Kit Bond 6016 Corbin Ave. Tarzana, CA 91356	Senate-MO Disbursement for: [X] Primary [ ] General [ ] Other (specify)	01/13/98	$1,500.00
Missourians for Kit Bond 507 Capitol Ct. #100 Washington, DC 20002	Senate-MO Disbursement for: [X] Primary [X] General [ ] Other (specify)	02/09/98	$1,000.00
Team Emerson P.O. Box 10621 Alexandria, VA 22302	House-MO-08 Disbursement for: [ ] Primary [X] General [ ] Other (specify)	02/24/98	$500.00
Tom DeLay Congressional Committee 10707 Corporate Dr. #130 Stafford, TX 77477	House-TX-22 Disbursement for: [ ] Primary [X] General [ ] Other (specify)	02/24/98	$1,000.00
Citizens for Bunning 1717 Dixie Hwy, #180 Ft. Wright, KY 41011	Senate-KY Disbursement for: [X] Primary [ ] General [ ] Other (specify)	02/25/98	$1,000.00
Missourians for Kit Bond 507 Capitol Ct. #100 Washington, DC 20002	Senate-MO Disbursement for: [X] Primary [ ] General [ ] Other (specify)	03/04/98	$1,500.00
Pierce for Congress P.O. Box 237 Yorba Linda, CA 92885	House-CA-41 Disbursement for: [X] Primary [ ] General [ ] Other (specify)	03/06/98	$500.00
Dick Armey Campaign Committee 4451 Brookfield Corporate Dr. #200 Chantilly, VA 20151-1652	House-TX-26 Disbursement for: [ ] Primary [X] General [ ] Other (specify)	03/06/98	$1,000.00
The Judd Gregg Committee P.O. Box 1812 Concord, NH 03302-1812	Senate-NH Disbursement for: [ ] Primary [X] General [ ] Other (specify)	03/06/98	$2,000.00

SUBTOTAL of Disbursements This Page (optional) 10,000.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 23

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NAME OF COMMITTEE (in Full)
National Association of Small Business Investment Companies Political Action Committee

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement / Disbursement for	Date (month, day, year)	Amount of Each Disbursement This Period
A. Weygand Committee P.O. Box 28105 Providence, RI 02908-0105	House-RI-02 Primary [ ] General [X] Other (specify) [ ]	03/06/98	$500.00
B. Friends of Congressman Mike Forbes P.O. Box 505 Farmingville, Long Island NY 11738	House-NY-01 Primary [ ] General [X] Other (specify) [ ]	03/06/98	$1,000.00
C. Sue Kelly for Congress P.O. Box 16021 Alexandria, VA 22302	House-NY-19 Primary [ ] General [X] Other (specify) [ ]	03/06/98	$1,000.00
D. Friends of Senator D'Amato 425 Second St. NE Washington, DC 20002	Senate-NY Primary [ ] General [X] Other (specify) [ ]	03/19/98	$1,000.00
E. Friends of Senator Nickles P.O. Box 19897 Alexandria, VA 22320-0897	Senate-OK Primary [ ] General [X] Other (specify) [ ]	03/19/98	$1,000.00
F. Virgil Goode for Congress 315 Orchard Ave. Rocky Mount, VA 23151	House-VA-05 Primary [ ] General [X] Other (specify) [ ]	03/19/98	$500.00
G. Friends of Newt Gingrich P.O. Box 1399 Roswell, GA 30077	House-GA-06 Primary [ ] General [X] Other (specify) [ ]	03/23/98	$1,000.00
H. Sununu for Congress 330 5th St. SE Apt.A Washington, DC 20003	House-NH-01 Primary [ ] General [ ] Other (specify) [ ]	03/24/98	$500.00
I. Friends of John LaFalce P.O. Box 2884 Washington, DC 20113	House-NY-29 Primary [ ] General [X] Other (specify) [ ]	03/30/98	$500.00

SUBTOTAL of Disbursements This Page (optional) $7,000.00

TOTAL This Period (last page this line number only) $17,000.00

FE7ANG47

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☑	Hand Delivered	Date of Receipt 4/15/98
☐	First Class Mail	POSTMARKED
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	
	PREPARER	4/15/98 DATE PREPARED

(4/98)