

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2014"/>	<input type="text" value="249148.88"/>	<input type="text" value="249148.88"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="125936.76"/>	
(c) Total Receipts (from Line 19)	<input type="text" value="21859.32"/>	<input type="text" value="383514.74"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="147796.08"/>	<input type="text" value="632663.62"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="104.09"/>	<input type="text" value="484971.63"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="147691.99"/>	<input type="text" value="147691.99"/>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	12175.23	293486.62
(ii) Unitemized	80.00	11103.54
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	12255.23	304590.16
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	9500.00	71500.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	21755.23	376090.16
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	104.09	4162.07
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	3125.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	137.51
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	21859.32	383514.74
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	21859.32	383514.74

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	104.09	4971.63
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	104.09	4971.63
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	48000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	104.09	484971.63
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	104.09	484971.63

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	21755.23	376090.16
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	21755.23	376090.16
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	104.09	4971.63
37. Offsets to Operating Expenditures (from Line 15, page 3).....	104.09	4162.07
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	809.56

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 6 OF 29
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Mr. Kenneth E. Bentsen Jr.		Date of Receipt M M / D D / Y Y Y Y Y Y 11 / 26 / 2014 Transaction ID : 22166847
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 192.35
City Washington State DC Zip Code 20005-4269	FEC ID number of contributing federal political committee. C	
Name of Employer Securities Industry and Financial Mark Occupation President	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 4616.40

Full Name (Last, First, Middle Initial) B. Ms. Lisa Bleier		Date of Receipt M M / D D / Y Y Y Y Y Y 11 / 26 / 2014 Transaction ID : 22166848
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 19.23
City Washington State DC Zip Code 20005-4269	FEC ID number of contributing federal political committee. C	
Name of Employer Securities Industry and Financial Mark Occupation Managing Director	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 461.52

Full Name (Last, First, Middle Initial) C. Ms. Kyle Brandon		Date of Receipt M M / D D / Y Y Y Y Y Y 11 / 26 / 2014 Transaction ID : 22166849
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 96.15
City New York State NY Zip Code 10271-3599	FEC ID number of contributing federal political committee. C	
Name of Employer Securities Industry and Financial Mark Occupation Managing Director, DOR	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2307.60

SUBTOTAL of Receipts This Page (optional).....▶	307.73
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. David Carson		Date of Receipt M M / D D / Y Y Y Y Y 11 / 26 / 2014 Transaction ID : 22166850
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 9.65
City New York	State Zip Code NY 10271-3599	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 231.60
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director, Member Engagement	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Ms Kim Chamberlain		Date of Receipt M M / D D / Y Y Y Y Y 11 / 26 / 2014 Transaction ID : 22166851
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 38.50
City New York	State Zip Code NY 10271-3599	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 924.00
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director & Counsel, State Gov	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Mr Salvatore Chiarelli		Date of Receipt M M / D D / Y Y Y Y Y 11 / 26 / 2014 Transaction ID : 22166852
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 9.65
City New York	State Zip Code NY 10271-3599	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 231.60
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	57.80
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 29
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. David L Cohen
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271-3599
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 654.50

Date of Receipt 11 / 26 / 2014
Transaction ID : 22166853
 Amount of Each Receipt this Period 38.50

B. Ms. Cheryl Crispen
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW
 8th Floor
 City Washington State DC Zip Code 20005-4279
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Executive Vice President, Communicatio
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2307.60

Date of Receipt 11 / 26 / 2014
Transaction ID : 22166854
 Amount of Each Receipt this Period 96.15

C. Ms. Bradley Gaskins Edgell
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW
 8th Floor
 City Washington State DC Zip Code 20005-4269
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 924.00

Date of Receipt 11 / 26 / 2014
Transaction ID : 22166855
 Amount of Each Receipt this Period 38.50

SUBTOTAL of Receipts This Page (optional)..... ▶ 173.15
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 29
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Tracy Eichler
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
461.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 26 / 2014
Transaction ID : 22166856

Amount of Each Receipt this Period
 19.23

B. Ms. Lisa Fues
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
461.52

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 26 / 2014
Transaction ID : 22166857

Amount of Each Receipt this Period
 19.23

C. Marin E. Gibson
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1846.08

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 11 / 26 / 2014
Transaction ID : 22166858

Amount of Each Receipt this Period
 76.92

SUBTOTAL of Receipts This Page (optional).....▶	115.38
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 29
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms. Nancy Donohoe Lancia
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director, State Govt. Affairs

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 924.00

Date of Receipt
11 / 26 / 2014
Transaction ID : 22166859

Amount of Each Receipt this Period
38.50

B. Theodore Lazo
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Avenue, NW
8th Floor

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 558.00

Date of Receipt
11 / 26 / 2014
Transaction ID : 22166860

Amount of Each Receipt this Period
38.50

C. Mr. Payson R. Peabody
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Avenue, NW
8th Floor

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 924.00

Date of Receipt
11 / 26 / 2014
Transaction ID : 22166861

Amount of Each Receipt this Period
38.50

SUBTOTAL of Receipts This Page (optional)..... ▶ 115.50

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Mr. Thomas F Price		Date of Receipt
Mailing Address 120 Broadway 35th Floor		<input type="text" value="11"/> / <input type="text" value="26"/> / <input type="text" value="2014"/>
City New York	State NY	Zip Code 10271-3599
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Transaction ID : 22166862
Name of Employer Securities Industry and Financial Mark		Amount of Each Receipt this Period
Occupation Managing Director		<input type="text" value="76.96"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	
<input type="text" value="1347.06"/>		

Full Name (Last, First, Middle Initial) B. Mr. Tom Tierney		Date of Receipt
Mailing Address 120 Broadway 35th Floor		<input type="text" value="11"/> / <input type="text" value="26"/> / <input type="text" value="2014"/>
City New York	State NY	Zip Code 10271-3599
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Transaction ID : 22166863
Name of Employer Securities Industry and Financial Mark		Amount of Each Receipt this Period
Occupation Managing Director		<input type="text" value="9.65"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	
<input type="text" value="231.60"/>		

Full Name (Last, First, Middle Initial) C. Mr. Joseph M. Vaughan		Date of Receipt
Mailing Address 1101 New York Avenue, NW Suite 800		<input type="text" value="11"/> / <input type="text" value="26"/> / <input type="text" value="2014"/>
City Washington	State DC	Zip Code 20005-4279
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Transaction ID : 22166864
Name of Employer Securities Industry and Financial Mark		Amount of Each Receipt this Period
Occupation Vice President		<input type="text" value="38.50"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	
<input type="text" value="770.00"/>		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="125.11"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Stephanie Markiewicz
 Full Name (Last, First, Middle Initial)
 Mailing Address 4672 W Braddock Road
 City Alexandria State VA Zip Code 22311-4755
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Fidelity Capital Markets Occupation Senior Vice President, Federal Governm
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt 12 / 08 / 2014
Transaction ID : 22169213
 Amount of Each Receipt this Period 500.00
 Contribution

B. Michael DiBiase
 Full Name (Last, First, Middle Initial)
 Mailing Address 158 Camden Road
 City Narragansett State RI Zip Code 02882-2123
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Fidelity Investments Occupation SVP, State Government Relations and Pu
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt 12 / 08 / 2014
Transaction ID : 22169215
 Amount of Each Receipt this Period 500.00
 Contribution

C. Edward Canter
 Full Name (Last, First, Middle Initial)
 Mailing Address 64 Ferncroft Road
 City Waban State MA Zip Code 02468-1214
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Fidelity Investments Occupation Financial Advisor
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt 12 / 08 / 2014
Transaction ID : 22169217
 Amount of Each Receipt this Period 1000.00
 Contribution

SUBTOTAL of Receipts This Page (optional)..... ▶ 2000.00
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 29
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Mr. Ram Subramaniam
 Full Name (Last, First, Middle Initial)
 Mailing Address 43 Abbott Road
 City Wellesley State MA Zip Code 02481-7520
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Fidelity Investments Occupation President, Fidelity Brokerage Services
 Receipt For: Primary General Other (specify)

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 16 / 2014
Transaction ID : 22174796
 Amount of Each Receipt this Period
 1000.00
 Contribution

B. Michael J Sonier
 Full Name (Last, First, Middle Initial)
 Mailing Address 4571 Brookridge Drive
 City Center Valley State PA Zip Code 18034-8803
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Fidelity Investments Occupation Executive Vice President
 Receipt For: Primary General Other (specify)

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 18 / 2014
Transaction ID : 22176055
 Amount of Each Receipt this Period
 1000.00
 Contribution

C. Margaret Serravalli
 Full Name (Last, First, Middle Initial)
 Mailing Address 3816 Charles Court
 City Seaford State NY Zip Code 11783-2154
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Fidelity Investments Occupation Executive Vice President
 Receipt For: Primary General Other (specify)

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 22 / 2014
Transaction ID : 22178542
 Amount of Each Receipt this Period
 1000.00
 Contribution

SUBTOTAL of Receipts This Page (optional).....	3000.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)
A. Ronald DePaolo

Mailing Address 19 Mount Pleasant Road

City State Zip Code
Morristown NJ 07960-3356

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Fidelity Investments Technology Management

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
12 / 31 / 2014
Transaction ID : 22184422

Amount of Each Receipt this Period
1000.00

Contribution

Full Name (Last, First, Middle Initial)
B. Andrew S. Duff

Mailing Address 222 S. 9th St.

City State Zip Code
Minneapolis MN 55402-3389

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Piper Jaffray & Co Chairman & CEO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
12 / 31 / 2014
Transaction ID : 22184426

Amount of Each Receipt this Period
1000.00

Contribution

Full Name (Last, First, Middle Initial)
C. Mr. Paul C. Reilly

Mailing Address 880 Carillon Parkway

City State Zip Code
Saint Petersburg FL 33716-1102

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Raymond James Financial, Inc. Chief Executive Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
2500.00

Date of Receipt
12 / 31 / 2014
Transaction ID : 22184428

Amount of Each Receipt this Period
2500.00

Contribution

SUBTOTAL of Receipts This Page (optional)..... ▶ 4500.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Mr. Kenneth E. Bentsen Jr.
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW
 8th Floor
 City Washington State DC Zip Code 20005-4269
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 4808.75

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 10 / 2014
Transaction ID : 22248980
 Amount of Each Receipt this Period
 192.35

B. Ms. Lisa Bleier
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW
 8th Floor
 City Washington State DC Zip Code 20005-4269
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 480.75

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 10 / 2014
Transaction ID : 22248981
 Amount of Each Receipt this Period
 19.23

C. Ms. Kyle Brandon
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271-3599
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director, DOR
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2403.75

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 10 / 2014
Transaction ID : 22248982
 Amount of Each Receipt this Period
 96.15

SUBTOTAL of Receipts This Page (optional)..... ▶ 307.73
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 16 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. David Carson
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director, Member Engagement

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 241.25

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248983

Amount of Each Receipt this Period
9.65

B. Ms Kim Chamberlain
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel, State Gov

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 962.50

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248984

Amount of Each Receipt this Period
38.50

C. Mr Salvatore Chiarelli
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 241.25

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248985

Amount of Each Receipt this Period
9.65

SUBTOTAL of Receipts This Page (optional)..... ▶ 57.80

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. David L Cohen
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
693.00

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248986

Amount of Each Receipt this Period
38.50

B. Ms. Cheryl Crispen
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW
8th Floor

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Executive Vice President, Communicatio

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
2403.75

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248987

Amount of Each Receipt this Period
96.15

C. Ms. Bradley Gaskins Edgell
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
962.50

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248988

Amount of Each Receipt this Period
38.50

SUBTOTAL of Receipts This Page (optional)..... ▶ 173.15

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 18 OF 29
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Tracy Eichler
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
480.75

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248989

Amount of Each Receipt this Period
19.23

B. Ms. Lisa Fues
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
480.75

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248990

Amount of Each Receipt this Period
19.23

C. Marin E. Gibson
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1923.00

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248991

Amount of Each Receipt this Period
76.92

SUBTOTAL of Receipts This Page (optional)..... ▶ 115.38

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 19 OF 29
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms. Nancy Donohoe Lancia
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director, State Govt. Affairs

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 962.50

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248992

Amount of Each Receipt this Period
38.50

B. Theodore Lazo
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Avenue, NW
8th Floor

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 596.50

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248993

Amount of Each Receipt this Period
38.50

C. Mr. Payson R. Peabody
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Avenue, NW
8th Floor

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 962.50

Date of Receipt
12 / 10 / 2014
Transaction ID : 22248994

Amount of Each Receipt this Period
38.50

SUBTOTAL of Receipts This Page (optional)..... ▶ 115.50

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 OF 29
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Mr. Thomas F Price
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271-3599
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1424.02

Date of Receipt 12 / 10 / 2014
Transaction ID : 22248995
 Amount of Each Receipt this Period 76.96

B. Mr. Tom Tierney
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271-3599
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 241.25

Date of Receipt 12 / 10 / 2014
Transaction ID : 22248996
 Amount of Each Receipt this Period 9.65

C. Mr. Joseph M. Vaughan
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Avenue, NW
 Suite 800
 City Washington State DC Zip Code 20005-4279
 Name of Employer Securities Industry and Financial Mark Occupation Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 808.50

Date of Receipt 12 / 10 / 2014
Transaction ID : 22248997
 Amount of Each Receipt this Period 38.50

SUBTOTAL of Receipts This Page (optional).....▶ 125.11
TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Mr. Kenneth E. Bentsen Jr.		Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 24 / 2014
Mailing Address 1101 New York Ave, NW 8th Floor		Transaction ID : 22249000
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 191.25
Name of Employer Securities Industry and Financial Mark	Occupation President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	

Full Name (Last, First, Middle Initial) B. Ms. Lisa Bleier		Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 24 / 2014
Mailing Address 1101 New York Ave, NW 8th Floor		Transaction ID : 22249001
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 19.23
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 499.98	

Full Name (Last, First, Middle Initial) C. Ms. Kyle Brandon		Date of Receipt M M M / D D D / Y Y Y Y Y Y 12 / 24 / 2014
Mailing Address 120 Broadway 35th Floor		Transaction ID : 22249002
City New York	State NY	Zip Code 10271-3599
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 96.15
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director, DOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2499.90	

SUBTOTAL of Receipts This Page (optional).....▶	306.63
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 22 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. David Carson
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director, Member Engagement

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Date of Receipt
12 / 24 / 2014
Transaction ID : 22249003

Amount of Each Receipt this Period
8.75

B. Ms Kim Chamberlain
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel, State Gov

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
12 / 24 / 2014
Transaction ID : 22249004

Amount of Each Receipt this Period
37.50

C. Mr Salvatore Chiarelli
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Date of Receipt
12 / 24 / 2014
Transaction ID : 22249005

Amount of Each Receipt this Period
8.75

SUBTOTAL of Receipts This Page (optional)..... ▶ 55.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 23 OF 29
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. David L Cohen
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271-3599
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 731.50

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 24 / 2014
Transaction ID : 22249006
 Amount of Each Receipt this Period
 38.50

B. Ms. Cheryl Crispen
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW
 8th Floor
 City Washington State DC Zip Code 20005-4279
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Executive Vice President, Communicatio
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2499.90

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 24 / 2014
Transaction ID : 22249007
 Amount of Each Receipt this Period
 96.15

C. Ms. Bradley Gaskins Edgell
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave, NW
 8th Floor
 City Washington State DC Zip Code 20005-4269
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 12 / 24 / 2014
Transaction ID : 22249008
 Amount of Each Receipt this Period
 37.50

SUBTOTAL of Receipts This Page (optional).....▶	172.15
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 24 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Tracy Eichler		Date of Receipt 12 / 24 / 2014 Transaction ID : 22249009
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 19.23
City New York	State NY	
Zip Code 10271-3599		Aggregate Year-to-Date ▼ 499.98
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation Director	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

Full Name (Last, First, Middle Initial) B. Ms. Lisa Fues		Date of Receipt 12 / 24 / 2014 Transaction ID : 22249010
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 19.23
City Washington	State DC	
Zip Code 20005-4269		Aggregate Year-to-Date ▼ 499.98
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation Vice President	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

Full Name (Last, First, Middle Initial) C. Marin E. Gibson		Date of Receipt 12 / 24 / 2014 Transaction ID : 22249011
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 76.92
City New York	State NY	
Zip Code 10271-3599		Aggregate Year-to-Date ▼ 1999.92
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director & Counsel	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

SUBTOTAL of Receipts This Page (optional).....▶	115.38
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 25 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ms. Nancy Donohoe Lancia
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271-3599
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director, State Govt. Affairs
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1000.00**

Date of Receipt **12 / 24 / 2014**
Transaction ID : 22249012
 Amount of Each Receipt this Period **37.50**

B. Theodore Lazo
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Avenue, NW
 8th Floor
 City Washington State DC Zip Code 20005-4279
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **635.00**

Date of Receipt **12 / 24 / 2014**
Transaction ID : 22249013
 Amount of Each Receipt this Period **38.50**

C. Mr. Payson R. Peabody
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Avenue, NW
 8th Floor
 City Washington State DC Zip Code 20005-4279
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1000.00**

Date of Receipt **12 / 24 / 2014**
Transaction ID : 22249014
 Amount of Each Receipt this Period **37.50**

SUBTOTAL of Receipts This Page (optional)..... **113.50**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 26 OF 29
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Mr. Thomas F Price		Date of Receipt
Mailing Address 120 Broadway 35th Floor		<input type="text" value="12"/> / <input type="text" value="24"/> / <input type="text" value="2014"/>
City New York	State NY	Zip Code 10271-3599
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Transaction ID : 22249015
Name of Employer Securities Industry and Financial Mark		Amount of Each Receipt this Period
Occupation Managing Director		<input type="text" value="75.98"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	
<input type="text" value="1500.00"/>		

Full Name (Last, First, Middle Initial) B. Mr. Tom Tierney		Date of Receipt
Mailing Address 120 Broadway 35th Floor		<input type="text" value="12"/> / <input type="text" value="24"/> / <input type="text" value="2014"/>
City New York	State NY	Zip Code 10271-3599
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Transaction ID : 22249016
Name of Employer Securities Industry and Financial Mark		Amount of Each Receipt this Period
Occupation Managing Director		<input type="text" value="8.75"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	
<input type="text" value="250.00"/>		

Full Name (Last, First, Middle Initial) C. Mr. Joseph M. Vaughan		Date of Receipt
Mailing Address 1101 New York Avenue, NW Suite 800		<input type="text" value="12"/> / <input type="text" value="24"/> / <input type="text" value="2014"/>
City Washington	State DC	Zip Code 20005-4279
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Transaction ID : 22249017
Name of Employer Securities Industry and Financial Mark		Amount of Each Receipt this Period
Occupation Vice President		<input type="text" value="38.50"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	
<input type="text" value="847.00"/>		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="123.23"/>
TOTAL This Period (last page this line number only).....▶	<input type="text" value="12175.23"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 27 OF 29
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial) A. Branch Banking & Trust Political Action Committee		Date of Receipt
Mailing Address 150 South Stratford Road Suite 401		<input type="text" value="12"/> / <input type="text" value="08"/> / <input type="text" value="2014"/>
City Winston-Salem	State NC	Zip Code 27104
FEC ID number of contributing federal political committee.	<input type="text" value="C"/> <input type="text" value="C00075291"/>	Transaction ID : 22169209
Name of Employer	Occupation	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	<input type="text" value="5000.00"/>
		Contribution

Full Name (Last, First, Middle Initial) B. Principal Financial Group PAC		Date of Receipt
Mailing Address 711 High Street		<input type="text" value="12"/> / <input type="text" value="29"/> / <input type="text" value="2014"/>
City Des Moines	State IA	Zip Code 50392
FEC ID number of contributing federal political committee.	<input type="text" value="C"/> <input type="text" value="C00128918"/>	Transaction ID : 22178540
Name of Employer	Occupation	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	<input type="text" value="2000.00"/>
		Contribution

Full Name (Last, First, Middle Initial) C. HSBC Political Action Committee		Date of Receipt
Mailing Address 26525 N. Riverwoods Blvd.		<input type="text" value="12"/> / <input type="text" value="29"/> / <input type="text" value="2014"/>
City Mettawa	State IL	Zip Code 60045
FEC ID number of contributing federal political committee.	<input type="text" value="C"/> <input type="text" value="C00033423"/>	Transaction ID : 22179709
Name of Employer	Occupation	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	<input type="text" value="2500.00"/>
		Contribution

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="9500.00"/>
TOTAL This Period (last page this line number only).....▶	<input type="text" value="9500.00"/>

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 OF 29
(check only one)

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input checked="" type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)
A. Securities Industry and Financial Markets Association

Mailing Address 120 Broadway
Floor 35

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
4299.58

Date of Receipt
M M / D D / Y Y Y Y Y Y
12 / 29 / 2014

Transaction ID : 22178539

Amount of Each Receipt this Period
104.09

Reimbursement of Bank Fees

Full Name (Last, First, Middle Initial)
B.

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt
M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)
C.

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt
M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).....▶	104.09
TOTAL This Period (last page this line number only).....▶	104.09

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement
Credit Card Fee

001

Candidate Name

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y
12 / 02 / 2014

Transaction ID : 22261684

Amount of Each Disbursement this Period

76.61

Credit Card Fee

Full Name (Last, First, Middle Initial)

B. PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement
Bank Fees

001

Candidate Name

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y
12 / 02 / 2014

Transaction ID : 22261688

Amount of Each Disbursement this Period

27.48

Bank Fees

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ▶

104.09

TOTAL This Period (last page this line number only)..... ▶

104.09