**FEC FORM 3X**

# REPORT OF RECEIPTS AND DISBURSEMENTS

**For Other Than An Authorized Committee**

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

Chicago Board Options Exchange/PAC

ADDRESS (number and street) ▼ 400 S. LaSalle Street

Check if different than previously reported. (ACC)

Chicago | IL | 60605

2. **FEC IDENTIFICATION NUMBER** ▼ C00100693

CITY ▲ STATE ▲ ZIPCODE ▲

3. IS THIS REPORT — NEW (N) **OR** X AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report(Q1)
- July 15 Quarterly Report(Q2)
- October 15 Quarterly Report(Q3)
- January 31 Quarterly Report(YE)
- July 31 Mid-Year Report(Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

| | | | |
|---|---|---|---|
| Feb 20 (M2) | May 20 (M5) | Aug 20 (M8) | Nov 20 (M11) (Non-Election Year Only) |
| Mar 20 (M3) | Jun 20 (M6) | X Sep 20 (M9) | Dec 20 (M12) (Non-Election Year Only) |
| Apr 20 (M4) | Jul 20 (M7) | Oct 20 (M10) | Jan 31 (YE) |

(c) 12-Day **PRE**-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12G)

Election on in the State of

(d) 30-Day **Post**-Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 08 01 2004 through 08 31 2004

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Alan J. Dean

Signature of Treasurer Electronically Filed by Alan J. Dean Date 01 06 2005

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

| Office Use Only | | | | | | | |
|---|---|---|---|---|---|---|---|

**FEC FORM 3X**
(Rev. 02/2003)

# SUMMARY PAGE
## OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)



Write or Type Committee Name
Chicago Board Options Exchange/PAC

Report Covering the Period: From: 08 01 2004 To: 08 31 2004

| | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|---|---|---|
| 6. (a) Cash on Hand January 1 2004 | | 308259.18 |
| (b) Cash on Hand at Begining of Reporting Period ..... | 250897.42 | |
| (c) Total Receipts (from Line 19) ..... | 2401.69 | 33039.93 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) ..... | 253299.11 | 341299.11 |
| 7. Total Disbursements (from Line 31) ..... | 9500.00 | 97500.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) ..... | 243799.11 | 243799.11 |
| 9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |
| 10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

# DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Rev. 02/2003)



Write or Type Committee Name

Chicago Board Options Exchange/PAC

Report Covering the Period: From: 08 01 2004 To: 08 31 2004

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A) | 2000.00 | |
| (ii) Unitemized | 200.00 | |
| (iii) TOTAL (add Lines 11(a)(i) and (ii)) ▶ | 2200.00 | 31900.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) ▶ | 2200.00 | 31900.00 |
| 12. Transfers From Affiliated/Other Party Committees | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | 201.69 | 1139.93 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3) | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfer (add 18(a) and 18(b)). | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) | 2401.69 | 33039.93 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) | 2401.69 | 33039.93 |

# DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)



| II. DISBURSEMENTS | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 21. Operating Expenditures: | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶ | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 9500.00 | 97500.00 |
| 24. Independent Expenditure (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 |
| 26. Loan Repayments Made | 0.00 | 0.00 |
| 27. Loans Made | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶ | 0.00 | 0.00 |
| 29. Other Disbursements | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C 431(20)) | | |
| (a) Shared Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) "Levin" Share | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) | 9500.00 | 97500.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31) | 9500.00 | 97500.00 |

# DETAILED SUMMARY PAGE

of Disbursements



| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 33. Total Contributions (other than loans) from Line 11(d), page 3) ..... | 2200.00 | 31900.00 |
| 34. Total Contribution Refunds (from Line 28(d)) ..... | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) ..... | 2200.00 | 31900.00 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) ..... | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... | 0.00 | 0.00 |

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 9
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Chicago Board Options Exchange/PAC

**A.**
Full Name (Last, First, Middle Initial)
AMORY HOUGHTON
Mailing Address P. O. BOX 1107
City: Corning State: NY Zip Code: 14830-
FEC ID number of contributing federal political committee: C
Name of Employer
Occupation
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1000.00

Date of Receipt: 08 20 2004
Transaction ID: 1020200438C1698
Amount of Each Receipt this Period: 1000.00
Receipt

**B.**
Full Name (Last, First, Middle Initial)
Terry Moran
Mailing Address 832 W. Belden
City: Chicago State: IL Zip Code: 60614-
FEC ID number of contributing federal political committee: C
Name of Employer: RONNIN CAPITAL
Occupation: Trader
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 1000.00

Date of Receipt: 08 23 2004
Transaction ID: 1019200438C1691
Amount of Each Receipt this Period: 1000.00
Receipt

SUBTOTAL of Receipts This Page (optional) ..... ▶ 2000.00

TOTAL This Period (last page this line number only) ..... ▶ 2000.00

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 9
(check only one)
☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

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NAME OF COMMITTEE (In Full)
Chicago Board Options Exchange/PAC

A. Full Name (Last, First, Middle Initial)
Harris Bank

Mailing Address P. O. Box 755

City: Chicago
State: IL
Zip Code: 60690-

FEC ID number of contributing federal political committee: C

Name of Employer

Occupation

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1139.93

Date of Receipt
M M / D D / Y Y Y Y
08 31 2004

Transaction ID: 1020200453C1695

Amount of Each Receipt this Period
201.69

Other Receipt

| | |
|---|---|
| SUBTOTAL of Receipts This Page (optional) ..... ▶ | 201.69 |
| TOTAL This Period (last page this line number only) ..... ▶ | 201.69 |

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

| | | | | | |
|---|---|---|---|---|---|
| ☐ 21b | ☐ 22 | ☒ 23 | ☐ 24 | ☐ 25 | ☐ 26 |
| ☐ 27 | ☐ 28a | ☐ 28b | ☐ 28c | ☐ 29 | ☐ 30b |



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NAME OF COMMITTEE (In Full)
Chicago Board Options Exchange/PAC

**A.**
Full Name (Last, First, Middle Initial): Martin Frost
Mailing Address: 400 Zang #144
City: Dallas State: TX Zip Code: 75208-
Purpose of Disbursement: 2004 HOUSE (D- DIIST 24TH-TX)
Candidate Name:
Category/Type: 2004 HOUSE (D- DIIST 24TH-TX)
Office Sought: House / Senate / President / State: District
Disbursement For: Primary / X General / Other (specify)
Transaction ID: 10192D0442E1072
Date of Disbursement: 08 / 13 / 2004
Amount of Each Disbursement this Period: 500.00

**B.**
Full Name (Last, First, Middle Initial): PATTY MURRAY
Mailing Address: P.O. BOX 3662
City: Seattle State: WA Zip Code: 98124-
Purpose of Disbursement: 2004 SENATE DEMOCRAT (D-WA)
Candidate Name:
Category/Type: 2004 SENATE DEMOCRAT (D - WA)
Office Sought: House / Senate / President / State: District
Disbursement For: Primary / X General / Other (specify)
Transaction ID: D8202D0442E1034
Date of Disbursement: 08 / 10 / 2004
Amount of Each Disbursement this Period: 1000.00

**C.**
Full Name (Last, First, Middle Initial): Harry Reid
Mailing Address: 422 C. Street, NE Lower Level
City: Washington State: DC Zip Code: 20002-
Purpose of Disbursement: 2004 SENATE DEMOCRAT (D-NV)
Candidate Name:
Category/Type: 2004 SENATE DEMOCRAT (D-N-V)
Office Sought: House / Senate / President / State: District
Disbursement For: Primary / X General / Other (specify)
Transaction ID: 1019200442E1073
Date of Disbursement: 08 / 13 / 2004
Amount of Each Disbursement this Period: 4000.00

SUBTOTAL of Disbursements This Page (optional) ..... ▶ **5500.00**

TOTAL This Period (last page this line number only) ..... ▶

# SCHEDULE B (FEC Form 3X)
## ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

| | | | | | |
|---|---|---|---|---|---|
| ☐ 21b | ☐ 22 | ☒ 23 | ☐ 24 | ☐ 25 | ☐ 26 |
| ☐ 27 | ☐ 28a | ☐ 28b | ☐ 28c | ☐ 29 | ☐ 30b |



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NAME OF COMMITTEE (In Full)
Chicago Board Options Exchange/PAC

**A.**
Full Name (Last, First, Middle Initial): Arlen Spector
Mailing Address: 426 C street NE Rear Building
City: Washington State: DC Zip Code: 20002-
Purpose of Disbursement: 2004 (R-PA) SENATE REPUBLICIAN
Candidate Name
Category/Type: 2004 (R-PA) SENATE REPUBLICIAN
Office Sought: House / Senate / President / State: District
Disbursement For: Primary / X General / Other (specify)
Transaction ID: 10192D0442E1076
Date of Disbursement: 08 / 02 / 2004
Amount of Each Disbursement this Period: 2000.00

**B.**
Full Name (Last, First, Middle Initial): Heather Wilson
Mailing Address: P.O. Box 14070
City: Albuquerque State: NM Zip Code: 87191-
Purpose of Disbursement: 2004 GENERAL (R-DIST #1, HOUSE -NM)
Candidate Name
Category/Type: 2004 GENERAL (R-DIST #1, HOUSE -NM)
Office Sought: House / Senate / President / State: District
Disbursement For: Primary / X General / Other (specify)
Transaction ID: 10192D0442E1074
Date of Disbursement: 08 / 23 / 2004
Amount of Each Disbursement this Period: 2000.00

| | |
|---|---|
| SUBTOTAL of Disbursements This Page (optional) ..... ▶ | 4000.00 |
| TOTAL This Period (last page this line number only) ..... ▶ | 9500.00 |