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## **FEC** FORM 3X

# **REPORT OF RECEIPTS** AND DISBURSEMENTS

	For Other Than An Aut	norized Committee	Office Use Only				
NAME OF     COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5				
The Caterpillar Inc. En	nployee Political Action	on Committee					
ADDRESS (number and street)	100 N.E. Adams Street						
▼ Check if different							
than previously reported. (ACC)	Peoria	Peoria   IL   61629-1430   -					
2. FEC IDENTIFICATION N	JMBER ▼ CIT	ΓY <b>▲</b>	STATE ▲ ZIP CODE ▲				
C C00148031		S THIS NEW (N) C	R AMENDED (A)				
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 ( 20 (M3) Jun 20 (M	(Non-Election Year Only)				
(a) Quarterly Reports:			(Non-Election Year Only)				
April 15 Quarterly Report (0		20 (M4) <b>x</b> Jul 20 (M	7) Oct 20 (M10) Jan 31 (YE)				
July 15 Quarterly Report (C	(c) 12-Day	Primary (12P)	General (12G) Runoff (12R)				
October 15	Report for the:	Convention (12C)	Special (12S)				
Quarterly Report (C January 31 Year-End Report (Y	FI	on on	in the State of				
July 31 Mid-Year Report (Non-electio Year Only) (MY)	(d) 30-Day	General (30G)	Runoff (30R) Special (30S)				
Termination Report (TER)		on on	in the State of				
5. Covering Period 06	M / D D / Y Y Y Y Y Y S S S S S S S S S S S S S	through 06	M / D D / Y Y Y Y Y 30 30 2020				
I certify that I have examined th	is Report and to the best of James, Danielle, , ,	my knowledge and belief it i	s true, correct and complete.				
Type or Print Name of Treasure	or						
Signature of Treasurer	es, Danielle, , ,	[Electronically Filed]	Date 07 13 / Y Y Y Y Y Y 2020				
NOTE: Submission of false, erron	eous, or incomplete informatio	n may subject the person signi	ng this Report to the penalties of 52 U.S.C. § 3010				
Office			FEC FORM 3X				
Use Only			Rev. 05/2016				

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

#### The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: Mod / 01 / 2020 To: Mod / 30 / 2020

		COLUMN A This Period	COLUMN B Calendar Year-to-Date			
6.	(a) Cash on Hand January 1, 2020		432423.22			
	(b) Cash on Hand at Beginning of Reporting Period	552249.70				
	(c) Total Receipts (from Line 19)	57455.37	364107.60			
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	609705.07	796530.82			
7.	Total Disbursements (from Line 31)	137214.40	324040.15			
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	472490.67	472490.67			
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

#### The Caterpillar Inc. Employee Political Action Committee

01 2020 06 30 2020 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 47036.90 233274.22 (i) Itemized (use Schedule A)..... 10413.59 130788.10 (ii) Unitemized ..... (iii) TOTAL (add 364062.32 57450.49 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees ..... (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 364062.32 57450.49 Totals to Line 33, page 5) .....▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other 0.00 0.00 Political Committees..... 17. Other Federal Receipts 45.28 (Dividends, Interest, etc.)..... 4.88 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 (from Schedule H3)..... 0.00 0.00 0.00 (b) Levin Funds (from Schedule H5) ....... (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 364107.60 57455.37 20. Total Federal Receipts 57455.37 364107.60 (subtract Line 18(c) from Line 19) .......▶

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
I. Operating Expenditures: —  (a) Allocated Federal/Non-Federal  Activity (from Schedule H4)		Caronaa. Tour to Date
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating	1110	00.45
Expenditures(c) Total Operating Expenditures	14.40	90.15
(add 21(a)(i), (a)(ii), and (b))▶	14.40	90.15
Transfers to Affiliated/Other Party Committees	0.00	0.00
Contributions to Federal Candidates/Committees and Other Political Committees	100000.00	217000.00
Independent Expenditures (use Schedule E)	0.00	0.00
Coordinated Party Expenditures (52 U.S.C. § 30116(d))	0.00	0.00
(use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
Loans MadeRefunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	1750.00
(b) Political Party Committees	0.00	0.00
(such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	1750.00
Other Disbursements (Including		
Non-Federal Donations)	37200.00	105200.00
Federal Election Activity (52 U.S.C. § 30101(20)  (a) Allocated Federal Election Activity (from Schedule H6)  (i) Federal Share	0.00	0.00
(ii) III oviali Chara		
(ii) "Levin" Share	0.00	0.00
Entirely With Federal Funds	0.00	0.00
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	137214.40	324040.15
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	137214.40	324040.15
,	137214.40	324040.19

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016) III. Net Contributions/

**Operating Expenditures** 

(from Line 11(d), page 3) .....

(from Line 28(d)).....

(subtract Line 34 from Line 33) .....

(add Line 21(a)(i) and Line 21(b)) .......▶

(from Line 15, page 3).....

(subtract Line 37 from Line 36) ......

33. Total Contributions (other than loans)

35. Net Contributions (other than loans)

36. Total Federal Operating Expenditures

37. Offsets to Operating Expenditures

38. Net Operating Expenditures

34. Total Contribution Refunds

Page 5 **COLUMN A** COLUMN B **Total This Period** Calendar Year-to-Date 57450.49 364062.32 0.00 1750.00 362312.32 57450.49 14.40 90.15 0.00 0.00 14.40 90.15

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER, DACE Use separate schedule(s)

FOR LINE NUMBER.				FAGE	-	O	OF	101		
(	(check only one)									
	X	11a		11b		11c		12	2	
		13		14		15		16	6	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408429 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 122.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **WW Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 734.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Abston, Trey, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408114 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 62.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 376.62 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Aiken, Kathryn, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408409 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) 601.83 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 7 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 2020 City Zip Code State Transaction ID: A2020-1334631 TX Northlake 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing C 40.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 484.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 18 2020 City State Zip Code Transaction ID: A2020-1400701 Northlake TX 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing 40.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 524.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alger, Matt, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408610 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 161.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 968.04 Other (specify) 242.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 8 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Allen, Ali,,, Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-1408345 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. HR Shared Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Amengual, Gilbert, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 2020 City State Zip Code Transaction ID: A2020-1408206 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir of Mktg & Product Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ames, Sean, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407619 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 166.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.50 Other (specify) 266.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 9 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Mark, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2020 City Zip Code State Transaction ID: A2020-1407812 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 252.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Mat, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City State Zip Code Transaction ID: A2020-1408171 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 199.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1194.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Anderson, Michael, , , Date of Receipt Mailing Address 7015 Ray Nash Dr Nw 04 2020 City Zip Code State Transaction ID: A2020-1339206 WA Gig Harbor 98335-5923 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 266.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF 161 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Michael, , , Date of Receipt Mailing Address 7015 Ray Nash Dr Nw 18 2020 City Zip Code State Transaction ID: A2020-1397462 WA Gig Harbor 98335-5923 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Armburger, Jay, , , Date of Receipt Mailing Address 100 Tractor Drive 2020 **Building CV** City State Zip Code Transaction ID: A2020-1408323 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Armistead, Tom, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-1408286 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 40.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts & Svc Mktg Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 241.26 Other (specify) 115.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF 161 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Arvin, Chris, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1407917 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global People & Org Dev Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Aylwin, Carlos, A, , Date of Receipt Mailing Address 2200 Pacific Highway 2020 City State Zip Code Transaction ID: A2020-1408053 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr International Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bailey, Doug, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1407948 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 149.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Territory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 897.72 Other (specify) 224.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1407853 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baldwin, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408297 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 38.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 231.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Balkema, Chris, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2020 PO Box 348 City State Zip Code Transaction ID: A2020-1408470 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 169.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Supply Mgr III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1016.34 Other (specify) 307.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Balmer Millar, Lou, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1407943 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Baluis, Vic, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2020 City State Zip Code Transaction ID: A2020-1407841 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bankes, Chester, , , Date of Receipt Mailing Address 3701 South Street 30 2020 City State Zip Code Transaction ID: A2020-1408631 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 66.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 395.30 Other (specify) 166.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408365 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 122.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 734.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Beals, Andy, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-1407995 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 55.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 330.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Beasley, George, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1407554 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Underwriter** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 227.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 15 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beck, Brett, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-1408240 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) EHS Manager I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Becker, Chaille, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-1407587 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Technology Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Belcher, Jeff, , , Date of Receipt Mailing Address 501 Cardinal Drive 30 2020 City State Zip Code Transaction ID: A2020-1407969 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 16 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beldock, Teresa, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-1408194 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 92.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Material Req Planning Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 556.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Belkin, Tony, , , Date of Receipt Mailing Address 540 W. Madison Street 2020 Floor 3 City State Zip Code Transaction ID: A2020-1408413 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Platform Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bennes, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1408636 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 227.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1365.78 Other (specify) 395.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Benz, Kevin, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1407670 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 55.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 331.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Birkel, Lindsey, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-1407928 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blank, James, R, Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID: A2020-1407609 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 46.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 277.62 Other (specify) 176.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 18 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blichmann, Mary Ellen, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City Zip Code State Transaction ID: A2020-1407724 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NPI Program Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bloch, Stephen, E, , Date of Receipt Mailing Address 3701 South Street 2020 City State Zip Code Transaction ID: A2020-1407649 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 101.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 610.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blum, Mary, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1408151 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 94.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Programs Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 566.88 Other (specify) 246.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 19 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blunier, Dave, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2020 City State Zip Code Transaction ID: A2020-1408142 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Technologist-Test/Valid Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bowman, Greg, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-1407878 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 60.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lease Services Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 361.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Boyd, Bryan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1407872 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 150.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Braun, Eric, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1408048 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 80.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Breitschwerdt, Otto, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2020 City State Zip Code Transaction ID: A2020-1407847 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager BCP Loaders Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bromm, Jaron, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1408269 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 216.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1299.54 Other (specify) 713.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 21 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brooks, Jen, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1407611 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 59.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 356.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2020 City State Zip Code Transaction ID: A2020-1408145 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 149.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 894.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Butikofer, Mark, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-1408453 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 66.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Program Mgmt Developer III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.20 Other (specify) 275.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 22 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 2020 City Zip Code State Transaction ID: A2020-1339210 VA Roanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing C 39.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 478.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 18 2020 City State Zip Code Transaction ID: A2020-1397466 VA Roanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing 39.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 518.31 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Caffey, Shomari, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-1408392 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 39.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Developer Receipt For: Aggregate Year-to-Date ▼ Primary General 234.60 Other (specify) 118.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 23 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Calamari, Michael, A,, Date of Receipt Mailing Address 1167 NC Hwy 42 East 2020 City Zip Code State Transaction ID: A2020-1407835 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 18.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 265.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Callear, Brian, , , Date of Receipt Mailing Address 108 Corporate Court 2020 City State Zip Code Transaction ID: A2020-1408541 SC Hodges 29653 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cansler, Lori, , , Date of Receipt Mailing Address 600 Balderson Blvd 30 2020 PO Box 6 City State Zip Code Transaction ID: A2020-1408098 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 45.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Communications Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 272.04 Other (specify) 113.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 24 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carney, Rob, , , III Date of Receipt Mailing Address 600 S. Second Street Suite 101 2020 City State Zip Code Transaction ID: A2020-1408204 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing C 155.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 931.44 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carr, Ron, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1407780 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 120.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Infrastructure Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 725.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carroll, Troy, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407850 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 61.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Support Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 348.39 Other (specify) 337.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 25 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408182 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 209.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1255.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cassidy, Dena, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City State Zip Code Transaction ID: A2020-1408106 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 37.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Change Control Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 226.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chen, Leo, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 30 2020 City State Zip Code Transaction ID: A2020-1408563 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 182.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 1092.24 Other (specify) 429.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chu, Maggie, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1408410 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Segment HR Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clements, Mary, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-1407774 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digtl & Glb Transformation Dir Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cobb, Daniel, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-1408081 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Packaging Ops Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 260.80 Other (specify) 616.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 27 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cochran, Wayne, , , Date of Receipt Mailing Address 3701 South Street 2020 City Zip Code State Transaction ID: A2020-1408188 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 44.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Facilities Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 254.79 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cole, Keri, , , Date of Receipt Mailing Address 1600 Progress Drive 2020 P.O. Box 1037 City State Zip Code Transaction ID: A2020-1408156 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2500.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Coleman, Jerry, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2020 City State Zip Code Transaction ID: A2020-1407706 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) 710.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Combs, Miles, , , Date of Receipt Mailing Address 6611 Hoke Road 2020 City Zip Code State Transaction ID: A2020-1408294 OH Clayton 45315 Amount of Each Receipt this Period FEC ID number of contributing C 43.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Logistics Operations Mgr III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 260.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cone, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1407921 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 126.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Comp Sr. Project Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 759.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Conley, Patrick, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1407669 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lean Transactional Sr. Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 220.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 29 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coon, Korey, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2020 City Zip Code State Transaction ID: A2020-1407889 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager MHE/STTT Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Corcoran, Jarrett, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408438 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Comp Project Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 320.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Covey, Kristen, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408479 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Corporate FP&A Svcs Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 153.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Craig, Curtis, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City Zip Code State Transaction ID: A2020-1407648 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 51.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 309.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Creech, Paul, , , Date of Receipt Mailing Address 111 Alewine Drive 2020 City State Zip Code Transaction ID: A2020-1339200 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Creech, Paul, , , Date of Receipt Mailing Address 111 Alewine Drive 18 2020 City State Zip Code Transaction ID: A2020-1397456 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 91.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 31 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 2020 City Zip Code State Transaction ID: A2020-1334628 IN **New Castle** 47362 Amount of Each Receipt this Period FEC ID number of contributing C 35.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 424.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 18 2020 City State Zip Code Transaction ID : A2020-1400698 **New Castle** IN 47362 Amount of Each Receipt this Period FEC ID number of contributing 35.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 459.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Crowell, Ti, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1407644 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 93.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 559.62 Other (specify) 164.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Daniell, Bruce, , , Date of Receipt Mailing Address 2500 N. 22nd Street 2020 City Zip Code State Transaction ID: A2020-1408067 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 63.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 380.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Davidson, Janet, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City State Zip Code Transaction ID: A2020-1408219 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 54.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Accounts Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 328.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Davis, Ryan, , , Date of Receipt Mailing Address 715 Shasta Drive 04 2020 City State Zip Code Transaction ID: A2020-1339196 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Opers Wheel Axle Bearings Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 138.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davis, Ryan, , , Date of Receipt Mailing Address 715 Shasta Drive 18 2020 City Zip Code State Transaction ID: A2020-1397452 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Opers Wheel Axle Bearings **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Davis, Tim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1407689 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Daw, Andy, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID: A2020-1407565 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) 270.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Deckard, Mitch, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2020 City State Zip Code Transaction ID: A2020-1407700 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Denis, Andrew, , , Date of Receipt Mailing Address 4-H Park Road 2020 P O Box 740 City State Zip Code Transaction ID: A2020-1408234 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 43.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 263.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Diccion, Amory, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2020 PO Box 348 City State Zip Code Transaction ID: A2020-1408016 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 138.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 35 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dickerson, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2020 City Zip Code State Transaction ID: A2020-1408001 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 47.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 287.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Doherty, Chris, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2020 PO Box 1430 City State Zip Code Transaction ID: A2020-1408136 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 43.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 272.97 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Driscoll, Jennifer, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408417 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Investor Relations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 141.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dudek, Nicholas, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 City Zip Code State Transaction ID: A2020-1408233 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 46.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supplier Development Eng III Receipt For: Aggregate Year-to-Date ▼ Primary General 278.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408282 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Healthcare Design Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunbar, Nathan, , , Date of Receipt Mailing Address 3701 South Street 30 2020 City State Zip Code Transaction ID: A2020-1408502 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 166.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 987.62 Other (specify) 288.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duncan, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408657 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Duzinskas, Deb, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1407697 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Earle, Kevin, C, Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1408596 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 155.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Easley, Will, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408003 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1408604 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 237.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1426.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 04 2020 City State Zip Code Transaction ID: A2020-1339208 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing C 71.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 837.48 Other (specify) 358.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 18 2020 City Zip Code State Transaction ID: A2020-1397464 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing C 71.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 908.57 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. English, Paul, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 2020 City State Zip Code Transaction ID: A2020-1408571 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 59.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 354.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Evans, Josh, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1408084 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 67.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Asset Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 406.50 Other (specify) 197.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Evans, Mike, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-1407981 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 171.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1029.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Evenson, Eric, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City State Zip Code Transaction ID: A2020-1408320 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 57.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 347.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ewing, Charles, , , Date of Receipt Mailing Address 1667 Chalone Court 04 2020 City State Zip Code Transaction ID: A2020-1339216 IN Crown Point 46307 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 254.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ewing, Charles, , , Date of Receipt Mailing Address 1667 Chalone Court 18 2020 City Zip Code State Transaction ID: A2020-1397472 Crown Point IN 46307 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fassino, Tony, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2020 Suite 400 City State Zip Code Transaction ID: A2020-1407851 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 252.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ferguson, Michael, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408070 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 46.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Product Service Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 281.40 Other (specify) 113.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ferguson, Steve, M,, Date of Receipt Mailing Address 6800 Doerr Lane 2020 City Zip Code State Transaction ID: A2020-1407709 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Feucht, Joel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1408663 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Engine Systems Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 535.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Filer, Matt, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-1408222 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 555.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Finn, Bart, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1407708 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 164.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 988.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Flaherty, Douglas, , , Date of Receipt Mailing Address 4508 Starlite Ln 2020 City State Zip Code Transaction ID: A2020-1339202 KY Louisville 40291 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Flaherty, Douglas, , , Date of Receipt Mailing Address 4508 Starlite Ln 18 2020 City Zip Code State Transaction ID: A2020-1397458 KY Louisville 40291 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 204.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flannery, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408000 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 180.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1085.58 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Foster, Brad, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID: A2020-1408191 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Foster, Forrest, , , Date of Receipt Mailing Address 3250 E HWY 44 30 2020 Suite 200 City State Zip Code Transaction ID: A2020-1408279 SD Rapid City 57703 Amount of Each Receipt this Period FEC ID number of contributing C 44.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General 265.08 Other (specify) 275.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Steve, S,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1407905 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 70.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Enterprise Architect** Receipt For: Aggregate Year-to-Date ▼ Primary General 422.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fox, Isaac, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1408102 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Systems Application Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 218.70 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Frake, Tom, G, Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407856 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 497.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Friend, Paul, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1408275 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 61.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 371.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frohberg, Dave, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City State Zip Code Transaction ID: A2020-1408664 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gannon, Fred, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1408407 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 39.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Analyst III - Tech Infra Receipt For: Aggregate Year-to-Date ▼ Primary General 237.90 Other (specify) 151.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 47 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Garman, Scott, , , Date of Receipt Mailing Address 3971 S Chana Rd 2020 City Zip Code State Transaction ID: A2020-1339218 IL Chana 61015-9770 Amount of Each Receipt this Period FEC ID number of contributing C 40.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 484.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Garman, Scott, , , Date of Receipt Mailing Address 3971 S Chana Rd 18 2020 City State Zip Code Transaction ID: A2020-1397474 IL Chana 61015-9770 Amount of Each Receipt this Period FEC ID number of contributing 40.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 524.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gaw, Matt, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-1408592 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM Network Solutions & Spt Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 155.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Geisz, John, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-1408606 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Prove Design Supervisor III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gentry-Stuenkel, Wendi, , , Date of Receipt Mailing Address 540 W. Madison Street 2020 Floor 3 City State Zip Code Transaction ID: A2020-1408415 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Integrated Logistics** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. George, Brian, W., Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1407630 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 566.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Giesfeldt, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2020 City Zip Code State Transaction ID: A2020-1408311 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 187.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1123.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gilbert, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408461 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 57.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Glb Category Procurement Mgr I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 458.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ginzel, Jon, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 30 2020 City Zip Code State Transaction ID: A2020-1407809 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 113.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr Central Human Resources Receipt For: Aggregate Year-to-Date ▼ Primary General 681.36 Other (specify) 358.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Girard, Kristin, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408432 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Glawe, Regg,,, Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg E City State Zip Code Transaction ID : A2020-1407651 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 180.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1083.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gorman, Chris, , , Date of Receipt Mailing Address 954 NC Highway 42 East 30 2020 City Zip Code State Transaction ID: A2020-1408265 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 151.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 911.40 Other (specify) 749.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Green, Leah, , , Date of Receipt Mailing Address 1600 Progress Drive P.O. Box 1037 2020 City State Zip Code Transaction ID: A2020-1408341 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Greuel, Matthew, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-1408229 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 65.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 395.46 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Guilford, Jerome, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City Zip Code State Transaction ID: A2020-1407737 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager BHL/TH Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) 365.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gusso, Rodrigo, , , Date of Receipt Mailing Address 701 Waterford Way Suite 600 2020 City State Zip Code Transaction ID: A2020-1408104 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gustafson, Ryan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1407901 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 120.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Excavator Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 601.65 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gutgesell, Peg, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408643 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 164.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal IT Architect Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 989.28 Other (specify) 315.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hall, Donald, R,, Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1407552 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hall, John, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-1408601 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 48.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Technical Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 291.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Halloran, Jim, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407660 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 180.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1084.08 Other (specify) 304.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hanback, Corey, B, , Date of Receipt Mailing Address 11601 93rd Ave N 2020 City Zip Code State Transaction ID: A2020-1407557 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 160.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **WW Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 960.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hardin, Eric, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1407930 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hargis, Keith, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1408327 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Facilities Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harris, Lester, , , Date of Receipt Mailing Address 600 Balderson Blvd PO Box 6 2020 City State Zip Code Transaction ID: A2020-1408096 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 40.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Production Scheduler 1** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 241.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 2020 City State Zip Code Transaction ID: A2020-1339217 Fort Worth TX 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 18 2020 City State Zip Code Transaction ID: A2020-1397473 TX Fort Worth 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 240.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Andrea, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1408489 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 165.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 993.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hartmann, Melissa, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg L City State Zip Code Transaction ID: A2020-1408164 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 48.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **EHS Professional II** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 290.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 04 2020 City State Zip Code Transaction ID: A2020-1339195 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 200.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 2397.20 Other (specify) 414.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 18 2020 City Zip Code State Transaction ID: A2020-1397451 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 200.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP/CMO LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 2597.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hays, Katie, , , Date of Receipt Mailing Address Suite 400 2020 1425 K Street City State Zip Code Transaction ID: A2020-1408308 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 188.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Int'l Fed & Govt Affairs Dir Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1130.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Heavrin, Katie, , , Date of Receipt Mailing Address 1720 W Kingsbury 30 2020 City State Zip Code Transaction ID: A2020-1408152 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 438.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heiar, Keith, J,, Date of Receipt Mailing Address 501 SW Jefferson 2020 City Zip Code State Transaction ID: A2020-1407810 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 163.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 978.18 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Heilman, Jerimy, , , Date of Receipt Mailing Address 100 Tractor Drive 2020 Building CV Dock CV City State Zip Code Transaction ID: A2020-1408225 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 37.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 226.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Henderson, Dan, E, Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID : A2020-1407713 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Innovation & Emerging Tech Dtr Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) 400.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Henley, Dave, , , Date of Receipt Mailing Address 1627 Veterans Memorial Pkwy E 2020 City Zip Code State Transaction ID: A2020-1408595 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Logistics Node Manager III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henry, Michael, , , Date of Receipt Mailing Address 1904 South First Street 2020 2nd Floor City State Zip Code Transaction ID: A2020-1408023 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing 95.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 575.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hepler, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 **Building CV** City State Zip Code Transaction ID : A2020-1408162 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 195.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Herbert, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1407577 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Herman, Jason, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-1408126 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 63.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 383.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID : A2020-1408430 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 177.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Integrated Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1067.16 Other (specify) 291.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408659 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 417.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hillman, Jonathan, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID: A2020-1408465 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 213.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1278.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hindert, Brad, , , Date of Receipt Mailing Address Route 29 & Old Galena Road 30 2020 Dock 55 City State Zip Code Transaction ID: A2020-1407769 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Technologist - Design Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 327.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hitchcox, Stephanie, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-1408190 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 49.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chn Performance Engr 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 298.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hodges, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1407926 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Learning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hoefft, Tim, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 30 2020 Tech Center Bldg K City State Zip Code Transaction ID: A2020-1407837 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Materials Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 149.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoeft, David, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City Zip Code State Transaction ID: A2020-1408207 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 187.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1126.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hoepfinger, Dean, , , Date of Receipt Mailing Address 2901 Gateway Blvd. 2020 City State Zip Code Transaction ID : A2020-1408267 TX Waco 76712 Amount of Each Receipt this Period FEC ID number of contributing 51.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 310.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Holland, Sally, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1407718 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 66.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Receivables Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 398.28 Other (specify) 305.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hollingshead-Cook, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408129 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Husar, Lucian, M, , Date of Receipt Mailing Address 9401 85Th Avenue North 2020 City State Zip Code Transaction ID: A2020-1407990 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hutt, Joe, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City Zip Code State Transaction ID: A2020-1407804 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 178.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1073.82 Other (specify) 278.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City Zip Code State Transaction ID: A2020-1407694 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 195.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 1170.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. James, Danielle, , , Date of Receipt Mailing Address Suite 400 2020 1425 K Street City State Zip Code Transaction ID: A2020-1408361 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 72.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Political Action Committee Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 436.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408548 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 220.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1324.98 Other (specify) 488.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Brad, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1407613 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr Adv Comp Mfg Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-1408398 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Human Resources Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Craig, , , Date of Receipt Mailing Address 2200 Pacific Highway 30 2020 City State Zip Code Transaction ID: A2020-1407573 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 883.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1408299 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Jerry, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 City State Zip Code Transaction ID: A2020-1408242 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 58.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Specialist III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 350.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1408322 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 46.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Recruiter III Receipt For: Aggregate Year-to-Date ▼ Primary General 278.52 Other (specify) 521.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnston, Dayven, , , Date of Receipt Mailing Address 2045 West Pike Street 2020 City Zip Code State Transaction ID: A2020-1407894 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kaiser, Jack, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-1407908 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 30 2020 1425 K Street City Zip Code State Transaction ID: A2020-1408344 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 576.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1407767 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 86.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Region Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 520.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kibby, Steve, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City State Zip Code Transaction ID: A2020-1407828 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 225.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1351.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kim, Charlie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg E City State Zip Code Transaction ID: A2020-1408123 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 58.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 348.00 Other (specify) 370.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kinsey, Don, , , Date of Receipt Mailing Address 751 International Dr 2020 City Zip Code State Transaction ID: A2020-1407792 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Knepp, Wes, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408613 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 180.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Labor & Employee Relations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1084.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1408443 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 64.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 384.30 Other (specify) 294.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kobrak, Harry, , , Date of Receipt Mailing Address 100 NE Adams Ocean Gate Minato Mirai 2020 City Zip Code State Transaction ID: A2020-1407825 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 198.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1189.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 2020 City State Zip Code Transaction ID: A2020-1408538 Griffin GA 30224 Amount of Each Receipt this Period FEC ID number of contributing 100.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Solutions Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 602.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kupferschmid, Jason, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 30 2020 City State Zip Code Transaction ID: A2020-1407838 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 86.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 519.84 Other (specify) 385.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lane-Christian, Deborah, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City Zip Code State Transaction ID: A2020-1407691 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 34.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Paralegal V Receipt For: Aggregate Year-to-Date ▼ Primary General 207.24 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lauber, Priska, , , Date of Receipt Mailing Address 100 Tractor Drive 2020 **Building CV** City State Zip Code Transaction ID: A2020-1407604 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 34.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Specialist III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 205.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lautzenheiser, Scott, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407952 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 117.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 682.92 Other (specify) 186.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lawley, Jimmy, , , Date of Receipt Mailing Address 208 Gwindale Rd 2020 City Zip Code State Transaction ID: A2020-1339198 AL Gadsden 35901-5616 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** VP Information Technology Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lawley, Jimmy, , , Date of Receipt Mailing Address 208 Gwindale Rd 18 2020 City State Zip Code Transaction ID: A2020-1397454 AL Gadsden 35901-5616 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Leamon, Brittany, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408357 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 49.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Treasury Ops Rep Funding Receipt For: Aggregate Year-to-Date ▼ Primary General 279.52 Other (specify) 99.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

FOR LINE NUMBER: PAGE 75 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leary, Lawrence, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City Zip Code State Transaction ID: A2020-1408124 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 322.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. LeBaron, Liz,, Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2020 PO Box 348 City State Zip Code Transaction ID: A2020-1408150 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Lee, Christopher, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City Zip Code State Transaction ID: A2020-1407686 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 43.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Program Mgmt Developer III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 248.00 Other (specify) 146.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 76 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lester, Phillip, , , Date of Receipt Mailing Address 904 Ron Ave. 18 2020 City Zip Code State Transaction ID: A2020-1397467 AL Boaz 35956 Amount of Each Receipt this Period FEC ID number of contributing C 17.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Light, Jake, , , Date of Receipt Mailing Address 7300 Lone Tree Road 2020 City State Zip Code Transaction ID : A2020-1407701 TX Victoria 77901 Amount of Each Receipt this Period FEC ID number of contributing 38.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 232.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lindberg, Richard, , , Date of Receipt Mailing Address 4-H Park Road 30 2020 PO Box 740 City State Zip Code Transaction ID: A2020-1408533 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing C 72.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 379.28 Other (specify) 128.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 77 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lohmeier, Larry, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City Zip Code State Transaction ID: A2020-1407601 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-1408050 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Counsel-Corp Secretary Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Lueker, Josh, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-1408371 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 40.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Staff Acct I Legal Entity Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 241.02 Other (specify) 506.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 78 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lusher, Jason, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg L 2020 City State Zip Code Transaction ID: A2020-1407605 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 157.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 947.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Luttrell, Brad, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID: A2020-1407623 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 39.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 235.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Markun, Joe, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2020 City State Zip Code Transaction ID: A2020-1408666 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Large Engine Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 297.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 79 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, Alex,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1408121 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 136.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 818.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Martin, Arthur, , , Date of Receipt Mailing Address 9330 Sky Park Court 2020 City State Zip Code Transaction ID: A2020-1408285 CA San Diego 92123-5398 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir Bus Management-Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Martin, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408416 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2000.00 Other (specify) 686.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 80 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matheny, Steve, , , Date of Receipt Mailing Address 1600 Progress Drive P.O. Box 1037 2020 City State Zip Code Transaction ID: A2020-1408187 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 205.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1230.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matheson, Sean, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City State Zip Code Transaction ID: A2020-1408186 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 53.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Network Lead I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 323.99 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Matteo, James, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407863 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 113.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 681.42 Other (specify) 371.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mattox, Jason, , , Date of Receipt Mailing Address 560 Rehoboth Road 2020 City Zip Code State Transaction ID: A2020-1407551 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 35.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Performance Engineer** Receipt For: Aggregate Year-to-Date ▼ Primary General 213.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mayernich, Linda, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408463 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 39.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Paralegal V Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 239.70 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McCartan, Patrick, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1407692 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CFSC Vice President / CFO Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 125.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 82 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCartney, Sandi, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-1407785 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 218.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1308.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McClure, Rebecca, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1408120 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 56.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 339.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McGuire, Andrew, A, Date of Receipt Mailing Address 200 NE Adams Street 30 2020 City State Zip Code Transaction ID: A2020-1408017 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 691.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McKain, Rachel, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408177 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Developmental Assignment** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McKay, Heather Rae, R, Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1407997 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 48.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Business Process Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 290.70 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407978 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 75.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Tax COE Receipt For: Aggregate Year-to-Date ▼ Primary General 454.98 Other (specify) 174.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mellott, Lauren, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1408179 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 54.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Credit Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 325.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Menke, Charlie, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 2020 City State Zip Code Transaction ID: A2020-1407754 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 213.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Machine Development Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1278.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Menssen, Jim, J, Date of Receipt Mailing Address 4-H Park Road 30 2020 P O Box 740 City State Zip Code Transaction ID: A2020-1407899 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing C 41.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Technologist-Test/Validation Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 251.04 Other (specify) 309.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Michael, Dave, , , Date of Receipt Mailing Address 100 NE Adams St. P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1407806 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **AFS Commercial Director** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Miller, Scott, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-1408505 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mills, Desmond, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID: A2020-1408298 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1407965 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 2496.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1407977 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 145.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager II-Export Controls Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 873.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mohrman, Patrick, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408436 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 88.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 531.12 Other (specify) 650.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Monteleone, Arnold, , , Date of Receipt Mailing Address 1000 Morningside Cir 2020 City Zip Code State Transaction ID: A2020-1339199 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Trackwork Division **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Monteleone, Arnold, , , Date of Receipt Mailing Address 1000 Morningside Cir 18 2020 City State Zip Code Transaction ID: A2020-1397455 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-1407707 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 147.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 882.66 Other (specify) 197.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mooney, Martin, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-1408511 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 988.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Jann, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408354 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 125.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 754.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Rich, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408646 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 490.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Morton, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408340 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 53.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Guest Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 323.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Morzy, Adam, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2020 City State Zip Code Transaction ID: A2020-1408324 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 62.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Accounting Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 376.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Moss, Jarrod, , , Date of Receipt Mailing Address 6000 W. Caterpillar Trail 30 2020 City State Zip Code Transaction ID: A2020-1408460 ΑZ Green Valley 85614 Amount of Each Receipt this Period FEC ID number of contributing C 169.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 1014.38 Other (specify) 286.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mund, Brian, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-1407575 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 62.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 377.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Muthekepalli, Srinivas, , , Date of Receipt Mailing Address 500 N. Morton Ave 2020 City State Zip Code Transaction ID: A2020-1407845 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 66.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior IS Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 328.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Myers, Bart, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1408427 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr EP Diesel Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 228.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Chris, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2020 City Zip Code State Transaction ID: A2020-1408141 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 301.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Federal Government Affairs Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1808.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Myers, Kirsten, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1408130 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 83.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Lease Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 501.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Newell, Dontae, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408474 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 88.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 531.99 Other (specify) 473.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nguyen, Chau, , , Date of Receipt Mailing Address 540 W. Madison Street Floor 3 2020 City State Zip Code Transaction ID: A2020-1408660 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing C 111.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Marketing & Ops Support Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 671.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Nicoll, David, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg E City State Zip Code Transaction ID: A2020-1408550 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 55.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 315.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 30 2020 Tech Center Bldg K City State Zip Code Transaction ID: A2020-1407925 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 164.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 989.28 Other (specify) 331.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neil, Ed, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City State Zip Code Transaction ID: A2020-1407832 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Earthmoving Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Osborn, Sheri, , , Date of Receipt Mailing Address 2200 Pacific Highway 2020 City State Zip Code Transaction ID: A2020-1408232 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 71.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Supply Chain Area Sr. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 430.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1407591 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 200.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1201.68 Other (specify) 372.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Stor for commercial purposes, other than using the			erson for the purp	pose of soliciting contribution	ns					
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Po		•								
Full Name of Individual (Last, First, Middle Initi	Date of Re	Date of Receipt								
Mailing Address Illinois Route 29 at Old Galena PO Box 610	Ro		06 30 2020							
City	State	Zip Code	Transacti	ion ID : A2020-1407871						
Mossville	IL	61552	Amount of	Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		416.67							
Name of Employer (for Individual)  Caterpillar Inc.	'	oation (for Individual) ral Manager Reman	Memo Item							
Receipt For:	l .	ear-to-Date ▼								
Primary General Other (specify) ▼	Aggregate	2500.02								
Full Name of Individual (Last, First, Middle Initi	Date of Receipt									
Mailing Address 2200 Pacific Hwy	04-4	Tin Onto	06 /	30 / 2020						
City San Diago	State CA	Zip Code 92101		ion ID : A2020-1407883						
San Diego	- OA	92101	Amount of	Each Receipt this Period	_					
FEC ID number of contributing federal political committee.	C		416.67	Ш						
Name of Employer (for Individual) Solar Turbines		oation (for Individual) or Vice President	Memo	Memo Item						
Receipt For:  Primary General  Other (specify) ▼	Aggregate Yo	ear-to-Date ▼ 2500.02								
Full Name of Individual (Last, First, Middle Initi	al) or Full Org	anization Name	Date of Re	eceipt						
Mailing Address 8826 2 Route 24		,	M M /	30 / 2020						
City	State	Zip Code	Transact	ion ID : A2020-1407765						
Mapleton	IL	61547	Amount of	Each Receipt this Period						
FEC ID number of contributing federal political committee.	С			95.85						
Name of Employer (for Individual) Caterpillar Inc.		oation (for Individual) ct Manager	Memo	Memo Item						
Receipt For:	Aggregate Ye	ear-to-Date ▼								
Primary General Other (specify)		552.28								
SUBTOTAL of Receipts This Page (optional)		·····		929.19						
TOTAL This Period (last page this line number of	nlv)				П					

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Peterson, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1407781 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 49.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Tax COE Receipt For: Aggregate Year-to-Date ▼ Primary General 297.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pfautsch, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408645 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pierce, Robert, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID : A2020-1407732 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 82.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Performance Mgr 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 494.64 Other (specify) 182.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pitts, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1408473 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 66.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 398.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pladers, Missy, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-1407945 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 42.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Staff Acct II - Accountable Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 256.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pohm, John, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2020 PO Box 500 City State Zip Code Transaction ID: A2020-1408351 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 44.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 232.63 Other (specify) 153.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Potts, Rachel, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-1408068 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Enterprise Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Price, Kyle, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408632 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Price, Stephanie, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-1408215 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 33.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Engineer 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 203.34 Other (specify) 283.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Qualey, Richard, , , Date of Receipt Mailing Address 5122 N. Jefferson Pl. 2020 City Zip Code State Transaction ID: A2020-1408419 WA Oak Harbor 98277 Amount of Each Receipt this Period FEC ID number of contributing C 55.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 334.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quick, Hallie,, Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1407610 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 47.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Compliance Risk Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 283.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Quigg, Jim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1407722 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Product Source Plng. & RE Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) 519.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ramalingam, Prabhakar, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-1408049 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rasmussen, Marie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg L City State Zip Code Transaction ID: A2020-1408472 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 320.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Rassi, A.J., , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID: A2020-1408002 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 652.92 Other (specify) 198.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rathman, Carol, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City Zip Code State Transaction ID: A2020-1407688 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 64.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 386.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rea, David, M. Date of Receipt Mailing Address 875 W Cushing Street 2020 City State Zip Code Transaction ID: A2020-1407946 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Reiners, Eric, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1407742 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Automation Sr. Tech Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 531.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rich, Steve, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-1408669 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mining General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rider, Woody, , , Date of Receipt Mailing Address 501 Cardinal Drive 2020 City State Zip Code Transaction ID : A2020-1407773 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing 56.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 297.71 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Riggle, Robert, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-1408313 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 67.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Performance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 407.52 Other (specify) 323.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ringel, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-1408454 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 154.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Packaging Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 463.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Robbins, Ryan, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City State Zip Code Transaction ID: A2020-1407967 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 54.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 326.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Robinson, Adam, J., Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 30 2020 City Zip Code State Transaction ID: A2020-1408051 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines PG1-Sr Account Manager-Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 248.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 103 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rochford, Mike, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1408672 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GM Gas & Med Speed Engines Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Roethler, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-1407727 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 191.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1147.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rolinitis, Steve, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407633 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Risk Management** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 351.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 104 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rooney, Jim, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408564 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Roos, Kimberly, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 2020 City State Zip Code Transaction ID: A2020-1408196 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 52.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 316.62 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rosebraugh, Jeff, , , Date of Receipt Mailing Address 3701 South Street 30 2020 City State Zip Code Transaction ID: A2020-1407602 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 58.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 350.22 Other (specify) 527.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rubel, Juergen, , , Date of Receipt Mailing Address 15550 NW 59Th Avenue 2020 City Zip Code State Transaction ID: A2020-1408485 FL Miami Lakes 33014 Amount of Each Receipt this Period FEC ID number of contributing C 82.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Logistics Mgmt Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 494.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ruppel, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408237 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Russell, Mark, , , Date of Receipt Mailing Address 1595 Northridge Trace 04 2020 City State Zip Code Transaction ID: A2020-1339194 AL Albertville 35951 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP ETS Administration** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 207.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Russell, Mark,,, Date of Receipt Mailing Address 1595 Northridge Trace 18 2020 City Zip Code State Transaction ID: A2020-1397450 AL Albertville 35951 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP ETS Administration **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 2020 1425 K Street City State Zip Code Transaction ID: A2020-1408379 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1002.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Saad, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407820 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 206.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1240.50 Other (specify) 398.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2020 City Zip Code State Transaction ID: A2020-1407936 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 226.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1360.14 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Salahuddin, Jihad, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-1408441 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 153.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 920.46 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sale, Ronald, , , Date of Receipt Mailing Address 8826 2 Route 24 30 2020 City State Zip Code Transaction ID: A2020-1408185 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing C 71.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 460.20 Other (specify) 451.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way Suite 600 2020 City State Zip Code Transaction ID: A2020-1407848 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Marketing & Ops Support Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Santilli, Stefano, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1408414 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 188.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk Analytics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1131.97 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schaffroth, Bill, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407811 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Steel Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 338.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schell, Bill, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg L 2020 City State Zip Code Transaction ID: A2020-1407589 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Schmillen, Kenneth, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-1408288 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 44.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts & Svc Mktg Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 267.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** See, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1408247 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 34.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Corporate Marketing Analyst Receipt For: Aggregate Year-to-Date ▼ Primary General 207.12 Other (specify) 129.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Seely, Mary, , , Date of Receipt Mailing Address 6800 Doerr Lane 2020 City Zip Code State Transaction ID: A2020-1407566 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 342.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2058.57 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Selburg, Brent, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1407931 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts Operations Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shankwitz, Phil, , , Date of Receipt Mailing Address 600 Balderson Blvd 30 2020 PO Box 6 City State Zip Code Transaction ID: A2020-1407834 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 188.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 1128.78 Other (specify) 580.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shapland, Brad, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-1407723 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 88.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) IT Architect Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 533.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Shea, Ryan, , , Date of Receipt Mailing Address 600 Balderson Blvd P O B<u>ox 6</u> 2020 City State Zip Code Transaction ID: A2020-1408583 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 56.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 336.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sheffield, Maria, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1408382 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 203.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. President Insurance Services Receipt For: Aggregate Year-to-Date ▼ Primary General 1218.48 Other (specify) 348.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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161 Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shepherd, Scott, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-1408335 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Aftermarket Parts Dist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 540.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shurman, Rod, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1407726 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 270.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1623.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shute, Truman, , , Date of Receipt Mailing Address 2800 E. Cedar Hills Drive 30 2020 City State Zip Code Transaction ID: A2020-1408464 IL Chillicothe 61523 Amount of Each Receipt this Period FEC ID number of contributing 70.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solutions Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 428.95 Other (specify) 431.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Simmons, Tom, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1407959 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sivertsen, Nick, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408200 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Transportation Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1407808 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 173.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 1040.64 Other (specify) 263.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Kristen, , , Date of Receipt Mailing Address Suite 400 2020 1425 K Street City Zip Code State Transaction ID: A2020-1408412 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Communications Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Mark, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City State Zip Code Transaction ID: A2020-1408314 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 54.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product & Tech Training Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 327.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 04 2020 City State Zip Code Transaction ID: A2020-1339204 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1452.60 Other (specify) 251.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 18 2020 City Zip Code State Transaction ID: A2020-1397460 Boaz AL 35957 Amount of Each Receipt this Period FEC ID number of contributing C 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1574.79 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Ron, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City State Zip Code Transaction ID : A2020-1407756 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-1408537 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 151.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Process Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 909.30 Other (specify) 313.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sonnemaker, Brian, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-1407843 IL 61611 East Peoria Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Group CIO IT Engagement Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408368 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 147.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Chief Economist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 885.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spears, Drew, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1408044 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assn - 4 Pillars Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) 442.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spitznagle, Matt,,, Date of Receipt Mailing Address 560 Rehoboth Road 2020 City State Zip Code Transaction ID: A2020-1407654 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 46.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 281.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Staley, Daniel, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City State Zip Code Transaction ID: A2020-1408366 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 149.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 894.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stanley, Jim, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg E City State Zip Code Transaction ID: A2020-1408127 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 103.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Technology Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 620.34 Other (specify) 299.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Starr, Jim, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-1408581 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 187.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Services Reg. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1122.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408544 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 151.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 910.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevens, Janet, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2020 City State Zip Code Transaction ID: A2020-1408369 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 153.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 919.02 Other (specify) 491.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevens, Matt, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2020 City Zip Code State Transaction ID: A2020-1408173 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 40.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 244.74 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1407821 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 144.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 867.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stimeling, John, , , Date of Receipt Mailing Address 1720 W Kingsbury 30 2020 City State Zip Code Transaction ID: A2020-1408035 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 49.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 297.00 Other (specify) 234.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stockwell, Tammi, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City Zip Code State Transaction ID: A2020-1408221 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 122.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Risk & Compliance Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 732.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1408006 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 118.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 709.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stone, Casey, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 **Building CV** City State Zip Code Transaction ID: A2020-1408214 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 67.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 402.06 Other (specify) 307.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stone, Jonathan, , , Date of Receipt Mailing Address 27Th & Pershing Rd PO Box 1430 2020 City State Zip Code Transaction ID: A2020-1408007 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 165.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Developmental Assignment** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 989.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City State Zip Code Transaction ID: A2020-1408668 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM IPSD Large Engines** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2500.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Strother, Art, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 Cileungsi Bogor City State Zip Code Transaction ID: A2020-1407951 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 159.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 959.16 Other (specify) 741.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 122 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-1407915 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 213.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1283.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sundell, Dan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1408655 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 136.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM of Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 819.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Swick, Chris, , , Date of Receipt Mailing Address 5012 Womack Rd 30 2020 City Zip Code State Transaction ID: A2020-1407940 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing C 82.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 492.48 Other (specify) 432.65 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taluc, Chris, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-1407622 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 153.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Portfolio Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 615.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Taphorn, Matt, , , Date of Receipt Mailing Address 100 Tractor Drive **Building CV** 2020 City State Zip Code Transaction ID: A2020-1408075 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 123.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 740.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Taylor, Carietha, , , Date of Receipt Mailing Address 1720 W Kingsbury 30 2020 City State Zip Code Transaction ID: A2020-1408036 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 117.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Master Black Belt Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 702.66 Other (specify) 394.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thaker, Hrishikesh, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 City Zip Code State Transaction ID: A2020-1408578 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 66.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 399.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Thompson, Clay, , , Date of Receipt Mailing Address 701 Waterford Way 2020 Suite 600 City State Zip Code Transaction ID: A2020-1407859 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tilly, Brad, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-1407556 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 92.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Mgr - Global Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 575.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the **Detailed Summary Page** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tippey, Trent, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-1408504 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Commercial Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tobias, Mitch, , , Date of Receipt Mailing Address 100 Tractor Drive 2020 Building CV Dock CV City State Zip Code Transaction ID: A2020-1407757 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 695.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Toher, Susan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408495 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 325.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tolin, Fred, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-1408352 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 38.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineer-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General 232.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Tomlins, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 2020 **Building CV** City State Zip Code Transaction ID: A2020-1407685 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 164.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 984.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 W Kingsbury 30 2020 City State Zip Code Transaction ID: A2020-1407916 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 78.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 468.48 Other (specify) 280.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Towne, Ursula, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg L 2020 City State Zip Code Transaction ID: A2020-1408536 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 45.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 274.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408526 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Executive Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2500.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408641 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) 879.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vanek, Jeff, , , Date of Receipt Mailing Address 100 NE Adams Suite 902 2020 City Zip Code State Transaction ID: A2020-1407571 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 138.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 829.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Varela, Antonio, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City State Zip Code Transaction ID: A2020-1408046 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 63.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Product Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 310.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Varghese, Asha, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408418 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 193.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. President Cat Foundation Receipt For: Aggregate Year-to-Date ▼ Primary General 1158.12 Other (specify) 394.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name VeZain, Darilynn, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City Zip Code State Transaction ID: A2020-1408306 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Digital Consultant 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 304.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Volz, Carl, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1407664 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 72.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 435.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Walters, Tom, , , Date of Receipt Mailing Address 600 S. Second Street 30 2020 Suite 101 City State Zip Code Transaction ID: A2020-1408577 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 225.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. US State & Americas GGCA Dir. Receipt For: Aggregate Year-to-Date ▼ Primary General 1350.00 Other (specify) 348.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-1407716 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1407869 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Caterpillar Defense** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2499.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Warning, Kenny, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408107 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 44.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 268.32 Other (specify) 878.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 131 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Waters, Bill, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408469 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 151.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 907.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Weaver, Travis, , , Date of Receipt Mailing Address 540 W. Madison Street 2020 Floor 3 City State Zip Code Transaction ID: A2020-1408350 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 50.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mgr Strategic Bus Planning Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 392.07 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weer, Noel, S, , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-1408542 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 72.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales & Oprns Plng Coord 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 431.12 Other (specify) 273.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 132 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weiss, Karl, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-1408428 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.02 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. West, Greg, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2020 PO Box 1430 City State Zip Code Transaction ID: A2020-1408031 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 36.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Professional I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 216.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Weston, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1408647 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 527.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 133 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name White, Christopher, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City Zip Code State Transaction ID: A2020-1408569 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Product Supply Mgr I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. White, Scott, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-1407677 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 37.72 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 226.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Whiteley, Douglas, , , Date of Receipt Mailing Address 2416 Mid-America Industrial Dr 30 2020 City Zip Code State Transaction ID: A2020-1407920 MO Boonville 65233-2635 Amount of Each Receipt this Period FEC ID number of contributing 180.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1084.08 Other (specify) 268.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 134 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg F 2020 City State Zip Code Transaction ID: A2020-1407680 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 91.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager 4** Receipt For: Aggregate Year-to-Date ▼ Primary General 547.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wiklund, Bob, Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-1407784 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CPF Managing Director** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wilcoxen, Sam, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2020 PO Box 1430 City State Zip Code Transaction ID: A2020-1408540 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 59.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 354.78 Other (specify) 200.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 135 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilkerson, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-1408154 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 148.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 444.81 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Williamson, Ted, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-1408556 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 68.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CPS Black Belt-3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 412.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wilson, lan, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407578 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 267.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

FOR LINE NUMBER: PAGE 136 OF 161 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Winkel, Chris, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-1408640 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 52.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Prod Compliance & Support Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 314.46 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Winkler, Michelle, , , Date of Receipt Mailing Address 100 Tractor Drive 2020 **Building CV** City State Zip Code Transaction ID: A2020-1408332 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 58.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division HR Communication Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 347.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Withers, Chad, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408380 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Tax Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 2499.96 Other (specify) 527.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 137 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2020 City Zip Code State Transaction ID: A2020-1339193 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 76.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 923.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 18 2020 City State Zip Code Transaction ID: A2020-1397449 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing 76.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1000.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wolfe, Tim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-1408530 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 193.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 138 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wu, Christina, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2020 City State Zip Code Transaction ID: A2020-1408381 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 45.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Staff Acct I Cost / DS Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 273.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wurtzbacher, Corey, , , Date of Receipt Mailing Address 100 Sanford Street 2020 City State Zip Code Transaction ID: A2020-1408111 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 210.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-1407675 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 167.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1005.06 Other (specify) 423.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 139 OF 161 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yordy, Christine, , , Date of Receipt Mailing Address 500 N. Morton Ave. 2020 City Zip Code State Transaction ID: A2020-1408170 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Talent Developmnt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 305.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Younessi, Ramin, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-1408358 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2500.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Young, Michael, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-1408139 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 567.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zdanowski, John, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-1408525 IL 61611 East Peoria Amount of Each Receipt this Period FEC ID number of contributing C 52.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Architect I Receipt For: Aggregate Year-to-Date ▼ Primary General 314.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zemke, Wayne, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2020 Suite 4000 City State Zip Code Transaction ID: A2020-1408549 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Zircher, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg E City State Zip Code Transaction ID: A2020-1407979 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 177.40 SUBTOTAL of Receipts This Page (optional)..... 47036.90 TOTAL This Period (last page this line number only).....

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C C00461061 Transaction ID : B763517			
Amount of Each Disbursement this Period  2500.00  Memo Item			
Date of Disbursement  06 08 2020  FEC Identification Number  C C00435099  Transaction ID: B763527			
Amount of Each Disbursement this Period  2500.00  Memo Item			
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FEC Identification Number  C C00637371  Transaction ID: B763538  Amount of Each Disbursement this Period  1000.00			
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C.	Connolly for Congress						Date of	of Di	sburser	ment	(		
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NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Politi	ical Action Committee	е						
Full Name (Last, First, Middle Initial)  Cooper for Congress  Mailing Address PO Box 198497		Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y						
Nashville Purpose of Disbursement Contribution  Candidate Name  Cooper, Jim, ,,  Office Sought:     March   Ma	State Zip Code 37219  ment For: 2020  Primary General Other (specify)   Zip Code 37219	011 Category/ Type	FEC Identification Number  C C00376665  Transaction ID: B763539  Amount of Each Disbursement this Period  5000.00  Memo Item					
Full Name (Last, First, Middle Initial)  3. DelBene for Congress  Mailing Address PO Box 477		Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y						
Kirkland Purpose of Disbursement Contribution  Candidate Name  DelBene, Suzan, K,,  Office Sought:    X   House   Disbursement	State Zip Code 98083  ment For: 2020 Primary General Other (specify)	011 Category/ Type	FEC Identification Number  C C00459099  Transaction ID: B763546  Amount of Each Disbursement this Period  5000.00  Memo Item					
Full Name (Last, First, Middle Initial)  Elect Jim Baird for Congress  Mailing Address P.O. Box 203			Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y					
Greencastle Purpose of Disbursement Contribution  Candidate Name Baird, Jim, , ,  Office Sought:     House   Disbursement   Filter   Filte	State Zip Code 46135  Ment For: 2020  Primary General Other (specify)	011 Category/ Type	FEC Identification Number  C C00662940  Transaction ID: B763531  Amount of Each Disbursement this Period  2500.00  Memo Item					
SUBTOTAL of Disbursements This Page (optional)		·····•	12500.00					
TOTAL This Period (last page this line number only).		······						

SCHEDULE B (FEC Form 3X)	Llaa aana	rata ashadula(a)	FOR LINE NUMBER: PAGE							
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only	one)	26 27					
	Detailed S	Summary Page	28a	28b 28c	29 30b					
Any information copied from such Reports and Stater	nents may n	not be sold or use	ed by any perso	on for the purpose	of soliciting contributions					
or for commercial purposes, other than using the nan	ne and addre	ess of any politica	al committee to	solicit contribution	s from such committee.					
NAME OF COMMITTEE (In Full)		•								
$igr \rangle$ The Caterpillar Inc. Employee Polit	tical Action	on Committe	ee							
Full Name (Last, First, Middle Initial)										
A. Friends For Gregory Meeks				Date of Disbursement						
				M M / D D / Y Y Y Y						
Mailing Address 153-01 Jamaica Ave. Suite 535				06	08 2020					
City	State	Zip Code		EEC Identification	on Number					
Jamaica	NY	11432		FEC Identification Number						
Purpose of Disbursement Contribution			011	C C00329375						
Candidate Name					n ID : B763536					
Meeks, Gregory, W, ,			Category/ Type	Amount of Each Disbursement this Period 2500.00						
	ment For: 2	2020								
Senate x	Primary	General								
State: NY District: 05	Other (spec	cify) 🔻		Memo Item						
Full Name (Last, First, Middle Initial)										
Friends of Cheri Bustos				Date of Disburs	ement					
Mailing Address PO Box 65322				06	08 2020					
•	State	Zip Code		FEC Identification	on Number					
Washington Purpose of Disbursement	DC	20035		C C00498568						
Contribution	Contribution 011 Andidate Name Category/ Type									
Candidate Name										
Bustos, Cheri, , ,										
	nent For: 2			5000.00						
President	Senate Primary X General  President Other (specify)									
State: IL District: 17	(-	7,		Memo Item						
Full Name (Last, First, Middle Initial)										
C. Friends of Don Beyer				Date of Disburs	ement					
Mailing Address 1751 Potomac Greens Drive					08 2020					
Maining Address 17511 distribute Steelis Brive					2020					
,	State	Zip Code		FEC Identification	on Number					
Alexandria Purpose of Disbursement	VA	22314		C C005558	888					
Contribution	011				n ID : B763544					
Candidate Name	eyer, Don, , , Jr.									
Office Country   House										
Consts		4	4000.00							
President x	Primary Other (spec	☐ General cify) ▼		Mome Here						
State: VA District: 08				Memo Item						
					14500.00					
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SCHEDULE B (FEC Form 3X)	Usa		FOR LINE NUMBER: PAGE 145					145 OF	161	
ITEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only one) 21b 22 <b>x</b> 23 26			_	٦ ٥٦			
		Summary Page		1b 22 3a 28	×	23 28c	26 29		27 30b	
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NAME OF COMMITTEE (In Full)										
The Caterpillar Inc. Employee Polit	ical Actio	on Committ	ee							
<u> </u>			- <del>-</del>							
Full Name (Last, First, Middle Initial)										
A. Friends of Raja for Congress				Date	of Di	sbursen	nent 			
Mailing Address PO Box 681202					M /	08			020	7
Mailing Address 1 O Box 001202						Ū0	- 1		020	_
City	State	Zip Code		FEC	Identi	fication	Numb	er		
Schaumburg	IL	60168			Identi	ilcation	TVUITIO		-	
Purpose of Disbursement Contribution			011		C00	0575092	2			
Candidate Name						action I				
Krishnamoorthi, S. Raja, , ,			Category/ Type	Amo	unt of	±ach [	Isburs	ement	t this Pe	riod
	nent For: 2	020	.,,,,	- 1				2	2500.00	
	Primary	<b>✗</b> General		1		,			1 40	_
President	Other (spec	eify) ▼			Лето	Item				
State: IL District: 08										
Full Name (Last, First, Middle Initial)				Data	- ( D:					
B. Himes for Congress				Date	OT DI	sbursen	_			
Mailing Address 857 Post Road #312				- M	M /	08			2020	
Maining 7 lear 555 657 1 05t Noau #512						00			020	-
City	State	Zip Code		FFC	Identi	fication	Numb	er		
Fairfield	СТ	06824			_	-	-	0.	-	
Purpose of Disbursement Contribution			011		C00	0434191	<u> </u>			
Candidate Name				- 1		action II				and an all
Himes, Jim, , ,			Category/ Type	Amo	int of	Each L	JISDURS	emen	t this Pe	riod
	nent For: 2	2020		$\exists \mid \cdot \mid$				_ :	2500.00	
Senate x	Primary	General		_		,				
	Other (spec	eify)			Лето	Item				
State: CT District: 04										
Full Name (Last, First, Middle Initial)  C. Jim Costa for Congress				Date	of Di	sbursen	nant			
C. Jim Costa for Congress				M		D		V	/	
Mailing Address 2037 W Bullard Avenue #355				00		08			020	
,	State	Zip Code		FEC	Identi	fication	Numb	er		
Fresno Purpose of Disbursement	CA	93711		C	CO	0391029	9	_		
Contribution			011		_			C2E40		
Candidate Name			Category/			action I Each D			t this Pe	eriod
Costa, Jim, , ,			Type		_	-	-	_		-
	nent For: 2						-		2500.00	
	Primary	General								
State: CA District: 16	Other (spec	niy) ▼			Ието	Item				
2.3.0. 0,7 2.0.10. 10					_	_	_			_
SUBTOTAL of Disbursements This Page (optional)									7500.00	)
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SCHEDULE B (FEC Form 3X)	llas saman	-tbdl(-)	FOR LINE N	IUMBER:	PAGE 146 OF 161
TEMIZED DISBURSEMENTS		ate schedule(s) ategory of the	(check only		00
		ummary Page	21b 28a		23 26 27 28c 29 30b
Any information copied from such Reports and Statem	l nents may no	nt he sold or use			
or for commercial purposes, other than using the name					
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Politi	ical Actio	n Committe	е		
/ Full Name (Last, First, Middle Initial)					
A. Joni For Iowa				Date of Disb	pursement
				M = M /	D D / Y Y Y Y Y
Mailing Address PO Box 93441				06	08 2020
City	State	Zip Code			
Des Moines	IA	50393		FEC Identific	cation Number
Purpose of Disbursement				C C005	46788
Contribution			011		tion ID : B763532
Candidate Name			Category/	Amount of E	ach Disbursement this Period
Ernst, Joni, , ,  Office Sought:   House   Disbursem	nent For: 20	)20	Туре		5000.00
	Primary	General			
President	Other (special	fy) ▼		Memo It	em
State: IA District:					
Full Name (Last, First, Middle Initial)				Data of Dist	
B. Kathleen Rice for Congress				Date of Disb	
Mailing Address PO Box 744				06	08 2020
,	State	Zip Code		FEC Identific	cation Number
Mineola Purpose of Disbursement	NY	11501		C	EE042
Contribution			011		55813
Candidate Name			Category/		tion ID: B763535 Each Disbursement this Period
Rice, Kathleen, , ,			Type		5000.00
	nent For: 20	020 General			5000.00
	Primary Other (specif				
State: NY District: 04	(0)	-37		Memo It	em
Full Name (Last, First, Middle Initial)					
C. Kelly for Congress				Date of Disb	pursement
Mailing Address 5221-A Cliff Gookin Blvd.				06 / I	08 2020
Mailing Address 5221-A Cilli Gookin Bivd.				00	2020
City	State	Zip Code		FEC Identific	cation Number
Tupelo Purpose of Disbursement	MS	38801			
Contribution			011		573980
Candidate Name			Category/		tion ID: B763534 Each Disbursement this Period
Kelly, Trent, , ,			Type		
	nent For: 20				2500.00
	Primary Other (specif	General			
State: MS District: 01	Caron (Specil	.1/ ▲		Memo It	em
5.					
SUBTOTAL of Disbursements This Page (optional)					12500.00
TOTAL This Period (last page this line number only).					

	CHEDULE B (FEC Form 3X)	Lice cons	rate schedule(s)	FOR LINE I		PAGE 147 OF 161
T	EMIZED DISBURSEMENTS	for each of	category of the	(check only	one)	23 26 27
		Detailed S	Summary Page	28a	28b	28c 29 30b
Ar	ny information copied from such Reports and Statem	nents may n	ot be sold or use	d by any perso	n for the pu	rpose of soliciting contributions
or	for commercial purposes, other than using the name	ne and addre	ess of any politica	al committee to	solicit contri	butions from such committee.
$\setminus$	NAME OF COMMITTEE (In Full)		•			
/	The Caterpillar Inc. Employee Polit	ical Action	on Committe	ee		
_	Full Name (Last, First, Middle Initial)					
٩.	Kind for Congress Committee				Date of D	isbursement
	Mailian Addus a 205 Feb Avenue C Deem 400				M M M	/ D D / Y Y Y Y Y
	Mailing Address 205 5th Avenue S Room 428				06	08 2020
	City	State	Zip Code		FFC Ident	tification Number
	La Crosse	WI	54601			
	Purpose of Disbursement Contribution			011	C co	0312017
	Candidate Name					action ID: B763549  f Each Disbursement this Period
	Kind, Ron, J, ,			Category/ Type	Amount of	Lacii Disbuisement tins i enou
	Office Sought: House Disbursen	nent For: 2	020			2500.00
		Primary	<b>✗</b> General			
	State: WI District: 03	Other (spec	iiiy) 🔻		Memo	Item
	Full Name (Last, First, Middle Initial)					
3.	Kinzinger for Congress				Date of D	isbursement
					M = M	/ D D / Y D Y D Y D Y D Y D Y D Y D Y D
	Mailing Address PO Box 2365				06	08 2020
	,	State	Zip Code		FEC Ident	tification Number
	Ottawa Purpose of Disbursement	IL	61350		C 00	0450077
	Contribution			011		0458877
	Candidate Name			Category/		action ID: B763530  f Each Disbursement this Period
	Kinzinger, Adam, , ,			Type		
		nent For: 2				2500.00
		Primary Other (spec	General			
	State: IL District: 16	C (OP C C	,,		Memo	Item
	Full Name (Last, First, Middle Initial)					
С.	Kurt Schrader for Congress				Date of D	isbursement
	Mailing Address PO Box 3314				06	08 2020
	Mailing Address PO Box 3314				00	2020
	City	State	Zip Code		FEC Ident	tification Number
	Oregon City Purpose of Disbursement	OR	97045			
	Contribution			011		00446906
	Candidate Name			Category/		f Each Disbursement this Period
	Schrader, Kurt, , ,			Type		
		nent For: 2				2000.00
		Primary Other (spec	General			
	State: OR District: 05	Cirioi (apec	''' <i>y</i> / ▼		Memo	Item
S	SUBTOTAL of Disbursements This Page (optional)					7000.00
_	OTAL This Period (last page this line number only)					
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SCHEDULE B (FEC Form 3X)			FOR LINE N	NUMBER:	PAGE 148 OF 161
ITEMIZED DISBURSEMENTS		ate schedule(s) ategory of the	(check only		<b>□</b> •• ••
		ummary Page	21b 28a	22 <b>x</b> 23 28c 28c	26 27 29 30b
Any information copied from such Reports and State	monto mov no	at he cold or use			
or for commercial purposes, other than using the na					
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Pol	itical Actio	n Committe	е		
Full Name (Last, First, Middle Initial)					
A. Lou Correa for Congress				Date of Disburseme	ent
				M   M / D   D	/
Mailing Address 3230 Arena Blvd Ste 245-416				06 08	_ 2020
City		Zip Code		FEC Identification N	Jumber
Sacramento Purpose of Disbursement	CA	95834			
Contribution			011	C C00578302	
Candidate Name			Category/	Transaction ID	: B763520 sbursement this Period
Correa, Lou, , ,			Type	Amount of Edon Bit	
	ement For: 20			7	2500.00
Senate   President	Primary Other (specif	General			
State: CA District: 46	Other (specia	(y) <b>▼</b>		Memo Item	
Full Name (Last, First, Middle Initial)					
B. Mike Bost for Congress Committe	e			Date of Disburseme	ent
				M   M / D   D	/
Mailing Address P.O. Box 1212				06 08	2020
City		Zip Code		FEC Identification N	lumber
Murphysboro Purpose of Disbursement	IL	62966		C 000540400	
Contribution			011	C C00546499	D700500
Candidate Name			Category/	Transaction ID  Amount of Each Dis	: B763529 sbursement this Period
Bost, Mike, , ,			Туре		
<u> </u>	ement For: 20			4	2500.00
Senate President	Primary Other (specif	General			
State: IL District: 12	Girer (open	,		Memo Item	
Full Name (Last, First, Middle Initial)					
C. People for Derek Kilmer				Date of Disburseme	ent
Mailing Address PO Box 1381				06 08	2020
Mailing Address PO Box 1361				00 00	2020
City	State	Zip Code		FEC Identification N	
Tacoma Purpose of Disbursement	WA	98402			
Contribution			011	C C00514893	
Candidate Name			Category/	Transaction ID  Amount of Each Dis	sbursement this Period
Kilmer, Derek, , ,			Type		
<u> </u>	ement For: 20			4	2500.00
Senate President	Primary Other (specif	General			
State: WA District: 06	Janor (Specif	<i>(1)</i> ▼		Memo Item	
SUBTOTAL of Disbursements This Page (optional).			············ <b>&gt;</b>		7500.00
TOTAL This Period (last page this line number only	ν)				
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SCHEDULE B (FEC Form 3X)	11	FOR LINE	NUMBER: PAGE 149 OF 161
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(onlook only	
	Detailed Summary Page	21b 28a	22 <b>x</b> 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem	nents may not be sold or us		
or for commercial purposes, other than using the nam	ne and address of any politi	cal committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Polit	ical Action Commit	tee	
/			
Full Name (Last, First, Middle Initial)  A. Pete Aguilar for Congress			Date of Disbursement
rete Aguilar for Congress			M M / D D / Y Y Y Y
Mailing Address PO Box 10954			06 08 2020
Otto	7:- 01-		
City San Bernadino	State Zip Code CA 92423		FEC Identification Number
Purpose of Disbursement			C C00510461
Contribution		011	Transaction ID : B763519
Candidate Name		Category/	Amount of Each Disbursement this Period
Aguilar, Pete, , ,  Office Sought:     House   Disbursen	nent For: 2020	Туре	1500.00
	Primary <b>X</b> General		7 7 7
	Other (specify) ▼		Memo Item
State: CA District: 31			Ivienio item
Full Name (Last, First, Middle Initial)			
3. Quigley for Congress			Date of Disbursement
Mailing Address 2652 N Southport Avenue Unit E			06 08 2020
ag , tea. eee 2002 N Oodinport Avenue Onit L			Ç0 90 ,1010
,	State Zip Code		FEC Identification Number
Chicago Purpose of Disbursement	IL 60614		0.000457550
Contribution		011	C C00457556
Candidate Name		Category/	Transaction ID: B763524  Amount of Each Disbursement this Period
Quigley, Mike, , ,		Type	
	nent For: 2020		2500.00
	Primary General Other (specify)		
State: IL District: 05	Ctrior (opcorry)		Memo Item
Full Name (Last, First, Middle Initial)			
Richmond for Congress			Date of Disbursement
<del></del>			M M / D D / Y Y Y Y
Mailing Address 909 Poydras Street Suite 1825			06 08 2020
City	State Zip Code		FEC Identification Number
New Orleans	LA 70112		FEG Identification Number
Purpose of Disbursement Contribution		011	C C00451336
Candidate Name			Transaction ID : B763533
Richmond, Cedric, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For: 2020		3000.00
	Primary General		
	Other (specify) ▼		Memo Item
State: LA District: 02			
SUBTOTAL of Disbursements This Page (optional)			7000.00
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 150 OF 161
ITEMIZED DISBURSEMENTS	Use separate scheduler for each category of the	(orlean orli)	
	Detailed Summary Page		22 <b>x</b> 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem	anto move not be cold or		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Comm	ittee	
/			
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. Schneider for Congress			
Mailing Address P.O. Box 1318			06 08 2020
,	State Zip Code		FEC Identification Number
Deerfield Purpose of Disbursement	IL 60015		
Contribution		011	C C00495952
Candidate Name			Transaction ID : B763526  Amount of Each Disbursement this Period
Schneider, Brad, , ,		Category/ Type	Amount of Each Disbursement this Feriod
Office Sought:  House Disbursen	nent For: 2020	-	5000.00
	Primary	I	
State: IL District: 10	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
B. Scott Peters for Congress			Date of Disbursement
Ocoli i cicis ioi congress			M M / D D / Y Y Y Y
Mailing Address PO Box 22074			06 08 2020
,	State Zip Code CA 92192		FEC Identification Number
Purpose of Disbursement	32132		C C00503110
Contribution		011	Transaction ID : B763521
Candidate Name		Category/	Amount of Each Disbursement this Period
Peters, Scott, , ,	. =	Туре	5000.00
	nent For: 2020 Primary 🗶 General		5000.00
	Primary <b>x</b> General Other (specify)	1	
State: CA District: 52	(op)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Stephanie Murphy for Congress			Date of Disbursement
			M = M / D = D / Y = Y = Y
Mailing Address P.O. Box 205			06 08 2020
City	State Zip Code		
Winter Park	FL 32790		FEC Identification Number
Purpose of Disbursement Contribution			C C00620443
Candidate Name		011	Transaction ID : B763523
Murphy, Stephanie, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	Type	2500.00
	Primary General	I	4 4
	Other (specify) ▼		Memo Item
State: FL District: 07			<b>—</b>
 			12500.00
SUBTOTAL of Disbursements This Page (optional)		·····	12300.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE (check only	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 <b>X</b> 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Polit	,		
Full Name (Last, First, Middle Initial)			
A. Terri Sewell for Congress			Date of Disbursement
Mailing Address P.O. Box 1964			06 08 2020
Birmingham	State Zip Code AL 35201		FEC Identification Number
Purpose of Disbursement Contribution Candidate Name		011	C C00458976  Transaction ID : B763516
Sewell, Terri, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For: 2020  Primary  General  Other (specify)		2500.00
State: AL District: 07	Carlot (opcony)		Memo Item
Full Name (Last, First, Middle Initial)  B. Texans for Henry Cuellar Congress  Mailing Address 1519 Washington Street Suite 200			Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Laredo	State Zip Code TX 78040		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00371302 Transaction ID : B763541
Candidate Name Cuellar, Henry, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	ment For: 2020	туре	5000.00
	Primary (x) General Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)  C. Vicente Gonzalez for Congress			Date of Disbursement
Mailing Address 121 N. 10th Street			06 08 2020
McAllen Purpose of Disbursement	State Zip Code TX 78501		FEC Identification Number
Contribution  Candidate Name		011 Category/	Transaction ID : B763540 Amount of Each Disbursement this Period
Gonzalez, Vicente, , ,  Office Sought:   House   Disbursen	ment For: 2020	Туре	2000.00
Senate President	Primary <b>x</b> General Other (specify) ▼		Memo Item
State: TX District: 15			
SUBTOTAL of Disbursements This Page (optional)		·····•	9500.00
TOTAL This Period (last page this line number only)			

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SCHEDULE B (FEC Form 3X)	l le -	make a decided ( )	FOR LINE NUMBER: PAGE 15.				52 OF	161		
ITEMIZED DISBURSEMENTS		rate schedule(s) category of the	(OILCOIL )	only one					07	
		Summary Page		21b 28a	22 28b	23 28c	26		27 30b	
And information and obtain										
Any information copied from such Reports and Staten or for commercial purposes, other than using the nam										
NAME OF COMMITTEE (In Full)		. 7 F 2								
The Caterpillar Inc. Employee Polit	ical Acti	on Committ	ee							
Full Name (Last, First, Middle Initial)										
A. Guthrie for Congress					Date of	Disburser	nent			
Mailing Address DO Pay 0620				- 1	M M	/ D			20	1
Mailing Address PO Box 9639					06	17		202	20	
City	State	Zip Code				ntification	Numba			
Bowling Green	KY	42102			-EC ide	ntification	Numbe	er	_	
Purpose of Disbursement Contribution			1	<b>-</b>	C	0044502	3			
			011	٦١,	Trar	saction	D : B75	4808		
Candidate Name			Category/	/   A	Amount	of Each I	Disburse	ement t	this Per	ʻiod
Guthrie, Brett, , ,  Office Sought:   M   House   Disbursen	nent For: 2	2020	Type	-				- 25	500.00	$\neg$
	Primary	General		- 1 -		7	7			
	Other (spec			Шr	Mon	۱ no Item C	oided: 0	Original	l check	dated
State: KY District: 02					IVIEII	io itemi s	.,0.,20			
Full Name (Last, First, Middle Initial)										
B. Guthrie for Congress					Date of	Disburser	ment			
M 25 A 11				<u> </u>	M = M	/ D			YYY	1
Mailing Address PO Box 9639					06	19	)	202	20	
City	State	Zip Code					Niconala			
Bowling Green	KY	42102			-EC lae	ntification	Numbe	er	_	
Purpose of Disbursement Contribution			1	<b>-</b> 10	C = 0	0044502	3			
Candidate Name			011	11,	Trar	saction I	D : B76	4296		
Guthrie, Brett, , ,			Category/	/   A	Amount	of Each I	Disburse	ement t	this Per	riod
	nent For: 2	2020	Туре					25	500.00	П
	Primary	General		- 1 -		7				
	Other (spec	cify)		Шr	Mon	aa Itam				
State: KY District: 02					IVIEII	no Item				
Full Name (Last, First, Middle Initial)										
C.					Date of	Disburser	ment			
Mailing Address					M M	/ D	D /	ΥΥΥ	Y	1
Mailing Address				-   '	-					
City	State	Zip Code		-	FC Ide	ntification	Numbe	ar		
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Purpose of Disbursement				7   [9	C					
Candidate Name				417						
Candidate Name			Category/ Type	/	Amount	of Each I	Disburse	ement t	this Per	iod
Office Sought: House Disbursen	nent For:		iyρe	$-$   $\Gamma$						
	Primary	General				7			-100	
	Other (spec	cify) 🔻			Men	no Item				
State: District:					IVIGII	10 110111				
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SUBTOTAL of Disbursements This Page (optional)				•		7			0.00	
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TOTAL This Period (last page this line number only)				<b>)</b>				100		

SCHEDULE B (FEC Form 3X)			FOR LINE N	UMBER:	PAGE 153 OF 161
TEMIZED DISBURSEMENTS			I ' — ' -		
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Associations and the second December and Obstant					
Use separate schedule(s) to ceach category of the Detailed Summary Page    Variation copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committees.    Variation   Variat					
	ical Action	Committee	Э		
/					
_				Data of Diah	nura amant
A. Tim Griffin for Lieutenant Governor					
Mailing Address P.O. Box 7677					
,				FEC Identific	cation Number
	AR 7	72217			
		- 1	011		
Candidate Name			Catagory/		
Griffin, Tim, , ,				Amount of L	ach Disbursement this renou
Office Sought: House Disbursem	ent For: 2022	2			2700.00
	_				,
	Other (specify)	▼		Memo It	tem
_	20 - ID# 1	41944 <u>0</u>		Date of Disk	pursement
Joaquii Mambala 101 / 1336 mbiy 20	20 IDπ I	413440		M = M /	D D / Y Y Y Y
Mailing Address 1029 J Street Suite 380				06	19 2020
				FEC Identific	cation Number
Purpose of Disbursement	0,1	3014		C	
G-2020 State House 31 CA			011		tion ID : B764297
Candidate Name			Category/		
			Туре		4500.00
<u> </u>		¬			1500.00
	,				
				Memo It	tem
Full Name (Last, First, Middle Initial)					
C. Jim Cooper for Assembly 2020 - ID	#1414095			Date of Disk	pursement
				M = M /	
Mailing Address 921 11th Street Suite 904				06	19 2020
City	state Zii	p Code		FF0.11 .::	
Sacramento	'	•		FEC Identific	cation Number
Purpose of Disbursement		Г			
		L	011		
				Amount of E	Each Disbursement this Period
Office Country Have	nent For: 2020	)	iype		1500.00
		¬		4	4- 4-
President	Other (specify)	▼		Memo It	tem
State: CA District: 09				Ш	
					5700.00
SUBTOTAL of Disbursements This Page (optional)			······•		3700.00
TOTAL This Period (last page this line number only).					

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 154 OF 161
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c <b>x</b> 29 30b
Any information copied from such Reports and Statem	ante may not be cold or us		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committe	ee	
/			
Full Name (Last, First, Middle Initial)  A. Tom Daly for Assembly 2020 - ID#	1/15111		Date of Disbursement
Total Daily for Assembly 2020 - 10#	1413111		M M / D D / Y Y Y Y
Mailing Address 1029 J Street Suite 380			06 19 2020
0	)		
,	State Zip Code CA 95814		FEC Identification Number
Purpose of Disbursement	00011		С
G-2020 State House 69 CA		011	Transaction ID : B764281
Candidate Name		Category/	Amount of Each Disbursement this Period
Daly, Tom, , ,  Office Sought: House Disbursem	ant For: 0000	Туре	1500.00
	nent For: 2020 Primary <b>x</b> General		1000.00
	Other (specify) ▼		Memo Item
State: CA District: 69			Ivienio item
Full Name (Last, First, Middle Initial)			
B. Jim Frazier for Assembly 2020 - ID:	# 1414416		Date of Disbursement
Mailing Address 1017 L Street #794			06 19 2020
Maining Addition To Tr E Street #794			2020
,	State Zip Code		FEC Identification Number
Sacramento Purpose of Disbursement	CA 95814		
G-2020 State House 11 CA		011	C
Candidate Name		Category/	Transaction ID: B764282  Amount of Each Disbursement this Period
Frazier, Jim, , ,		Type	Amount of Each Biobardemont the Ferica
	nent For: 2020		2500.00
	Primary General		
State: CA District: 11	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Rudy Salas for Assembly 2020 - ID	# 1414982		Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 1029 J Street Suite 380			06 19 2020
City	State Zip Code		FFO Identification Number
Sacramento	CA 95814		FEC Identification Number
Purpose of Disbursement G-2020 State House 32 CA			
Candidate Name		011	Transaction ID : B764283
Salas, Rudy, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	216.5	1500.00
	Primary General		
	Other (specify) ▼		Memo Item
State: CA District: 32			
SUBTOTAL of Disbursements This Page (optional)			5500.00
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TOTAL This Period (last page this line number only).			1

SCHEDULE B (FEC Form 3X)	Llaa aana	rata ashadula(s)	FOR LINE I	NUMBER:	PAGE 155 OF 161
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only	one)	23 26 27
	Detailed S	Summary Page	210 28a	28b	28c <b>x</b> 29 30b
Any information copied from such Reports and Statem	ı nents mav n	ot be sold or use	d by any perso	n for the purp	
or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Politi	ical Action	on Committe	ee		
Full Name (Last, First, Middle Initial)					
A. Committee to Elect Jason Anavitari	łο			Date of Dis	bursement
Committee to Elect dason / mayitan	ic			M M /	D D / Y Y Y Y
Mailing Address 316 Blackberry Run Drive				06	03 2020
		I			
,	State GA	Zip Code 30132		FEC Identifi	cation Number
Purpose of Disbursement		00102		С	
P-2020 State Senate 31 GA			011		ction ID : B763429
Candidate Name			Category/		Each Disbursement this Period
Anavitarte, Jason, , ,			Туре		500.00
	nent For: 2 Primary	020 General			300.00
	Other (spec			п.,	
State: GA District: 31		, ,		Memo I	tem
Full Name (Last, First, Middle Initial)					
3. Brad for Georgia				Date of Dis	bursement
Moiling Address 2040 Halls One in a Plant #4000				06 /	03 2020
Mailing Address 2210 Holly Springs Pkwy #1280				Üb	03 2020
,	State GA	Zip Code 30142		FEC Identifi	cation Number
Holly Springs Purpose of Disbursement	<u>GA</u>	30142		С	
P-2020 State House 21 GA			011		-ti ID - D702420
Candidate Name			Category/		ction ID: B763430 Each Disbursement this Period
Thomas, Brad, , ,			Type		500.00
	nent For: 2	020 General			500.00
	Primary Other (spec				
State: GA District: 21	CC. (OPCC	,,		Memo I	tem
Full Name (Last, First, Middle Initial)					
C. Beskin for Justice Inc.				Date of Dis	bursement
Mailian Adduses D. O. D. OFF177				06 /	D D / Y Y Y Y Y
Mailing Address P. O. Box 250477				UO	26 2020
City	State	Zip Code		FEC Identifi	cation Number
Atlanta Purpose of Disbursement	GA	30325		1.1	
P-2022 Supreme Court Justice GA			011		
Candidate Name			Category/		ction ID : B758091 Each Disbursement this Period
Beskin, Elizabeth (Beth), A, ,			Type	7 milount of 1	Edon Blobardoment tine 1 dried
	nent For: 2				- 1000.00
	Primary	General		_	Voided: Original check dated
State: GA District:	Other (spec	iiy <i>)</i> ▼		Memo I	tem 02/28/20
O/, 2/5///5//					
SUBTOTAL of Disbursements This Page (optional)					0.00
TOTAL This Period (last page this line number only).					, ,

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE (check only	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 23 26 27 28b 28c <b>x</b> 29 30b
Any information copied from such Reports and Stater or for commercial purposes, other than using the nar			
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Polit	tical Action Committe	e	
Full Name (Last, First, Middle Initial)			
A. Illinois Senate Rep. Camp. Cmte  Mailing Address P.O. Box 3422			Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	1		
Springfield	State Zip Code IL 62708		FEC Identification Number
Purpose of Disbursement State Party Cmte		011	Transaction ID : B764294
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disburser Senate	ment For: 2020 Primary General	.,,,,	1500.00
President State: District:	Other (specify) ▼ Not Applicable		Memo Item
Full Name (Last, First, Middle Initial)  B. IL House Republican Organization	1		Date of Disbursement
Mailing Address 16W281 83rd St. Suite D			06 19 2020
Burr Ridge	State Zip Code 60527		FEC Identification Number
Purpose of Disbursement State Party Cmte		011	C Transaction ID : B764284
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Senate	ment For: 2020 Primary General		2500.00
State: President X	Other (specify)  Not Applicable		Memo Item
Full Name (Last, First, Middle Initial)  C. Friends of Mark Batinick			Date of Disbursement
Mailing Address 13707 S. Lake Drive			06 19 2020
City Plainfield	State Zip Code IL 60544		FEC Identification Number
Purpose of Disbursement G-2020 State House 97 IL  Candidate Name		011 Category/	Transaction ID : B764288  Amount of Each Disbursement this Period
Batinick, Mark, , ,  Office Sought:     House   Disburser	ment For: 2020	Туре	1000.00
Senate President State: IL District: 97	Primary   General  Other (specify) ▼		Memo Item
SUBTOTAL of Disbursements This Page (optional)  TOTAL This Period (last page this line number only)			5000.00

SCHEDULE B (FEC Form 3X)		, FOR LINE	FOR LINE NUMBER: PAGE 157 OF 16			
TEMIZED DISBURSEMENTS	Use separate schedule for each category of th	(orlook orlin)				
	Detailed Summary Pag		22 23 26 27 28b 28c <b>x</b> 29 30b			
Any information copied from such Reports and Statem	anto may not be cold or		, , , , , , , , , , , , , , , , , , ,			
or for commercial purposes, other than using the nam						
NAME OF COMMITTEE (In Full)						
The Caterpillar Inc. Employee Politi	ical Action Comm	ittee				
/ . , , , , , , , , , , , , , , , , , ,						
Full Name (Last, First, Middle Initial)			Date of Disbursement			
A. Brady for Senate Inc.						
Mailing Address P.O. Box 5314			06 19 2020			
,	State Zip Code		FEC Identification Number			
Bloomington Purpose of Disbursement	IL 61702		0			
P-2022 State Senate 44 IL		011	C			
Candidate Name			Transaction ID : B764293  Amount of Each Disbursement this Period			
Brady, William, , ,		Category/ Type	Amount of Each Disbursement this Fellou			
Office Sought: House Disbursem	nent For: 2022	-	5000.00			
	Primary Genera	ıl				
State: IL District: 44	Other (specify) ▼		Memo Item			
Full Name (Last, First, Middle Initial)						
B. Friends of Michael J. Madigan			Date of Disbursement			
Therias of Michael 9. Madigan			M M / D D / Y Y Y Y			
Mailing Address P.O. Box 3188			06 19 2020			
City Chicago	State Zip Code IL 60654		FEC Identification Number			
Purpose of Disbursement	12 00054		C			
G-2020 State House 22 IL		011	Transaction ID : B764279			
Candidate Name		Category/	Amount of Each Disbursement this Period			
Madigan, Michael, J, ,	. =	Type	1000.00			
	Other (specify) General					
State: IL District: 22	(1 ),		Memo Item			
Full Name (Last, First, Middle Initial)						
C. Ron Highland for State Representa	ıtive		Date of Disbursement			
			M M / D D / Y Y Y Y			
Mailing Address 27487 Wells Creek Rd			06 19 2020			
City	State Zip Code		FFO Islandification Number			
Wamego	KS 66547		FEC Identification Number			
Purpose of Disbursement P-2020 State House 51 KS	·		C			
Candidate Name		011	Transaction ID : B764286			
Highland, Ron, , ,		Category/ Type	Amount of Each Disbursement this Period			
	nent For: 2020	1,900	500.00			
	Primary Genera	d	7 7			
President	Other (specify) ▼		Memo Item			
State: KS District: 51						
CURTOTAL of Distance and This Co. (1)			6500.00			
SUBTOTAL of Disbursements This Page (optional)		·····				
TOTAL This Period (last page this line number only).						

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SCHEDULE B (FEC Form 3X)	llee	unda nala salada ( )	FOR LINE NUMBER: PAGE 158 OF				161	
ITEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only			00		
		Summary Page	21b 28a	22 28b	23 28c <b>x</b>	26 27 29 30b		
Any information copied from such Reports and Statem	l nents may n	nt he sold or us					ns	
or for commercial purposes, other than using the nam								
NAME OF COMMITTEE (In Full)								
The Caterpillar Inc. Employee Politi	ical Action	on Committ	ee 					
Full Name (Last, First, Middle Initial)				Data of Di	ahura aman			
A. Proehl For Representative				Date of Di	sbursemen	(	_	
Mailing Address 510 Pine Ridge Road				06 19 2020				
,	State	Zip Code		FEC Identi	fication Nu	mber		
Parsons Purpose of Disbursement	KS	67357						
P-2020 State House 7 KS			011	C				
Candidate Name			Category/		action ID : Each Disb	B764285 oursement this Pe	eriod	
Proehl, Richard, , ,			Туре		2.30			
0	nent For: 2			500.00				
	Primary Other (spec	General ifv) ▼						
State: KS District: 07	Otrior (opeo	y) <b>▼</b>		Memo	Item			
Full Name (Last, First, Middle Initial)								
B. Mike Gambrell Campaign				Date of Di	sbursemen	t		
				M M / D D / Y Y Y Y				
Mailing Address 400 Filter Plant Rd		I 7: 6 :		06	03	2020		
,	State SC	Zip Code 29654		FEC Identi	fication Nu	mber		
Purpose of Disbursement		20007		C				
P-2020 State Senate 4 SC 011				Transaction ID : B763427				
Candidate Name Category/					Amount of Each Disbursement this Period			
Gambrell, Michael, , , Type  Office Sought: House Disbursement For: 2020						1000.00	$\neg$	
	Primary	:020 General			7	1000.00		
	Other (specify)			NA aure -	Itom			
State: SC District: 04				Memo	item			
Full Name (Last, First, Middle Initial)								
C. Paul Campbell For Senate					sbursemen			
Mailing Address 150 Loganberry Circle				06	19	2020		
City	State	Zip Code		EEC Idont	fication Nu	mher		
Goose Creek	SC	29445			noanon Nu	IIIDel		
Purpose of Disbursement G-2020 State Senate 44 SC			011	C				
Candidate Name			011		action ID :		מיום בו	
Campbell, Paul, , ,			Category/ Type	Amount of	⊨acn Disb	ursement this Pe	iloq	
	nent For: 2	020	> r -			1000.00		
	Primary	<b>∡</b> General			,	,		
	Other (spec	eify) ▼		Memo	Item			
State: SC District: 44								
SUBTOTAL of Disbursements This Page (optional)						2500.00	)	
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TOTAL This Period (last page this line number only).							. 1	

ITEMIZED DISBURSEMENTS	Use separate scheduler for each category of the Detailed Summary Page	e Collock only			
Any information copied from such Reports and Stater or for commercial purposes, other than using the nan					
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Polit	tical Action Comm	ittee			
Full Name (Last, First, Middle Initial)  A. Kevin Johnson Campaign  Mailing Address PO Box 156			Date of Disbursement  O6 19 2020		
Manning Purpose of Disbursement G-2020 State Senate 36 SC Candidate Name	State Zip Code SC 29102	011 Category/	FEC Identification Number  C  Transaction ID : B764291  Amount of Each Disbursement this Period		
State: SC District: 36	ment For: 2020 Primary	Туре	1000.00 Memo Item		
Full Name (Last, First, Middle Initial)  B. McElveen for State Senate  Mailing Address P.O. Box 57	McElveen for State Senate  Date of Disbursement				
Sumter Purpose of Disbursement G-2020 State Senate 35 SC Candidate Name McElveen, J. Thomas, , , III	State Zip Code SC 29151  ment For: 2020 Primary General	011 Category/ Type	FEC Identification Number  C  Transaction ID: B764289  Amount of Each Disbursement this Period  1000.00		
State: SC District: 35	Other (specify)		Memo Item		
Full Name (Last, First, Middle Initial)  C. Steve Allison for Texas House  Mailing Address 200 Morningside Dr.			Date of Disbursement  O6		
San Antonio Purpose of Disbursement G-2020 State House 121 TX  Candidate Name Allison, Steve, , ,  Office Sought:    X   House   Disburser     Senate   President	State Zip Code 78209  ment For: 2020 Primary X General Other (specify)	011 Category/ Type	FEC Identification Number  C  Transaction ID: B763431  Amount of Each Disbursement this Period  1000.00  Memo Item		
State: TX District: 12  SUBTOTAL of Disbursements This Page (optional)			3000.00		
TOTAL This Period (last page this line number only)					

SCHEDULE B (FEC Form 3X)			FOR LINE 1	FOR LINE NUMBER: PAGE 160 OF			
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only		0		
		Summary Page	21b 28a	22 25 28b 25	3 26 27 8c <b>x</b> 29 30b		
Any information copied from such Reports and Statem	onte may n	at he sold or use			<b>—</b> [ <b>—</b> ]		
or for commercial purposes, other than using the nam							
NAME OF COMMITTEE (In Full)							
The Caterpillar Inc. Employee Politi	ical Actio	on Committe	ee				
Full Name (Lock First Middle Initial)			<u> </u>				
Full Name (Last, First, Middle Initial)  A. Dan Flynn Campaign				Date of Disbu	ursement		
Dan'i Iyiii Campaign					D D / Y Y Y Y		
Mailing Address P.O. Box 669				06	19 2020		
O't.	N-1-	7:- 01-					
,	State TX	Zip Code 75790		FEC Identifica	ation Number		
Purpose of Disbursement		13.33		С			
G-2020 State House 02 TX			011		ion ID : B764292		
Candidate Name			Category/	Amount of Ea	ach Disbursement this Period		
Flynn, Dan, , ,  Office Sought: Y House Disbursem	nent For: 2	030	Type		1000.00		
	Primary	General					
President	Other (spec			Memo Ite	ım		
State: TX District: 02				L Wome to			
Full Name (Last, First, Middle Initial)				D . (D)			
<sup>B.</sup> Eddie Lucio III Campaign		Date of Disbursement					
Mailing Address 701 Morelos Ave.				06 /	25 2020		
,	State	Zip Code		FEC Identifica	ation Number		
Rancho Viejo Purpose of Disbursement	TX	78575		С			
P-2020 State House 38 TX			011		ID D740005		
Candidate Name			Category/		ion ID: B742005 ach Disbursement this Period		
Lucio III, Eddie, , ,			Type		4000.00		
					- 1000.00		
	Senate Primary General  President Other (specify)				Voided: Original check dated 09/26/19		
State: TX District: 38	(0)	,		Memo Ite	m		
Full Name (Last, First, Middle Initial)							
C. Morgan Meyer for Texas				Date of Disbu	ursement		
Mailing Address 0242 Develop Ave Cuite 400				м м / 06	25 2020		
Mailing Address 8343 Douglas Ave. Suite 100				00	2020		
City	State	Zip Code		FEC Identifica	ation Number		
Dallas Purpose of Disbursement	TX	75225					
P-2020 State House 108 TX			011	C			
Candidate Name			Category/		ion ID : B745346 ach Disbursement this Period		
Meyer, Morgan, , ,			Type	76			
	nent For: 2				- 1000.00		
	Primary Other (spec	General			Voided: Original check dated		
State: TX District: 10	onioi (spec			Memo Ite	m 11/12/19		
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SCHEDULE B (FEC Form 3X)	Use separate schedule	(s) FOR LINE (check only		
ITEMIZED DISBURSEMENTS	for each category of th Detailed Summary Pag	e Concor only	22 23 26 27 28b 28c <b>x</b> 29 30b	
Any information copied from such Reports and Staten or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Polit				
Full Name (Last, First, Middle Initial)  4. Huntsman for Governor 2020	Date of Disbursement			
Mailing Address 370 East South Temple Suite 580	06 19 2020			
Salt Lake City	State Zip Code UT 84111		FEC Identification Number	
Purpose of Disbursement P-2020 Governor UT  Candidate Name		011	Transaction ID : B764295 Amount of Each Disbursement this Period	
Huntsman, Jon, , ,	Huntsman, Jon, , ,			
Senate President  State: UT District:	Primary General Other (specify) ▼	I	Memo Item	
Full Name (Last, First, Middle Initial)  B.			Date of Disbursement	
Mailing Address	M = M / D = D / Y = Y = Y			
City	State Zip Code		FEC Identification Number	
Purpose of Disbursement	C			
Candidate Name	Amount of Each Disbursement this Period			
Office Sought: House Disburser  Senate President				
State: District:	Other (specify)		Memo Item	
Full Name (Last, First, Middle Initial)			Date of Disbursement	
Mailing Address				
	State Zip Code		FEC Identification Number	
Purpose of Disbursement  Candidate Name	C			
		Category/ Type	Amount of Each Disbursement this Period	
President	nent For: Primary Genera Other (specify) ▼	ı	Memo Item	
State: District:			_	
SUBTOTAL of Disbursements This Page (optional)		·····•	10000.00	
TOTAL This Period (last page this line number only)			37200.00	