

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.   
Securities Industry and Financial Markets Association Political Action Committee

ADDRESS (number and street)   
  
 Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲

3. IS THIS REPORT  NEW (N) OR  AMENDED (A)

### 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

- (b) Monthly Report Due On:
- |                                      |   |                                       |  |
|--------------------------------------|---|---------------------------------------|--|
| <input type="checkbox"/> Feb 20 (M2) | <input checked="" type="checkbox"/> May 20 (M5) | <input type="checkbox"/> Aug 20 (M8)  | <input type="checkbox"/> Nov 20 (M11) (Non-Election Year Only) |
| <input type="checkbox"/> Mar 20 (M3) | <input type="checkbox"/> Jun 20 (M6)            | <input type="checkbox"/> Sep 20 (M9)  | <input type="checkbox"/> Dec 20 (M12) (Non-Election Year Only) |
| <input type="checkbox"/> Apr 20 (M4) | <input type="checkbox"/> Jul 20 (M7)            | <input type="checkbox"/> Oct 20 (M10) | <input type="checkbox"/> Jan 31 (YE)                           |

- (c) 12-Day PRE-Election Report for the:
- |   |  |                                       |
|---|--|---------------------------------------|
| <input type="checkbox"/> Primary (12P)    | <input type="checkbox"/> General (12G) | <input type="checkbox"/> Runoff (12R) |
| <input type="checkbox"/> Convention (12C) | <input type="checkbox"/> Special (12S) |                                       |

Election on  /  /  in the State of

- (d) 30-Day POST-Election Report for the:
- |  |                                       |  |
|--|---------------------------------------|--|
| <input type="checkbox"/> General (30G) | <input type="checkbox"/> Runoff (30R) | <input type="checkbox"/> Special (30S) |
|--|---------------------------------------|--|

Election on  /  /  in the State of

5. Covering Period  /  /  through  /  /

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Kenneth E. Bentsen Jr.

Signature of Treasurer Mr. Kenneth E. Bentsen Jr. [Electronically Filed] Date  /  /

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only									
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**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

**Securities Industry and Financial Markets Association Political Action Committee**

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2012"/>		253204.51
(b) Cash on Hand at Beginning of Reporting Period.....	249696.22	
(c) Total Receipts (from Line 19) .....	26881.19	93173.21
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	276577.41	346377.72
7. Total Disbursements (from Line 31).....	22071.67	91871.98
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	254505.74	254505.74
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

Write or Type Committee Name

**Securities Industry and Financial Markets Association Political Action Committee**

Report Covering the Period: From:  /  /  To:  /  /

<b>I. Receipts</b>	<b>COLUMN A Total This Period</b>	<b>COLUMN B Calendar Year-to-Date</b>
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	16486.30	50098.65
(ii) Unitemized .....	323.22	2697.63
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	16809.52	52796.28
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	10000.00	40000.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	26809.52	92796.28
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	71.67	376.93
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	26881.19	93173.21
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	26881.19	93173.21

**DETAILED SUMMARY PAGE**  
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	71.67	371.98
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	71.67	371.98
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	22000.00	91500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	22071.67	91871.98
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	22071.67	91871.98

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	26809.52	92796.28
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	26809.52	92796.28
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	71.67	371.98
37. Offsets to Operating Expenditures (from Line 15, page 3).....	71.67	376.93
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	-4.95

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 6 OF 15
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. Mr. Joseph E. Sweeney</b>		Date of Receipt MM / DD / YYYY 04 / 05 / 2012 <b>Transaction ID : 19838305</b>
Mailing Address 155 Soundview Lane		Amount of Each Receipt this Period 5000.00
City New Canaan	State CT	Zip Code 06840-2734
FEC ID number of contributing federal political committee. C		Contribution
Name of Employer Ameriprise Financial, Inc.	Occupation President, Advice & Wealth Management	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	

Full Name (Last, First, Middle Initial) <b>B. Mr. Kenneth E. Bentsen Jr.</b>		Date of Receipt MM / DD / YYYY 04 / 04 / 2012 <b>Transaction ID : 19854561</b>
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 192.35
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee. C		Contribution
Name of Employer Securities Industry and Financial Mark	Occupation EVP, Public Policy and Advocacy	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1154.10	

Full Name (Last, First, Middle Initial) <b>C. Ms. Kyle Brandon</b>		Date of Receipt MM / DD / YYYY 04 / 04 / 2012 <b>Transaction ID : 19854563</b>
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 96.15
City New York	State NY	Zip Code 10271-3599
FEC ID number of contributing federal political committee. C		Contribution
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director, DOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 556.15	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	5288.50
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 15
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Ms Kim Chamberlain**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel, State Gov  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 268.50

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 04 / 04 / 2012  
**Transaction ID : 19854564**  
 Amount of Each Receipt this Period  
 38.50

**B. Ms. Cheryl Crispen**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave, NW  
 City Washington State DC Zip Code 20005-4213  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Executive Vice President, Communicatio  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 576.90

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 04 / 04 / 2012  
**Transaction ID : 19854565**  
 Amount of Each Receipt this Period  
 96.15

**C. Marin E. Gibson**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 420.02

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 04 / 04 / 2012  
**Transaction ID : 19854569**  
 Amount of Each Receipt this Period  
 70.00

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	204.65
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 15
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Mr. Russell Heise**  
Full Name (Last, First, Middle Initial)

Mailing Address 430 Madison Street

City State Zip Code  
Denver CO 80206-4439

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
RBC Capital Markets Senior Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
500.00

Date of Receipt  
M M M / D D D / Y Y Y Y Y Y  
04 / 10 / 2012

**Transaction ID : 19854571**

Amount of Each Receipt this Period  
500.00

Contribution

**B. Mr. Kenneth E. Bentsen Jr.**  
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW  
8th Floor

City State Zip Code  
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Securities Industry and Financial Mark EVP, Public Policy and Advocacy

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1346.45

Date of Receipt  
M M M / D D D / Y Y Y Y Y Y  
04 / 18 / 2012

**Transaction ID : 19863224**

Amount of Each Receipt this Period  
192.35

**C. Ms. Kyle Brandon**  
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway  
35th Floor

City State Zip Code  
New York NY 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Securities Industry and Financial Mark Managing Director, DOR

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
652.30

Date of Receipt  
M M M / D D D / Y Y Y Y Y Y  
04 / 18 / 2012

**Transaction ID : 19863226**

Amount of Each Receipt this Period  
96.15

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 788.50

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 15
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Ms Kim Chamberlain**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel, State Gov  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **307.00**

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 04 / 18 / 2012  
**Transaction ID : 19863227**  
 Amount of Each Receipt this Period  
**38.50**

**B. Ms. Cheryl Crispen**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave, NW  
 City Washington State DC Zip Code 20005-4213  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Executive Vice President, Communicatio  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **673.05**

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 04 / 18 / 2012  
**Transaction ID : 19863228**  
 Amount of Each Receipt this Period  
**96.15**

**C. Marin E. Gibson**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **490.02**

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 04 / 18 / 2012  
**Transaction ID : 19863232**  
 Amount of Each Receipt this Period  
**70.00**

**SUBTOTAL** of Receipts This Page (optional)..... **204.65**  
**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 15  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)  
**A. Mr. John G. Taft**

Mailing Address 1418 Mount Curve Avenue

City State Zip Code  
 Minneapolis MN 55403-1010

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
 RBC Wealth Management President and CEO

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
 5000.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 04 / 26 / 2012

**Transaction ID : 19863233**

Amount of Each Receipt this Period  
 5000.00

Contribution

Full Name (Last, First, Middle Initial)  
**B. Mr Stephen M Lessing**

Mailing Address 9 Snake Hill Road

City State Zip Code  
 Cold Spring Harbor NY 11724-1105

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
 Barclays Capital Managing Director

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
 5000.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 04 / 24 / 2012

**Transaction ID : 19899909**

Amount of Each Receipt this Period  
 5000.00

Contribution

Full Name (Last, First, Middle Initial)  
**C.**

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	10000.00
<b>TOTAL</b> This Period (last page this line number only).....▶	16486.30

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 11 OF 15
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. Bank of America Federal PAC</b>		Date of Receipt
Mailing Address 1455 Pennsylvania Ave NW Suite 950 DC8-455-09-01		<input type="text" value="04"/> / <input type="text" value="23"/> / <input type="text" value="2012"/>
City Washington	State DC	Zip Code 20004
FEC ID number of contributing federal political committee. <b>C</b> C00364778		<b>Transaction ID : 19863234</b>
Name of Employer	Occupation	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	<input type="text" value="5000.00"/>
		Contribution

Full Name (Last, First, Middle Initial) <b>B. U.S. Bancorp PAC</b>		Date of Receipt
Mailing Address 800 Nicollet Mall BC MN-H210		<input type="text" value="04"/> / <input type="text" value="30"/> / <input type="text" value="2012"/>
City Minneapolis	State MN	Zip Code 55402
FEC ID number of contributing federal political committee. <b>C</b> C00018036		<b>Transaction ID : 19892563</b>
Name of Employer	Occupation	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	<input type="text" value="5000.00"/>
		Contribution

Full Name (Last, First, Middle Initial) <b>C.</b>		Date of Receipt
Mailing Address		<input type="text"/> / <input type="text"/> / <input type="text"/>
City	State	Zip Code
FEC ID number of contributing federal political committee. <b>C</b>		Amount of Each Receipt this Period
Name of Employer	Occupation	<input type="text"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	<input type="text"/>

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<input type="text" value="10000.00"/>
<b>TOTAL</b> This Period (last page this line number only).....▶	<input type="text" value="10000.00"/>

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 12 OF 15
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Securities Industry and Financial Markets Association**

Full Name (Last, First, Middle Initial)  
Mailing Address 120 Broadway  
Floor 35

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
376.93

Date of Receipt  
MM / DD / YYYY  
04 / 25 / 2012

**Transaction ID : 19863235**

Amount of Each Receipt this Period  
71.67

Reimbursement of Bank Fees

**B.**

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt  
MM / DD / YYYY

Amount of Each Receipt this Period

**C.**

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt  
MM / DD / YYYY

Amount of Each Receipt this Period

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	71.67
<b>TOTAL</b> This Period (last page this line number only).....▶	71.67

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Credit Card Fees

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 19903747**

Amount of Each Disbursement this Period

Credit Card Fees

Full Name (Last, First, Middle Initial)

**B. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Bank Fees

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 19903748**

Amount of Each Disbursement this Period

Bank Fees

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

Amount of Each Disbursement this Period

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kay Granger Campaign Fund**

Mailing Address 715 Jones Street, Suite 101

City Fort Worth State TX Zip Code 76102

Purpose of Disbursement Contribution

011

Candidate Name

**Rep. Kay Granger**

Category/Type

Office Sought:  House  Senate  President  
State: TX District: 12

Disbursement For: 2012  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
04 / 18 / 2012

**Transaction ID : 19855897**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**B. Bill Owens For Congress**

Mailing Address PO Box 1575

City Plattsburgh State NY Zip Code 12901

Purpose of Disbursement Contribution

011

Candidate Name

**Rep. Bill Owens**

Category/Type

Office Sought:  House  Senate  President  
State: NY District: 23

Disbursement For: 2012  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
04 / 18 / 2012

**Transaction ID : 19856037**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**C. Democratic Congressional Campaign Committee**

Mailing Address 430 South Capitol Street, SE

City Washington State DC Zip Code 20003

Purpose of Disbursement Contribution

011

Candidate Name

**Democratic Congressional Campaign Committee**

Category/Type

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
04 / 30 / 2012

**Transaction ID : 19864925**

Amount of Each Disbursement this Period

5000.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

7000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Democratic Senatorial Campaign Committee**

Mailing Address 120 Maryland Avenue, NE

City Washington State DC Zip Code 20002

Purpose of Disbursement Contribution

011

Candidate Name

**Democratic Senatorial Campaign Committee**

Category/Type

Office Sought:  House  Senate  President

Disbursement For:  Primary  General  Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY  
04 / 30 / 2012

**Transaction ID : 19864926**

Amount of Each Disbursement this Period

5000.00

Contribution

Full Name (Last, First, Middle Initial)

**B. National Republican Congressional Committee**

Mailing Address 320 First Street, SE

City Washington State DC Zip Code 20003

Purpose of Disbursement Contribution

011

Candidate Name

**National Republican Congressional Committee**

Category/Type

Office Sought:  House  Senate  President

Disbursement For:  Primary  General  Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY  
04 / 30 / 2012

**Transaction ID : 19864927**

Amount of Each Disbursement this Period

5000.00

Contribution

Full Name (Last, First, Middle Initial)

**C. National Republican Senatorial Committee**

Mailing Address 425 2nd Street, NE

City Washington State DC Zip Code 20002

Purpose of Disbursement Contribution

011

Candidate Name

**National Republican Senatorial Committee**

Category/Type

Office Sought:  House  Senate  President

Disbursement For:  Primary  General  Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY  
04 / 30 / 2012

**Transaction ID : 19864928**

Amount of Each Disbursement this Period

5000.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

15000.00

**TOTAL** This Period (last page this line number only)..... ▶

22000.00