01/29/2002 16 : 43

**FEC FORM 3X**

# REPORT OF RECEIPTS AND DISBURSEMENTS

**For Other Than An Authorized Committee**

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

LEADERSHIP 21

ADDRESS (number and street) ▼ 818 Connecticut Avenue, N.W.

Suite 1007

Check if different than previously reported. (ACC)

WASHINGTON | DC | 20006 –

2. **FEC IDENTIFICATION NUMBER** ▼ C00327239

CITY ▲ STATE ▲ ZIPCODE ▲

3. IS THIS REPORT X NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

X January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

| | | | |
|---|---|---|---|
| Feb 20 (M2) | May 20 (M5) | Aug 20 (M8) | Nov 20 (M11) (Non-Election Year Only) |
| Mar 20 (M3) | Jun 20 (M6) | Sep 20 (M9) | Dec 20 (M12) (Non-Election Year Only) |
| Apr 20 (M4) | Jul 20 (M7) | Oct 20 (M10) | Jan 31 (M13) |

(c) 12-Day **PRE**-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12S)

Election on in the State of

(d) 30-Day **P**ost -Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 07 01 2001 through 12 31 2001

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Leslie J. Kerman

Signature of Treasurer Electronically Filed by Leslie J. Kerman Date 01 29 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

| Office Use Only | | | **FEC FORM 3X** (Revised 1/2001) |
|---|---|---|---|

# SUMMARY PAGE
## OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)



Write or Type Committee Name
LEADERSHIP 21

Report Covering the Period: From: 07 01 2001 To: 12 31 2001

| | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|---|---|---|
| 6. (a) Cash on Hand January 1 2001 | | 27886.61 |
| (b) Cash on Hand at Begining of Reporting Period ..... | 27794.75 | |
| (c) Total Receipts (from Line 19) ..... | 11250.91 | 16300.00 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) ..... | 39045.66 | 44186.61 |
| 7. Total Disbursements (from Line 30) ..... | 8498.15 | 13639.10 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) ..... | 30547.51 | 30547.51 |
| 9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |
| 10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D) ..... | 314.08 | |

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

# DETAILED SUMMARY PAGE
OF RECEIPTS



Write or Type Committee Name
LEADERSHIP 21

Report Covering the Period: From: 07 01 2001 To: 12 31 2001

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A) ..... | 0.00 | |
| (ii) Unitemized ..... | 0.00 | |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶ | 0.00 | 0.00 |
| (b) Political Party Committees ..... | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) ..... | 11250.00 | 16250.00 |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶ | 11250.00 | 16250.00 |
| 12. Transfers From Affiliated/Other Party Committees ..... | 0.00 | 0.00 |
| 13. All Loans Received ..... | 0.00 | 0.00 |
| 14. Loan Repayments Received ..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4) ..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees ..... | 0.00 | 0.00 |
| 17. Other Federal Receipts Dividends, Interest, etc.) ..... | 0.91 | 50.00 |
| 18. Transfers from Nonfederal Account for Joint Activity ..... | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶ | 11250.91 | 16300.00 |
| 20. Total Federal Receipts (subtract Line 18 from Line 19) ..... ▶ | 11250.91 | 16300.00 |

# DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Revised 1/2001)



| II. DISBURSEMENTS | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 21. Operating Expenditures: | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 4498.15 | 7639.10 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶ | 4498.15 | 7639.10 |
| 22. Transfers to Affiliated/Other Party Committees | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 4000.00 | 5500.00 |
| 24. Independent Expenditure (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 |
| 26. Loan Repayments Made | 0.00 | 0.00 |
| 27. Loans Made | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶ | 0.00 | 0.00 |
| 29. Other Disbursements | 0.00 | 500.00 |
| 30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶ | 8498.15 | 13639.10 |
| 31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶ | 8498.15 | 13639.10 |
| **III. Net Contributions/Operating Expenditures** | | |
| 32. Total Contributions (other than loans) from Line 11(d), page 3) | 11250.00 | 16250.00 |
| 33. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 34. Net Contributions (other than loans) (subtract Line 33 from Line 32) | 11250.00 | 16250.00 |
| 35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶ | 4498.15 | 7639.10 |
| 36. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶ | 4498.15 | 7639.10 |

# SCHEDULE A (FEC Form 3X)
# ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 5 / 12

☐ 11a ☐ 11b ☒ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LEADERSHIP 21

**A.** Full Name (Last, First, Middle Initial)
AMERICAN HOSPITAL ASSOCIATION POLITICAL ACTION COMMITTEE (AHAPAC)

Mailing Address
325 7TH STREET NW

City: WASHINGTON State: DC Zip Code: 20004

FEC ID number of contributing federal political committee: C00106146

Name of Employer:

Occupation:

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date: 5000.00

Date of Receipt: 12 13 2001

Amount of Each Receipt this Period: 5000.00

Transaction ID: SA11C.4392

**B.** Full Name (Last, First, Middle Initial)
BLUEPAC BLUE CROSS AND BLUE SHIELD ASSOCIATION THE POLITICAL ACTION COMMITTEE

Mailing Address
1310 G STREET NW 12TH FLOOR

City: WASHINGTON State: DC Zip Code: 20005

FEC ID number of contributing federal political committee: C00194746

Name of Employer:

Occupation:

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date: 1250.00

Date of Receipt: 11 07 2001

Amount of Each Receipt this Period: 1250.00

Transaction ID: SA11C.4389

**C.** Full Name (Last, First, Middle Initial)
KOCH INDUSTRIES INC POLITICAL ACTION COMMITTEE (KOCHPAC)

Mailing Address
1450 G ST NW SUITE 445

City: WASHINGTON State: DC Zip Code: 20005

FEC ID number of contributing federal political committee: C00236489

Name of Employer:

Occupation:

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date: 2500.00

Date of Receipt: 11 28 2001

Amount of Each Receipt this Period: 2500.00

Transaction ID: SA11C.4390

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **8750.00**

**TOTAL** This Period (last page this line number only) ..... ▶

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 6 / 12

☐ 11a ☐ 11b ☒ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
LEADERSHIP 21

A. Full Name (Last, First, Middle Initial)
LIST EXECUTIVES ADMINISTRATORS AND MANAGERS POLITICAL ACTION CMTE (AKA LISTEAM PAC)

Mailing Address
100 WEST PUTMAN AVENUE

City: GREENWICH State: CT Zip Code: 06830

FEC ID number of contributing federal political committee. C00104851

Name of Employer

Occupation

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
2500.00

Date of Receipt
M M D D Y Y Y Y
12 21 2001

Amount of Each Receipt this Period
2500.00

Transaction ID: SA11C.4414

B.

C.

| | |
|---|---|
| **SUBTOTAL** of Receipts This Page (optional) ..... ▶ | **2500.00** |
| **TOTAL** This Period (last page this line number only) ..... ▶ | **11250.00** |

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

[X] 21b [ ] 22 [ ] 23 [ ] 24 [ ] 25
[ ] 26 [ ] 27 [ ] 28a [ ] 28b [ ] 28c [ ] 29



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NAME OF COMMITTEE (In Full)
LEADERSHIP 21

**A.**
Full Name (Last, First, Middle Initial)
The Waverly Group, Inc.

Mailing Address
818 Connecticut Avenue, N.W. Suite 1007
City: Washington State: DC Zip Code: 20006

Purpose of Disbursement
Consulting Fees & Expenses

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
07 16 2001

Amount of Each Disbursement this Period
506.00

Transaction ID: SB21B.4393

**B.**
Full Name (Last, First, Middle Initial)
The Waverly Group, Inc.

Mailing Address
818 Connecticut Avenue, N.W. Suite 1007
City: Washington State: DC Zip Code: 20006

Purpose of Disbursement
Consulting Fees & Expenses

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
08 14 2001

Amount of Each Disbursement this Period
504.21

Transaction ID: SB21B.4394

**C.**
Full Name (Last, First, Middle Initial)
The Waverly Group, Inc.

Mailing Address
818 Connecticut Avenue, N.W. Suite 1007
City: Washington State: DC Zip Code: 20006

Purpose of Disbursement
Consulting Fees & Expenses

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
09 14 2001

Amount of Each Disbursement this Period
501.10

Transaction ID: SB21B.4395

**SUBTOTAL** of Disbursements This Page (optional) ..... ▶ **1511.31**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

[X] 21b [ ] 22 [ ] 23 [ ] 24 [ ] 25
[ ] 26 [ ] 27 [ ] 28a [ ] 28b [ ] 28c [ ] 29



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NAME OF COMMITTEE (In Full)
LEADERSHIP 21

**A.**
Full Name (Last, First, Middle Initial)
The Waverly Group, Inc.

Mailing Address
818 Connecticut Avenue, N.W. Suite 1007
City: Washington State: DC Zip Code: 20006

Purpose of Disbursement
Consulting Fees & Expenses

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
10 15 2001

Amount of Each Disbursement this Period
510.84

Transaction ID: SB21B.4396

**B.**
Full Name (Last, First, Middle Initial)
The Waverly Group, Inc.

Mailing Address
818 Connecticut Avenue, N.W. Suite 1007
City: Washington State: DC Zip Code: 20006

Purpose of Disbursement
Consulting Fees & Expenses

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
11 14 2001

Amount of Each Disbursement this Period
516.76

Transaction ID: SB21B.4397

**C.**
Full Name (Last, First, Middle Initial)
The Waverly Group, Inc.

Mailing Address
818 Connecticut Avenue, N.W. Suite 1007
City: Washington State: DC Zip Code: 20006

Purpose of Disbursement
Consulting Fees & Expenses

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
12 14 2001

Amount of Each Disbursement this Period
502.82

Transaction ID: SB21B.4398

**SUBTOTAL** of Disbursements This Page (optional) ..... ▶ **1530.42**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

[X] 21b [ ] 22 [ ] 23 [ ] 24 [ ] 25
[ ] 26 [ ] 27 [ ] 28a [ ] 28b [ ] 28c [ ] 29



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NAME OF COMMITTEE (In Full)
LEADERSHIP 21

**A.**

Full Name (Last, First, Middle Initial)
Vickie Walling

Mailing Address
2410 Garnett Drive

City: Alexandria
State: VA
Zip Code: 22311

Purpose of Disbursement
Fundraising Reimbursement: Golf Charges

Candidate Name

Category/ Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement
11 15 2001

Amount of Each Disbursement this Period
1456.42

Transaction ID: SB21B.4399

**B.**

**C.**

| | |
|---|---|
| **SUBTOTAL** of Disbursements This Page (optional) ..... ▶ | **1456.42** |
| **TOTAL** This Period (last page this line number only) ..... ▶ | **4498.15** |

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29



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NAME OF COMMITTEE (In Full)
LEADERSHIP 21

**A.**
Full Name (Last, First, Middle Initial)
BRAD CARSON FOR CONGRESS COMMITTEE

Mailing Address
PO BOX 1982
City: CLAREMORE State: OK Zip Code: 74018

Purpose of Disbursement
Contribution

Candidate Name
BRAD CARSON

Category/Type

Office Sought: X House / Senate / President
State: OK District: 02

Disbursement For: 2002
X Primary / General / Other (specify)

Date of Disbursement: 08 01 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.4401

**B.**
Full Name (Last, First, Middle Initial)
FRIENDS OF MAX CLELAND FOR THE US SENATE INC

Mailing Address
3146 NORTHEAST EXPRESSWAY P O BOX 7843
City: ATLANTA State: GA Zip Code: 30357

Purpose of Disbursement
Contribution

Candidate Name
JOSEPH MAXWELL CLELAND

Category/Type

Office Sought: House / X Senate / President
State: GA District: 00

Disbursement For: 2002
X Primary / General / Other (specify)

Date of Disbursement: 09 24 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.4403

**C.**
Full Name (Last, First, Middle Initial)
PHELPS FOR CONGRESS

Mailing Address
225 JACOB RD
City: ELDORADO State: IL Zip Code: 62930

Purpose of Disbursement
Contribution

Candidate Name
DAVID D PHELPS

Category/Type

Office Sought: X House / Senate / President
State: IL District: 19

Disbursement For: 2002
X Primary / General / Other (specify)

Date of Disbursement: 10 17 2001

Amount of Each Disbursement this Period: 1000.00

Transaction ID: SB23.4409

**SUBTOTAL** of Disbursements This Page (optional) ..... ▶ **3000.00**

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE B (FEC Form 3X)
# ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

| | | | | | |
|---|---|---|---|---|---|
| ☐ 21b | ☐ 22 | ☒ 23 | ☐ 24 | ☐ 25 | |
| ☐ 26 | ☐ 27 | ☐ 28a | ☐ 28b | ☐ 28c | ☐ 29 |



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NAME OF COMMITTEE (In Full)
LEADERSHIP 21

**A.**

Full Name (Last, First, Middle Initial)
STUPAK FOR CONGRESS

Mailing Address
817 9TH AVENUE PO BOX 143

City: MENOMINEE State: MI Zip Code: 49858

Purpose of Disbursement
Contribution

Candidate Name
BART T STUPAK

Category/Type

Office Sought: X House / Senate / President

State: MI District: 01

Disbursement For: 2002
X Primary / General / Other (specify)

Date of Disbursement
09 24 2001

Amount of Each Disbursement this Period
1000.00

Transaction ID: SB23.4406

**B.**

**C.**

| | |
|---|---|
| **SUBTOTAL** of Disbursements This Page (optional) ..... ▶ | **1000.00** |
| **TOTAL** This Period (last page this line number only) ..... ▶ | **4000.00** |

**SCHEDULE D**

**DEBTS AND OBLIGATIONS**

**Excluding Loans**

(Use separate schedule(s) for each numbered line)



FOR LINE NUMBER: (check only one)

- [ ] 9
- [X] 10

NAME OF COMMITTEE (In Full)
LEADERSHIP 21

A Full Name (Last, First, Middle Initial) of Debtor or Creditor
Premier Promotions

Mailing Address
111 Tucker Street

City: Jackson | State: TN | ZIP Code: 38301

Nature of Debt (Purpose):
Fundraising Expense: Blankets

Outstanding Balance Beginning This Period
0.00

Transaction ID: SD10.4415

| Amount Incurred This Period | Payment This Period | Outstanding Balance at Close of This Period |
|---|---|---|
| 314.08 | 0.00 | 314.08 |

B

C

| | |
|---|---|
| 1) **SUBTOTALS** This Period This Page (optional) ..... ▶ | **314.08** |
| 2) **TOTALS** This Period (last page this line number only) ..... ▶ | **314.08** |
| 3) **TOTALS OUTSTANDING LOANS** from Schedule C (last page only) ..... ▶ | |
| 4) **ADD 2)** and **3)** and carry forward to appropriate line of Summary Page (last page only) ▶ | |