

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **TYPE OR PRINT ▼** Example: If typing, type over the lines. 12FE4M5

SVB Financial Group PAC

ADDRESS (number and street) 3005 Tasman Dr

Check if different than previously reported. (ACC) Santa Clara CA 95054

2. **FEC IDENTIFICATION NUMBER ▼** C00333658 **CITY ▲** **STATE ▲** **ZIP CODE ▲**

3. IS THIS REPORT **NEW (N)** OR **AMENDED (A)**

4. **TYPE OF REPORT** (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

July 15 Quarterly Report (Q2)

October 15 Quarterly Report (Q3)

January 31 Year-End Report (YE)

July 31 Mid-Year Report (Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)

Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)

Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)

(c) 12-Day **PRE-Election** Report for the:

Primary (12P) General (12G) Runoff (12R)

Convention (12C) Special (12S)

Election on MM / DD / YYYY in the State of

(d) 30-Day **POST-Election** Report for the:

General (30G) Runoff (30R) Special (30S)

Election on MM / DD / YYYY in the State of

5. Covering Period MM / DD / YYYY 07 / 01 / 2016 through MM / DD / YYYY 07 / 31 / 2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Rebecca Olson

Signature of Treasurer Rebecca Olson [Electronically Filed] Date MM / DD / YYYY 08 / 19 / 2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

SVB Financial Group PAC

Report Covering the Period: From: / / To: / /

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|---|---------------------------------------|---------------------------------------|
| 6. (a) Cash on Hand January 1, <input type="text" value="2016"/> | <input type="text" value="23290.96"/> | <input type="text" value="23290.96"/> |
| (b) Cash on Hand at Beginning of Reporting Period..... | <input type="text" value="38980.54"/> | |
| (c) Total Receipts (from Line 19) | <input type="text" value="1412.50"/> | <input type="text" value="22102.08"/> |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)..... | <input type="text" value="40393.04"/> | <input type="text" value="45393.04"/> |
| 7. Total Disbursements (from Line 31)..... | <input type="text" value="0.00"/> | <input type="text" value="5000.00"/> |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))..... | <input type="text" value="40393.04"/> | <input type="text" value="40393.04"/> |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)..... | <input type="text" value="0.00"/> | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)..... | <input type="text" value="0.00"/> | |

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

SVB Financial Group PAC

Report Covering the Period: From: / / To: / /

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A)..... | 1230.00 | 18335.00 |
| (ii) Unitemized | 182.50 | 3767.08 |
| (iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶ | 1412.50 | 22102.08 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) | 1412.50 | 22102.08 |
| 12. Transfers From Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.)..... | 0.00 | 0.00 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3)..... | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfers (add 18(a) and 18(b)).. | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶ | 1412.50 | 22102.08 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶ | 1412.50 | 22102.08 |

DETAILED SUMMARY PAGE
of Disbursements

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share..... | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | 0.00 | 5000.00 |
| 24. Independent Expenditures (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)..... | 0.00 | 0.00 |
| 26. Loan Repayments Made..... | 0.00 | 0.00 |
| 27. Loans Made..... | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... | 0.00 | 0.00 |
| 29. Other Disbursements | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C. §431(20)) | | |
| (a) Allocated Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) "Levin" Share..... | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b)).... | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) .. | 0.00 | 5000.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 0.00 | 5000.00 |

DETAILED SUMMARY PAGE
of Disbursements

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Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) (from Line 11(d), page 3) | 1412.50 | 22102.08 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 1412.50 | 22102.08 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3)..... | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 0.00 |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|------------------------------|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: | PAGE 6 OF 9 |
| | (check only one) | |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 12 |
| | | <input type="checkbox"/> 15 |
| | | <input type="checkbox"/> 16 |
| | | <input type="checkbox"/> 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
SVB Financial Group PAC

A. Dennis Chang
Full Name (Last, First, Middle Initial)
Mailing Address 3003 Tasman Drive
City Santa Clara State CA Zip Code 95054
FEC ID number of contributing federal political committee. **C**
Name of Employer SVB Financial Group Occupation Sr. External Reporting Manager
Receipt For: 2016
 Primary General
 Other (specify) Calendar Year
Aggregate Year-to-Date **350.00**

Date of Receipt
07 / 22 / 2016
Transaction ID : 11AI-1081
Amount of Each Receipt this Period
50.00
 Memo Item

B. Dennis Chang
Full Name (Last, First, Middle Initial)
Mailing Address 3003 Tasman Drive
City Santa Clara State CA Zip Code 95054
FEC ID number of contributing federal political committee. **C**
Name of Employer SVB Financial Group Occupation Sr. External Reporting Manager
Receipt For: 2016
 Primary General
 Other (specify) Calendar Year
Aggregate Year-to-Date **350.00**

Date of Receipt
07 / 22 / 2016
Transaction ID : 11AI-1093
Amount of Each Receipt this Period
50.00
 Memo Item

c. Julie Haga
Full Name (Last, First, Middle Initial)
Mailing Address 3003 Tasman Dr
City Santa Clara State CA Zip Code 95054
FEC ID number of contributing federal political committee. **C**
Name of Employer SVB Financial Group Occupation Director, IT
Receipt For: 2016
 Primary General
 Other (specify) Calendar Year
Aggregate Year-to-Date **650.00**

Date of Receipt
07 / 22 / 2016
Transaction ID : 11AI-1083
Amount of Each Receipt this Period
50.00
 Memo Item

SUBTOTAL of Receipts This Page (optional)..... **150.00**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 7 OF 9 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
SVB Financial Group PAC

A. Julie Haga
Full Name (Last, First, Middle Initial)
Mailing Address 3003 Tasman Dr
City Santa Clara State CA Zip Code 95054
FEC ID number of contributing federal political committee. **C**
Name of Employer SVB Financial Group Occupation Director, IT
Receipt For: 2016
 Primary General
 Other (specify) Calendar Year
Aggregate Year-to-Date **650.00**

Date of Receipt **07 / 22 / 2016**
Transaction ID : 11AI-1095
Amount of Each Receipt this Period **50.00**
 Memo Item

B. Benjamin Johnson
Full Name (Last, First, Middle Initial)
Mailing Address 3005 Tasman Dr
City Santa Clara State CA Zip Code 95054
FEC ID number of contributing federal political committee. **C**
Name of Employer SVB Financial Group Occupation Managing Director
Receipt For: 2016
 Primary General
 Other (specify) Calendar Year
Aggregate Year-to-Date **650.00**

Date of Receipt **07 / 22 / 2016**
Transaction ID : 11AI-1087
Amount of Each Receipt this Period **650.00**
 Memo Item

C. Christie Ma
Full Name (Last, First, Middle Initial)
Mailing Address 3003 Tasman Dr
City Santa Clara State CA Zip Code 95054
FEC ID number of contributing federal political committee. **C**
Name of Employer SVB Financial Group Occupation Attorney
Receipt For: 2016
 Primary General
 Other (specify) Calendar Year
Aggregate Year-to-Date **260.00**

Date of Receipt **07 / 22 / 2016**
Transaction ID : 11AI-1079
Amount of Each Receipt this Period **20.00**
 Memo Item

SUBTOTAL of Receipts This Page (optional)..... **720.00**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|-------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 8 OF 9 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
SVB Financial Group PAC

A. Christie Ma
 Full Name (Last, First, Middle Initial)
 Mailing Address 3003 Tasman Dr
 City Santa Clara State CA Zip Code 95054
 FEC ID number of contributing federal political committee. **C**
 Name of Employer SVB Financial Group Occupation Attorney
 Receipt For: 2016
 Primary General
 Other (specify) Calendar Year
 Aggregate Year-to-Date **260.00**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 07 / 22 / 2016
Transaction ID : 11AI-1091
 Amount of Each Receipt this Period
 20.00
 Memo Item

B. Robert Marks
 Full Name (Last, First, Middle Initial)
 Mailing Address 3003 Tasman Dr
 City Santa Clara State CA Zip Code 95054
 FEC ID number of contributing federal political committee. **C**
 Name of Employer SVB Financial Group Occupation EUS Manager
 Receipt For: 2016
 Primary General
 Other (specify) Calendar Year
 Aggregate Year-to-Date **325.00**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 07 / 22 / 2016
Transaction ID : 11AI-1077
 Amount of Each Receipt this Period
 25.00
 Memo Item

C. Robert Marks
 Full Name (Last, First, Middle Initial)
 Mailing Address 3003 Tasman Dr
 City Santa Clara State CA Zip Code 95054
 FEC ID number of contributing federal political committee. **C**
 Name of Employer SVB Financial Group Occupation EUS Manager
 Receipt For: 2016
 Primary General
 Other (specify) Calendar Year
 Aggregate Year-to-Date **325.00**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 07 / 22 / 2016
Transaction ID : 11AI-1089
 Amount of Each Receipt this Period
 25.00
 Memo Item

| | |
|--|-------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 70.00 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 9 OF 9 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
SVB Financial Group PAC

A. John Peck
 Full Name (Last, First, Middle Initial)
 Mailing Address 3005 Tasman Dr
 City Santa Clara State CA Zip Code 95054
 FEC ID number of contributing federal political committee. **C**
 Name of Employer SVB Financial Group Occupation Senior Credit Officer II
 Receipt For: 2016
 Primary General
 Other (specify) Calendar Year

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 07 / 22 / 2016
Transaction ID : 11AI-1088
 Amount of Each Receipt this Period
 250.00
 Memo Item

B. Robert Vogelsang
 Full Name (Last, First, Middle Initial)
 Mailing Address 3005 Tasman Dr
 City Santa Clara State CA Zip Code 95054
 FEC ID number of contributing federal political committee. **C**
 Name of Employer SVB Financial Group Occupation Senior Advisor
 Receipt For: 2016
 Primary General
 Other (specify) Calendar Year

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 07 / 22 / 2016
Transaction ID : 11AI-1085
 Amount of Each Receipt this Period
 20.00
 Memo Item

C. Robert Vogelsang
 Full Name (Last, First, Middle Initial)
 Mailing Address 3005 Tasman Dr
 City Santa Clara State CA Zip Code 95054
 FEC ID number of contributing federal political committee. **C**
 Name of Employer SVB Financial Group Occupation Senior Advisor
 Receipt For: 2016
 Primary General
 Other (specify) Calendar Year

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 07 / 22 / 2016
Transaction ID : 11AI-1097
 Amount of Each Receipt this Period
 20.00
 Memo Item

| | |
|---|---------|
| SUBTOTAL of Receipts This Page (optional)..... | 290.00 |
| TOTAL This Period (last page this line number only)..... | 1230.00 |