



**The Options  
Clearing Corporation**

440 South LaSalle Street  
Chicago, Illinois 60605-1050  
312.322.3200

RECEIVED  
FEDERAL ELECTION  
COMMISSION MAIL ROOM  
2000 FEB -8 12:05

January 24, 2000

**VIA CERTIFIED MAIL  
RETURN RECEIPT REQUESTED**

Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20483

To Whom It May Concern:

On behalf of The Options Clearing Corporation Political Action Committee ("OCC PAC"), I am enclosing FEC Form 3X, a report of receipts and disbursements of OCC PAC (the "Report"). The Report is the January 31 Year-End Report.

If you have any questions with respect to this Report or OCC PAC, please call me at (312) 322-2027.

Very truly yours,

Frank J. Larocca

Enclosure

F.lli/mq  
fec3x.doc

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

RECEIVED  
FEDERAL ELECTION  
COMMISSION MAIL ROOM

2000 FEB -8 12:05

<b>1. NAME OF COMMITTEE (in full)</b> OPTIONS CLEARING CORPORATION POLITICAL ACTION COMMITTEE, THE	
<b>ADDRESS (number and street)</b> <input type="checkbox"/> Check if different than previously reported 440 S I ASALLE STREET	<b>2. FEC IDENTIFICATION NUMBER</b> C00255877
<b>CITY, STATE, and ZIP CODE</b> CHICAGO                      IL    60608	3. <input type="checkbox"/> This committee has qualified as a multi-candidate committee (see FEC Form 1M)

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid-Year Report (Non-election Year Only)
- Termination report
- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |
- Twelfth day report preceding \_\_\_\_\_ (election type) \_\_\_\_\_  
 election on \_\_\_\_\_ in the State of \_\_\_\_\_
- Thirtieth day report following the General Election \_\_\_\_\_  
 on \_\_\_\_\_ in the State of \_\_\_\_\_
- (b) Is this Report an Amendment     YES     NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>07/01/1999</u> through <u>12/31/1999</u>		
6. (a) Cash on Hand, January 1, <u>1999</u> .....		692.17
(b) Cash on Hand at Beginning of Reporting Period .....	442.17	
(c) Total Receipts (from line 19) .....	2875.00	3925.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	3417.17	4417.17
7. Total Disbursements (from line 9D) .....	1000.00	2000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	2417.17	2417.17
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-275-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule U and/or Schedule V) .....	0.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct, and complete.

Type or Print Name of Treasurer  
**Electronically Filed by OPTIONS CLEARING CORPORATION POLITICAL ACTION COMMITTEE, THE**

Signature of Treasurer: *Frank Karocce*    ASST. TREASURER    Date: 1/27/00

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**DETAILED SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS  
(PAGE 2, FEC FORM 3X)**

(revised 1/1/91)

NAME OF COMMITTEE <b>OPTIONS CLEARING CORPORATION POLITICAL ACTION COMMITTEE, THE</b>		REPORT COVERING PERIOD FROM 07/01/1999 TO 12/31/1999	
<b>I. Receipts</b>		<b>COLUMN A Total This Period</b>	<b>COLUMN B Calendar Year</b>
<b>11. Contributions (other than loans) From:</b>			
<b>a. Individual/Persons Other Than Political Committees</b>			
i. Itemized (use Schedule A) .....	1600.00	1600.00	11.a.i.
ii. Unitemized .....	1975.00	2225.00	11.a.ii.
iii. Total ..... (add i and ii)	2875.00	3825.00	11.a.iii.
<b>b. Political Party Committees</b> .....			
<b>c. Other Political Committees (such as PACs)</b> .....			
<b>d. Total Contributions</b> ..... (add a iii, b and c)*	2875.00	3825.00	11.d.
<b>12. Transfers From Affiliated/Other Party Committees</b> .....			
<b>13. All Loans Received</b> .....			
<b>14. Loan Repayments Received</b> .....			
<b>15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)</b> .....			
<b>16. Refunds of Contributions Made to Federal Candidates and Other Political Committees</b> ..			
<b>17. Other Federal Receipts (Dividends, Interest, etc.)</b> .....			
<b>18. Transfers From Nonfederal Account for Joint Activity</b> .....			
<b>19. Total Receipts</b> ..... (add 11d, 12, 13, 14, 15, 16, 17, and 18)*	2875.00	3825.00	19.
<b>20. Total Federal Receipts</b> ..... (subtract line 18 from line 19)*	2875.00	3825.00	20.
<b>II. Disbursements</b>			
<b>21. Operating Expenditures:</b>			
<b>a. Shared Federal/Non-Federal Activity (from Schedule H4)</b>			
<b>i. Federal Share</b> .....			
<b>ii. Non-Federal Share</b> .....			
<b>b. Other Federal Operating Expenditures</b> .....			
<b>c. Total Operating Expenditures</b> ..... (add a i, a ii, and b)*	0.00	0.00	21.c.
<b>22. Transfers to Affiliated/Other Party Committees</b> .....			
<b>23. Contributions to Federal Candidates/Committees and Other Political Committees</b> .....			
<b>24. Independent Expenditures (Use Schedule E)</b> .....			
<b>25. Coordinated Expenditures Made by Party Committees (2 U.S.C 441a(d)) (use Sch. F)</b>			
<b>26. Loan Repayments Made</b> .....			
<b>27. Loans Made</b> .....			
<b>28. Refunds of Contributions To:</b>			
<b>a. Individual/Persons Other Than Political Committees</b> .....			
<b>b. Political Party Committees</b> .....			
<b>c. Other Political Committees (such as PACs)</b> .....			
<b>d. Total Contributions Refunds</b> ..... (add a, b, and c)*	0.00	0.00	28.d.
<b>29. Other Disbursements</b> .....			
<b>30. Total Disbursements</b> ..... (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29)*	1000.00	2000.00	30.
<b>31. Total Federal Disbursements</b> ..... (subtract line 21 a ii from line 30)*	1000.00	2000.00	31.
<b>III. Net Contributions / Operating Expenditures</b>			
<b>32. Total Contributions (other than loans) (from line 11d)</b> .....	2875.00	3825.00	32.
<b>33. Total Contribution Refunds (from line 28d)</b> .....	0.00	0.00	33.
<b>34. Net Contributions (other than loans) (subtract line 33 from 32)</b> .....	2875.00	3825.00	34.
<b>35. Total Federal Operating Expenditures</b> ..... (add 21 a i and 21 b)*	0.00	0.00	35.
<b>36. Offsets to Operating Expenditures (from line 15)</b> .....	0.00	0.00	36.
<b>37. Net Operating Expenditures</b> ..... (subtract line 36 from 35)*	0.00	0.00	37.

**SCHEDULE A**

**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER  
11A1

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

**NAME OF COMMITTEE (In Full)  
OPTIONS CLEARING CORPORATION POLITICAL ACTION COMMITTEE, THE**

<p><b>Full Name, Mailing Address, and ZIP Code</b> George Hender 821 College Avenue Lake Forest IL 60045</p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):</p>	<p><b>Name of Employer</b> The Options Clearing Corp</p> <p><b>Occupation</b> Managing Vice Chairman</p> <p>Aggregate Year-to-Date &gt; \$ 400.00</p>	<p><b>Date (month, day, year)</b> 09/26/1999</p>	<p><b>Amount of Each Receipt this Period</b> 400.00</p>
<p><b>Full Name, Mailing Address, and ZIP Code</b> David Engaldo 58 Boxwood Cary IL 60013</p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):</p>	<p><b>Name of Employer</b> The Options Clearing Corp</p> <p><b>Occupation</b> FVP</p> <p>Aggregate Year-to-Date &gt; \$ 400.00</p>	<p><b>Date (month, day, year)</b> 08/31/1999</p>	<p><b>Amount of Each Receipt this Period</b> 200.00</p>
<p><b>Full Name, Mailing Address, and ZIP Code</b> James Zalesky 21185 Valley Road Kildeer IL 60047</p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):</p>	<p><b>Name of Employer</b> The Options Clearing Corp</p> <p><b>Occupation</b> Sr VP</p> <p>Aggregate Year-to-Date &gt; \$ 400.00</p>	<p><b>Date (month, day, year)</b> 09/22/1999</p>	<p><b>Amount of Each Receipt this Period</b> 200.00</p>
<p><b>Full Name, Mailing Address, and ZIP Code</b> David Mounce 615 Gettysburg Ct Naperville IL 60540</p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):</p>	<p><b>Name of Employer</b> The Options Clearing Corp</p> <p><b>Occupation</b> FVP</p> <p>Aggregate Year-to-Date &gt; \$ 300.00</p>	<p><b>Date (month, day, year)</b> 10/06/1999</p>	<p><b>Amount of Each Receipt this Period</b> 300.00</p>
<p><b>Full Name, Mailing Address, and ZIP Code</b> Gina McFadden 2276 N. Fremont St. Chicago IL 60614</p> <p>Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):</p>	<p><b>Name of Employer</b> The Options Clearing Corp</p> <p><b>Occupation</b> FVP</p> <p>Aggregate Year-to-Date &gt; \$ 500.00</p>	<p><b>Date (month, day, year)</b> 10/07/1999</p>	<p><b>Amount of Each Receipt this Period</b> 500.00</p>

<p><b>SUBTOTALS of Receipts This Page (Optional)</b> .....</p>	
<p><b>TOTALS This Period (last page this line number only)</b> .....</p>	<p><b>1600.00</b></p>

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER  
23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

**NAME OF COMMITTEE (In Full)**  
**OPTIONS CLEARING CORPORATION POLITICAL ACTION COMMITTEE, THE**

Full Name, Mailing Address, and ZIP Code SANTORIUM 2000 PO BOX 10485  PITTSBURGH PA 15234	Purpose of Disbursement (Senate - PA - 00)  Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year) 08/27/1989	Amount of Each Disbursement This Period 1000.00
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SUBTOTALS of Disbursements This Page (Optional) .....	
TOTALS This Period (last page this line number only) .....	1000.00

Federal Election Commission

**ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/> Hand Delivered	Date of Receipt
<input type="checkbox"/> First Class Mail	POSTMARKED
<input checked="" type="checkbox"/> Registered/Certified Mail	POSTMARKED 1-26-00
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/> Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/> Other (Specify):	Postmarked and/or Date of Receipt
<input type="checkbox"/> Electronic Filing	
<i>See</i> PREPARER	2-8-00 DATE PREPARED