FEC FORM 3X

Only

FE6AN026

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

RECEIVED

2010 OCT 25 AH 11: 37 FEC MAIL CENTER

Rev. 12/2004

Office Use Only TYPE OR PRINT ▼ NAME OF Example: If typing, type 12FE4M5 COMMITTEE (in full) over the lines. لل برانزيري (المحرز) مسالح المالي حالي حالي الم Council of Acupuncture And Oriental Medicine Associations 10050 E. Garvey Ave., #103 ADDRESS (number and street) Check if different than previously El Monte CA reported. (ACC) CITY A STATE A ZIP CODE A FEC IDENTIFICATION NUMBER ▼ Tallano dar hilmanathenmoranatha rystemati 3. IS THIS NEW x **AMENDED** 00456723 OR REPORT (N) (A) TYPE OF REPORT Monthly Nov 20 (M11) Feb 20 (M2) May 20 (M5) Aug 20 (M8) (Non-Electi Year Only) Report (Choose One) Due On: Dec 20 (M12) (Non-Election Year Only) Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) (a) Quarterly Reports: ſŗ Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) April 15 Quarterly Report (Q1) (c) 12-Day Primary (12P) General (12G) Runoff (12R) July 15 **PRE-Election** Quarterly Report (Q2) Report for the: Convention (12C) Special (12S) October 15 Quarterly Report (Q3) D U D in the 1 3 January 31 State of Election on Year-End Report (YE) July 31 Mid-Year 30-Day (d) × Report (Non-election **POST-Election** General (30G) Runoff (30R) Special (30S) Year Only) (MY) Report for the: Termination Report T D in the 5.5 (TER) Election on State of . . <u>.</u> <u>.</u> <u>.</u> 06 2009 01 30 01 2009 Covering Period through I certify that I have examined this Report and to the best of my knewledge and belief it is true, correct and complete. Yushan Han Type or Print Name of Treasurer Date 10 2010 Signature of Treasurer NOTE: Submission of false, arroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g. Office **FEC FORM 3X** Use

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SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

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Page 2

Report Covering the Period: From:	01 01 2009 -	To: 06 30 / 2009
	COLUMN A This Period	COLUMN B Calendar Year-to-Date
(a) Cash on Hand 2009 January 1,		THE THE SECOND S
(b) Cash on Hand at Beginning of Reporting Period		
(c) Total Receipts (from Line 19)	3 500 00	3,50000
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	3 500 00	3 500 00
Total Disbursements (from Line 31)	3 000 00	3 000 0
Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	500 00	500 0
Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0	
D. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	. 0	
This committee has qualified as a mul	lticandidate committee. (see FEC FORM 1M)	
	For further information contact:	
	Federal Election Commission 999 E Street, NW Washington, DC 20463	
	Toll Free 800-424-9530 Local 202-694-1100	

FE6AN026

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name Council of Acupuncture And Oriental Medicine Associations Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)..... 3 500 00 (ii) Unitemized (iii) TOTAL (add Lines 11(a)(i) and (ii)......▶ 0 (b) Political Party Committees (c) Other Political Committees (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other Party Committees..... 13. All Loans Received 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... 17. Other Federal Receipts (Dividends, Interest, etc.)..... 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0 (from Schedule H3)..... 0 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))........ 00 20. Total Federal Receipts (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

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	II. Disbursements	COLUMN A	COLUMN B
21	Operating Expenditures:	Total This Petiod	Calendar Year-to-Date
۷۱.	(a) Allocated Federal/Non-Federal Activity (from Schedule H4)	Sandan Sandan Sandan Sandan	Maddantantantantantantantantantan
	(i) Federal Share	0	
	(ii) Non-Federal Share	0	0
	(b) Other Federal Operating Expenditures	0	
	(c) Total Operating Expenditures		ke albu dar Mendendard bedia dari (1986) dari dari paragamparagan paragamparagan paragaman
	(add 21(a)(l), (a)(ii), and (b))▶	0	0.
22.	Transfers to Affiliated/Other Party	Section (1) Sectio	
	Committees	0	0
23.	Contributions to Federal Candidates/Committees		
	and Other Political Committees	3 000 00	3,000 00
24.	Independent Expenditures		
25	(use Schedule E)		
25.	(2 U.S.C. §441a(d))	The state of the s	
	(use Schedule F)		
26	Lean Ronaymente Made	0	0
20.	Loan Repayments Made		
27.	Loans Made	0	0
	Refunds of Contributions To:		
	(a) Individuals/Persons Other Than Political Committees	0	o !!
	(b) Political Party Committees	0	
	(c) Other Political Committees		
	(such as PACs)		
	(d) Total Contribution Refunds		
	(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0	0
	(add Lilles 20(a), (b), and (c)/		
29.	Other Disbursements	0	0
			Lancard Carlo Section (1)
30.	Federal Election Activity (2 U.S.C. §431(20))		
	(a) Allocated Federal Election Activity		
	(from Schedule H6)		
	(i) Federal Share		<u> </u>
	(ii) III oviali Obava	0	ر من رود در دان متای متای به این متای در دان متای در دان متای در دان متای در دان در دان در دان در دان در دان د در ۵ در
	(ii) "Levin" Share(b) Federal Election Activity Paid Entirely		
	With Federal Funds	0	0
	(c) Total Federal Election Activity (add		
	Lines 30(a)(i), 30(a)(ii) and 30(b))▶		0
		the said of the sa	
31.	Total Disbursements (add Lines 21(c), 22,		್ಷ
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	3,000 00	3,000 00
		the matter continued the anti-second continued the set to set in the set in t	SECRETE MORNING CALLS IN THE SECOND
32.	Total Federal Disbursements		
	(subtract Line 21(a)(ii) and Line 30(a)(ii)	2.000	3,000
	from Line 31)	3 000 00!	3 000 00

DETAILED SUMMARY PAGE

of Disbursements

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Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans)	and a second sec	
(from Line 11(d), page 3)	3 500 00	3 500 00
34. Total Contribution Refunds		Age for all the first of the fi
(from Line 28(d))	0	
35. Net Contributions (other than loans)		- Paga Agagan gaga gaya ah andan ah an ah an 1922 (1937)
(subtract Line 34 from Line 33)	3 500 00	3,500
36. Total Federal Operating Expenditures		
(add Line 21(a)(i) and Line 21(b))▶	0	Charles of the state of the state of
37. Offsets to Operating Expenditures	Control of the contro	Land to the control of the control o
(fram Line 15, page 3)	0	Company of the control of the contro
38. Net Operating Expenditures		
(subtract Line 37 from Line 36)	0	0

SCHEDOLE B (FEC FOIIII 3A)	Use separate schedule(s)	FOR LINE		
ITEMIZED DISBURSEMENTS	for each oategory of the	(check only		
•	Detailed Surnmary Page	27	22 x 23 24 25 26 28a 28b 28c 29 30b	
Any information conied from such Beneric and Statem	pents may not be sold or used			
Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.				
NAME OF COMMITTEE (in Full)				
Council of Acupuncture and Orient	al Medicine Associati	ons		
/	<u> </u>			
Full Name (Last, First, Middle Initial)				
A.	•	1	Date of Disbursement	
Judy Chu			M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
Mailing Address 4153 N.Main Ave.		İ	06 12 2009	
· · · · · · · · · · · · · · · · · · ·	State Zip Code			
	CA 91706	j		
Purpose of Disbursement				
Support		_	Amount of Each Disbursement this Period	
Candidate Name	•	Category/		
		Туре	3 000 00	
Office Sought: House Disburserr	-			
	Primary General			
State: District:	Other (specify)			
Full Name (Last, First, Middle Initial) B.			Date of Disbursement	
			M-W) / D-D / Y-Y-Y-Y-Y	
Mailing Address	Mailing Address City State Zip Code			
				
City				
Burnona of Dishurannest				
Purpose of Disbursement			Amount of Each Disbursement this Period	
Candidate Name		الحبي	Amount of Each Disbursement this Period	
		Category/ Type		
Office Sought: House Disbursem	nent For:	.,,,,,		
	Primary General			
President	Other (specify) ▼			
State: District:				
Full Name (Last, First, Middle Initial)				
C.			Date of Disbursement	
AA-II			[waw] \ [ang] \ [anana)	
Mailing Address				
City State Zip Code Purpose of Disbursement				
			Amount of Each Disbursement this Period	
Candidate Name	Category/			
Office Cought.	ant Fac	Туре	<u> </u>	
Office Sought: House Disburser	nent Fo <u>r:</u> Primary General		,	
\ \ \ \ \ \ \ \ \	Other (specify)			
State: District:	Circi (opoolij)			
SUBTOTAL of Disbursements This Page (optional)				
For the state of t				
TOTAL This Period (last page this line number only)				

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FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

September 17, 2010

Yushan Han, Treasurer California Acupuncture and Oriental Medicine Association 1050 E Yorba Linda Blvd. #101 Placentia, CA 92870

Response Due Date: October 22, 2010

Identification Number: C00456723

Amended Mid-Year Report (1/1/09 - 6/30/09), received 4/30/10

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. Failure to adequately respond by the response date noted above could result in an audit or enforcement action. Additional information is needed for the following 3 items:

- 1. Your amended report discloses additional receipts totaling \$20,168.07 on Line(s) 11(a)(i) and 17 of the Detailed Summary Page that were not disclosed on your original report. Please provide clarifying information as to why this activity was not disclosed on your original report. 11 CFR §104.3
- 2. Schedule A of your report discloses one or more contributions totaling \$22,600.00 from "AKAMAC," "North American Oriental and Western Medicine Academy, Inc.," "CA Alliance of Acupuncture Medicine," "CA Acupuncture Medicine Association," and "Assoc. of Korean Asian Medicine & Acupuncture of CA," which appears to be a corporation(s). 2 U.S.C. §441b(a) prohibits the receipt of contributions from corporations unless made from a separate segregated fund established by the corporation.

Str. But All Comments If any apparently prohibited contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If you have received a prohibited contribution(s), you may have to make a refund. If within 30 days of receipt you (1) transferred the prohibited

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CALIFORNIA ACUPUNCTURE AND ORIENTAL MEDICINE ASSOCIATION Page 2

amount to an account not used to influence federal elections, and (2) provided written notice to the person making the contribution of the option of receiving a refund, you may retain the contribution in an account not used to influence federal elections. Any request from a donor for a refund must be honored.

If the foregoing conditions for transfers to a non-federal account were not met within 30 days of receipt, the prohibited amount must be refunded. See 11 CFR §103.3(b)(1).

Please inform the Commission of your corrective action promptly in writing and provide a photocopy of your check for any transfer-out or refund. In addition, any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report covering the period during which the transaction was made.

Although the Commission may take further legal action concerning the acceptance of a prohibited contribution, prompt action by your committee to transfer-out or refund the amount will be taken into consideration.

3. Schedule B of your report (see attached) discloses one or more contributions which appear to exceed the limits set forth in the Act. 2 U.S.C. §441a(a) prohibits a non-multicandidate political committee and its affiliates, from making a contribution to a candidate for federal office in excess of S2,400 per election. Please refer to the Cumpaign Garide for information on how a committee qualifies for multicandidate status.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If any contribution you made exceeds the limits, you must request a refund of the excessive amount or provide a writton authorization for a redesignation of the contribution pursuant to 11 CFR §110.2(b) within 60 days of the treasurer's receipt.

If the foregoing conditions for redesignations were not met within 60 days of the treasurer's receipt, your committee must obtain a refund of the excessive amount.

If your committee has met the criteria for multicandidate status, please file FEC FORM 1M "Notification of Multicandidate Status" with the Commission. The treasurer must file FEC FORM 1M no later than ten (10)

CALIFORNIA ACUPUNCTURE AND ORIENTAL MEDICINE ASSOCIATION Page 3

calendar days after qualifying for multicandidate committee status. 11 CFR §102.2(a)(3)

Please inform the Commission of your corrective action promptly in writing and provide a photocopy of the refund or redesignation request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR §110.1(b)

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

-Commission records indicate the name of your political committee is "California Acupuncture and Oriental Medicine Association." However, your committee has filed this report(s) using the name "Council of Acupuncture and Oriental Medicine Associations." If your committee has changed its name, please disclose the new name of committee on an amended Statement of Organization. Please provide clarifying information regarding this discrepancy.

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. Requests for extensions of time in which to respond will not be considered.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (300) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1143.

1-909-598-9965

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CALIFORNIA ACUPUNCTURE AND ORIENTAL MEDICINE ASSOCIATION Page 4

Sincerely,

Kaitlin Eger

Senior Campaign Finance Analyst

Reports Analysis Division

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ATT FOR LOO

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An York Lee

1-909-598-9965

p.5

CALIFORNIA ACUPUNCTURE AND ORIENTAL MEDICINE ASSOCIATION Page 5

Excessive Contribution to a Candidate:

Judy Chu for Congress	6/12/09	S3.000.00	P-2010	Amended 2009 Mid-Year
Recipient Name	Date	Amount	Election	Report

Federal Election Commission ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS The FEC added this page to the end of this filing to indicate how it was received.				
Hand Delivered	Date of Receipt			
USPS First Class Mail	Postmarked			
USPS Registered/Certified	Postmarked (R/C)			
USPS Priority Mail	Postmarked			
Delivery Confirmation™ or Signature Con	firmation™ Label			
USPS Express Mail	Postmarked			
Postmark Illegible				
No Postmark				
Overnight Delivery Service (Specify):	Shipping Date			
Next Busin	ess Day Delivery			
Received from House Records & Registration Office	Date of Receipt			
Received from Senate Public Records Office	Date of Receipt			
Received from Electronic Filing Office	Date of Receipt			
Other (Specify):	Receipt or Postmarked			
EN	10/25/10			
PREPARER (3/2005)	DATE PREPARED			