

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

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FEC Form 3X Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. 12FE4M5

ENGINEERING AND SOFTWARE SYSTEM SOLUTIONS, INC. POLITICAL ACTION COMMITTEE
(ES3 PAC)

ADDRESS (number and street) 550 WEST C STREET, SUITE 1630
Check if different than previously reported. (ACC) SAN DIEGO CA 92101

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲
C 00446948 3. IS THIS REPORT ☒ NEW (N) OR ☐ AMENDED (A)

4. TYPE OF REPORT (Choose One)
(a) Quarterly Reports:
☐ April 15 Quarterly Report (Q1)
☐ July 15 Quarterly Report (Q2)
☐ October 15 Quarterly Report (Q3)
☒ January 31 Year-End Report (YE)
☐ July 31 Mid-Year Report (Non-election Year Only) (MY)
☐ Termination Report (TER)
(b) Monthly Report Due On:
☐ Feb 20 (M2) ☐ May 20 (M5) ☐ Aug 20 (M8) ☐ Nov 20 (M11) (Non-Election Year Only)
☐ Mar 20 (M3) ☐ Jun 20 (M6) ☐ Sep 20 (M9) ☐ Dec 20 (M12) (Non-Election Year Only)
☐ Apr 20 (M4) ☐ Jul 20 (M7) ☐ Oct 20 (M10) ☐ Jan 31 (YE)
(c) 12-Day PRE-Election Report for the: ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R)
☐ Convention (12C) ☐ Special (12S)
Election on / / In the State of
(d) 30-Day POST-Election Report for the: ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)
Election on / / in the State of

5. Covering Period 10 / 01 / 2013 through 12 / 31 / 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
Type or Print Name of Treasurer HELEN LUM

Signature of Treasurer Helen Lum Date 01 / 24 / 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.
Office Use Only
FEC FORM 3X (Rev. 02/2003)
FE4AN045

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

ES3 PAC

Report Covering the Period:

From:

MM / DD / YYYY
10 / 01 / 2013

To:

MM / DD / YYYY
12 / 31 / 2013

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2013		33,670.60
(b) Cash on Hand at Beginning of Reporting Period.....	16,070.60	
(c) Total Receipts (from Line 19)	30,000.00	30,000.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	46,070.60	63,670.60
7. Total Disbursements (from Line 31).....	0.00	17,600.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	46,070.60	46,070.60
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

ES3 PAC

Report Covering the Period:

From:

10 / 01 / 2013

To:

12 / 31 / 2013

I. Receipts

COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

- (a) Individuals/Persons Other Than Political Committees
(i) Itemized (use Schedule A).....

20,000.00

20,000.00

- (ii) Unitemized.....

0.00

0.00

- (iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶

20,000.00

20,000.00

- (b) Political Party Committees.....

0.00

0.00

- (c) Other Political Committees (such as PACs).....

0.00

0.00

- (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5).....▶

20,000.00

20,000.00

12. Transfers From Affiliated/Other Party Committees.....

0.00

0.00

13. All Loans Received.....

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....

10,000.00

10,000.00

17. Other Federal Receipts (Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

- (a) Non-Federal Account (from Schedule H3).....

0.00

0.00

- (b) Levin Funds (from Schedule H5).....

0.00

0.00

- (c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶

30,000.00

30,000.00

20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶

30,000.00

30,000.00

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DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements

COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	17,600.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	0.00	17,600.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	17,600.00

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	0.00	0.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	0.00	0.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

14031162537

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 1 OF 2

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

ENGINEERING AND SOFTWARE SYSTEM SOLUTIONS, INC. POLITICAL ACTION COMMITTEE (ES3 PAC)

Full Name (Last, First, Middle Initial)

A. THERESA SGAMMATO

Mailing Address

2205 LOCUS STREET

City

SAN DIEGO

State

CA

Zip Code

92106-1604

FEC ID number of contributing
federal political committee.

C 00446948

Name of Employer

ES3, INC

Occupation

PRESIDENT, CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5,000.00

Date of Receipt

12 / 20 / 2013

Amount of Each Receipt this Period

5,000.00

Full Name (Last, First, Middle Initial)

B. DANIELE PELESSONE

Mailing Address

2205 LOCUS STREET

City

SAN DIEGO

State

CA

Zip Code

92106-1604

FEC ID number of contributing
federal political committee.

C 00446948

Name of Employer

ES3, INC

Occupation

CHIEF SCIENTIST

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5,000.00

Date of Receipt

12 / 20 / 2013

Amount of Each Receipt this Period

5,000.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).....▶

10,000.00

TOTAL This Period (last page this line number only).....▶

14031162538

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 2 OF 2

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

ENGINEERING AND SOFTWARE SYSTEM SOLUTIONS, INC. POLITICAL ACTION COMMITTEE (ES3 PAC)

Full Name (Last, First, Middle Initial)

A. BRETT BAILEY

Mailing Address

729 VISTA VIEW LANE

City

NORTH SALT LAKE

State

UT

Zip Code

84054

FEC ID number of contributing
federal political committee.

C 00446948

Name of Employer

ES3, INC

Occupation

CIO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5,000.00

Date of Receipt

12 / 20 / 2013

Amount of Each Receipt this Period

5,000.00

Full Name (Last, First, Middle Initial)

B. KIMBERLY BAILEY

Mailing Address

729 VISTA VIEW LANE

City

NORTH SALT LAKE

State

UT

Zip Code

84054

FEC ID number of contributing
federal political committee.

C 00446948

Name of Employer

N/A

Occupation

Homemaker

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5,000.00

Date of Receipt

12 / 20 / 2013

Amount of Each Receipt this Period

5,000.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).....▶

10,000.00

TOTAL This Period (last page this line number only).....▶

20,000.00

14031162539

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 1 OF 1
(check only one)
☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☒ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

ENGINEERING AND SOFTWARE SYSTEM SOLUTIONS, INC. POLITICAL ACTION COMMITTEE (ES3 PAC)

Full Name (Last, First, Middle Initial)

A. David Schwarz for Congress

Mailing Address

P.O. Box 15487

City

Savannah

State

GA

Zip Code

31415

FEC ID number of contributing
federal political committee.

C 00446948

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

10,000.00

Date of Receipt

10 / 02 / 2013

Amount of Each Receipt this Period

10,000.00

Note: Refund from "David
Schwarz for Congress" for
Run-off and General Election

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).....

10,000.00

TOTAL This Period (last page this line number only).....

TOTAL ITEMIZED INDIVIDUAL
CONTRIBUTIONS

10,000.00

14031162540



TUE

RT

FZ

7722
01.27

Extremely Urgent

From: (619) 338-0315
Helen Lum
ES3 PAC
550 WEST C STREET
SUITE 1630
SAN DIEGO, CA 92101

Origin ID: SDMA



J14101312270326

SHIP TO: (202) 694-1157

Laura Sinram
Federal Election Commission
999 E ST NW

BILL SENDER

WASHINGTON, DC 20463

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CAD: 7370947/NET3490

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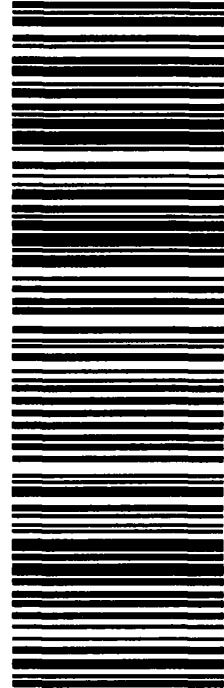
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GP
PREPARER

(8/2013)

1/27/14
DATE PREPARED

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