

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) USE FEC MAILING LABEL OR TYPE OR PRINT Example: If typing, type over the lines The Caterpillar Inc. Employee Political Action Committee

ADDRESS (number and street) 100 N.E. Adams Check if different than previously reported. (ACC) Peoria IL 61629 1430

2. FEC IDENTIFICATION NUMBER C00148031 3. IS THIS REPORT X NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15, July 15, October 15, January 31, July 31 Mid-Year Report, Termination Report (b) Monthly Report Due On: Feb 20 (M2), Mar 20 (M3), Apr 20 (M4), May 20 (M5), Jun 20 (M6), Jul 20 (M7), Aug 20 (M8), Sep 20 (M9), Oct 20 (M10), Nov 20 (M11), Dec 20 (M12), Jan 31 (YE) (c) 12-Day PRE-Election Report for the: Primary (12P), General (12G), Runoff (12R), Convention (12C), Special (12S) Election on in the State of (d) 30-Day Post -Election Report for the: General (30G), Runoff (30R), Special (30S) Election on in the State of

5. Covering Period 01 01 2011 through 01 31 2011

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Type or Print Name of Treasurer Gary Vest Signature of Treasurer Electronically Filed by Gary Vest Date 02 15 2011

**SUMMARY PAGE**  
**OF RECEIPTS AND DISBURSEMENTS**

Write or Type Committee Name  
The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 

M	M
0	1

D	D
0	1

Y	Y	Y	Y
2	0	1	1

 To: 

M	M
0	1

D	D
3	1

Y	Y	Y	Y
2	0	1	1

	COLUMN A This Period	COLUMN B Calendar Year-to-Date								
6. (a) Cash on Hand January 1 <table border="1"><tr><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td>2</td><td>0</td><td>1</td><td>1</td></tr></table>	Y	Y	Y	Y	2	0	1	1		78438.65
Y	Y	Y	Y							
2	0	1	1							
(b) Cash on Hand at Beginning of Reporting Period .....	78438.65									
(c) Total Receipts (from Line 19) .....	35831.61	35831.61								
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	114270.26	114270.26								
7. Total Disbursements (from Line 31) .....	1100.00	1100.00								
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	113170.26	113170.26								
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00									
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00									

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From:    To:

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A) .....	10420.73	10420.73
(ii) Unitemized .....	25407.45	25407.45
(iii) TOTAL (add Lines 11(a)(i) and (ii) .....	35828.18	35828.18
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) .....	35828.18	35828.18
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	3.43	3.43
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	35831.61	35831.61
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	35831.61	35831.61

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

<b>II. DISBURSEMENTS</b>	<b>COLUMN A Total This Period</b>	<b>COLUMN B Calendar Year-to-Date</b>
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	100.00	100.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	100.00	100.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	1000.00	1000.00
24. Independent Expenditure (use Schedule E) .....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share .....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	1100.00	1100.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	1100.00	1100.00

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

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III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	35828.18	35828.18
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	35828.18	35828.18
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	100.00	100.00
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	100.00	100.00

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 16  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)  
Kent Meils Adams

Mailing Address 2120 West End Avenue  
P.O. Box 340001

City Nashville State TN Zip Code 37203-5251

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 347.50

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380417624812  
Amount of Each Receipt this Period 347.50  
P/R Deduction (\$347.50 Monthly)

**B.**

Full Name (Last, First, Middle Initial)  
Ali M Bahaj

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 417.00

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380426424812  
Amount of Each Receipt this Period 417.00  
P/R Deduction (\$417.00 Monthly)

**C.**

Full Name (Last, First, Middle Initial)  
Mary H Bell

Mailing Address 500 North Morton Avenue

City Morton State IL Zip Code 61550-1527

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 375.00

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380434024812  
Amount of Each Receipt this Period 375.00  
P/R Deduction (\$375.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **1139.50**

**TOTAL** This Period (last page this line number only) ..... ►

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 16  
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12	<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17
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NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)  
Robin David Beran

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Director of Global Tax & Trade

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 400.00

Date of Receipt 01 / 31 / 2011

**Transaction ID:** PR380435524812

Amount of Each Receipt this Period 400.00

P/R Deduction (\$400.00 Monthly)

**B.**

Full Name (Last, First, Middle Initial)  
Thomas Joseph Bluth

Mailing Address Route 31, Montgomery IL  
PO Box 348

City Aurora State IL Zip Code 60507-0348

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 416.66

Date of Receipt 01 / 31 / 2011

**Transaction ID:** PR380441424812

Amount of Each Receipt this Period 416.66

P/R Deduction (\$416.66 Monthly)

**C.**

Full Name (Last, First, Middle Initial)  
John A Campbell

Mailing Address P O Box 600  
Illinois Route 29

City Mossville State IL Zip Code 61552-0600

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation General Engineering Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 256.54

Date of Receipt 01 / 31 / 2011

**Transaction ID:** PR380457224812

Amount of Each Receipt this Period 256.54

P/R Deduction (\$256.54 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **1073.20**

**TOTAL** This Period (last page this line number only) ..... ►

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 16  
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12	<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17
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NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)  
Brad S Chambers

Mailing Address 300 Hamilton Blvd.  
Suite 300

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President-Cat Logistics

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 222.34

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380462324812  
Amount of Each Receipt this Period 222.34  
P/R Deduction (\$222.34 Monthly)

**B.**

Full Name (Last, First, Middle Initial)  
Steven Lee Fisher

Mailing Address 330 SW Adams

City Peoria State IL Zip Code 61602-1502

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 395.83

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380503724812  
Amount of Each Receipt this Period 395.83  
P/R Deduction (\$395.83 Monthly)

**C.**

Full Name (Last, First, Middle Initial)  
Christopher E Glynn

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Director Of Corp Human Rel

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380517424812  
Amount of Each Receipt this Period 300.00  
P/R Deduction (\$300.00 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **918.17**

**TOTAL** This Period (last page this line number only) ..... ►



# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 16

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)  
Gerald F Gorman

Mailing Address Route 31, Montgomery IL  
PO Box 348

City State Zip Code  
Aurora IL 60507-0348

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar, Inc. Chief Information Officer

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼  
210.00

Date of Receipt

M M / D D / Y Y Y Y  
0 1 / 3 1 / 2 0 1 1

Transaction ID: PR380519824812

Amount of Each Receipt this Period  
210.00

P/R Deduction (\$210.00 Monthly)

**B.**

Full Name (Last, First, Middle Initial)  
Stephen A Gosselin

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼  
408.33

Date of Receipt

M M / D D / Y Y Y Y  
0 1 / 3 1 / 2 0 1 1

Transaction ID: PR380520124812

Amount of Each Receipt this Period  
408.33

P/R Deduction (\$408.33 Monthly)

**C.**

Full Name (Last, First, Middle Initial)  
Danny C Hanback

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Caterpillar, Inc. Director, Supply Chain Planning

Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼  
274.86

Date of Receipt

M M / D D / Y Y Y Y  
0 1 / 3 1 / 2 0 1 1

Transaction ID: PR380529824812

Amount of Each Receipt this Period  
274.86

P/R Deduction (\$274.86 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ▶

893.19

**TOTAL** This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 16  
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12	<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17
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NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)  
Gwenne A Henricks

Mailing Address 600 W Washington St

City East Peoria State IL Zip Code 61611-2032

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 416.67

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380539524812

Amount of Each Receipt this Period 416.67

P/R Deduction (\$416.67 Monthly)

**B.**

Full Name (Last, First, Middle Initial)  
Stephen P Larson

Mailing Address 500 N Morton Ave

City Morton State IL Zip Code 61550-1527

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 416.66

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380578724812

Amount of Each Receipt this Period 416.66

P/R Deduction (\$416.66 Monthly)

**C.**

Full Name (Last, First, Middle Initial)  
Richard P Lavin

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Group President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 381.66

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380579924812

Amount of Each Receipt this Period 381.66

P/R Deduction (\$381.66 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► 1214.99

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 16  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Douglas R Oberhelman

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation CEO, Chairman of the Board

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1140.00

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380629924812  
 Amount of Each Receipt this Period 1140.00  
 P/R Deduction (\$1140.00 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
William J Rohner

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 432.50

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380658224812  
 Amount of Each Receipt this Period 432.50  
 P/R Deduction (\$432.50 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
Edward J Scott

Mailing Address 2120 West End Avenue  
P O Box 340001

City Nashville State TN Zip Code 37203-5251

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Corporate Treasurer

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 225.41

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380671224812  
 Amount of Each Receipt this Period 225.41  
 P/R Deduction (\$225.41 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► 1797.91

**TOTAL** This Period (last page this line number only) ..... ►

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 16  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)  
William F Springer

Mailing Address 501 SW Jefferson

City Peoria State IL Zip Code 61630-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380687524812  
 Amount of Each Receipt this Period 300.00  
 P/R Deduction (\$300.00 Monthly)

**B.**

Full Name (Last, First, Middle Initial)  
Steven H Wunning

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Group President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 416.66

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR380731424812  
 Amount of Each Receipt this Period 416.66  
 P/R Deduction (\$416.66 Monthly)

**C.**

Full Name (Last, First, Middle Initial)  
Gregory Scott Folley

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 416.66

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR498716724812  
 Amount of Each Receipt this Period 416.66  
 P/R Deduction (\$416.66 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **1133.32**

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 16  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Bradley M Halverson  
Mailing Address 1456 Ne Windermere Dr  
City State Zip Code  
Tremont IL 61568-9782  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Caterpillar, Inc. Occupation Vice President  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 253.92  
Date of Receipt 01 / 31 / 2011  
Transaction ID: PR498961824812  
Amount of Each Receipt this Period 253.92  
P/R Deduction (\$253.92 Monthly)

**B.** Full Name (Last, First, Middle Initial)  
Stuart L Levenick  
Mailing Address 100 NE Adams  
City State Zip Code  
Peoria IL 61629-0001  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Caterpillar, Inc. Occupation Group President  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 416.66  
Date of Receipt 01 / 31 / 2011  
Transaction ID: PR499546724812  
Amount of Each Receipt this Period 416.66  
P/R Deduction (\$416.66 Monthly)

**C.** Full Name (Last, First, Middle Initial)  
James J Parker  
Mailing Address P.O. Box 0610  
City State Zip Code  
Mossville IL 61552-6100  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Caterpillar, Inc. Occupation Vice President  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 416.66  
Date of Receipt 01 / 31 / 2011  
Transaction ID: PR500063024812  
Amount of Each Receipt this Period 416.66  
P/R Deduction (\$416.66 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► 1087.24  
**TOTAL** This Period (last page this line number only) ..... ►

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 16  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)  
Edward J Rapp

Mailing Address 100 N.E. Adams

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Group President & CFO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 416.66

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR500228124812  
Amount of Each Receipt this Period 416.66  
P/R Deduction (\$416.66 Monthly)

**B.**

Full Name (Last, First, Middle Initial)  
Michael Sposato

Mailing Address 100 N.E. Adams Street

City Peoria State IL Zip Code 61629-0001

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Deputy General Counsel

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 221.78

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR500647024812  
Amount of Each Receipt this Period 221.78  
P/R Deduction (\$221.78 Monthly)

**C.**

Full Name (Last, First, Middle Initial)  
Larry C Tate

Mailing Address 9401 85Th Avenue North

City Brooklyn Park State MN Zip Code 55445-2154

FEC ID number of contributing federal political committee. **C**

Name of Employer Caterpillar, Inc. Occupation Product Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 224.77

Date of Receipt 01 / 31 / 2011  
**Transaction ID:** PR500767924812  
Amount of Each Receipt this Period 224.77  
P/R Deduction (\$224.77 Monthly)

**SUBTOTAL** of Receipts This Page (optional) ..... ► **863.21**

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 16  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

<b>A.</b>	Full Name (Last, First, Middle Initial) Robert C Thompson		Date of Receipt
	Mailing Address 100 NE Adams		<input type="text" value="01"/> / <input type="text" value="31"/> / <input type="text" value="2011"/>
	City Peoria	State IL	Zip Code 61629-0001
	FEC ID number of contributing federal political committee. <input type="text" value="C"/>		<b>Transaction ID:</b> PR500814924812
	Name of Employer Caterpillar, Inc.		Occupation Corporate Manager Govt Affairs
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Amount of Each Receipt this Period <input type="text" value="300.00"/>	
		Aggregate Year-to-Date ▼ <input type="text" value="300.00"/>	P/R Deduction (\$300.00 Monthly)

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<input type="text" value="300.00"/>
<b>TOTAL</b> This Period (last page this line number only) .....	<input type="text" value="10420.73"/>

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 16 / 16

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)  
The Caterpillar Inc. Employee Political Action Committee

A.

Full Name (Last, First, Middle Initial)  
Robert Hurt For Congress

Transaction ID: 18834139

Date of Disbursement

Mailing Address PO Box 2

M	M	/	D	D	/	Y	Y	Y	Y
0	1		1	3		2	0	1	1

City Chatham State VA Zip Code 24531

Amount of Each Disbursement this Period

1000.00
---------

Purpose of Disbursement  
Debt Retirement

011
Category/ Type

Candidate Name  
Mr. Robert Hurt

Office Sought:  House  
 Senate  
 President  
State: VA District: 05

Disbursement For: 2010  
 Primary  General  
 Other (specify) ▼  
General Debt 2010

Debt Retirement

SUBTOTAL of Disbursements This Page (optional) .....

1000.00
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TOTAL This Period (last page this line number only) .....

1000.00
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