11/15/2001 11 : 45

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

American Financial Services Association PAC

ADDRESS (number and street) ▼ 919 18th Street, NW

Check if different than previously reported. (ACC) 3rd Floor

Washington | DC | 20006 – 5503

CITY ▲ STATE ▲ ZIPCODE ▲

2. **FEC IDENTIFICATION NUMBER** ▼

C00038604

3. IS THIS REPORT X NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	X Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (M13)

(c) 12-Day **PRE**-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12S)

Election on in the State of

(d) 30-Day **P**ost -Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 1 0 0 1 2 0 0 1 through 1 0 3 1 2 0 0 1

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer George S. McElwee

Signature of Treasurer Electronically Filed by George S. McElwee Date 1 1 1 5 2 0 0 1

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only			**FEC FORM 3X** (Revised 1/2001)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name
American Financial Services Association PAC

Report Covering the Period: From: 10 01 2001 To: 10 31 2001

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2001		1138.45
(b) Cash on Hand at Begining of Reporting Period	45423.25	
(c) Total Receipts (from Line 19)	378.46	63054.36
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	45801.71	64192.81
7. Total Disbursements (from Line 30)	4944.08	23335.18
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	40857.63	40857.63
9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-420-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Revised 1/2001)

Write or Type Committee Name

American Financial Services Association PAC

Report Covering the Period: From: 10 01 2001 To: 10 31 2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	220.00	
(ii) Unitemized	158.46	
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶	378.46	63012.46
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶	378.46	63012.46
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	41.90
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶	378.46	63054.36
20. Total Federal Receipts (subtract Line 18 from Line 19) ..... ▶	378.46	63054.36

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Revised 1/2001)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	733.63
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶	0.00	733.63
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	4865.53	22265.53
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	78.55	336.02
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	78.55	336.02
29. Other Disbursements	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶	4944.08	23335.18
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶	4944.08	23335.18

III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3)	378.46	63012.46
33. Total Contribution Refunds (from Line 28(d))	78.55	336.02
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	299.91	62676.44
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	0.00	733.63
36. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	41.90
37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶	0.00	691.73

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 5 / 9

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
American Financial Services Association PAC

A.
Full Name (Last, First, Middle Initial): Ms. Sheilah Harrison
Mailing Address: 919 18th Street, NW — Suite 300
City: Washington — State: DC — Zip Code: 20006
FEC ID number of contributing federal political committee.
Name of Employer: American Financial Services Assoc-iatio
Occupation: Program Director
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 220.00
Date of Receipt: 10 09 2001
Amount of Each Receipt this Period: 10.00
Payroll Deduction
Transaction ID: R13B48

B.
Full Name (Last, First, Middle Initial): Ms. Sheilah Harrison
Mailing Address: 919 18th Street, NW — Suite 300
City: Washington — State: DC — Zip Code: 20006
FEC ID number of contributing federal political committee.
Name of Employer: American Financial Services Assoc-iatio
Occupation: Program Director
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 220.00
Date of Receipt: 10 24 2001
Amount of Each Receipt this Period: 10.00
Payroll Deduction
Transaction ID: R13B50

C.
Full Name (Last, First, Middle Initial): Mr. Kenneth E. Hoerr
Mailing Address: 9619 N. Route 91 — PO Box 9520
City: Peoria — State: IL — Zip Code: 61615
FEC ID number of contributing federal political committee.
Name of Employer: Heights Finance
Occupation: President
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 4075.00
Date of Receipt: 10 22 2001
Amount of Each Receipt this Period: 200.00
Check
Transaction ID: R13B53

SUBTOTAL of Receipts This Page (optional) ..... ▶ **220.00**

TOTAL This Period (last page this line number only) ..... ▶ **220.00**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
American Financial Services Association PAC

A.
Full Name (Last, First, Middle Initial)
Mike Rogers for Congress

Mailing Address
P.O. Box 2776
City: Arlington | State: VA | Zip Code: 22202

Purpose of Disbursement
Contribution: Mike Rogers (MI-8-R)

Candidate Name
Mike Rogers (MI-8-R)

24K
Category/ Type

Office Sought: X House / Senate / President
State: MI District: 8

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
10 01 2001

Amount of Each Disbursement this Period
1000.00

Contribution: Mike Rogers (MI-8-R)

Transaction ID: D11336

B.
Full Name (Last, First, Middle Initial)
Castle for Congress Campaign

Mailing Address
5 Mill Road
City: Wilmington | State: DE | Zip Code: 19808

Purpose of Disbursement
Contribution: Michael N. Castle (DE-1-R)

Candidate Name
Michael N. Castle (DE-1-R)

24K
Category/ Type

Office Sought: X House / Senate / President
State: DE District: 1

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
10 01 2001

Amount of Each Disbursement this Period
500.00

Contribution: Michael N. Castle (DE-1-R)

Transaction ID: D11337

C.
Full Name (Last, First, Middle Initial)
Boucher for Congress Committee

Mailing Address
195 Park Street | PO Box 2000
City: Abingdon | State: VA | Zip Code: 24210

Purpose of Disbursement
Contribution: Rick Boucher (VA-9-D)

Candidate Name
Rick Boucher (VA-9-D)

24K
Category/ Type

Office Sought: X House / Senate / President
State: VA District: 9

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
10 01 2001

Amount of Each Disbursement this Period
1000.00

Contribution: Rick Boucher (VA-9-D)

Transaction ID: D11338

SUBTOTAL of Disbursements This Page (optional) ..... ▶ **2500.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
American Financial Services Association PAC

A.
Full Name (Last, First, Middle Initial)
Democratic Senatorial Campaign Committee

Mailing Address
430 South Capitol Street, SE
City: Washington
State: DC
Zip Code: 20003

Purpose of Disbursement
Contribution: DSCC

Candidate Name
DSCC (-D)

24K
Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
10 / 18 / 2001

Amount of Each Disbursement this Period
1500.00

Contribution: DSCC

Transaction ID: D11348

B.
Full Name (Last, First, Middle Initial)
Friends for Harry Reid

Mailing Address
Attn: Shelia Dwyer
422 C Street, NE
City: Washington
State: DC
Zip Code: 20002

Purpose of Disbursement
Contribution: Harry Reid (NV-D)

Candidate Name
Harry Reid (NV-D)

24K
Category/Type

Office Sought: House / X Senate / President
State: NV District: 0

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
10 / 22 / 2001

Amount of Each Disbursement this Period
500.00

Contribution: Harry Reid (NV-D)

Transaction ID: D11348

C.
Full Name (Last, First, Middle Initial)
Ryan for Congress

Mailing Address
PO Box 2776
City: Arlington
State: VA
Zip Code: 22202

Purpose of Disbursement
Contribution: Paul Ryan (WI-1-R)

Candidate Name
Paul Ryan (WI-1-R)

24K
Category/Type

Office Sought: X House / Senate / President
State: WI District: 1

Disbursement For: Primary / General / X Other (specify)
Other

Date of Disbursement
10 / 22 / 2001

Amount of Each Disbursement this Period
500.00

Contribution: Paul Ryan (WI-1-R)

Transaction ID: D11349

SUBTOTAL of Disbursements This Page (optional) ..... ▶ **2500.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
American Financial Services Association PAC

A.
Full Name (Last, First, Middle Initial)
Hyatt Regency Washington

Mailing Address
Department # 6012
City: Washington
State: DC
Zip Code: 20042

Purpose of Disbursement
Contribution: Walter B. Jones (NC-3-R)

Candidate Name
Walter B. Jones (NC-3-R)

Category/Type: 24Z

Office Sought: X House / Senate / President
State: NC District: 3

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
10 29 2001

Amount of Each Disbursement this Period
865.53

Contribution: Walter B. Jones (NC-3-R)

Transaction ID: D11350

B.
Full Name (Last, First, Middle Initial)
Walter Jones for Congress

Mailing Address
PO Box 99667
City: Raleigh
State: NC
Zip Code: 27624

Purpose of Disbursement
Returned Check #520 dated 09/04/2001 for

Candidate Name
Walter B. Jones (NC-3-R)

Category/Type: 24K

Office Sought: X House / Senate / President
State: NC District: 3

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
10 31 2001

Amount of Each Disbursement this Period
-1000.00

Returned Check #520 dated 09/04/2001 for V

Transaction ID: D11351

C.

SUBTOTAL of Disbursements This Page (optional) ..... ▶ **-134.47**

TOTAL This Period (last page this line number only) ..... ▶ **4865.53**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☒ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
American Financial Services Association PAC

A.
Full Name (Last, First, Middle Initial)
Richard B. Wagner

Mailing Address
3044 West Grand Blvd. Anx 240
City: Detroit State: MI Zip Code: 48202

Purpose of Disbursement
Refund for Newt Gingrich Breakfast - to
Candidate Name

22Y Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 10 / 09 / 2001

Amount of Each Disbursement this Period: 50.00

Refund for Newt Gingrich Breakfast - to Mast

Transaction ID: D11347

B.
Full Name (Last, First, Middle Initial)
NOVUS SERVICES

Mailing Address

City: Columbus State: OH Zip Code: 43228-0542

Purpose of Disbursement
Bank Fees
Candidate Name

22Y Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 10 / 02 / 2001

Amount of Each Disbursement this Period: 15.27

Bank Fees

Transaction ID: D11355

C.
Full Name (Last, First, Middle Initial)
American Express

Mailing Address
P.O. Box 7895

City: Fort Lauderdale State: FL Zip Code: 33329-7895

Purpose of Disbursement
Bank Fees
Candidate Name

22Y Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 10 / 05 / 2001

Amount of Each Disbursement this Period: 13.28

Bank Fees

Transaction ID: D11356

SUBTOTAL of Disbursements This Page (optional) ..... ▶ **78.55**

TOTAL This Period (last page this line number only) ..... ▶ **78.55**