



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

July 11, 2018

JANE LEIDERMAN, TREASURER  
TED LIEU FOR CONGRESS  
16633 VENTURA BLVD # 1008  
ENCINO, CA 91436

**Response Due Date**  
**08/15/2018**

IDENTIFICATION NUMBER: C00556506

REFERENCE: 12 DAY PRE-PRIMARY REPORT (04/01/2018 - 05/16/2018)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 4 item(s):

1. The beginning cash balance of this report does not equal the ending balance of your Amended 2018 April Quarterly Report, received 4/16/2018. Please correct this discrepancy and amend all subsequent reports that may be affected by the correction. (52 U.S.C. § 30104(b)(1) (formerly 2 U.S.C. § 434(b)(1)) and 11 CFR § 104.3(a)(1))
2. The totals listed on Lines 6(b), 6(c), 7(a), 7(c), 17, 20(a), 20(d), and 22, Column B of the Summary and Detailed Summary Pages appear to be incorrect. Column B figures for the Summary and Detailed Summary Pages should equal the sum of the Column B figures on your previous report and the Column A figures on this report. Please file an amendment to your report to correct the Column B discrepancies for this report and all subsequent report(s) which may be affected by this correction. Note that Column B should reflect only the election cycle-to-date totals (11/9/2016 through 11/6/2018). (52 U.S.C. § 30104(b) (formerly 2 U.S.C. § 434(b)) and 11 CFR § 104.3)
3. Line 17, Column A, of the Detailed Summary Page information of your report discloses \$46,582.60 in operating expenditures. The sum of all entries supporting that line is \$51,000.72. While it is permissible for the figure on Line 17, Column A, to exceed the sum of disbursement entries as a result of unitemized transactions, the sum of itemized disbursements may not exceed the figure provided on Line 17, Column A. Please amend your report to correct this discrepancy. (11 CFR § 104.3(b))

TED LIEU FOR CONGRESS

Page 2 of 4

4. Schedule A of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached).

An individual or a political committee other than an authorized committee or qualified multi-candidate committee may not make a contribution(s) to a candidate for federal office in excess of \$2,700 per election. An authorized committee may not make a contribution(s) to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (52 U.S.C. § 30116(a) and (f) (formerly 2 U.S.C. § 441a(a) and (f)); 11 CFR § 110.1(b), (e) and (k))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information. If any contribution you received exceeds the limits, you may have to refund the excessive amount.

Excessive contributions may be retained if, within 60 days of receipt, the excessive portions are properly redesignated or reattributed. Guidelines for each option are provided below.

For reattributions, excessive contributions from individuals can be retained if, within 60 days of receipt, the excessive amount is properly reattributed to another person. Please note that reattributions only apply to excessive contributions from individuals. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor; or (2) the committee reattributes by presumption the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor an opportunity to request a refund. (11 CFR § 110.1(k) (3)(ii)(B))

For redesignations, the funds can be retained if, within 60 days of receipt, the excessive amount is properly redesignated for a different election. An excessive

TED LIEU FOR CONGRESS

Page 3 of 4

contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election; or (2) your committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B)) Please note that you cannot presumptively redesignate an excessive contribution from a multi-candidate committee. Also, a contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt of the contribution, the excessive amount must be refunded. See 11 CFR § 103.3(b)(1).

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/or letters reattributing or redesignating the contributions in question. Refunds are reported on Line 20(a), (b), or (c), as applicable, of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR § 104.8(d)(2), (3) and (4))

Although the Commission may take further legal action concerning the acceptance of excessive contributions, your prompt action to refund, redesignate, and/or reattribute the excessive amount will be taken into consideration.

- Please be advised that the FEC Committee ID number for the following contribution from a political committee appears to be incorrect: American Federation of State, County and Municipal Employees-AFL-CIO (C70000120). Use of incorrect FEC Committee ID numbers may create difficulty in identifying the contributing committee for the public record. (11 CFR § 104.3(a)(4))

**Please note, you will not receive an additional notice from the Commission on this**

TED LIEU FOR CONGRESS

Page 4 of 4

**matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For additional information about the report review process or specific filing information for your committee type, please visit <http://transition.fec.gov/rad/>. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1138.

Sincerely,



Carolina Mongeon  
Sr. Campaign Finance & Reviewing Analyst  
Reports Analysis Division

**Excessive, Prohibited, and Impermissible Contributions  
Ted Lieu for Congress (C00556506)**

**Excessive Contributions from Committees**

<b>Contributor Name</b>	<b>Date</b>	<b>Amount</b>	<b>Election</b>
American Association for Justice (AAJ PAC)	6/28/17	\$2,500.00	P2018
American Association for Justice (AAJ PAC)	9/19/17	\$1,500.00	P2018
American Association for Justice (AAJ PAC)	3/19/18	\$1,000.00	P2018
American Association for Justice (AAJ PAC)	5/16/18	\$1,000.00	P2018
AT & T Inc. Federal PAC	2/17/17	\$1,000.00	P2018
AT & T Inc. Federal PAC	5/16/17	\$3,000.00	P2018
AT & T Inc. Federal PAC	3/19/18	\$1,000.00	P2018
AT & T Inc. Federal PAC	5/16/18	\$1,000.00	P2018

**Excessive Contributions from Individuals**

<b>Contributor Name</b>	<b>Date</b>	<b>Amount</b>	<b>Election</b>
Anderson, Robert	4/15/18	\$5,400.00	P2018
Shuster, Stanley	3/26/17	\$2,700.00	P2018
Shuster, Stanley	4/8/18	\$2,700.00	P2018
Sullivan, Constance	3/31/17	\$500.00	P2018
Sullivan, Constance	6/30/17	\$1,000.00	P2018
Sullivan, Constance	9/10/17	\$500.00	P2018
Sullivan, Connie	12/10/17	\$250.00	P2018
Sullivan, Constance	5/16/18	\$700.00	P2018