07/19/2001 10 : 51

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

ADDRESS (number and street) ▼ 666 11TH STREET NW SUITE 750

Check if different than previously reported. (ACC)

WASHINGTON | DC | 20001 –

2. **FEC IDENTIFICATION NUMBER** ▼ C00109991

CITY ▲ STATE ▲ ZIPCODE ▲

3. IS THIS REPORT X NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

X July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (M13)

(c) 12-Day **PRE**-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12S)

Election on in the State of

(d) 30-Day **P**ost -Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 01 01 2001 through 06 30 2001

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Lee Mercer

Signature of Treasurer Electronically Filed by Mr. Lee Mercer Date 06 19 2001

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only			**FEC FORM 3X** (Revised 1/2001)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name

NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

Report Covering the Period: From: M M 01 D D 01 Y Y Y Y 2001 To: M M 06 D D 30 Y Y Y Y 2001

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 Y Y Y Y 2001		17981.33
(b) Cash on Hand at Begining of Reporting Period	17981.33	
(c) Total Receipts (from Line 19)	63075.00	63075.00
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	81056.33	81056.33
7. Total Disbursements (from Line 30)	28500.00	28500.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	52556.33	52556.33
9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-420-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
OF RECEIPTS

Write or Type Committee Name

NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

Report Covering the Period: From: 01 01 2001 To: 06 30 2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	59883.80	
(ii) Unitemized	2191.20	
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶	62075.00	62075.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	1000.00	1000.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶	63075.00	63075.00
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶	63075.00	63075.00
20. Total Federal Receipts (subtract Line 18 from Line 19) ..... ▶	63075.00	63075.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Revised 1/2001)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶	0.00	0.00
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	28500.00	28500.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶	28500.00	28500.00
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶	28500.00	28500.00
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3)	63075.00	63075.00
33. Total Contribution Refunds (from Line 28(d))	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	63075.00	63075.00
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	0.00	0.00
36. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶	0.00	0.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 5 / 49

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Joe Alala
Mailing Address: 1228 East Morehead St #102
City: Charlotte State: NC Zip Code: 28204
FEC ID number of contributing federal political committee.
Name of Employer: CapitalSouth Partners, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 350.00
Date of Receipt: 01 24 2001
Amount of Each Receipt this Period: 350.00
Transaction ID: SA11A1.4141

B. Full Name (Last, First, Middle Initial): Mr. Knute Albracht
Mailing Address: 950 West Valley Road #2902
City: Wayne State: PA Zip Code: 19087
FEC ID number of contributing federal political committee.
Name of Employer: Argosy Management, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 562.50
Date of Receipt: 05 15 2001
Amount of Each Receipt this Period: 562.50
Transaction ID: SA11A1.4111

C. Full Name (Last, First, Middle Initial): Mr. Michael Bailey
Mailing Address: 950 West Valley Road #2902
City: Wayne State: PA Zip Code: 19087
FEC ID number of contributing federal political committee.
Name of Employer: Argosy Management LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 562.50
Date of Receipt: 05 15 2001
Amount of Each Receipt this Period: 562.50
Transaction ID: SA11A1.4121

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1475.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 6 / 49

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
Ms. Jean Balek-Minor

Mailing Address
221 North Wall St

City: Spokane State: WA Zip Code: 99201

FEC ID number of contributing federal political committee.

Name of Employer: Northwest Venture Associates, LLC
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1250.00

Date of Receipt: 05 21 2001

Amount of Each Receipt this Period: 1250.00

Transaction ID: SA11A1.4268

B. Full Name (Last, First, Middle Initial)
Mr. Keith Beres

Mailing Address
5353 Wayzata Blvd. #205

City: Minneapolis State: MN Zip Code: 55416

FEC ID number of contributing federal political committee.

Name of Employer: CC Management, LLC
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 06 21 2001

Amount of Each Receipt this Period: 250.00

Transaction ID: SA11A1.4159

C. Full Name (Last, First, Middle Initial)
Mr. Thomas Berardino

Mailing Address
One Canterbury Green

City: Stamford State: CT Zip Code: 06901

FEC ID number of contributing federal political committee.

Name of Employer: Saugatuck Capital Company, LP
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 05 04 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4323

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 7 / 49

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Michael Blackburn
Mailing Address: 172 Second Ave. #112
City: Nashville State: TN Zip Code: 37201
FEC ID number of contributing federal political committee.
Name of Employer: Petra Capital Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 24 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4274

B. Full Name (Last, First, Middle Initial): Mr. Thomas Blackburn
Mailing Address: 6700 Antioch Plaza #460
City: Overland Park State: KS Zip Code: 66204
FEC ID number of contributing federal political committee.
Name of Employer: Kansas Venture Capital, Inc.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 24 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4224

C. Full Name (Last, First, Middle Initial): Mr. John Bogango
Mailing Address: 8000 Centerview Pkwy #100
City: Cordova State: TN Zip Code: 38018
FEC ID number of contributing federal political committee.
Name of Employer: Delta Capital Management, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 435.00
Date of Receipt: 05 21 2001
Amount of Each Receipt this Period: 435.00
Transaction ID: SA11A1.4164

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1435.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 8 / 49

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Paul Bolger
Mailing Address: 10 Post Office Square #1225
City: Boston State: MA Zip Code: 02109
FEC ID number of contributing federal political committee.
Name of Employer: Marathon Investment Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4230

B. Full Name (Last, First, Middle Initial): Mr. Chris Britt
Mailing Address: 180 Newport Center Drive #200
City: Newport Beach State: CA Zip Code: 92660
FEC ID number of contributing federal political committee.
Name of Employer: Marwit Capital, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 06 29 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4236

C. Full Name (Last, First, Middle Initial): Mr. Edward Brown
Mailing Address: 1125 17th St. #2260
City: Denver State: CO Zip Code: 80202
FEC ID number of contributing federal political committee.
Name of Employer: Rocky Mountain Investment Advisors, LL
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 03 28 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4313

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1250.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 9 / 49

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
Mr. Hunt Broyhill

Mailing Address
1228 East Morehead St #102

City: Charlotte State: NC Zip Code: 28204

FEC ID number of contributing federal political committee.

Name of Employer: CapitalSouth Partners, LLC
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 350.00

Date of Receipt: 05 24 2001

Amount of Each Receipt this Period: 350.00

Transaction ID: SA11A1.4143

B. Full Name (Last, First, Middle Initial)
Mr. Stephen Buchanan

Mailing Address
316 W. Edenton St. #11D

City: Raleigh State: NY Zip Code: 27613

FEC ID number of contributing federal political committee.

Name of Employer: North Carolina Economic Opportunity Fu
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 05 31 2001

Amount of Each Receipt this Period: 250.00

Transaction ID: SA11A1.4264

C. Full Name (Last, First, Middle Initial)
Mr. Ian Bund

Mailing Address
2401 Plymouth Road

City: Ann Arbor State: MI Zip Code: 48105

FEC ID number of contributing federal political committee.

Name of Employer: White Pines Ventures LLC
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 05 14 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4353

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1100.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 10 / 49

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Wayne Clevenger
Mailing Address: 177 Madison Ave.
City: Morristown State: NJ Zip Code: 07960
FEC ID number of contributing federal political committee.
Name of Employer: MidMark Investments
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 05 17 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4246

B. Full Name (Last, First, Middle Initial): Mr. David Colt
Mailing Address: 2 City Center
City: Portland State: ME Zip Code: 06101
FEC ID number of contributing federal political committee.
Name of Employer: North Atlantic Capital Corporation
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 05 02 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4258

C. Full Name (Last, First, Middle Initial): Mr. Floyd Collins
Mailing Address: 1700 Pacific Ave. #2740
City: Dallas State: TX Zip Code: 75201
FEC ID number of contributing federal political committee.
Name of Employer: Independent Capital Management, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 06 18 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4204

SUBTOTAL of Receipts This Page (optional) ..... ▶ **3000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 11 / 49

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Mr. Robert Comey
Mailing Address: 101 Second Street #800
City: Cedar Rapids State: IA Zip Code: 52401
FEC ID number of contributing federal political committee.
Name of Employer: InvestAmerica Investment Advisors, Inc
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 05 21 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4208

B.
Full Name (Last, First, Middle Initial): Mr. Barry Conrad
Mailing Address: 1700 Pacific Ave. #2740
City: Dallas State: TX Zip Code: 75201
FEC ID number of contributing federal political committee.
Name of Employer: Independent Capital Management, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 06 18 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4202

C.
Full Name (Last, First, Middle Initial): Mr. Dave Croll
Mailing Address: 75 State Street
City: Boston State: MA Zip Code: 02109
FEC ID number of contributing federal political committee.
Name of Employer: Chestnut Venture Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 2000.00
Date of Receipt: 06 21 2001
Amount of Each Receipt this Period: 2000.00
Transaction ID: SA11A1.4155

SUBTOTAL of Receipts This Page (optional) ..... ▶ **3250.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 12 / 49

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. John Dalton
Mailing Address: 6700 Antioch Plaza #460
City: Overland Park State: KS Zip Code: 66204
FEC ID number of contributing federal political committee.
Name of Employer: Kansas Venture Capital, Inc.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 24 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4222

B. Full Name (Last, First, Middle Initial): Mr. Bryan Daniels
Mailing Address: 300 S. Wacker Drive #1050
City: Chicago State: IL Zip Code: 60606
FEC ID number of contributing federal political committee.
Name of Employer: Prairie Capital
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 06 04 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4284

C. Full Name (Last, First, Middle Initial): Mr. Howard Davidoff
Mailing Address: 175 Great Neck Road
City: Great Neck State: NY Zip Code: 11021
FEC ID number of contributing federal political committee.
Name of Employer: Sterling/Carl Marks Capital, Inc.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 300.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 300.00
Transaction ID: SA11A1.4339

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1800.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 13 / 49

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Mr. Robert Davidoff
Mailing Address: 175 Great Neck Rd.
City: Great Neck State: NM Zip Code: 11021
FEC ID number of contributing federal political committee.
Name of Employer: Sterling/Carl Marks Capital, Inc.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 300.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 300.00
Transaction ID: SA11A1.4337

B.
Full Name (Last, First, Middle Initial): Ms. Elyn Dortch
Mailing Address: 1228 East Morehead St #102
City: Charlotte State: NC Zip Code: 28204
FEC ID number of contributing federal political committee.
Name of Employer: CapitalSouth Partners, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 350.00
Date of Receipt: 05 24 2001
Amount of Each Receipt this Period: 350.00
Transaction ID: SA11A1.4139

C.
Full Name (Last, First, Middle Initial): Mr. Robert Eberhardt
Mailing Address: 96 Pompton Ave.
City: Verona State: NJ Zip Code: 07044
FEC ID number of contributing federal political committee.
Name of Employer: AMI Advisors, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 375.00
Date of Receipt: 06 04 2001
Amount of Each Receipt this Period: 375.00
Transaction ID: SA11A1.4105

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1025.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 14 / 49

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
Mr. Paul Echeverria

Mailing Address
One Wall Street

City: New York | State: NY | Zip Code: 10286

FEC ID number of contributing federal political committee.

Name of Employer: BNY Capital Partners LP
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 05 18 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4137

B. Full Name (Last, First, Middle Initial)
Mr. David Elenowitz

Mailing Address
153 East 53rd St. 49th FL

City: New York | State: NY | Zip Code: 10022

FEC ID number of contributing federal political committee.

Name of Employer: Mercury Capital Inc.
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 05 07 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4244

C. Full Name (Last, First, Middle Initial)
Mr. David Ellis

Mailing Address
3495 Piedmond Rd. #412

City: Atlanta | State: GA | Zip Code: 30305

FEC ID number of contributing federal political committee.

Name of Employer: EGL Nattwest Equity Partners, USA, LP
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 06 12 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4185

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1500.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 15 / 49

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Kevin Falvey
Mailing Address: One State Street, 9th Fl
City: New York | State: NY | Zip Code: 10004
FEC ID number of contributing federal political committee.
Name of Employer: IBJ Whitehall Capital Corp.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 03 09 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4200

B. Full Name (Last, First, Middle Initial): Mr. Eugene Fischer
Mailing Address: 3000 Sand Hill Rd. #1-290
City: Menlo Park | State: CA | Zip Code: 94025
FEC ID number of contributing federal political committee.
Name of Employer: Capstone Ventures
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 06 04 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4153

C. Full Name (Last, First, Middle Initial): Mr. Keith Fox
Mailing Address: 10 E. 53rd St.
City: New York | State: NY | Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: Exeter Group of Funds
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 01 26 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4187

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 16 / 49

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Mark Gilles
Mailing Address: 180 Geary Street #500
City: San Francisco State: CA Zip Code: 94108
FEC ID number of contributing federal political committee.
Name of Employer: Tangent Fund Management, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4345

B. Full Name (Last, First, Middle Initial): Mr. Lawrence Golub
Mailing Address: 555 Madison Ave.
City: New York State: NY Zip Code: 10022
FEC ID number of contributing federal political committee.
Name of Employer: Golub Associates, Inc.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 06 12 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4197

C. Full Name (Last, First, Middle Initial): Ms. Susan Goodrich
Mailing Address: 660 Madison Ave.
City: New York State: NY Zip Code: 10021
FEC ID number of contributing federal political committee.
Name of Employer: Madison Investment Ventures, Inc.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 05 17 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4226

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Harvey Granat
Mailing Address: 175 Great Neck Rd.
City: Great Neck State: NY Zip Code: 11021
FEC ID number of contributing federal political committee.
Name of Employer: Sterling/Carl Marks Capital, Inc.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 300.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 300.00
Transaction ID: SA11A1.4333

B. Full Name (Last, First, Middle Initial): Mr. Kirk Griswold
Mailing Address: 950 West Valley Road #2902
City: Wayne State: PA Zip Code: 19087
FEC ID number of contributing federal political committee.
Name of Employer: Argosy Management, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 562.50
Date of Receipt: 05 15 2001
Amount of Each Receipt this Period: 562.50
Transaction ID: SA11A1.4119

C. Full Name (Last, First, Middle Initial): Mr. Kenneth Gudorf
Mailing Address: 601 Second Street #4600
City: Minnesota State: MN Zip Code: 55402
FEC ID number of contributing federal political committee.
Name of Employer: Aglo Capital Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 06 18 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4099

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1362.50**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 18 / 49

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Arjun Gupta
Mailing Address: 1450 Fashion Island Blvd. #61D
City: San Mateo State: CA Zip Code: 94404
FEC ID number of contributing federal political committee.
Name of Employer: Telesoft Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 5000.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 5000.00
Transaction ID: SA11A1.4349

B. Full Name (Last, First, Middle Initial): Mr. Ronald Hahn
Mailing Address: 995 Route 518
City: Skillman State: NJ Zip Code: 08558
FEC ID number of contributing federal political committee.
Name of Employer: Early Stage Enterprises
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 206.90
Date of Receipt: 06 18 2001
Amount of Each Receipt this Period: 206.90
Transaction ID: SA11A1.4177

C. Full Name (Last, First, Middle Initial): Mr. Patrick Hanner
Mailing Address: 12900 Preston Road
City: Dallas State: TX Zip Code: 75230
FEC ID number of contributing federal political committee.
Name of Employer: Capital Southwest Corp.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 03 21 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4145

SUBTOTAL of Receipts This Page (optional) ..... ▶ **6206.90**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Mr. Frank Hawley, Jr.
Mailing Address: One Canterbury Green
City: Stamford State: CT Zip Code: 06901
FEC ID number of contributing federal political committee.
Name of Employer: Saugatuck Capital Company LP
Occupation: Investment Executive
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 05 04 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4321

B.
Full Name (Last, First, Middle Initial): Mr. Stuart Hawley
Mailing Address: One Canterbury Green
City: Stamford State: CT Zip Code: 06901
FEC ID number of contributing federal political committee.
Name of Employer: Saugatuck Capital Company, LP
Occupation: Investment Executive
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 500.00
Date of Receipt: 05 04 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4327

C.
Full Name (Last, First, Middle Initial): Mr. R. Michael Haynes
Mailing Address: 250 West Pratt St.
City: Baltimore State: MD Zip Code: 21201
FEC ID number of contributing federal political committee.
Name of Employer: Semmes, Bowen & Semmes
Occupation: Attorney
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 05 17 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4329

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1250.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. James Herndon
Mailing Address: 800 Woodlands Parkway #102
City: Ridgeland State: MS Zip Code: 39157
FEC ID number of contributing federal political committee.
Name of Employer: CapSource Partners, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 15 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4147

B. Full Name (Last, First, Middle Initial): Mr. Paul Hofman
Mailing Address: 401 North Michigan Ave.
City: Chicago State: IL Zip Code: 60611
FEC ID number of contributing federal political committee.
Name of Employer: McGladrey & Pullen, LLP
Occupation: Accountant
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 01 01 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4240

C. Full Name (Last, First, Middle Initial): Mr. Kevin Jessup
Mailing Address: P.O. Box 21962
City: Greensboro State: NY Zip Code: 27402
FEC ID number of contributing federal political committee.
Name of Employer: Blue Ridge Investors
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 06 12 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4135

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Mr. Steve Johnson
Mailing Address: 401 North Michigan Ave.
City: Chicago State: IL Zip Code: 60611
FEC ID number of contributing federal political committee.
Name of Employer: McGlandrey & Pullen, LLP
Occupation: Accountant
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 01 01 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4242

B.
Full Name (Last, First, Middle Initial): Mr. Andrew Kalnow
Mailing Address: 122 South Michigan #1700
City: Chicago State: IL Zip Code: 60603
FEC ID number of contributing federal political committee.
Name of Employer: Alpha Capital Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 06 12 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4103

C.
Full Name (Last, First, Middle Initial): Mr. Christopher Killackey
Mailing Address: 300 S. Wacker St. #1050
City: Chicago State: IL Zip Code: 60606
FEC ID number of contributing federal political committee.
Name of Employer: Prairie Capital
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 06 04 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4290

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1750.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Mr. Stephen King
Mailing Address: 300 S. Wacker Drive #1050
City: Chicago State: IL Zip Code: 60606
FEC ID number of contributing federal political committee.
Name of Employer: Praire Capital
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 06 04 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4282

B.
Full Name (Last, First, Middle Initial): Mr. John Kirwin
Mailing Address: 950 West Valley Rd. #2902
City: Wayne State: PA Zip Code: 19087
FEC ID number of contributing federal political committee.
Name of Employer: Argosy Management, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 562.50
Date of Receipt: 05 15 2001
Amount of Each Receipt this Period: 562.50
Transaction ID: SA11A1.4174

C.
Full Name (Last, First, Middle Initial): Mr. Richard Kieffey
Mailing Address: 100 Pearl Street
City: Hartford State: CT Zip Code: 06103
FEC ID number of contributing federal political committee.
Name of Employer: First new England Capital
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 17 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4189

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2062.50**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Peter Kooman
Mailing Address: 641 E. Lake St. #23D
City: Wayzata State: MN Zip Code: 55391
FEC ID number of contributing federal political committee.
Name of Employer: Bayview Capital Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 06 21 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4132

B. Full Name (Last, First, Middle Initial): Mr. Robert Korman
Mailing Address: 116 Cascade Way
City: Coppell State: TX Zip Code: 75019
FEC ID number of contributing federal political committee.
Name of Employer: Red River Ventures, LP
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 06 28 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4292

C. Full Name (Last, First, Middle Initial): Mr. Thomas Lee
Mailing Address: 10 Post Office Square #1225
City: Boston State: MA Zip Code: 02109
FEC ID number of contributing federal political committee.
Name of Employer: Marathon Investment Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4228

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
Mr. J. Eric Lenning

Mailing Address
221 E. 4th St.

City: Cincinnatti | State: OH | Zip Code: 45202

FEC ID number of contributing federal political committee.

Name of Employer: River Cities Capital Funds
Occupation: Investment Executive

Date of Receipt: 05 30 2001

Amount of Each Receipt this Period: 250.00

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Transaction ID: SA11A1.4304

B. Full Name (Last, First, Middle Initial)
Mr. Cliff Lusso

Mailing Address
10 Post Office square #1225

City: Boston | State: MA | Zip Code: 02109

FEC ID number of contributing federal political committee.

Name of Employer: Marathon Investment Partners
Occupation: Investment Executive

Date of Receipt: 05 14 2001

Amount of Each Receipt this Period: 250.00

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Transaction ID: SA11A1.4232

C. Full Name (Last, First, Middle Initial)
Mr. Paul Lyons

Mailing Address
1125 17th St. #2260

City: Denver | State: CA | Zip Code: 80202

FEC ID number of contributing federal political committee.

Name of Employer: Rocky Mountain Investment Advisors, LL
Occupation: Investment Executive

Date of Receipt: 03 28 2001

Amount of Each Receipt this Period: 500.00

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Transaction ID: SA11A1.4317

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
Mr. Charles Martin

Mailing Address
800 Woodlands Parkway #102

City: Ridgeland State: MS Zip Code: 39157

FEC ID number of contributing federal political committee.

Name of Employer: CapSource Partners, LLC
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 05 15 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4151

B. Full Name (Last, First, Middle Initial)
Mr. John Mason

Mailing Address
5353 Wayzata Blvd. #205

City: Minneapolis State: MN Zip Code: 55416

FEC ID number of contributing federal political committee.

Name of Employer: CC Management, LLC
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 06 21 2001

Amount of Each Receipt this Period: 250.00

Transaction ID: SA11A1.4157

C. Full Name (Last, First, Middle Initial)
Mr. Salvatore Massara

Mailing Address
3495 Piedmont Rd. #412

City: Atlanta State: GA Zip Code: 30305

FEC ID number of contributing federal political committee.

Name of Employer: EGL Nattwest Equity Partners USA, LP
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 06 12 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4183

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1250.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Terry Matlack
Mailing Address: 233 West 47th St.
City: Kansas City State: MO Zip Code: 64112
FEC ID number of contributing federal political committee.
Name of Employer: Kansas City Equity Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 750.00
Transaction ID: SA11A1.4218

B. Full Name (Last, First, Middle Initial): Mr. Glenn Mayfield
Mailing Address: 221 E. 4th Street
City: Cincinnati State: OH Zip Code: 45202
FEC ID number of contributing federal political committee.
Name of Employer: River Cities Capital Funds
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 05 30 2001
Amount of Each Receipt this Period: 750.00
Transaction ID: SA11A1.4294

C. Full Name (Last, First, Middle Initial): Mr. Edward McCarthy
Mailing Address: 221 E. 4th St.
City: Cincinnati State: OH Zip Code: 45202
FEC ID number of contributing federal political committee.
Name of Employer: River Cities Capital Funds
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 05 30 2001
Amount of Each Receipt this Period: 750.00
Transaction ID: SA11A1.4298

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2250.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
Mr. Lee Mercer

Mailing Address
666 11th Street #750

City: Washington State: DC Zip Code: 20001

FEC ID number of contributing federal political committee.

Name of Employer: Nat. Assn. of Small Business Investmen
Occupation: Association Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 04 28 2001

Amount of Each Receipt this Period: 1000.00

Transaction ID: SA11A1.4254

B. Full Name (Last, First, Middle Initial)
Mr. James Miller

Mailing Address
995 Route 518

City: Skillman State: NJ Zip Code: 08558

FEC ID number of contributing federal political committee.

Name of Employer: Early Stage Enterprises, LP
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 206.90

Date of Receipt: 06 18 2001

Amount of Each Receipt this Period: 206.90

Transaction ID: SA11A1.4179

C. Full Name (Last, First, Middle Initial)
Mr. Mark Morrisette

Mailing Address
2 City Center

City: Portland State: ME Zip Code: 04101

FEC ID number of contributing federal political committee.

Name of Employer: North Atlantic Capital Corporation
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 05 02 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4280

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1706.90**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. John Mundie
Mailing Address: 8000 Centerview Pkwy #100
City: Cordova State: TN Zip Code: 38018
FEC ID number of contributing federal political committee.
Name of Employer: Delta Capital Management, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 435.00
Date of Receipt: 05 21 2001
Amount of Each Receipt this Period: 435.00
Transaction ID: SA11A1.4161

B. Full Name (Last, First, Middle Initial): Mr. Cary Musech
Mailing Address: 641 E. Lake St. #230
City: Wayzata State: MN Zip Code: 55391
FEC ID number of contributing federal political committee.
Name of Employer: Bayview Capital Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 06 21 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4130

C. Full Name (Last, First, Middle Initial): Mr. Denis Newman
Mailing Address: 177 Madison Ave.
City: Morristown State: NJ Zip Code: 07960
FEC ID number of contributing federal political committee.
Name of Employer: MidMark Investments
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 17 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4250

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1435.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
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Use separate schedule(s) or each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
Ms. Kimberley Niles

Mailing Address
2 City Center

City: Portland | State: ME | Zip Code: 06101

FEC ID number of contributing federal political committee.

Name of Employer: North Atlantic Capital Corporation
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 05 02 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4262

B. Full Name (Last, First, Middle Initial)
Mr. Joseph O'Brien

Mailing Address
172 Second Ave. #112

City: Nashville | State: TN | Zip Code: 38201

FEC ID number of contributing federal political committee.

Name of Employer: Petra Capital Partners
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 05 24 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4276

C. Full Name (Last, First, Middle Initial)
Mr. Thomas Palmer

Mailing Address
233 West 47th St.

City: Kansas City | State: MO | Zip Code: 64112

FEC ID number of contributing federal political committee.

Name of Employer: Kansas City Equity Partners
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt: 05 14 2001

Amount of Each Receipt this Period: 750.00

Transaction ID: SA11A1.4214

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1750.00**

TOTAL This Period (last page this line number only) ..... ▶

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Ms. Barbara Parker
Mailing Address: One Canterbury Green
City: Stamford State: CT Zip Code: 06901
FEC ID number of contributing federal political committee.
Name of Employer: Saugatuck Capital Company, LP
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4319

B.
Full Name (Last, First, Middle Initial): Mr. Marshall Parker
Mailing Address: 6700 Antioch Plaza #460
City: Overland Park State: KS Zip Code: 66204
FEC ID number of contributing federal political committee.
Name of Employer: Kansas Venture Capital, Inc.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 24 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4220

C.
Full Name (Last, First, Middle Initial): Ms. Janet Paroo
Mailing Address: 100 West Elm St. #101
City: Conshohocken State: PA Zip Code: 19428
FEC ID number of contributing federal political committee.
Name of Employer: New Spring Ventures
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 17 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4256

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1500.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. William Reisler
Mailing Address: 233 West 47th Street
City: Kansas City | State: MO | Zip Code: 64112
FEC ID number of contributing federal political committee.
Name of Employer: Kansas City Equity Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 750.00
Transaction ID: SA11A1.4212

B. Full Name (Last, First, Middle Initial): Mr. John Ritter
Mailing Address: 100 Pearl Street
City: Hartford | State: CT | Zip Code: 06103
FEC ID number of contributing federal political committee.
Name of Employer: First New England Capital
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 05 17 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4191

C. Full Name (Last, First, Middle Initial): Mr. Edwin Robinson
Mailing Address: 221 E. 4th St
City: Cincinnati | State: OH | Zip Code: 45202
FEC ID number of contributing federal political committee.
Name of Employer: River Cities Capital Funds
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 05 30 2001
Amount of Each Receipt this Period: 750.00
Transaction ID: SA11A1.4296

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1750.00**

TOTAL This Period (last page this line number only) ..... ▶

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
Mr. Joseph Robinson

Mailing Address
177 Madison Ave.

City: Morristown State: NJ Zip Code: 07960

FEC ID number of contributing federal political committee.

Name of Employer: MidMark Investments
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 05 17 2001

Amount of Each Receipt this Period: 1000.00

Transaction ID: SA11A1.4248

B. Full Name (Last, First, Middle Initial)
Mr. Harvey Rosenblatt

Mailing Address
175 Great Neck Road

City: Great Neck State: NY Zip Code: 11021

FEC ID number of contributing federal political committee.

Name of Employer: Sterling/Carl Marks Capital Inc.
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: 05 14 2001

Amount of Each Receipt this Period: 300.00

Transaction ID: SA11A1.4341

C. Full Name (Last, First, Middle Initial)
Mr. Steve Sengale

Mailing Address
1125 17th Street #2260

City: Denver State: CO Zip Code: 80202

FEC ID number of contributing federal political committee.

Name of Employer: Rocky Mountain Investment Advisors, LL
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 03 28 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4315

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1800.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
Mr. Andrew Schiling

Mailing Address
180 Geary Street #500

City: San Francisco State: CA Zip Code: 94108

FEC ID number of contributing federal political committee.

Name of Employer: Tangent Fund Management LLC
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt: 05 14 2001

Amount of Each Receipt this Period: 750.00

Transaction ID: SA11A1.4343

B. Full Name (Last, First, Middle Initial)
Mr. David Schlossberg

Mailing Address
300 S. Wacker St. #1050

City: Chicago State: IL Zip Code: 60606

FEC ID number of contributing federal political committee.

Name of Employer: Prairie Capital
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 06 04 2001

Amount of Each Receipt this Period: 250.00

Transaction ID: SA11A1.4286

C. Full Name (Last, First, Middle Initial)
Mr. David Schroder

Mailing Address
101 Second Street #800

City: Cedar Rapids State: IA Zip Code: 52401

FEC ID number of contributing federal political committee.

Name of Employer: InvestAmerica Investment Advisors, Inc
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 05 21 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4206

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1500.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. David Schulte
Mailing Address: 233 West 47th St.
City: Kansas City State: MO Zip Code: 64112
FEC ID number of contributing federal political committee.
Name of Employer: Kansas City Equity Partners
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 750.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 750.00
Transaction ID: SA11A1.4216

B. Full Name (Last, First, Middle Initial): Mr. Stephen Sheffer
Mailing Address: One Palmer Square
City: Princeton State: NJ Zip Code: 08542
FEC ID number of contributing federal political committee.
Name of Employer: Penny Lane Advisors
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 250.00
Date of Receipt: 06 12 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4270

C. Full Name (Last, First, Middle Initial): Mr. David Shyrock
Mailing Address: 7936 S. Cottage Grove Ave.
City: Chicago State: IL Zip Code: 60619
FEC ID number of contributing federal political committee.
Name of Employer: Shorebank Capital
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1000.00
Date of Receipt: 03 28 2001
Amount of Each Receipt this Period: 1000.00
Transaction ID: SA11A1.4331

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial): Mr. Thomas Simpson
Mailing Address: 221 N. Wall Street
City: Spokane State: WA Zip Code: 99201
FEC ID number of contributing federal political committee.
Name of Employer: Northwest Venture Associates, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1250.00
Date of Receipt: 05 21 2001
Amount of Each Receipt this Period: 1250.00
Transaction ID: SA11A1.4266

B. Full Name (Last, First, Middle Initial): Mr. Douglas Smith
Mailing Address: 96 Pompton Ave.
City: Verona State: NJ Zip Code: 07044
FEC ID number of contributing federal political committee.
Name of Employer: AMI Advisors, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 375.00
Date of Receipt: 06 04 2001
Amount of Each Receipt this Period: 375.00
Transaction ID: SA11A1.4107

C. Full Name (Last, First, Middle Initial): Mr. Darren Snyder
Mailing Address: 300 S. Wacker St. #1050
City: Chicago State: IL Zip Code: 60606
FEC ID number of contributing federal political committee.
Name of Employer: Prairie Capital
Occupation: Investment Executive
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 250.00
Date of Receipt: 06 04 2001
Amount of Each Receipt this Period: 250.00
Transaction ID: SA11A1.4288

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1875.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Mr. Marvin Tepper
Mailing Address: 175 Great Neck Rd.
City: Great Neck State: NY Zip Code: 11021
FEC ID number of contributing federal political committee.
Name of Employer: Sterling/Carl Marks Capital, Inc.
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 300.00
Date of Receipt: 05 14 2001
Amount of Each Receipt this Period: 300.00
Transaction ID: SA11A1.4335

B.
Full Name (Last, First, Middle Initial): Mr. Bobby Weatherly
Mailing Address: 800 Woodlands Parkway #102
City: Ridgeland State: MS Zip Code: 39157
FEC ID number of contributing federal political committee.
Name of Employer: CapSource Partners, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 15 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4149

C.
Full Name (Last, First, Middle Initial): Mr. John Wilbur
Mailing Address: 24 Corporate Plaza #160
City: Newport beach State: CA Zip Code: 52660
FEC ID number of contributing federal political committee.
Name of Employer: Pine Creek Capital
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 333.00
Date of Receipt: 06 29 2001
Amount of Each Receipt this Period: 333.00
Transaction ID: SA11A1.4280

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1133.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
Mr. Murray Wilson

Mailing Address
221 E. 4th St.

City: Cincinnatti | State: OH | Zip Code: 45202

FEC ID number of contributing federal political committee.

Name of Employer: River Cities Capital Funds
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: 05 30 2001

Amount of Each Receipt this Period: 300.00

Transaction ID: SA11A1.4300

B. Full Name (Last, First, Middle Initial)
Mr. Matthew Witte

Mailing Address
180 Newport Center Dr. #200

City: Newport Beach | State: CA | Zip Code: 92660

FEC ID number of contributing federal political committee.

Name of Employer: Marwit Capital, LLC
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 06 29 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4238

C. Full Name (Last, First, Middle Initial)
Mr. Christopher Wright

Mailing Address
One Canterbury Green

City: Stamford | State: CT | Zip Code: 06901

FEC ID number of contributing federal political committee.

Name of Employer: Saugatuck Capital Company, LP
Occupation: Investment Executive

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 05 04 2001

Amount of Each Receipt this Period: 500.00

Transaction ID: SA11A1.4325

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1300.00**

TOTAL This Period (last page this line number only) ..... ▶

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Mr. Frederick Yocum
Mailing Address: 2401 Plymouth Road
City: Ann Arbor State: MI Zip Code: 48105
FEC ID number of contributing federal political committee.
Name of Employer: White Pines Ventures, LLC
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 05 15 2001
Amount of Each Receipt this Period: 500.00
Transaction ID: SA11A1.4355

B.
Full Name (Last, First, Middle Initial): Mr. Randall Zurbach
Mailing Address: 24 Corporate Plaza #160
City: Newport Beach State: CA Zip Code: 92660
FEC ID number of contributing federal political committee.
Name of Employer: Pinecreek Capital
Occupation: Investment Executive
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 667.00
Date of Receipt: 06 29 2001
Amount of Each Receipt this Period: 667.00
Transaction ID: SA11A1.4278

C.

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1167.00**

TOTAL This Period (last page this line number only) ..... ▶ **59883.80**

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 11a ☐ 11b ☒ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial)
Bank of America Corp. Political Action Committee

Mailing Address
100 N. Tryon Street 25th Floor

City State Zip Code
Charlotte NC 28255

FEC ID number of contributing federal political committee. C00364778

Name of Employer | Occupation

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
06 18 2001

Amount of Each Receipt this Period
1000.00

Transaction ID: SA11C.4384

B.

C.

SUBTOTAL of Receipts This Page (optional) ..... ▶	**1000.00**
TOTAL This Period (last page this line number only) ..... ▶	**1000.00**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Bartlett for Congress
Mailing Address: P.O. Box 245
City: Middletown State: MD Zip Code: 21769
Purpose of Disbursement: H-MD-5
Candidate Name: Bartlett for Congress
Category/Type
Office Sought: X House / Senate / President
State: MD District: 6
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 05 / 23 / 2001
Amount of Each Disbursement this Period: 500.00
Transaction ID: SB23.4416

B.
Full Name (Last, First, Middle Initial): Bill Pascrell for Congress
Mailing Address: 38 Ivy Street SE
City: Washington State: DC Zip Code: 20003
Purpose of Disbursement: H-NJ-8
Candidate Name: Bill Pascrell for Congress
Category/Type
Office Sought: X House / Senate / President
State: NJ District: 8
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 05 / 23 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4418

C.
Full Name (Last, First, Middle Initial): Citizens for Cochran
Mailing Address: 425 Second Street NE
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: S-MS
Candidate Name: Citizens for Cochran
Category/Type
Office Sought: House / X Senate / President
State: MS District:
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 05 / 23 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4428

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2500.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Collins for Senate
Mailing Address: 425 Second Street
City: Washington State: DC Zip Code: 20003
Purpose of Disbursement: S-ME
Candidate Name: Collins for Senate
Category/Type
Office Sought: House / X Senate / President
State: ME District:
Disbursement For: X Primary General / Other (specify)
Date of Disbursement: 03 / 21 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4393

B.
Full Name (Last, First, Middle Initial): Collins for Senate
Mailing Address: P.O. Box 1086
City: Bangor State: ME Zip Code: 04402
Purpose of Disbursement: S-ME
Candidate Name: Collins for Senate
Category/Type
Office Sought: House / X Senate / President
State: ME District:
Disbursement For: X Primary General / Other (specify)
Date of Disbursement: 04 / 24 / 2001
Amount of Each Disbursement this Period: 500.00
Transaction ID: SB23.4408

C.
Full Name (Last, First, Middle Initial): Committee to Re-elect Nydia Velazquez
Mailing Address: 436 New Jersey Ave. SE
City: Washington State: DC Zip Code: 20003
Purpose of Disbursement: H-NY-12
Candidate Name: Committee to Re-elect Nydia Velazquez
Category/Type
Office Sought: X House / Senate / President
State: NY District: 12
Disbursement For: X Primary General / Other (specify)
Date of Disbursement: 03 / 26 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4398

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2500.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial)
Donald Manzullo for Congress Committee

Mailing Address
P.O. Box 7783
City: Rockford State: IL Zip Code: 61126

Purpose of Disbursement
H-IL-16

Candidate Name
Donald Manzullo for Congress Committee

Category/Type

Office Sought: X House / Senate / President
State: IL District: 16

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
05 02 2001

Amount of Each Disbursement this Period
2500.00

Transaction ID: SB23.4412

B.
Full Name (Last, First, Middle Initial)
Donald Manzullo for Congress Committee

Mailing Address
P.O. Box 7783
City: Rockford State: IL Zip Code: 61126

Purpose of Disbursement
H-IL-16

Candidate Name
Donald Manzullo for Congress Committee

Category/Type

Office Sought: X House / Senate / President
State: IL District: 16

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
06 20 2001

Amount of Each Disbursement this Period
1000.00

Transaction ID: SB23.4442

C.
Full Name (Last, First, Middle Initial)
Enzi for Senate

Mailing Address
425 Second Street NE
City: Washington State: DC Zip Code: 20002

Purpose of Disbursement
S-WY

Candidate Name
Enzi for Senate

Category/Type

Office Sought: House / X Senate / President
State: WY District:

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
05 23 2001

Amount of Each Disbursement this Period
1000.00

Transaction ID: SB23.4424

SUBTOTAL of Receipts This Page (optional) ..... ▶ **4500.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Friends of Frank Wolf
Mailing Address: P.O. Box 3015
City: Oakton State: VA Zip Code: 22124
Purpose of Disbursement: H-VA-10
Candidate Name: Friends of Frank Wolf
Category/Type
Office Sought: X House / Senate / President
State: VA District: 10
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 03 14 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4389

B.
Full Name (Last, First, Middle Initial): Friends of John LaFalce
Mailing Address: 30 Ivy Street SE
City: Washington State: DC Zip Code: 20003
Purpose of Disbursement: H-NY-29
Candidate Name: Friends of John LaFalce
Category/Type
Office Sought: X House / Senate / President
State: NY District: 29
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 04 24 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4410

C.
Full Name (Last, First, Middle Initial): Friends of Mary Landrieu
Mailing Address: 503 Capitol Court NW #100
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: S-LA
Candidate Name: Friends of Mary Landrieu
Category/Type
Office Sought: House / X Senate / President
State: LA District:
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 06 04 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4433

SUBTOTAL of Receipts This Page (optional) ..... ▶ **3000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Friends of Max Baucus
Mailing Address: 203 C Street, NE
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: S-MT
Candidate Name: Friends of Max Baucus
Category/Type
Office Sought: House / X Senate / President
State: MT District:
Disbursement For: X Primary General / Other (specify)
Date of Disbursement: 06 / 20 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4439

B.
Full Name (Last, First, Middle Initial): Friends of Phil Gramm
Mailing Address: 425 Second Street NE
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: S-TX
Candidate Name: Friends of Phil Gramm
Category/Type
Office Sought: House / X Senate / President
State: TX District:
Disbursement For: X Primary General / Other (specify)
Date of Disbursement: 06 / 23 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4426

C.
Full Name (Last, First, Middle Initial): Friends of Senator Carl Levin
Mailing Address: 503 Capitol Court NE
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: S-MI
Candidate Name: Friends of Senator Carl Levin
Category/Type
Office Sought: House / X Senate / President
State: MI District:
Disbursement For: X Primary General / Other (specify)
Date of Disbursement: 06 / 20 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4443

SUBTOTAL of Receipts This Page (optional) ..... ▶ **3000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Hagel for Senate
Mailing Address: 818 Connecticut Ave. NW #1006
City: Washington State: DC Zip Code: 20006
Purpose of Disbursement: S-NE
Candidate Name: Hagel for Senate
Category/Type
Office Sought: House / X Senate / President
State: NE District:
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 06 04 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4431

B.
Full Name (Last, First, Middle Initial): Judd Gregg Committee
Mailing Address: P.O. Box 1812
City: Concord State: NH Zip Code: 03302
Purpose of Disbursement: S-NH
Candidate Name: Judd Gregg Committee
Category/Type
Office Sought: House / X Senate / President
State: NH District:
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 04 24 2001
Amount of Each Disbursement this Period: 1500.00
Transaction ID: SB23.4406

C.
Full Name (Last, First, Middle Initial): Langevin for Congress
Mailing Address: 301 4th Street NE #202
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: H-RI-2
Candidate Name: Langevin for Congress
Category/Type
Office Sought: X House / Senate / President
State: RI District: 2
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 06 04 2001
Amount of Each Disbursement this Period: 500.00
Transaction ID: SB23.4435

SUBTOTAL of Receipts This Page (optional) ..... ▶ **3000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Latham for Congress
Mailing Address: 4010 Franconia Road
City: Alexandria State: VA Zip Code: 22310
Purpose of Disbursement: H-IA-5
Candidate Name: Latham for Congress
Category/Type
Office Sought: X House / Senate / President
State: IA District: 5
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 03 / 26 / 2001
Amount of Each Disbursement this Period: 500.00
Transaction ID: SB23.4404

B.
Full Name (Last, First, Middle Initial): McConnell Senate Committee 02
Mailing Address: 400 North Capitol Street #585
City: Washington State: DC Zip Code: 20001
Purpose of Disbursement: S-KY
Candidate Name
Category/Type
Office Sought: House / X Senate / President
State: KY District:
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 03 / 26 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4400

C.
Full Name (Last, First, Middle Initial): Mike Pence Committee
Mailing Address: 10 W. 8th Street
City: Anderson State: IN Zip Code: 46016
Purpose of Disbursement: H-IN-2
Candidate Name: Mike Pence Committee
Category/Type
Office Sought: X House / Senate / President
State: IN District: 2
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 02 / 26 / 2001
Amount of Each Disbursement this Period: 500.00
Transaction ID: SB23.4371

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): Mike Pence Committee
Mailing Address: 10 W. 8th Street
City: Anderson State: IN Zip Code: 46016
Purpose of Disbursement: H-IN-2
Candidate Name: Mike Pence Committee
Category/Type
Office Sought: X House / Senate / President
State: IN District: 2
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 02 / 27 / 2001
Amount of Each Disbursement this Period: 500.00
Transaction ID: SB23.4373

B.
Full Name (Last, First, Middle Initial): Mike Ross for Congress
Mailing Address: 227 Massachusetts Ave. NE #101
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: H-AR-4
Candidate Name: Mike Ross for Congress
Category/Type
Office Sought: X House / Senate / President
State: AR District: 4
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 06 / 20 / 2001
Amount of Each Disbursement this Period: 500.00
Transaction ID: SB23.4437

C.
Full Name (Last, First, Middle Initial): Missourians for Kit Bond
Mailing Address: 507 Capital Court NE #100
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: S-MO
Candidate Name: Missourians for Kit Bond
Category/Type
Office Sought: House / X Senate / President
State: MO District:
Disbursement For: X Primary / General / Other (specify)
Date of Disbursement: 05 / 07 / 2001
Amount of Each Disbursement this Period: 1500.00
Transaction ID: SB23.4414

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2500.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial): People for Pete Domenici
Mailing Address: 425 Second Street NE
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: S-NM
Candidate Name: People for Pete Domenici
Category/Type
Office Sought: House / X Senate / President
State: NM District:
Disbursement For: X Primary General / Other (specify)
Date of Disbursement: 03 / 26 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4402

B.
Full Name (Last, First, Middle Initial): People for Pete Domenici
Mailing Address: 425 Second Street NE
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: S-NM
Candidate Name: People for Pete Domenici
Category/Type
Office Sought: House / X Senate / President
State: NM District:
Disbursement For: X Primary General / Other (specify)
Date of Disbursement: 05 / 23 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4420

C.
Full Name (Last, First, Middle Initial): Senator John Warner Committee
Mailing Address: 425 Second Street
City: Washington State: DC Zip Code: 20002
Purpose of Disbursement: S-VA
Candidate Name: Senator John Warner Committee
Category/Type
Office Sought: House / X Senate / President
State: VA District:
Disbursement For: X Primary General / Other (specify)
Date of Disbursement: 05 / 23 / 2001
Amount of Each Disbursement this Period: 1000.00
Transaction ID: SB23.4422

SUBTOTAL of Receipts This Page (optional) ..... ▶ **3000.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

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NAME OF COMMITTEE (In Full)
NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

A.
Full Name (Last, First, Middle Initial)
Sue Kelly for Congress

Mailing Address
P.O. Box 599
City: Katonah State: NY Zip Code: 10536

Purpose of Disbursement
H-NY-19

Candidate Name
Sue Kelly for Congress

Category/Type

Office Sought: X House / Senate / President
State: NY District: 19

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
03 19 2001

Amount of Each Disbursement this Period
1000.00

Transaction ID: SB23.4391

B.
Full Name (Last, First, Middle Initial)
Sue Kelly for Congress

Mailing Address
P.O. Box 599
City: Katonah State: NY Zip Code: 10536

Purpose of Disbursement
H-NY-19

Candidate Name
Sue Kelly for Congress

Category/Type

Office Sought: X House / Senate / President
State: NY District: 19

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
06 04 2001

Amount of Each Disbursement this Period
1000.00

Transaction ID: SB23.4430

C.
Full Name (Last, First, Middle Initial)
Sue Kelly for Congress

Mailing Address
P.O. Box 599
City: Katonah State: NY Zip Code: 10536

Purpose of Disbursement
H-NY-19

Candidate Name
Sue Kelly for Congress

Category/Type

Office Sought: X House / Senate / President
State: NY District: 19

Disbursement For: X Primary / General / Other (specify)

Date of Disbursement
06 20 2001

Amount of Each Disbursement this Period
500.00

Transaction ID: SB23.4441

SUBTOTAL of Receipts This Page (optional) ..... ▶ **2500.00**

TOTAL This Period (last page this line number only) ..... ▶ **28500.00**