10/17/2001 15 : 15

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street) ▼ 200 Vesey Street 11th Floor

Check if different than previously reported. (ACC)

New York | NY | 10285 –

2. **FEC IDENTIFICATION NUMBER** ▼ C00127670

CITY ▲ STATE ▲ ZIPCODE ▲

3. IS THIS REPORT — X NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4)	Jul 20 (M7)	X Oct 20 (M10)	Jan 31 (M13)

(c) 12-Day **PRE**-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12S)

Election on in the State of

(d) 30-Day **P**ost -Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 09 01 2001 through 09 30 2001

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Edward Grieb

Signature of Treasurer Electronically Filed by Edward Grieb Date 10 17 2001

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only			**FEC FORM 3X** (Revised 1/2001)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Revised 1/2001)

Write or Type Committee Name
Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period: From: 09 01 2001 To: 09 30 2001

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2001		119194.71
(b) Cash on Hand at Begining of Reporting Period	112149.55	
(c) Total Receipts (from Line 19)	8301.04	112919.75
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	120450.59	232114.46
7. Total Disbursements (from Line 30)	65.04	111728.91
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	120385.55	120385.55
9. Debts and Obligations owed **TO** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed **BY** the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-420-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Revised 1/2001)

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period: From: 09 01 2001 To: 09 30 2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	7094.00	
(ii) Unitemized	1144.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶	8238.00	111569.73
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) ..... ▶	8238.00	111569.73
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts Dividends, Interest, etc.)	63.04	1350.02
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ..... ▶	8301.04	112919.75
20. Total Federal Receipts (subtract Line 16 from Line 19) ..... ▶	8301.04	112919.75

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Revised 1/2001)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	65.04	2188.91
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶	65.04	2188.91
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	0.00	109540.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23,24,25,26,27,28(d),and 29) ▶	65.04	111728.91
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) ▶	65.04	111728.91
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3)	8238.00	111569.73
33. Total Contribution Refunds (from Line 28(d))	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	8238.00	111569.73
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	65.04	2188.91
36. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35) ▶	65.04	2188.91

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 5 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): APPEL FREDERICK
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D578

B.
Full Name (Last, First, Middle Initial): ARNOLD WILLIAM G
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D580

C.
Full Name (Last, First, Middle Initial): ASSELSTIN JAMES K
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D581

SUBTOTAL of Receipts This Page (optional) ..... ▶ **130.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 6 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
BARRETT RICHARD M
Mailing Address
203 MAC INTYRE LANE
City: ALLENDALE State: NJ Zip Code: 07401
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D582

B.
Full Name (Last, First, Middle Initial)
BATH DAVID B
Mailing Address
200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D584

C.
Full Name (Last, First, Middle Initial)
BENSON BETH A
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D585

SUBTOTAL of Receipts This Page (optional) ..... ▶ **100.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 7 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): BRIER BRUCE D
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 475.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A1D591

B.
Full Name (Last, First, Middle Initial): BUTLER SHAUN K
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D593

C.
Full Name (Last, First, Middle Initial): BYLON LANCE F
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D594

SUBTOTAL of Receipts This Page (optional) ..... ▶ **80.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 8 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
CALDWELL ROBERT S.
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D595

B.
Full Name (Last, First, Middle Initial)
CLAIBORN STEPHEN A
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 485.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D599

C.
Full Name (Last, First, Middle Initial)
CONNORS KEVIN T
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D601

SUBTOTAL of Receipts This Page (optional) ..... ▶ **95.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 9 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): CORCORAN THOMAS P
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D603

B.
Full Name (Last, First, Middle Initial): CORNALI CLAUDIO
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D605

C.
Full Name (Last, First, Middle Initial): CORTES HECTOR A
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 315.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A1D608

SUBTOTAL of Receipts This Page (optional) ..... ▶ **75.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 10 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): COX ROBERT C
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D607

B.
Full Name (Last, First, Middle Initial): CUTLER ALLEN B
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D608

C.
Full Name (Last, First, Middle Initial): DAVEY PAUL M.
Mailing Address: 3 EAST 66TH SB
City: NEW YORK State: NY Zip Code: 10021
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 355.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D609

SUBTOTAL of Receipts This Page (optional) ..... ▶ **120.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 11 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
DE GAGLIA THOMAS
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D610

B.
Full Name (Last, First, Middle Initial)
DE GENNAR MARK W
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D611

C.
Full Name (Last, First, Middle Initial)
DEAN PATRICK J
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D613

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 12 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
DONOHUE EDWARD B
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D615

B.
Full Name (Last, First, Middle Initial)
EDWARDS PAUL
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D617

C.
Full Name (Last, First, Middle Initial)
ELKINS JAY S
Mailing Address
200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D618

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 13 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): EPSTEIN JONATHAN
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 270.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D620

B.
Full Name (Last, First, Middle Initial): ERNST HERBERT
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D621

C.
Full Name (Last, First, Middle Initial): ERSOFF BRETT I
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D622

SUBTOTAL of Receipts This Page (optional) ..... ▶ **110.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 14 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
FEIGELES EDWARD M

Mailing Address
200 VESEY AMX TWR-WFC FL. 8

City: NEW YORK State: NY Zip Code: 10285

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 950.00

Date of Receipt: 09 15 2001

Amount of Each Receipt this Period: 50.00

Transaction ID: A1D623

B.
Full Name (Last, First, Middle Initial)
FIDELIA-DAGUILLARD NADJA

Mailing Address
200 VESEY ST. 27TH FLOOR

City: NEW YORK State: NY Zip Code: 10285

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 1180.00

Date of Receipt: 09 15 2001

Amount of Each Receipt this Period: 100.00

Transaction ID: A1D624

C.
Full Name (Last, First, Middle Initial)
FLECKENSTEIN W DANSBY

Mailing Address
200 VESEY AMX TWR-WFC FL. 8

City: NEW YORK State: NY Zip Code: 10285

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE

Receipt For: Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: 09 15 2001

Amount of Each Receipt this Period: 30.00

Transaction ID: A1D628

SUBTOTAL of Receipts This Page (optional) ..... ▶ **180.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 15 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
FLEISCHOR KIRK D
Mailing Address
200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D627

B.
Full Name (Last, First, Middle Initial)
FORD JONATHAN G
Mailing Address
200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 770.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D628

C.
Full Name (Last, First, Middle Initial)
FORTE VICTOR A.
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 475.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A1D629

SUBTOTAL of Receipts This Page (optional) ..... ▶ **85.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 16 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): FOX GERARD J
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D630

B.
Full Name (Last, First, Middle Initial): FRANK FREDERICK
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D632

C.
Full Name (Last, First, Middle Initial): FRANK III EDWIN G
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D631

SUBTOTAL of Receipts This Page (optional) ..... ▶ **85.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 17 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
GALLAGHER BILL
Mailing Address
200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D633

B.
Full Name (Last, First, Middle Initial)
GARGANO GLORIANNE M
Mailing Address
200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D634

C.
Full Name (Last, First, Middle Initial)
GATES WILLIAM H
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 839.54
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 39.54
Transaction ID: A1D635

SUBTOTAL of Receipts This Page (optional) ..... ▶ **69.54**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 18 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GELBAND MICHAEL
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D636

B.
Full Name (Last, First, Middle Initial): GIBBS JAMES H
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D638

C.
Full Name (Last, First, Middle Initial): GLASS JEFFREY N
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 475.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A1D639

SUBTOTAL of Receipts This Page (optional) ..... ▶ **125.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 19 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GOLDBERG STEVEN H
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 315.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D641

B.
Full Name (Last, First, Middle Initial): GOLDFARB DAVID
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D642

C.
Full Name (Last, First, Middle Initial): GRABER JOE A
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D643

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 20 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GRAY LYNN Z.
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D644

B.
Full Name (Last, First, Middle Initial): GREEN ERNEST G
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D645

C.
Full Name (Last, First, Middle Initial): GREGORY JOSEPH M
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 1200.00
Date of Receipt: 09 10 2001
Amount of Each Receipt this Period: 1200.00
Transaction ID: A1D572

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1280.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 21 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GRIFFIN BERNARD J
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D648

B.
Full Name (Last, First, Middle Initial): GRIMM KRIS D
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D649

C.
Full Name (Last, First, Middle Initial): GUARNIERI MICHAEL P
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D651

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 22 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): GUENTHER TODD C
Mailing Address: 150 COLUMBUS AVE 16C
City: NEW YORK State: NY Zip Code: 10023
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D652

B.
Full Name (Last, First, Middle Initial): GUGLIELMO ROBERT
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D653

C.
Full Name (Last, First, Middle Initial): HAWKINS THOMAS A
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 475.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A1D655

SUBTOTAL of Receipts This Page (optional) ..... ▶ **75.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 23 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): HEYER WILLIAM R
Mailing Address: 52 SPRING VALLEY RD
City: HARDWICK State: NJ Zip Code: 07825
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 300.00
Date of Receipt: 09 10 2001
Amount of Each Receipt this Period: 300.00
Transaction ID: A1D571

B.
Full Name (Last, First, Middle Initial): HOFFMEIST PERRY C
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D659

C.
Full Name (Last, First, Middle Initial): HOLDGRAFE JEFFREY M
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D659

SUBTOTAL of Receipts This Page (optional) ..... ▶ **350.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 24 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): HOUSEWORTH COURT H
Mailing Address: 136 SILVER OAK TERRACE
City: ORINDA State: CA Zip Code: 94563
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D660

B.
Full Name (Last, First, Middle Initial): HOWARD MARK W
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D661

C.
Full Name (Last, First, Middle Initial): HOY ROBERT J
Mailing Address: 43 WEST 21ST APT. 4
City: NEW YORK State: NY Zip Code: 10010
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 500.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A1D663

SUBTOTAL of Receipts This Page (optional) ..... ▶ **180.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 25 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): HYNOTE ROBERT A
Mailing Address: 4459 REDWOOD ROAD
City: NAPA State: CA Zip Code: 94558
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D665

B.
Full Name (Last, First, Middle Initial): JANULIS THEODORE
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D667

C.
Full Name (Last, First, Middle Initial): JENNINGS WILLIAM
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D668

SUBTOTAL of Receipts This Page (optional) ..... ▶ **140.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 26 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): JONES CRAIG L.
Mailing Address: 137 FOREST AVE
City: GLEN RIDGE State: NJ Zip Code: 07028
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D669

B.
Full Name (Last, First, Middle Initial): JOTWANI TARUN
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 270.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D670

C.
Full Name (Last, First, Middle Initial): KANE JEANNE M
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D671

SUBTOTAL of Receipts This Page (optional) ..... ▶ **85.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 27 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): KIERNAN JOHN J
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D674

B.
Full Name (Last, First, Middle Initial): KILLIAN GARY M
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D675

C.
Full Name (Last, First, Middle Initial): KIRSCH RICHARD
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 215.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D676

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 28 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): KITEI JONATHAN M
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D677

B.
Full Name (Last, First, Middle Initial): KRUEGER HARVEY M
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D678

C.
Full Name (Last, First, Middle Initial): LAHEY MARK K
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D679

SUBTOTAL of Receipts This Page (optional) ..... ▶ **120.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 29 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
LAUCKS CRAIG S
Mailing Address
200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 540.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 60.00
Transaction ID: A1D681

B.
Full Name (Last, First, Middle Initial)
LESSING STEPHEN
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D683

C.
Full Name (Last, First, Middle Initial)
LI RAYMOND K
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D684

SUBTOTAL of Receipts This Page (optional) ..... ▶ **140.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 30 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
LIEBER ROBERT C
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D685

B.
Full Name (Last, First, Middle Initial)
LIEBERBERG ROBERT
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D686

C.
Full Name (Last, First, Middle Initial)
LIGHTEN WILLIAM E
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D687

SUBTOTAL of Receipts This Page (optional) ..... ▶ **90.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 31 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): LISTER JAMES
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 1140.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 60.00
Transaction ID: A1D688

B.
Full Name (Last, First, Middle Initial): LOFTUS JOSEPH A
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D689

C.
Full Name (Last, First, Middle Initial): LOHUIS DAVID W
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D690

SUBTOTAL of Receipts This Page (optional) ..... ▶ **140.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 32 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): LOWELL DAWN
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D691

B.
Full Name (Last, First, Middle Initial): LUPO JR FRANK J
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D692

C.
Full Name (Last, First, Middle Initial): MAJECTIC GUY A
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D693

SUBTOTAL of Receipts This Page (optional) ..... ▶ **55.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 33 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
MALIK ANDREW J

Mailing Address
200 VESEY ST. 27TH FLOOR

City: NEW YORK State: NY Zip Code: 10285

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date: 950.00

Date of Receipt: 09 15 2001

Amount of Each Receipt this Period: 50.00

Transaction ID: A1D694

B. Full Name (Last, First, Middle Initial)
MALIN MARK C

Mailing Address
200 VESEY ST. 27TH FLOOR

City: NEW YORK State: NY Zip Code: 10285

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date: 315.00

Date of Receipt: 09 15 2001

Amount of Each Receipt this Period: 35.00

Transaction ID: A1D695

C. Full Name (Last, First, Middle Initial)
MALVEY JOHN V

Mailing Address
200 VESEY ST. 27TH FLOOR

City: NEW YORK State: NY Zip Code: 10285

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date: 950.00

Date of Receipt: 09 15 2001

Amount of Each Receipt this Period: 50.00

Transaction ID: A1D696

SUBTOTAL of Receipts This Page (optional) ..... ▶ **135.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): MARINO THOMAS N
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D700

B.
Full Name (Last, First, Middle Initial): MCCOMBIE JANICE B
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D703

C.
Full Name (Last, First, Middle Initial): MILVERSTE MICHAEL R
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D708

SUBTOTAL of Receipts This Page (optional) ..... ▶ **115.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 35 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
MURRAY JR JOHN P
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D708

B.
Full Name (Last, First, Middle Initial)
O'DONNELL DEIRDRE J
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D713

C.
Full Name (Last, First, Middle Initial)
O'HANLON MICHAEL J.
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 2100.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 100.00
Transaction ID: A1D715

SUBTOTAL of Receipts This Page (optional) ..... ▶ **140.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): OKIN ROBERT
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D716

B.
Full Name (Last, First, Middle Initial): PANAGEAS SOTIRIOS
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D718

C.
Full Name (Last, First, Middle Initial): PENROSE JAMES C
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D719

SUBTOTAL of Receipts This Page (optional) ..... ▶ **85.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
PETERS STEPHEN
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D720

B.
Full Name (Last, First, Middle Initial)
PIA LOUIS
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D721

C.
Full Name (Last, First, Middle Initial)
POLIZZOTT JOSEPH
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D722

SUBTOTAL of Receipts This Page (optional) ..... ▶ **60.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 38 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): PUCCIAREL JAMES V
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 346.14
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 38.46
Transaction ID: A1D723

B.
Full Name (Last, First, Middle Initial): REDMOND RICHARD R
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D724

C.
Full Name (Last, First, Middle Initial): REILLY BRIAN M.
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D725

SUBTOTAL of Receipts This Page (optional) ..... ▶ **78.46**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 39 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
RILEY-MOT MARY KAY
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: FIRST VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D707

B.
Full Name (Last, First, Middle Initial)
RISPOLI DOMINIC
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 235.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A1D727

C.
Full Name (Last, First, Middle Initial)
RIZZIERI GERALD A
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 360.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D728

SUBTOTAL of Receipts This Page (optional) ..... ▶ **95.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 40 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
RIZZOTTO ANTHONY
Mailing Address
200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D730

B.
Full Name (Last, First, Middle Initial)
ROBERTS ERIC W
Mailing Address
200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D731

C.
Full Name (Last, First, Middle Initial)
ROWLEY BETH L
Mailing Address
200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D733

SUBTOTAL of Receipts This Page (optional) ..... ▶ **105.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 41 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): RUSSO THOMAS A
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D734

B.
Full Name (Last, First, Middle Initial): SALKO RANDI J
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D736

C.
Full Name (Last, First, Middle Initial): SCHWADRON DAVID A
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 304.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 16.00
Transaction ID: A1D740

SUBTOTAL of Receipts This Page (optional) ..... ▶ **96.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 42 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): SEAMAN JEFFREY M
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D741

B.
Full Name (Last, First, Middle Initial): SHERR DAVID N
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 380.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 20.00
Transaction ID: A1D743

C.
Full Name (Last, First, Middle Initial): SPARKS ALAN A.
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D748

SUBTOTAL of Receipts This Page (optional) ..... ▶ **100.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 43 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): SWEENEY JOHN K
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 864.55
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D749

B.
Full Name (Last, First, Middle Initial): TEGEN DOUGLAS B
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D751

C.
Full Name (Last, First, Middle Initial): TEICHMAN HAROLD
Mailing Address: 68 PROSPECT PLACE
City: RUTHERFORD State: NJ Zip Code: 07070
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D752

SUBTOTAL of Receipts This Page (optional) ..... ▶ **95.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): TEIFELD LEONARD M
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D753

B.
Full Name (Last, First, Middle Initial): THOMAS BERNARD E.
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D587

C.
Full Name (Last, First, Middle Initial): TOBIN PAUL G
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 450.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D754

SUBTOTAL of Receipts This Page (optional) ..... ▶ **140.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 45 / 52

[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): TURNER FRANK P
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 465.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D757

B.
Full Name (Last, First, Middle Initial): UZELAC JOSEPH G
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 475.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 25.00
Transaction ID: A1D758

C.
Full Name (Last, First, Middle Initial): VANDERBEE JEFFREY
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D759

SUBTOTAL of Receipts This Page (optional) ..... ▶ **95.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): WALKER JON P
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 540.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 60.00
Transaction ID: A1D762

B.
Full Name (Last, First, Middle Initial): WASHKOWIT ALAN
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D763

C.
Full Name (Last, First, Middle Initial): WEINSTEIN GARY S
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D764

SUBTOTAL of Receipts This Page (optional) ..... ▶ **150.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): WEXLER PETER I
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D765

B.
Full Name (Last, First, Middle Initial): WHITMAN BRADLEY A
Mailing Address: 200 VESEY AMX TWR-WFC FL. 8
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 570.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 30.00
Transaction ID: A1D768

C.
Full Name (Last, First, Middle Initial): WILLENS ROBERT
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General
Other (specify) ▼
Aggregate Year-to-Date ▼ 760.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 40.00
Transaction ID: A1D769

SUBTOTAL of Receipts This Page (optional) ..... ▶ **85.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
WILLOUGHB SCOTT E

Mailing Address
200 VESEY ST. 27TH FLOOR

City: NEW YORK State: NY Zip Code: 10285

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: VICE PRESIDENT

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date: 285.00

Date of Receipt: 09 15 2001

Amount of Each Receipt this Period: 15.00

Transaction ID: A1D770

B.
Full Name (Last, First, Middle Initial)
WINCHESTE DAVID P

Mailing Address
200 VESEY ST. 27TH FLOOR

City: NEW YORK State: NY Zip Code: 10285

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date: 760.00

Date of Receipt: 09 15 2001

Amount of Each Receipt this Period: 40.00

Transaction ID: A1D771

C.
Full Name (Last, First, Middle Initial)
YOUNG III GEORGE H

Mailing Address
200 VESEY ST. 27TH FLOOR

City: NEW YORK State: NY Zip Code: 10285

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date: 1000.00

Date of Receipt: 09 10 2001

Amount of Each Receipt this Period: 1000.00

Transaction ID: A1D573

SUBTOTAL of Receipts This Page (optional) ..... ▶ **1055.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

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[X] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial): ZOIDIS PAUL
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: MANAGING DIRECTOR
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D772

B.
Full Name (Last, First, Middle Initial): ZOREK JEFFREY A
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 950.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 50.00
Transaction ID: A1D773

C.
Full Name (Last, First, Middle Initial): ZUCKMAN JOHN S
Mailing Address: 200 VESEY ST. 27TH FLOOR
City: NEW YORK State: NY Zip Code: 10285
FEC ID number of contributing federal political committee.
Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: EXECUTIVE
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 285.00
Date of Receipt: 09 15 2001
Amount of Each Receipt this Period: 15.00
Transaction ID: A1D774

SUBTOTAL of Receipts This Page (optional) ..... ▶ **115.00**

TOTAL This Period (last page this line number only) ..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
ZUSY MARK L

Mailing Address
200 VESEY ST. 27TH FLOOR

City: NEW YORK
State: NY
Zip Code: 10285

FEC ID number of contributing federal political committee.

Name of Employer: LEHMAN BROTHERS HOLDINGS INC.
Occupation: SENIOR VICE PRESIDENT

Date of Receipt: 09 15 2001

Amount of Each Receipt this Period: 50.00

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼ 950.00

Transaction ID: A10775

B.

C.

SUBTOTAL of Receipts This Page (optional) ▶	**50.00**
TOTAL This Period (last page this line number only) ▶	**7094.00**

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 51 / 52

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.
Full Name (Last, First, Middle Initial)
First Union Bank/CAP Account Dept.

Mailing Address
One First Union Center 1164

City: Charlotte
State: NC
Zip Code: 28288

FEC ID number of contributing federal political committee.

Name of Employer

Occupation

Receipt For:
Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼
1350.02

Date of Receipt
09 28 2001

Amount of Each Receipt this Period
63.04

Transaction ID: A1B78

B.

C.

SUBTOTAL of Receipts This Page (optional) ..... ▶	**63.04**
TOTAL This Period (last page this line number only) ..... ▶	**63.04**

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

[X] 21b [ ] 22 [ ] 23 [ ] 24 [ ] 25
[ ] 26 [ ] 27 [ ] 28a [ ] 28b [ ] 28c [ ] 29

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NAME OF COMMITTEE (In Full)
Action Fund of Lehman Brothers Holdings Inc.

A.

Full Name (Last, First, Middle Initial)
First Union Bank of Washington

Mailing Address
One First Union Center 1164

City: Charlotte
State: NC
Zip Code: 28288

Purpose of Disbursement
O-2001 Bank Service Charge NC

Candidate Name

N/A
Category/ Type

Office Sought: House / Senate / President
State: NC District:

Disbursement For: Primary / General / X Other (specify) ▼
Not Applicable

Date of Disbursement
09 13 2001

Amount of Each Disbursement this Period
65.04

Transaction ID: B3428

B.

C.

SUBTOTAL of Disbursements This Page (optional) ..... ▶	**65.04**
TOTAL This Period (last page this line number only) ..... ▶	**65.04**