# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street) ☐ Check if different than previously reported
200 Vesey Street, 27th Floor

CITY, STATE and ZIP CODE
New York, New York 10285

RECEIVED
FEDERAL ELECTION COMMISSION
OCT 24 11 57 AM '98

2. FEC IDENTIFICATION NUMBER
C00127670

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:
☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☒ Twelfth day report preceding General (Type of Election) election on 11/03/98 in the State of N/A

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

| SUMMARY | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 5. Covering Period 10/1/98 through 10/14/98 | | |
| 6. (a) Cash on Hand January 1, 1998 | | $ 134,083.25 |
| (b) Cash on Hand at Beginning of Reporting Period | $ 126,229.95 | |
| (c) Total Receipts (from Line 19) | $ 0.00 | $ 109,556.10 |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | $ 126,229.95 | $ 243,639.35 |
| 7. Total Disbursements (from Line 30) | $ 5,500.00 | $ 122,909.40 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | $ 120,729.95 | $ 120,729.95 |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | $ 0.00 | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | $ 0.00 | |

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

*I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.*

Type or Print Name of Treasurer
EDWARD S GRUB

Signature of Treasurer

Date 10/19/98

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

# DETAILED SUMMARY PAGE
## OF RECEIPTS AND DISBURSEMENTS
### PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: Action Fund of Lehman Brothers Holdings Inc.

REPORT COVERING PERIOD FROM 10/1/98 TO: 10/14/98

| | COLUMN A Total This Period | COLUMN B Calendar Year | |
|---|---|---|---|
| **I. Receipts** | | | |
| 11. Contributions (other than loans) From: | | | |
| a. Individual/Persons Other Than Political Committees | | | |
| I. Itemized (use Schedule A) | 0.00 | 71,685.98 | 11(a)(i) |
| II. Unitemized | 0.00 | 35,516.22 | 11(a)(ii) |
| III. Total (add I and II) ➤ | 0.00 | 107,202.20 | 11(a)(iii) |
| b. Political Party Committees | 0.00 | 0.00 | 11(b) |
| c. Other Political Committees (such as PACs) | 0.00 | 0.00 | 11(c) |
| d. Total Contributions (add a III, b and c) ➤ | 0.00 | 107,202.20 | 11(d) |
| 12. Transfers From Affiliated/Other Party Committees | 0.00 | 0.00 | 12 |
| 13. All Loans Received | 0.00 | 0.00 | 13 |
| 14. Loan Repayments Received | 0.00 | 0.00 | 14 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) | 0.00 | 0.00 | 15 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees | 0.00 | 0.00 | 16 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | 0.00 | 2,353.90 | 17 |
| 18. Transfers from Nonfederal Account for Joint Activity | 0.00 | 0.00 | 18 |
| 19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤ | 0.00 | 109,556.10 | 19 |
| 20. Total Federal Receipts (subtract line 16 from line 19) ➤ | 0.00 | 109,556.10 | 20 |
| **II. Disbursements** | | | |
| 21. Operating Expenditures: | | | |
| a. Shared Federal/Non-Federal Activity (from Schedule H4) | | | |
| I. Federal Share | 0.00 | 0.00 | 21(a)(i) |
| II. Non-Federal Share | 0.00 | 0.00 | 21(a)(ii) |
| b. Other Federal Operating Expenditures | 0.00 | 1,309.40 | 21(b) |
| c. Total Operating Expenditures (add a I, a II, and b) ➤ | 0.00 | 1,309.40 | 21(c) |
| 22. Transfers to Affiliated/Other Party Committees | 0.00 | 0.00 | 22 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 5,500.00 | 120,500.00 | 23 |
| 24. Independent Expenditures (use Schedule E) | 0.00 | 0.00 | 24 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 | 25 |
| 26. Loan Repayments Made | 0.00 | 0.00 | 26 |
| 27. Loans Made | 0.00 | 0.00 | 27 |
| 28. Refunds of Contributions To: | | | |
| a. Individuals/Persons Other Than Political Committees | 0.00 | 100.00 | 28(a) |
| b. Political Party Committees | 0.00 | 0.00 | 28(b) |
| c. Other Political Committees (such as PACs) | 0.00 | 0.00 | 28(c) |
| d. Total Contribution Refunds (add a, b and c) ➤ | 0.00 | 100.00 | 28(d) |
| 29. Other Disbursements | 0.00 | 1,000.00 | 29 |
| 30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤ | 5,500.00 | 122,909.40 | 30 |
| 31. Total Federal Disbursements (subtract line 21 a II from line 30) ➤ | 5,500.00 | 122,909.40 | 31 |
| **III. Net Contributions/Operating Expenditures** | | | |
| 32. Total Contributions (other than loans)(from line 11d) | 0.00 | 107,202.20 | 32 |
| 33. Total Contribution Refunds (from line 28d) | 0.00 | 100.00 | 33 |
| 34. Net Contributions (other than loans)(subtract line 33 from 32) | 0.00 | 107,102.20 | 34 |
| 35. Total Federal Operating Expenditures (add 21 a I and 21 b) ➤ | 0.00 | 1,309.40 | 35 |
| 36. Offsets to Operating Expenditures (from line 15) | 0.00 | 0.00 | 36 |
| 37. Net Operating Expenditures (subtract line 36 from 35) ➤ | 0.00 | 1,309.40 | 37 |

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SCHEDULE B ITEMIZED DISBURSEMENTS LINE NUMBER 23

ANY INFORMATION COPIED FROM SUCH REPORTS OR STATEMENTS MAY NOT BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR COMMERCIAL PURPOSES, OTHER THAN USING THE NAME AND ADDRESS OF ANY POLITICAL COMMITTEE TO SOLICIT CONTRIBUTIONS FROM SUCH COMMITTEE.

Action Fund of Lehman Brothers Holdings Inc. C-00127670

| FULL NAME, ADDRESS AND ZIP | PURPOSE | DATE | AMOUNT |
|---|---|---|---|
| Oxley for Congress<br>1530 O Street, N.W.<br>Washington, DC 20005 | Contrib. to House cand.<br>Michael Oxley, 04/OH<br>YTD: $ 5,000.00<br><br>DISBURSEMENT FOR: General '98 | 10/01/98 | $ 4,000.00 |

| FULL NAME, ADDRESS AND ZIP | PURPOSE | DATE | AMOUNT |
|---|---|---|---|
| Bill McCollum for Congress<br>1212 N. Vernon St.<br>Arlington, VA 22201 | Contrib. to House cand.<br>Bill McCollum, 08/FL<br>YTD: $ 500.00<br><br>DISBURSEMENT FOR: General '98 | 10/01/98 | $ 500.00 |

| FULL NAME, ADDRESS AND ZIP | PURPOSE | DATE | AMOUNT |
|---|---|---|---|
| Friends of Blanche Lincoln<br>P.O. Box 3197<br>Little Rock, AR 72203 | Contrib. to Senate cand.<br>Blanche Lambert Lincoln, AR<br>YTD: $ 1,000.00<br><br>DISBURSEMENT FOR: General '98 | 10/02/98 | $ 1,000.00 |

| FULL NAME, ADDRESS AND ZIP | PURPOSE | DATE | AMOUNT |
|---|---|---|---|
| Friends of Sherrod Brown<br>111 Edgefield Drive<br>Elyria, OH 44035 | Contrib. to House cand.<br>Sherrod Brown, 13/OH<br>YTD: $ 500.00<br><br>DISBURSEMENT FOR: General '98 | 09/11/98 $500.00 MEMO<br>Orig reported Oct 98<br>10/13/98 $500.00 MEMO<br>REDESIGNATION | |

| | | |
|---|---|---|
| SUBTOTAL OF DISBURSEMENTS THIS PAGE ..... | $ | 5,500.00 |
| TOTAL THIS PERIOD ..... | $ | 5,500.00 |

Federal Election Commission

# ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

| | | |
|---|---|---|
| ☐ | Hand Delivered | Date of Receipt |
| ☐ | First Class Mail | POSTMARKED |
| ☑ | Registered/Certified Mail | POSTMARKED 10/20/98 |
| ☐ | No Postmark | |
| ☐ | Postmark Illegible | |
| ☐ | Received from the House office of Records and Registration | Date of Receipt |
| ☐ | Received from the Senate Office of Public Records | Date of Receipt |
| ☐ | Other ( Specify): | Postmarked _____ and/or Date of Receipt |
| ☐ | Electronic Filing | |

SJC
PREPARER

10/24/98
DATE PREPARED

(4/98)