REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

FEB 22 2 35 PM '93

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Action Fund of Shearson Lehman Brothers, Inc.

ADDRESS (number and street) ☐ Check if different than previously reported
388 Greenwich Street

CITY, STATE and ZIP CODE
New York, New York 10013

2. FEC IDENTIFICATION NUMBER
C-00127670

3. ☐ This committee qualified as a multicandidate committee DURING THIS Reporting Period (Date).

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

☐ July 31 Mid Year Report (Non-election Year Only)

☐ Termination Report

Monthly Report Due On:

☒ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election o _____ in the State of _____

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 01/01/93 through 01/31/93		
6. (a) Cash on Hand January 1, 19 93		$ 13,408.69
(b) Cash on Hand at Beginning of Reporting Period	$ 13,408.69	
(c) Total Receipts (from Line 19)	$ 9,074.63	$ 9,074.63
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 22,483.32	$ 22,483.32
7. Total Disbursements (from Line 30)	$ 4,000.00	$ 4,000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 18,483.32	$ 18,483.32
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ -0-	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ -0-	

For further information contact Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-376-3120

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer: Kenneth Braddock

Signature of Treasurer: Kenneth Braddock

Date: 2/.. /93

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3

93038293498

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: Action Fund of Shearson Lehman Brothers, Inc. C-00127670

REPORT COVERING PERIOD FROM 01/01/93 TO 01/31/93

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year
11. Contributions (other than loans) From:		
a. Individual/Persons Other Than Political Committees		
i. Itemized (use Schedule A)	285.72	285.72
ii. Unitemized	8,787.70	8,787.70
iii. Total (add i and ii) ➤	9,073.42	9,073.42
b. Political Party Committees	-0-	-0-
c. Other Political Committees (such as PACs)	-0-	-0-
d. Total Contributions (add a iii, b and c) ➤	9,073.42	9,073.42
12. Transfers From Affiliated/Other Party Committees	-0-	-0-
13. All Loans Received	-0-	-0-
14. Loan Repayments Received	-0-	-0-
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	-0-	-0-
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	-0-	-0-
17. Other Federal Receipts (Dividends, Interest, etc.)	1.21	1.21
18. Transfers from Nonfederal Account for Joint Activity	-0-	-0-
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	9,074.63	9,074.63
20. Total Federal Receipts (subtract line 18 from line 19) ➤	9,074.63	9,074.63
II. Disbursements		
21. Operating Expenditures:		
a. Shared Federal/Non-Federal Activity (from Schedule H4)		
i. Federal Share	-0-	-0-
ii. Non-Federal Share	-0-	-0-
b. Other Federal Operating Expenditures	-0-	-0-
c. Total Operating Expenditures (Add a i, a ii, and b) ➤	-0-	-0-
22. Transfers to Affiliated/Other Party Committees	-0-	-0-
23. Contributions to Federal Candidates/Committees and Other Political Committees	2,500.00	2,500.00
24. Independent Expenditures (use Schedule E)	-0-	-0-
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	-0-	-0-
26. Loan Repayments Made	-0-	-0-
27. Loans Made	-0-	-0-
28. Refunds of Contributions To:		
a. Individuals/Persons Other Than Political Committees	-0-	-0-
b. Political Party Committees	-0-	-0-
c. Other Political Committees (such as PACs)	-0-	-0-
d. Total Contribution Refunds (Add a, b and c) ➤	-0-	-0-
29. Other Disbursements	1,500.00	1,500.00
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	4,000.00	4,000.00
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	4,000.00	4,000.00
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans)(from line 11d)	9,073.42	9,073.42
33. Total Contribution Refunds (from line 28d)	-0-	-0-
34. Net Contributions (other than loans)(subtract line 33 from 32)	9,073.42	9,073.42
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤	-0-	-0-
36. Offsets to Operating Expenditures (from line 15)	-0-	-0-
37. Net Operating Expenditures (subtract line 36 from 35) ➤	-0-	-0-

SCHEDULE A

--ITEMIZED RECEIPTS--

LINE NUMBER 11(a)(i)

ANY INFORMATION COPIED FROM SUCH REPORTS OR STATEMENTS MAY NOT BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR COMMERCIAL PURPOSES, OTHER THAN USING THE NAME AND ADDRESS OF ANY POLITICAL COMMITTEE TO SOLICIT CONTRIBUTIONS FROM SUCH COMMITTEE.

ACTION FUND OF SHEARSON LEHMAN BROTHERS, INC

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
STEPHEN CLAIBORN 388 GREENWICH ST. NEW YORK, NY 10013	SLB, INC. OCCUPATION EXECUTIVE	01/29/93	$ 285.72

AGGREGATE YTD-- $ 285.72

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 285.72
TOTAL THIS PERIOD .....$ 285.72

93038293500

SCHEDULE B ITEMIZED DISBURSEMENTS LINE NUMBER 23

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Action Fund of Shearson Lehman Brothers, Inc. C-00127670

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Investment Co. Institute (INPAC) 1600 M St., NW, #600 Washington, DC 20036	Contrib. to Non-Partisan Federal PAC-Investment Company Institute INPAC YTD: $ 2,500.00 DISBURSEMENT FOR: N/A	01/12/93	$ 2,500.00

SUBTOTAL OF DISBURSEMENTS THIS PAGE ..... $ 2,500.00
TOTAL THIS PERIOD ..... $ 2,500.00

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SCHEDULE B — ITEMIZED DISBURSEMENTS (Other Disbursements) — PAGE 1 OF 1 — LINE NUMBER 29

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Action Fund of Shearson Lehman Brothers, Inc. C-00127670

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Action Fund of S.L. Bros.-NJ 388 Greenwich St., Fl. 33 New York, NY 10013	Contrib. to Non-Federal State Cmte (New Jersey) YTD: $ 1,500.00 DISBURSEMENT FOR: N/A	01/19/93	$ 1,500.00

SUBTOTAL OF DISBURSEMENTS THIS PAGE ..... $ 1,500.00
TOTAL THIS PERIOD ..... $ 1,500.00

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Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☐	Hand Delivered	DATE OF RECEIPT
☐	First Class Mail	POSTMARKED
☑	Registered/Certified Mail	POSTMARKED 2-17-99
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House Office of Records and Registration	DATE OF RECEIPT
☐	Received from the Senate Office of Public Records	DATE OF RECEIPT
☐	Other (Specify):	POSTMARKED and/or DATE OF RECEIPT

T.M.H
PREPARER

2-22-99
DATE PREPARED

FEC FORM 70-2 (12/92)

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