Image# 14952866497 PAGE 1 / 12

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	or Other Illan	All Additionized				Office Use Only	
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼		mple: If typir r the lines.	ng, type	12FE4M5		
ICAP NORTH AMERIC	A INC PAC	1 1 1 1 1					
			1 1 1 1		1 1 1 1 1		, , , , , ,
ADDDECC (1100 PLAZA FIVE						
ADDRESS (number and street) ▼	HARBORSIDE FI	NANCIAL CENTER	2				
Check if different than previously	JERSEY CITY			1	NJ	07922	1 1
reported. (ACC)							
2. FEC IDENTIFICATION NU	MBER ▼	CITY A		S	STATE A	ZIP COI	DE 🛦
C C00555979		3. IS THIS REPORT	~	N) OR	AM (A)	ENDED	
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q1 July 15 Quarterly Report (Q2 October 15 Quarterly Report (Q3 January 31 Year-End Report (YE July 31 Mid-Year Report (Non-election Year Only) (MY) Termination Report (TER)	(c) 12-Day PRE-Ele Report (d) 30-Day	for the: Election on		12C)	Sep	in the State of	Special (30S)
5. Covering Period 10	01	2014	through	11_	24	2014	
I certify that I have examined this	•	e best of my kno	wledge and b	pelief it is true	e, correct and	complete.	
Type or Print Name of Treasurer	Glenn Worman						
Signature of Treasurer Glenn	Worman		[Electronically	Filed] Da	ate 12	/ 04 /	2014
NOTE: Submission of false, errone	ous, or incomplete i	information may su	ubject the pers	son signing th	is Report to the	e penalties of 2 L	J.S.C. §437g.
Office Use Only						FEC FOR Rev. 12/20	

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2 Write or Type Committee Name ICAP NORTH AMERICA INC PAC 2014 24 2014 Report Covering the Period: 10 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 0.00 January 1, 2014 (b) Cash on Hand at 0.00 Beginning of Reporting Period..... 29324.96 29324.96 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 29324.96 29324.96 6(a) and 6(c) for Column B)..... 0.00 0.00 Total Disbursements (from Line 31)...... 8. Cash on Hand at Close of Reporting Period 29324.96 29324.96 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

ICAP NORTH AMERICA INC PAC

I. Receipts	I. Receipts COLUMN A Total This Period							
Contributions (other than loans) From:								
(a) Individuals/Persons Other								
Than Political Committees		00044.04						
(i) Itemized (use Schedule A)	29041.64	29041.64						
(ii) Unitemized	, 283.32	283.32						
(iii) TOTAL (add	20224.00	20224.06						
Lines 11(a)(i) and (ii)▶	29324.96	29324.96						
(b) Political Party Committees	0.00	0.00						
(c) Other Political Committees	0.00							
(such as PACs)	0.00	0.00						
(d) Total Contributions (add Lines								
11(a)(iii), (b), and (c)) (Carry								
Totals to Line 33, page 5)▶	29324.96	29324.96						
. Transfers From Affiliated/Other								
Party Committees	0.00	0.00						
. All Loans Received	0.00	0.00						
Loan Repayments Received	0.00	0.00						
Offsets To Operating Expenditures	7	7						
(Refunds, Rebates, etc.)								
(Carry Totals to Line 37, page 5)	0.00	0.00						
. Refunds of Contributions Made		7						
to Federal Candidates and Other								
Political Committees	0.00	0.00						
Other Federal Receipts	7	7						
(Dividends, Interest, etc.)	0.00	0.00						
Transfers from Non-Federal and Levin Funds	3.55							
(a) Non-Federal Account								
(from Schedule H3)	0.00	0.00						
		, , , ,						
(b) Levin Funds (from Schedule H5)	0.00	0.00						
(b) Levill Fullus (IIOIII Schedule H3)	7	0.00						
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00						
(o) Total Transition (data Total) and Total)	0.00	0.00						
. Total Receipts (add Lines 11(d),								
12, 13, 14, 15, 16, 17, and 18(c))▶	29324.96	29324.96						
_								
. Total Federal Receipts								
(subtract Line 18(c) from Line 19)▶	29324.96	29324.96						

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
Operating Expenditures: (a) Allocated Federal/Non-Federal	iotai iiiio i eiiou	Calcilual Teal-10-Date			
Activity (from Schedule H4)					
(i) Federal Share	0.00	0.00			
	200				
(ii) Non-Federal Share	0.00	0.00			
(b) Other Federal Operating	0.00	0.00			
Expenditures(c) Total Operating Expenditures	0.00	0.00			
(add 21(a)(i), (a)(ii), and (b))▶	0.00	0.00			
Transfers to Affiliated/Other Party					
Committees	0.00	0.00			
Contributions to Federal Candidates/Committees	0.00				
and Other Political Committees	0.00	0.00			
Independent Expenditures	0.00	0.00			
(use Schedule E)	7				
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00			
(455 5515445 1 //////////////////////////					
Loan Repayments Made	0.00	0.00			
Loans Made Refunds of Contributions To:	0.00	0.00			
(a) Individuals/Persons Other	0.00	0.00			
Than Political Committees	0.00	0.00			
(b) Political Party Committees	0.00	0.00			
(c) Other Political Committees	7				
(such as PACs)	0.00	0.00			
(d) Total Contribution Refunds	0.00	0.00			
(add Lines 28(a), (b), and (c))▶	7	0.00			
Other Disbursements	0.00	0.00			
Carlor Bioscardornonio	0.00				
Federal Election Activity (2 U.S.C. §431(20))					
(a) Allocated Federal Election Activity					
(from Schedule H6)	0.00	0.00			
(i) Federal Share	0.00	0.00			
(ii) "Levin" Share	0.00	0.00			
(b) Federal Election Activity Paid Entirely	3.00				
With Federal Funds	0.00	0.00			
(c) Total Federal Election Activity (add					
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00			
Total Disbursements (add Lines 21(c), 22,					
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	0.00	0.00			
Total Federal Disbursements					
(subtract Line 21(a)(ii) and Line 30(a)(ii)					
from Line 31)	0.00	0.00			
,	7	7 7			

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 02/2003) III. Net Contributions/Operating Ex-

penditures

(from Line 11(d), page 3)

(from Line 28(d)).....

(subtract Line 34 from Line 33)

(add Line 21(a)(i) and Line 21(b))▶

(from Line 15, page 3).....

(subtract Line 37 from Line 36)

33. Total Contributions (other than loans)

35. Net Contributions (other than loans)

36. Total Federal Operating Expenditures

37. Offsets to Operating Expenditures

38. Net Operating Expenditures

34. Total Contribution Refunds

of Disbursements

Page 5 **COLUMN A** COLUMN B **Total This Period** Calendar Year-to-Date 29324.96 29324.96 0.00 0.00 29324.96 29324.96 0.00 0.00 0.00 0.00 0.00 0.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:				PAGE	=	6	OF	12	
(che	ck only	or	ne)						
X	11a		11b		11c		12	!	
	13		14		15		16	;	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or	for commercial purposes, other than using the	name and address of any political committee to	solicit contributions from such committee.
\rangle	NAME OF COMMITTEE (In Full) ICAP NORTH AMERICA INC PA	AC	
Α.	Full Name (Last, First, Middle Initial) Caroline Arnold		Date of Receipt
	Mailing Address 1100 Plaza Five Harborside Financial Center City	State Zip Code	11 17 2014
	Jersey City	NJ 07922	Transaction ID : SA11AI.4121 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	250.00
	Name of Employer	Occupation	Check contribution
	ICAP North America LLC	Compliance Officer	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
В.	Full Name (Last, First, Middle Initial) Atul Bhatia		Date of Receipt
	Mailing Address 1100 Plaza Five Harborside Financial Center		10 28 2014
	City	State Zip Code	Transaction ID : SA11AI.4109
	Jersey City	NJ 07922	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	2000.00
	Name of Employer	Occupation	Check contribution
	ICAP North America LLC	Executive Vice President BrokerTec	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2000.00	
С.	Full Name (Last, First, Middle Initial) Danilo Brugal		Date of Receipt
	Mailing Address 1100 Plaza Five Harborside Financial Center		11 12 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City Jersey City	State Zip Code NJ 07922	Transaction ID : SA11AI.4119 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	1000.00
	Name of Employer	Occupation	Check contribution
	ICAP North America LLC	Broker	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General	00 0	
	Other (specify) ▼	1000.00	
s	SUBTOTAL of Receipts This Page (optional)	<u> </u>	3250.00
Т	OTAL This Period (last page this line number of	only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:				PAGE	7	OF	12	
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	13		14		15	16	6	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or	for commercial purposes, other than using the	name and address of any political committee to	solicit contributions from such committee.
\rangle	NAME OF COMMITTEE (In Full) ICAP NORTH AMERICA INC PA	AC	
Α.	Full Name (Last, First, Middle Initial) Anthony Censullo		Date of Receipt
	Mailing Address 1100 Plaza Five Harborside Financial Center City	State Zip Code	11 17 2014 Transaction ID : SA11Al.4125
	Jersey City FEC ID number of contributing federal political committee.	NJ 07922	Amount of Each Receipt this Period 3000.00
	Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) Other	Occupation Broker Aggregate Year-to-Date ▼ 3000.00	Check contribution
В.	Full Name (Last, First, Middle Initial) Dan Cleaves Mailing Address 1100 Plaza Five		Date of Receipt
	Harborside Financial Center City Jersey City FEC ID number of contributing federal political committee.	State Zip Code NJ 07922	11 06 2014 Transaction ID : SA11AI.4115 Amount of Each Receipt this Period 2500.00
	Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) ▼	Occupation Chief Executive Officer BrokerTec Aggregate Year-to-Date ▼ 2500.00	Check contribution
С.	Full Name (Last, First, Middle Initial) David Cosgrove Mailing Address 1100 Plaza Five Harborside Financial Center City	State Zip Code	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	Jersey City FEC ID number of contributing federal political committee.	NJ 07922	Amount of Each Receipt this Period 2500.00
	Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) Other	Occupation Manging Director of Operations & Risk Aggregate Year-to-Date ▼ 2500.00	Check contribution
s	SUBTOTAL of Receipts This Page (optional)	>	8000.00
Т	OTAL This Period (last page this line number of	only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

ı	FOR LINE	NUMBER	: PAGE	8 OF	12
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	X 11a	11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or	for commercial purposes, other than using the	name and address of any political committee to	solicit contributions from such committee.
\rangle	NAME OF COMMITTEE (In Full) ICAP NORTH AMERICA INC PA	AC	
Α.	Full Name (Last, First, Middle Initial) Dennis Crum		Date of Receipt
	Mailing Address 1100 Plaza Five Harborside Financial Center City	State Zip Code	11 24 2014
	Jersey City	NJ 07922	Transaction ID : SA11AI.4137 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	2500.00
	Name of Employer	Occupation	Check contribution
	ICAP North America LLC	Chief Executive Officer - Commodities	
	Receipt For: Primary General	Aggregate Year-to-Date ▼	
	Other (specify) ▼	2500.00	
В.	Full Name (Last, First, Middle Initial) James Gilbert		Date of Receipt
	Mailing Address 1100 Plaza Five		M = M / D = D / Y = Y = Y
	Harborside Financial Center		11 06 2014
	City	State Zip Code	Transaction ID : SA11AI.4113
	Jersey City	NJ 07922	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	1500.00
	Name of Employer	Occupation	Check contribution
	ICAP North America LLC	Chief Operating Officer Equities	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General		
	Other (specify) ▼	1500.00	
c.	Full Name (Last, First, Middle Initial) Richard Kaltenbach		Date of Receipt
	Mailing Address 1100 Plaza Five Harborside Financial Center		10 28 _2014 _
	City	State Zip Code	Transaction ID : SA11AI.4105
	Jersey City	NJ 07922	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	250.00
	Name of Employer	Occupation	Check contribution
	ICAP North America LLC	Co- Head of Legal - Americas	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General	Aggregate real to Date V	
	Other (specify) ▼	250.00	
s	UBTOTAL of Receipts This Page (optional)		4250.00
т	OTAL This Period (last page this line number of	only)	

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:				PAGE	9	OF		12	
(check only one)									
X	11a		11b		11c	12	2		
	13		14		15	16	6		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using the	e name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) ICAP NORTH AMERICA INC F	PAC	
Full Name (Last, First, Middle Initial) Christopher Keating Mailing Address 1100 Plaza Five Harborside Financial Center City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial)	State Zip Code NJ 07922 C Occupation Vice President Sales Aggregate Year-to-Date ▼	Date of Receipt 11 21 2014 Transaction ID: SA11AI.4133 Amount of Each Receipt this Period 250.00 Check contribution
Aurical Lago Mailing Address 1100 Plaza Five Harborside Financial Center City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07922 C Occupation Head of Equities Aggregate Year-to-Date ▼	Date of Receipt 11 14 2014 Transaction ID: SA11AI.4143 Amount of Each Receipt this Period 208.32 Semi-monthly payroll deduction - \$104.16
Full Name (Last, First, Middle Initial) Lynn Lax Mailing Address 1100 Plaza Five Harborside Financial Center City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify)	State Zip Code NJ 07922 C Occupation Director of Human Resources Aggregate Year-to-Date ▼ 250.00	Date of Receipt 11 21 2014 Transaction ID: SA11AI.4135 Amount of Each Receipt this Period 250.00 Check contribution
SUBTOTAL of Receipts This Page (optional)	>	708.32
TOTAL This Period (last page this line number	only)	

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:				PAGE	1	10	OF		12	
(check only one)										
X	11a		11b		11c		12			
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using the	e name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) ICAP NORTH AMERICA INC P	AC	
Full Name (Last, First, Middle Initial) Richard Marshall Mailing Address 1100 Plaza Five Harborside Financial Center City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial)	State Zip Code NJ 07922 C Occupation Broker Aggregate Year-to-Date ▼	Date of Receipt 10 21 2014 Transaction ID: SA11AI.4103 Amount of Each Receipt this Period 2500.00 Check contribution
Mailing Address 1100 Plaza Five Harborside Financial Center City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07922 C Occupation Co- Head of Legal - Americas Aggregate Year-to-Date ▼	Date of Receipt 11 17 2014 Transaction ID: SA11AI.4123 Amount of Each Receipt this Period 250.00 Check contribution
Full Name (Last, First, Middle Initial) Patrick McCarty Mailing Address 1100 Plaza Five Harborside Financial Center City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify)	State Zip Code NJ 07922 C Occupation Managing Dir. of Gov. Relations & Reg. Aggregate Year-to-Date ▼ 5000.00	Date of Receipt 11 12 2014 Transaction ID: SA11AI.4117 Amount of Each Receipt this Period 5000.00 Check contribution
SUBTOTAL of Receipts This Page (optional)		7750.00
TOTAL This Period (last page this line number	only)	

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

			PAGE	 11	OF	12		
(che	ck only	or	ne)					
X	11a		11b		11c	12		
	13		14		15	16	;	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

	e name and address of any political committee to	
NAME OF COMMITTEE (In Full) ICAP NORTH AMERICA INC F	PAC	
Full Name (Last, First, Middle Initial) Gregory Murphy Mailing Address 1100 Plaza Five Harborside Financial Center City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) Eull Name (Last First Middle Initial)	State Zip Code NJ 07922 C Occupation Deputy Chief Operating Officer Aggregate Year-to-Date ▼ 2000.00	Date of Receipt 11 17 2014 Transaction ID: SA11AI.4127 Amount of Each Receipt this Period 2000.00 Check contribution
Full Name (Last, First, Middle Initial) John Nixon Mailing Address 1100 Plaza Five Harborside Financial Center City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07922 C Occupation Executive Director Aggregate Year-to-Date ▼	Date of Receipt 11 14 2014 Transaction ID: SA11AI.4145 Amount of Each Receipt this Period 333.32 Semi-monthly payroll deduction - \$166.66
Full Name (Last, First, Middle Initial) Thomas Scanlan Mailing Address 1100 Plaza Five Harborside Financial Center City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07922 C Occupation Senior Vice President Aggregate Year-to-Date ▼	Date of Receipt 11 21 2014 Transaction ID: SA11AI.4129 Amount of Each Receipt this Period 500.00 Check contribution
SUBTOTAL of Receipts This Page (optional)	>	2833.32
TOTAL This Period (last page this line number	only)	

SCHEDULE A (FEC Form 3X) ITEMIZED REG

FOR LINE NUMBER: PAGE 12 OF

CEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	(che	11a 13	or	ne) 11b 14		11c		12 16		17
and from such Deposits and Statements may not be cold as used by any payon for the number of colisiting contributions											

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) ICAP NORTH AMERICA INC PAC Full Name (Last, First, Middle Initial) John Semler Date of Receipt Mailing Address 1100 Plaza Five Harborside Financial Center 2014 11 24 City Zip Code State Transaction ID: SA11AI.4139 Jersey City NJ 07922 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Check contribution Name of Employer Occupation ICAP North America LLC Compliance Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Stuart Wexler Date of Receipt Mailing Address 1100 Plaza Five Harborside Financial Center 10 28 2014 City State Zip Code Transaction ID: SA11AI.4107 NJ Jersey City 07922 Amount of Each Receipt this Period FEC ID number of contributing 2000.00 federal political committee. Check contribution Name of Employer Occupation ICAP North America LLC General Counsel & COO of the Americas Receipt For: Aggregate Year-to-Date ▼ Primary General 2000.00 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2250.00 SUBTOTAL of Receipts This Page (optional).....

29041.64

TOTAL This Period (last page this line number only).....