Image# 12963001485 PAGE 1 / 11

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

1. NAME OF TYPE OR PRINT ▼ Example: If typing, type	
COMMITTEE (in full)  Example: It typing, type over the lines.	15
SVB Financial Group PAC	ı
<u> </u>	
ADDRESS (number and street)	
Check if different	
than previously reported. (ACC) Santa Clara	95054
2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲	ZIP CODE ▲
C00333658	AMENDED (A)
(Choose One) Report	ug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	ep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
April 15	ct 20 (M10) Jan 31 (YE)
Quarterly Report (Q1) (c) 12-Day Primary (12P) General July 15 PRE-Election Quarterly Report (Q2)	al (12G) Runoff (12R)
October 15  Report for the: Convention (12C)  Special	al (12S)
Quarterly Report (Q3)  January 31 Year-End Report (YE)  Election on	in the State of
July 31 Mid-Year Report (Non-election	i (30R) Special (30S)
Termination Report (TER)  Election on 11 06 2012	in the State of CA
5. Covering Period 10 18 2012 through 11 26	2012
I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct a	and complete.
Type or Print Name of Treasurer Rebecca Olson	
Signature of Treasurer  Rebecca Olson  [Electronically Filed]  Date	M / 29 / Y Y Y Y Y Y 2012
NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to	the penalties of 2 U.S.C. §437g.
Office Use Only	FEC FORM 3X Rev. 12/2004

Page <b>2</b>		SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS	PEC Form 3X (Rev. 02/2003)
			Vrite or Type Committee Name
			SVB Financial Group PAC
26 2012	io: 11 / D	0 18 2012	Report Covering the Period: From:
LUMN B r Year-to-Date		COLUMN A This Period	
34694.07			(a) Cash on Hand  January 1,  2012
		26984.07	(b) Cash on Hand at Beginning of Reporting Period
27895.00		605.00	(c) Total Receipts (from Line 19)
62589.07		27589.07	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)
47000.00		12000.00	Total Disbursements (from Line 31)
15589.07		15589.07	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))
		0.00	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)
		0.00	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)
		7	the Committee (Itemize all on

### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

# **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

<b>SVB</b>	Financial	Group	PAC
------------	-----------	-------	-----

Report Covering the Period: From: 10	18 2012	To: 11 26 2012
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees		
(i) Itemized (use Schedule A)	390.00	25355.00
(ii) Unitemized	215.00	2540.00
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	605.00	27895.00
(h) Balitiaal Barty Consustitus	0.00	0.00
(b) Political Party Committees	0.00	
(such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	605.00	27895.00
2. Transfers From Affiliated/Other		
Party Committees	0.00	0.00
-		
. All Loans Received	0.00	0.00
Loan Repayments Received	0.00	0.00
5. Offsets To Operating Expenditures	7	
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
6. Refunds of Contributions Made		7 7
to Federal Candidates and Other		
Political Committees	0.00	0.00
7. Other Federal Receipts		
(Dividends, Interest, etc.)	0.00	0.00
B. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(a) Tatal Transfers (add 40()) and 40())	0.00	
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
. Total Receipts (add Lines 11(d),		
12, 13, 14, 15, 16, 17, and 18(c))▶	605.00	27895.00
. Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	605.00	27895.00

## **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements		Total This Period		
1.	Operating Expenditures:  (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Calendar Year-to-Date	
	(i) Federal Share	0.00	0.00	
	(ii) Non-Federal Share	0.00	0.00	
	(b) Other Federal Operating			
	Expenditures	0.00	0.00	
	(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	0.00	0.00	
	Transfers to Affiliated/Other Party	, , , ,	5.55	
	CommitteesContributions to	0.00	0.00	
	Federal Candidates/Committees and Other Political Committees	12000.00	47000.00	
	Independent Expenditures	0.00	0.00	
	(use Schedule E)		0.00	
	(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00	
	Loan Repayments Made	0.00	0.00	
	Loans MadeRefunds of Contributions To:	0.00	0.00	
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00	
	(b) Political Party Committees	0.00	0.00	
	(c) Other Political Committees (such as PACs)	0.00	0.00	
	(d) Total Contribution Refunds			
	(add Lines 28(a), (b), and (c))▶	0.00	0.00	
	Other Disbursements	0.00	0.00	
	Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity			
	(from Schedule H6) (i) Federal Share	0.00	0.00	
	(ii) "Levin" Share	0.00	0.00	
	(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00	
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶	0.00	0.00	
	Total Disbursements (add Lines 21(c), 22,			
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	12000.00	47000.00	
	Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)			
	from Line 31)	12000.00	47000.00	

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	605.00	27895.00
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	605.00	27895.00
i. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

Use separate schedule(s) for each category of the Detailed Summary Page

FOR	LINE	NU	MBER	:	PAGE	:	6	OF	11
(chec	ck only	or	ne)						
X	11a		11b		11c		12		
	13		14		15		16		17

or for commercial purposes, other than using	and Statements may not be sold or used by any pering the name and address of any political committee	
NAME OF COMMITTEE (In Full)		
SVB Financial Group PAC		
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address 3003 Tasman Dr		10 23 2012
City	State Zip Code	Transaction ID : 11AI-300
Santa Clara	CA 95054	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	85.00
Name of Employer	Occupation	†
SVB Financial Group	General Counsel	
Receipt For: 2012	Aggregate Year-to-Date ▼	
Primary General	55 0	
Other (specify) ▼ Calendar Year	1870.00	
Full Name (Last, First, Middle Initial)  3. Mary Dent		Date of Receipt
Mailing Address 3003 Tasman Dr		10 31 _2012 _
City	State Zip Code	Transaction ID : 11AI-310
Santa Clara	CA 95054	Amount of Each Receipt this Period
FEC ID number of contributing		T
federal political committee.	C	85.00
Name of Employer	Occupation	7
SVB Financial Group	General Counsel	
Receipt For: 2012	Aggregate Year-to-Date ▼	
Primary General	4070.00	
Other (specify) ▼ Calendar Year	1870.00	
Full Name (Last, First, Middle Initial)  C. Mary Dent		Date of Receipt
Mailing Address 3003 Tasman Dr		11 15 _ 2012 _
City	State Zip Code	Transaction ID : 11AI-305
Santa Clara	CA 95054	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	85.00
Name of Employer	Occupation	1
SVB Financial Group	General Counsel	
Receipt For: 2012	Aggregate Year-to-Date ▼	
Primary General	1870.00	
Other (specify)      Calendar Year	1870.00	
SUBTOTAL of Receipts This Page (option	nal)	255.00
TOTAL This Period (last page this line nu	mber only)	

FOR LINE NUMBER: **PAGE** 7 OF 11 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) SVB Financial Group PAC Full Name (Last, First, Middle Initial) Michael Lempres Date of Receipt Mailing Address 4641 Clark PI NW 2012 10 23 City Zip Code State Transaction ID: 11AI-301 DC Washington 20007 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Name of Employer Occupation Assistant General Counsel **SVB Financial Group** Receipt For: 2012 Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Calendar Year Full Name (Last, First, Middle Initial) B. Michael Lempres Date of Receipt Mailing Address 4641 Clark PI NW 10 31 2012 City State Zip Code Transaction ID: 11AI-311 DC Washington 20007 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Name of Employer Occupation **SVB Financial Group** Assistant General Counsel Receipt For: 2012 Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Cálendar Year Full Name (Last, First, Middle Initial) c. Michael Lempres Date of Receipt Mailing Address 4641 Clark PI NW 11 15 2012 Zip Code City State Transaction ID: 11AI-306 DC Washington 20007 Amount of Each Receipt this Period FEC ID number of contributing 15.00 С federal political committee. Name of Employer Occupation Assistant General Counsel SVB Financial Group Receipt For: 2012 Aggregate Year-to-Date ▼ Primary General Other (specify) 330.00 Calendar Year 45.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 8 OF 11 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) SVB Financial Group PAC Full Name (Last, First, Middle Initial) Christie Ma Date of Receipt Mailing Address 3003 Tasman Dr 2012 10 23 City Zip Code State Transaction ID: 11AI-302 CA Santa Clara 95054 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation **SVB Financial Group** Attorney Receipt For: 2012 Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Calendar Year Full Name (Last, First, Middle Initial) B. Christie Ma Date of Receipt Mailing Address 3003 Tasman Dr 10 31 2012 City State Zip Code Transaction ID: 11AI-312 CA Santa Clara 95054 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **SVB Financial Group** Attorney Receipt For: 2012 Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Cálendar Year Full Name (Last, First, Middle Initial) c. Christie Ma Date of Receipt Mailing Address 3003 Tasman Dr M M / 11 15 2012 City Zip Code State Transaction ID: 11AI-307 CA Santa Clara 95054 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation SVB Financial Group Attorney Receipt For: 2012 Aggregate Year-to-Date ▼ Primary General Other (specify) 440.00 Calendar Year 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

FOR LINE NUMBER: **PAGE** 9 OF 11 Use separate schedule(s) (check only one) X 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) SVB Financial Group PAC Full Name (Last, First, Middle Initial) Brendan Smith-Bentley Date of Receipt Mailing Address 224 S Morrison Ave 2012 10 City Zip Code State Transaction ID: 11AI-304 San Jose CA 95126 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Name of Employer Occupation **SVB Financial Group** Internal Communications Manage Receipt For: 2012 Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Calendar Year Full Name (Last, First, Middle Initial) B. Brendan Smith-Bentley Date of Receipt Mailing Address 224 S Morrison Ave 10 31 2012 City State Zip Code Transaction ID: 11AI-314 CA San Jose 95126 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer Occupation **SVB Financial Group** Internal Communications Manage Receipt For: 2012 Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Cálendar Year Full Name (Last, First, Middle Initial) c. Brendan Smith-Bentley Date of Receipt Mailing Address 224 S Morrison Ave 11 15 2012 City State Zip Code Transaction ID: 11AI-309 CA San Jose 95126 Amount of Each Receipt this Period FEC ID number of contributing 10.00 С federal political committee. Name of Employer Occupation SVB Financial Group Internal Communications Manage Receipt For: 2012 Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Calendar Year 30.00 SUBTOTAL of Receipts This Page (optional)..... 390.00 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)	llee congrate cohodula/a	a)   TOTT EINE NOMBETT.		PAGE 10 OF 11
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(check only		24 25 26
	Detailed Summary Page	27		28c 29 30
Any information copied from such Reports and States				
or for commercial purposes, other than using the nar	me and address of any polit	ical committee to	solicit contributions from	such committee.
NAME OF COMMITTEE (In Full)				
SVB Financial Group PAC				
Full Name (Last, First, Middle Initial)				
A. Scott Brown for US Senate			Date of Disbursement	
Mailing Address 337 Summer Street, Suite 100			10 31	2012
City	State Zip Code		T	\ <del>-</del>
Boston	MA 02210		Transaction ID: 23-3	37
Purpose of Disbursement Political Contribution		011	Amount of Each Disbu	rsement this Period
Candidate Name		Category/		2000.00
Scott Brown  Office Sought: House Disburse	ment For: 2012	Туре		7
Senate	Primary X General			
President	Other (specify) ▼			
State: MA District:				
Full Name (Last, First, Middle Initial)			Data of Diaburaament	
3. Gillibrand for Senate			Date of Disbursement	Y
Mailing Address 15 West 26th St Ste 4R			11 01	2012
City	State Zip Code		Transaction ID : 23-3	38
New York	NY 10010		Transaction ID . 25-	
Purpose of Disbursement Political Contribution		011	Amount of Each Disbu	rsement this Period
Candidate Name		Category/	2. 2.3 51050	
Kirsten Gillibrand		Type		2000.00
	ment For: 2012			
Senate Procident	Primary General			
State: NY District:	Other (specify) ▼			
Full Name (Last, First, Middle Initial)				
C. Hatch Election Committee, Inc.			Date of Disbursement	
Mailing Address 4040 Winner's Are NW			M M / D D /	2012
Mailing Address 1010 Wisconsin Ave NW Ste 570			10 31	2012
	State Zip Code		Transaction ID : 23-3	36
Washington	DC 20007		Transaction ib . 25-	50
Purpose of Disbursement Political Contribution		011	Amount of Foots District	
Candidate Name		Category/	Amount of Each Disbu	irsement this Period
Orrin Hatch		Type		2000.00
	ment For: 2012		,	,
Senate	Primary General			
State: UT District:	Other (specify) ▼			
otate. U1 District.				
SUBTOTAL of Disbursements This Page (optional)				6000.00
			1	7
TOTAL This Period (last page this line number only	)			

SCHEDULE B (FEC Form 3X)		FOR LINE I	NE NUMBER: PAGE 11 OF 1		
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	,	00	
	Detailed Summary Page	21b 27		26 30b	
Any information copied from such Reports and Sta	tements may not be sold or use	d by any nerso		_	
or for commercial purposes, other than using the					
NAME OF COMMITTEE (In Full)					
SVB Financial Group PAC					
/					
Full Name (Last, First, Middle Initial)			Date of Disbursement		
A. Jared Polis Victory Fund			M M / D D / Y Y Y		
Mailing Address PO Box 4572			10 26 2012		
-					
City	State Zip Code CO 80306		Transaction ID : 23-35		
Boulder Purpose of Disbursement	CO 80306				
Political Contribution		011	Amount of Each Disbursement this Period		
Candidate Name		Category/		1	
Jared Polis		Type	2000.00	J	
	sement For: 2012				
Senate President	Primary General				
State: CO District: 02	Other (specify) ▼				
Full Name (Last, First, Middle Initial)					
B. Montanans for Tester			Date of Disbursement		
			M M / D D / Y Y Y Y		
Mailing Address 735 Grand Ave			11 01 2012		
O't	01-1- 7'- 0-1-				
City Billings	State Zip Code MT 59101		Transaction ID: 23-39		
Purpose of Disbursement	33.3.				
Political Contribution		011	Amount of Each Disbursement this Period		
Candidate Name		Category/	2000.00	1	
Jon Tester		Туре	2500.50	4	
Office Sought: House Disbur	sement For: 2012  Primary				
President	Primary				
State: MT District:					
Full Name (Last, First, Middle Initial)					
C. Udall for Colorado			Date of Disbursement		
			M M / D D / Y Y Y Y		
Mailing Address 220 I St NE, Ste 250			10 26 2012		
City	State Zip Code				
Washington	DC 20002		Transaction ID: 23-34		
Purpose of Disbursement Political Contribution		1			
Candidate Name		011	Amount of Each Disbursement this Period		
Mark Udall		Category/ Type	2000.00	1	
	sement For: 2012	туре	7	4	
▼ Senate	Primary Seneral				
President	Other (specify) ▼				
State: CO District:					
				т.	
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